

OPEN PAYMENTS

Creating public transparency into health care industry
& health care provider financial relationships

Program Year 2019 Data Submission &
System Enhancements

February 18, 2020
CMS Open Payments Team



Program Year 2018 Data Refresh

- On January 17, 2020 CMS refreshed the Open Payments Program Year 2018 data to reflect changes that were made after the initial data publication



Total U.S. Dollar Value

\$9.23 Billion



Total Records Published

11.39 Million



General Payments

\$3.01 Billion



Research Payments

\$4.89 Billion

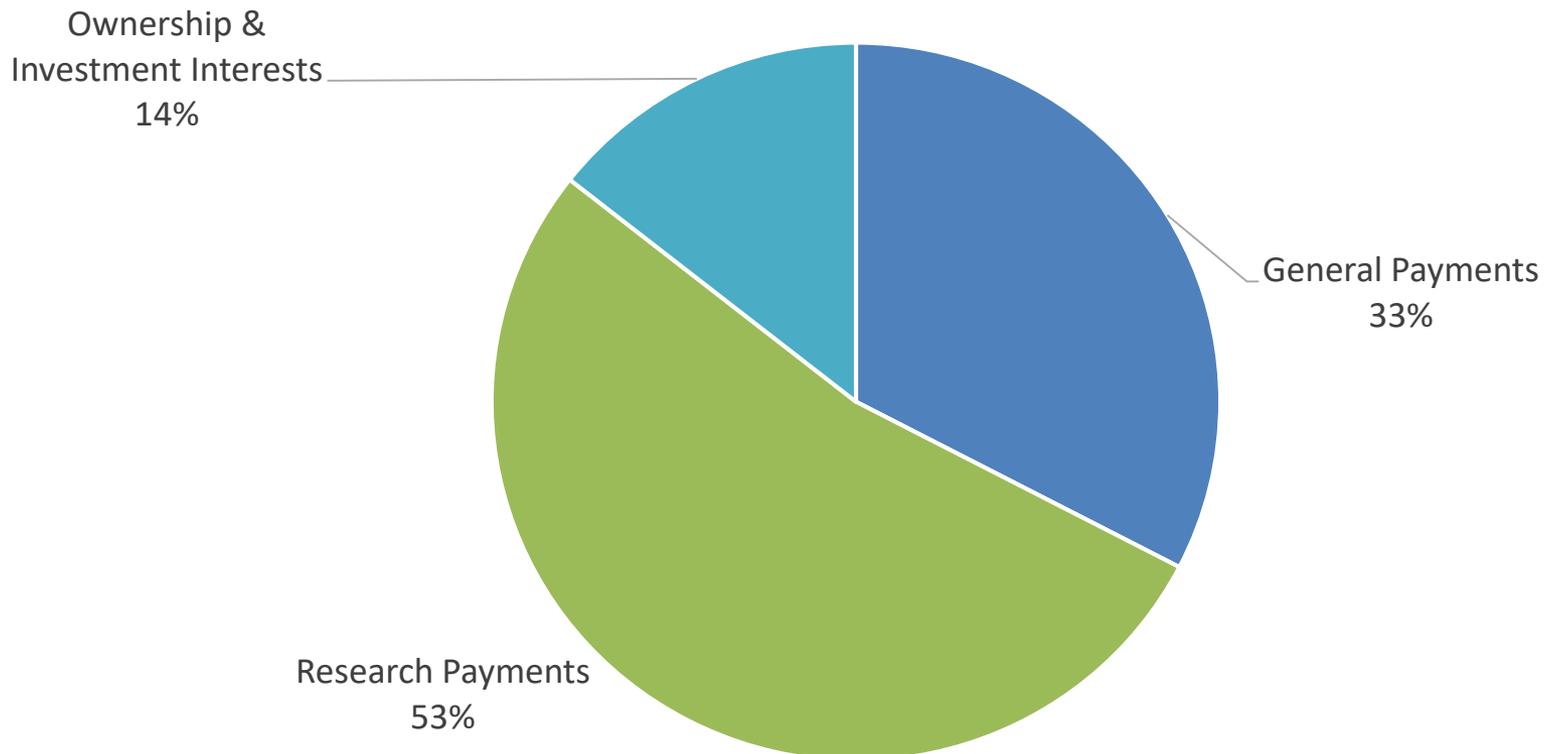


Ownership & Investment

\$1.33 Million

Program Year 2018 at a glance

Program Year 2018 Data (Refresh)
Percent Total Value of Payments



Refresh Reminders

- What's Included:
 - **Record Updates:** changes to non-disputed records that were made on or before November 15, 2019
 - **Dispute Resolutions:** dispute indicators removed for previously disputed records for those resolved on or before December 31, 2019
 - **Record Deletions:** records deleted on or before December 31, 2019 were removed from the Open Payments database
- What's not Included:
 - records submitted for the first time after the close of the Program Year 2018 submission window (March 31, 2019)
 - Any records that were disputed and for which dispute resolution resulted in a change to the covered recipient.

Program Year 2019 Timeline

OPEN PAYMENTS

Program timeline



The dates above represent anticipated dates for the Program Year 2019 data submission timeline

Reporting Entity Recertification

- **Reporting entities that registered in any previous calendar year must recertify to perform any Open Payments system functions**
 - Recertification confirms that details in the Open Payments system are accurate
 - Recertification can only be performed by an active user who holds an officer role
 - If a reporting entity does not have an active officer, contact the Open Payments Help Desk for assistance
 - Outdated or inaccurate reporting entity profile information can be updated during recertification
 - Changes to the entity's Legal Name, Tax Identification Number (TIN), or DUNS number will require re-vetting of the entity
 - Reporting entities due for recertification are given a status of "Pending Recertification"

2020 System Enhancements

- **Zip Code Validation**

- Verification that entered zip codes are valid within the boundary of the selected state

- **License Expiration**

- Will ensure that payments associated with physician licenses which expired prior to the start of the Open Payments program are rejected

- **Errors and Warnings**

- Errors and warnings notifications will be added to the Record Overview page to provide immediate matching feedback

2020 System Enhancements

- **Drug Data Validation**

- Associated drug information will be checked and validated against FDA's drug listing directory data
- A warning message is provided when the Drug or Biological information on a general and/or research payment record does not match the dataset.
 - Users can still submit records with a warning message
- The List of Drug Names and National Drug Codes (NDC) reference file and PDF Instructions document are zipped together and made available within the Open Payments Resources tab for all users to download.

2020 System Enhancements

- **Registering with a Foreign Address**
 - The system now allows reporting entity nominees (Officer, Submitter, Attester and Compliance), and Physicians and their Authorized Representatives to register with a foreign address
- **Teaching Hospital Recipient Country Field**
 - The required country field for teaching hospital recipients during manual submission is defaulted to “United States” and a system error is generated when a foreign country is submitted for teaching hospital recipients in both manual and bulk-file submissions.
- **Review File Status Page**
 - Links are provided to access the File ID page for each New Submission or Resubmission file that has not been rejected or deleted on the Review File Status page
- **Ability to Copy/ Duplicate Payment**
 - Users are able to copy an existing record. A new “Copy” button/hyperlink is provided in the Payment Category page, and on the view Record ID Page.

Data Submission Reminders

- **Delay in Publications Renewal Limit Reached Validation**

- The four year limit has now been reached for payments made in the 2015 calendar year
- Records with previously requested delays that may no longer be delayed will be identified on the record listing page with a “Delay Limit Reached” status
- If there are attempts to renew a publication delay for a record ineligible for delay, an error message will be presented

- **Program Year 2013 Record Submission**

- Beginning with the Program Year 2019 Data Submission Period (February 1, 2020 – March 31, 2020) reporting entities are no longer able to submit records for Program Year 2013

Next Steps

New or Returning?	Next Steps
New Reporting Entity	<ul style="list-style-type: none">• Register in EIDM and request access to the Open Payments system• Register self and reporting entity (if applicable) in the Open Payments system• Assign user roles• Submit Program Year 2019 data by March 31, 2020 (if applicable)• Collecting Program Year 2020 payment data and report it in early 2021
Returning Reporting Entity	<ul style="list-style-type: none">• Ensure EIDM account is active• Recertify reporting entity information and provide required review and dispute contact information• Confirm user roles• Submit Program Year 2019 data by March 31, 2020• Collect Program Year 2020 payment data and report it in early 2021

Data Submission Resources

- Resources to help reporting entities ensure accurate data submission:
 - **Validated Physician List**
 - Accessed through the Open Payments system
 - **Teaching Hospital Lists**
 - 2020 Teaching Hospital list is now available for use when collecting data for January 1, 2020 – December 31, 2020
 - All Teaching Hospital Lists from previous program years are available on the Resources page of the Open Payments website

Stay Connected

- **Visit the Open Payments Website**
 - For resources including Open Payments FAQs and more information about the program visit <https://cms.gov/openpayments>
- **Subscribe to the Listserv**
 - Receive program updates through the Open Payments listserv
 - Subscribe at our Contact Us Page
- **Search the Data**
 - Access the Open Payments data at <https://openpaymentsdata.cms.gov>
- **Have Questions or Need Help?**
 - Email: openpayments@cms.hhs.gov
 - Call: 1-855-326-8366 (TTY Line: 1-844-649-2766)
 - Help Desk Hours: 8:30am – 7:30pm (ET)



Talk to you soon!

- **Review, Dispute & Corrections Webinar**
 - March 26, 2020 2:30pm – 3:00pm (ET)
 - Registration, agenda items, and call-in information to follow
 - Subscribe to the Open Payments Listserv to ensure you and your team receive updates