



User Guide for Covered Recipients

O P E N P A Y M E N T S

C R E A T I N G P U B L I C T R A N S P A R E N C Y
I N T O I N D U S T R Y - P H Y S I C I A N
F I N A N C I A L R E L A T I O N S H I P S

February 2021

Disclaimer: The Centers for Medicare & Medicaid Services (CMS) is providing this guidance document as informational material on Open Payments. Although every reasonable effort has been made to assure the accuracy of the information, it is the responsibility of the user to ensure adherence to the requirements of the Open Payments implementing regulations, the Medicare, Medicaid, Children's Health Insurance Programs; Transparency Reports and Reporting of Physician Ownership or Investment Interests Final Rule codified at 42 C.F.R. Parts 402 and 403 [CMS-5060-F]. This User Guide is not intended as a supplement or replacement of the Final Rule.

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INTRODUCTION

Purpose of the Open Payments User Guide

This Open Payments User Guide includes definitions, descriptions, screenshots, tools, and tips designed to help physicians and teaching hospitals better understand how to comply with Open Payments (the Sunshine Act).

As the Open Payments system develops, the User Guide will be updated accordingly. The User Guide consists of the following chapters:

- **Introduction to Open Payments**
- **Introduction to Reporting and Data Collection**
- **Physician and Teaching Hospital Registration**
- **Review and Dispute**
- **Additional Information and Resources**

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Revision History

Version	Date Published	Description	Version Updates
1.0	August 2013	Initial Release	Chapters 1, 2, 3, & 4
2.0	June 2014	Update	Chapters 1, 2, 3, 4, & 7
3.0	July 2014	Update	Chapters 5, 8, & 9
3.1	August 2014	Update	Minor corrections and updates
4.0	December 2014	Update	Updates made to Chapter 5
5.0	January 2015	Update	Chapter 10 and updates for registration and data submission
6.0	March 2015	Update	Updates for registration and data submission
7.0	April 2015	Update	Updates for review and dispute
8.0	June 2015	Update	Updated Chapter 10 for PY2014 data publication
9.0	January 2016	Update	Updated throughout to reflect system updates in Jan 2016
10.0	June 2016	Update	Updated publication chapter, added to glossary
11.0	January 2017	Update	Updated to reflect changes to registration and data submission
12.0	June 2017	Update	Updated publication chapter, removed outdated information
13.0	September 2017	Update	Updated to reflect system updates
14.0	January 2018	Update	Updated to reflect system updates
15.0	March 2018	Update	Updated to reflect system updates for Review and Dispute
16.0	January 2019	Update	Updated to reflect system updates
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20.0	February 2021	Update	Updated to reflect EIDM to IDM Migration changes
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Chapter 1: Introduction to Open Payments (the Sunshine Act)

This introduction chapter provides general information about the program, an overview of the Open Payments system, and an explanation of how to determine if an entity is required by Open Payments to report certain payments or other transfers of value to [physicians](#) and [teaching hospitals](#), or certain physician ownership or investment interests.

Section 1.1: Program Overview

1.1a: What is the Affordable Care Act Section 6002?

Section 6002 of the Affordable Care Act [P.L. 110-148] amends Title XI of the Social Security Act to add Section 1128G, which mandates the creation of a program for (1) reporting payments and other transfers of value made to [covered recipients](#) and [physician owners or investors](#), by manufacturers of drugs, devices, [biologicals](#), or medical supplies for which payment is available under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP); and (2) reporting ownership or investment interests held by physicians or their immediate family members in [applicable manufacturers](#) and [applicable group purchasing organizations \(GPOs\)](#), as well as reporting payments or other transfers of value made by these applicable manufacturers and applicable GPOs to these physicians.

This program establishes a system for annually reporting this data to the Centers for Medicare & Medicaid Services (CMS).

1.1b: What is the Purpose of Open Payments (the Sunshine Act)?

Open Payments is a national disclosure program that promotes transparency by publishing data on the financial relationships between the healthcare industry (applicable manufacturers and applicable GPOs; together referred to as reporting entities) and healthcare providers (physicians and teaching hospitals) on a publicly accessible website. This publicly available website is designed to increase access to, and knowledge about, these relationships and provide the public with information to enable them to make informed decisions. The public can search, download, and evaluate the reported data.

Disclosure of the financial relationships between industry and healthcare providers is not intended to signify an inappropriate relationship, and Open Payments does nothing to prohibit such transactions. Collaborations among the medical product industry, physicians, and teaching hospitals contribute to the design and delivery of life-saving drugs, devices, biologicals, and medical supplies. However, these relationships may also influence research, education, and clinical decision-making in ways that compromise clinical integrity and patient care and may potentially lead to increased healthcare costs. While disclosure alone is not sufficient to differentiate between the beneficial financial relationships and those that may create conflicts of interest, transparency will shed light on the nature and extent of the relationships that exist and discourage the development of inappropriate relationships.

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1.1c: Who Participates in Open Payments (the Sunshine Act)?

Open Payments requires participation from certain manufacturers of drugs, devices, biologicals, or medical supplies covered under Title XVIII of the Social Security Act (Medicare), or a State plan under Title XIX (Medicaid) of XXI of the Social Security Act (CHIP) and certain GPOs.

Applicable manufacturers of covered products, and entities under [common ownership](#) with applicable manufacturers who also provide [assistance and support](#), are required to annually report to CMS:

- Payments or other transfers of value made to physicians and teaching hospitals.
- Certain ownership or investment interests held by physicians or their immediate family members.
- Applicable GPOs are required to annually report to CMS:
 - Payments or other transfers of value made to physician owners or investors.
 - Certain ownership or investment interests held by physicians or their immediate family members.

While not required to participate, Open Payments encourages physicians and teaching hospitals to participate by tracking their financial relationships with applicable manufacturers and applicable GPOs and reviewing data reported about them in the Open Payments system to ensure the accuracy of the information.

Open Payments also encourages the general public and healthcare consumers to access, review, and use the data to make informed healthcare decisions.

1.1d: Key Dates for Open Payments Program Years

For each program year, the following dates are of key importance:

Applicable manufacturers and applicable GPOs are required to **collect data** documenting their financial relationships with certain physicians and teaching hospitals for the period of January 1 to December 31 of each year.

Data submission for a program year begins in February of the following year and runs through the end of March. For example, data submission for Program Year 2016 took place in February and March of 2017.

The review and dispute period occurs after the submission period ends and extends for at least 45 days. During this period, physicians and teaching hospitals review and, if necessary, dispute data submitted by reporting entities. Applicable manufacturers and applicable GPOs can then correct the data to resolve any disputes for an additional fifteen-day correction period. Review, dispute, and correction activities can occur until the end of the calendar year that the record was submitted, though the initial publication

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of the program year data will reflect the state of the record as of the end of the correction period. Disputes initiated after the review and dispute period or corrections made after the end of the correction period will be reflected in later publications. For details refer to the “Methodology and Data Dictionary Document” available on the Resources page of the Open Payments website, at <https://www.cms.gov/openpayments/About/Resources.html>.

Data publication occurs twice per year, with a June publication and an early year publication.

- **June Publication - Initial Publication**

- The first publication of the eligible records submitted and attested on or before the submission closing date of the latest program year.
- The republication of eligible records from prior program years, including updates to previously published records made since the previous publication.
- The data published is the latest attested version of the payment records at the end of the correction period of the latest program year.

- **Early Year Publication - Refresh Publication**

- Publishes updates to the data made since the Initial Publication.
- The second publication of eligible records submitted and attested on or before the submission closing date of the latest program year.
- Contains updates made to records after the correction period of the latest program year and before the end of the calendar year (or before the modified-without-dispute cutoff date in November for records not under dispute).
- The data published is the latest attested version of the data at the end of the calendar year.

For example, the June 30, 2017 data publication included the initial publication of Program Year 2016 data and republication of prior program years. The early 2018 data publication included the refresh publication of Program Year 2016 data and republication of prior program years.

Registration for the Open Payments system, and the ability to dispute data, is available year-round. Information on these activities and time periods is provided throughout this document.

The table below lists key program dates for a program year, which is the year the reported payments or other transfers of value were made.

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Figure 1: Key Dates for the Open Payments Program Year

Program Activities	Program Timeline
Industry collects data	January 1-December 31 of program year
Industry submits and attests to data in the Open Payments system	February-March of the calendar year after the program year
Physicians and teaching hospitals review the reported data and dispute any data they believe is inaccurate; industry makes corrections to the data (reflected in the initial publication)	Review, Dispute, and Correction period: April-May
Initial program year initial data publication Prior program year data refresh publication	On or about June 30
Physicians and teaching hospitals continue to review and dispute data; industry continues to make corrections to the data (reflected in the data refresh publication)	June – December 31
Open Payments data refresh	Early in the following year

Section 1.2: Determining if an Entity is an Applicable Manufacturer or Applicable GPO

Open Payments requires certain entities that make payments or other transfers of value to physicians or teaching hospitals to report relevant data regarding the payment or other transfers of value to CMS.

To determine if a particular entity is required to report, follow these steps:

Step 1: Determine if the entity operates in the United States (including any territory, possession, or commonwealth of the United States). See the reference guide in **Figure 2**.

Step 2: Determine if the entity engages in activities of Type 1 or Type 2 applicable manufacturer. See the reference guide in **Figure 2**.

Step 3: Determine if the entity’s products are covered drugs, devices, biologicals, or medical supplies, or covered products. See the reference guide in **Figure 2**.

Step 4: If the entity possesses the characteristics illustrated in **Figure 2**, **the entity is determined to be an applicable manufacturer in Open Payments**.

If the entity does not meet these characteristics, the entity is not determined to be an applicable manufacturer. Note that the entity still may be an applicable GPO in Open Payments.

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Proceed to Step 5 to determine if the entity is an applicable GPO.

Step 5: Determine if the entity operates in the United States (includes any territory, possession, or commonwealth of the United States). See the reference guide in **Figure 3**.

Step 6: Determine if the entity engages in activities of an applicable GPO. See the reference guide in **Figure 3**.

Step 7: Determine if the entity's products are covered drugs, devices, biologicals, or medical supplies, or covered products. See the reference guide in **Figure 3**.

Step 8: If the entity possesses the characteristics illustrated in **Figure 3**, **the entity is determined to be an applicable GPO in Open Payments**.

If the entity does not possess the characteristics as illustrated in **Figure 3**, the entity is not determined to be an applicable GPO in Open Payments.

Figure 2 provides a reference guide for determining if a drug, device, biological, or medical supply manufacturer is an applicable manufacturer in accordance with Open Payments. **Figure 2** also outlines the characteristics of two types of applicable manufacturers.

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Figure 2: Determining if an Entity Is an Applicable Manufacturer

Characteristic	Type 1 Manufacturer IF	Type 2 Manufacturer IF
Operate in US?	<ul style="list-style-type: none"> • Entity’s physical location is within the United States and/or it conducts activities within the United States. This includes any territory, possession, or commonwealth of the United States. 	<ul style="list-style-type: none"> • Same as Type 1 Manufacturer.
Activities	<ul style="list-style-type: none"> • Engages in the production, preparation, propagation, compounding, or conversion of a covered drug, device, biological, or medical supply. • This includes distributors or wholesalers that hold title to a covered drug, device, biological, or medical supply. 	<ul style="list-style-type: none"> • Exists under common ownership with a Type 1 applicable manufacturer AND • Provides assistance or support to such an entity with respect to the production, preparation, propagation, compounding, conversion, marketing, promotion, sale, or distribution of a covered drug, device, biological, or medical supply.
Covered Products	<ul style="list-style-type: none"> • Reimbursed by Medicare, Medicaid, or Children’s Health Insurance Program AND • If the product is a drug or biological, and it requires a prescription (or doctor’s authorization) to administer OR • If the product is a device or medical supply, and it requires premarket approval or premarket notification by the FDA. 	<ul style="list-style-type: none"> • Same as Type 1 Manufacturer.

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Figure 3 provides a reference guide for determining if a drug, device, biological, or medical supply purchasing entity is an applicable GPO in accordance with Open Payments. **Figure 3** also outlines the characteristics of applicable GPOs.

Figure 3: Determining if an Entity Is an Applicable GPO

Characteristic	Group Purchasing Organization IF
Operate in US?	<ul style="list-style-type: none">Entity's physical location is within the United States and/or it conducts activities within the United States. This includes any territory, possession, or commonwealth of the United States.
Activities	<ul style="list-style-type: none">Purchases, arranges for, or negotiates the purchase of a covered drug, device, biological, or medical supply for a group of individuals or entities, but not solely for use by the entity itself.
Covered products	<ul style="list-style-type: none">Reimbursed by Medicare, Medicaid, or Children's Health Insurance Program ANDIf the product is a drug or biological, it requires a prescription (or doctor's authorization) to administer ORIf the product is a device or medical supply, it requires pre-market approval or pre-market notification by the FDA.

Section 1.3: Who Are Entities Reporting On

Applicable manufacturers and applicable GPOs are required to report payments or other transfers of value to covered recipients and physician owners/investors. Covered recipients in Open Payments include physicians (except for physicians who are bona fide employees of the reporting entity) and teaching hospitals. For the purposes of Open Payments, physicians are defined as legally authorized to practice by their state as follows:

- Doctors of medicine or osteopathy practicing medicine or surgery
- Doctors of dental medicine or dental surgery practicing dentistry
- Doctors of podiatric medicine
- Doctors of optometry
- Chiropractors

A teaching hospital is any institution that received a payment for Medicare direct graduate medical education (GME), inpatient prospective payment system (IPPS) indirect medical education (IME), or psychiatric hospital IME programs under 1886(d) (5) (B), 1886(h), or 1886(s) of the Social Security Act during the last calendar year for which such information is available.

Additionally, applicable manufacturers and applicable GPOs are required to report ownership or investment interests in the entity held by a physician (referred to as a physician owner or investor) or

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the physician’s immediate family members, and report payments or other transfers of value to these physicians holding ownership or investment interests. A physician’s immediate family member is the physician’s (1) spouse; (2) natural or adoptive parent, child, or sibling; (3) step-parent, step-child, step-brother, or step-sister; (4) father, mother, daughter, son, brother, or sister-in-law; (5) grandparent or grandchild; or the (6) spouse of a grandparent or grandchild.

Section 1.4: Open Payments System Overview

The Open Payments system is the tool developed to support Open Payments. Users will interact with the system to perform a number of functions based on their role.

Applicable manufacturers, applicable GPOs, physicians, and teaching hospitals who participate in the Open Payments program must register in the Open Payments system. **Figure 4** provides a high-level process flow.

Figure 4: High-Level Process Flow for Open Payments

Data Collection	Applicable manufacturers and applicable GPOs collect data about their financial relationships with physicians and teaching hospitals.
IDM Registration	Applicable manufacturers, applicable GPOs, physicians, and teaching hospitals register in CMS’s Enterprise Portal and request access to the Open Payments system.
Open Payments System Registration	Applicable manufacturers, applicable GPOs, physicians and teaching hospitals register in the Open Payments system.
Data Submission	Applicable manufacturers and applicable GPOs submit detailed data and legally attest to the accuracy of the data.
Review and Dispute	Physicians and teaching hospitals review and dispute (if necessary) data in the Open Payments system. Applicable manufacturers and applicable GPOs correct data and resolve disputes as appropriate.
Publication	CMS posts the data on the public website.

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1.4a: Open Payments Browser Requirements

The Open Payments system is a web-based application and should be run only on Internet Explorer (version 8 or higher) or Mozilla Firefox.

1.4b: Functionalities within the Open Payments System

Users will perform functions within the Open Payments system based on what is required of them by the program and their specific user roles. Most of the functions required by Open Payments will require interaction with the Open Payments system.

Key functions of Open Payments system users include the following:

- For applicable manufacturers/applicable GPOs: Register in the system, submit data into the system, verify the data, and attest to the accuracy of the data.
- For physicians/teaching hospitals: Register in the system, review the data supplied by applicable manufacturers and/or applicable GPOs, and dispute or affirm the data.

This User Guide will cover functions of the system in detail in each chapter.

When working in the Open Payments system, it is important to remember to never use the navigation buttons on your browser toolbar. Only use the navigation buttons (i.e., "Back", "Cancel" or "Continue" buttons) within the Open Payments system itself.

1.4c: Setting Email Filters to Accept Open Payments Emails

The Open Payments system will transmit emails to communicate to users. Take precautions to ensure that these emails are not directed into your junk mail or spam folders. Open Payments notification emails will come from the address openpaymentsnotifications@cms.hhs.gov.

1.4d: Accessibility Guidance

This section provides some basic guidance for keyboard and JAWS screen reader users. While not all screen reader users use JAWS and not all JAWS users have the same version, this guidance should be applicable to other screen readers and most versions of JAWS.

1.4d (1): "Skip to Main Content" Link

The "Skip to main content" link provides a shortcut to the main content of the page. Using the link allows a keyboard user to reach the core information on the page without having to tab through the global portal banner.

Screen reader users can use the link to jump their screen reader to the start of the portal content and skip the global banner area on each page. Activating the "Skip to main content" link brings the user to the beginning of the Open Payments content area on the page.

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1.4d (2): Useful JAWS Keystrokes

The keystrokes given in **Figure 5** find the next occurrence of a particular element on a page. Using the Shift key at the same time will find the previous occurrence. These shortcuts allow a screen reader user to quickly jump their screen reader between the form fields on each page or explore the overall structure of the page by jumping between the different headings on the page that denote the start of different content areas on the page.

Figure 5: Useful JAWS Keystrokes

Key	What it finds	Why this is useful
F	Form field	The F key allows users to move from form field to form field. The majority of pages in the Open Payments website are made of forms. The F key allows JAWS users to determine what fields are on the Open Payments form pages quickly.
H	Heading	The H key allows users to move from heading to heading. Headings define the structure of many pages. Moving between sections of an Open Payments page allows for a quick guide to page structure and a way to access sections easily.

Section 1.5: Additional Information and Resources

CMS supports Open Payments system users through several different methods. These include webinars, Frequently Asked Questions (FAQs), step-by-step quick reference guides (QRGs), and technical support provided by the Open Payments Help Desk.

1.5a: Open Payments Website

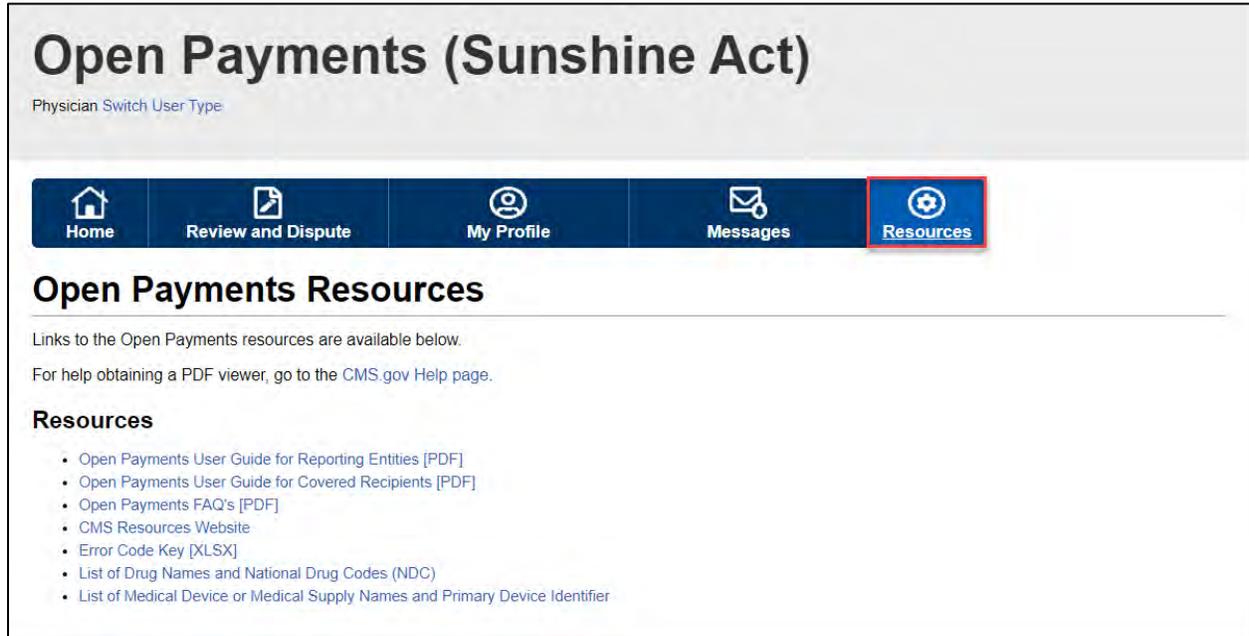
The Open Payments website at <https://www.cms.gov/openpayments> is your primary resource for information about Open Payments. This website contains numerous resources aimed at preparing and informing users about Open Payments regulations as well as the system. Check this website often for updated tools, resources, and important announcements on Open Payments.

1.5b: Open Payments Resources

CMS has developed many resources to help applicable manufacturers, applicable GPOs, physicians, and teaching hospitals understand and participate in the Open Payments program. These resources cover topics such as registration, data collection and submission, the review and dispute process, and data publication. They can be found on the Resources page of the Open Payments website, at <https://www.cms.gov/openpayments/About/Resources.html>.

Covered recipients can also access the Open Payments User Guide for Covered Recipients, the Open Payments FAQ's and other useful resources within the Open Payments system on the "Resources" tab.

Figure 6: Open Payments Resources Page



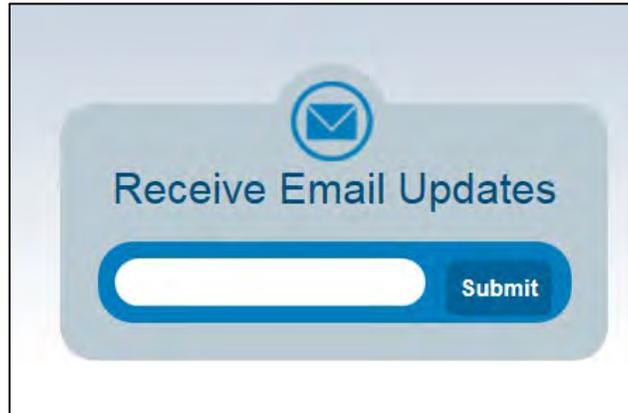
1.5c: Open Payments Help Desk

The Open Payments Help Desk serves as the single point of contact for all Open Payments-related inquiries. The Help Desk offers guidance on Open Payments, actions users can take in the system, and technical support. You can submit inquiries to openpayments@cms.hhs.gov or call 1-855-326-8366. **For the TTY line, call 1-844-649-2766.** The Help Desk's hours of operation are posted on the Open Payments website.

1.5d: Open Payments Mailing List

By registering for the Open Payments mailing list, you will be signed up to receive periodic email notifications regarding program, system, and resource updates. This is a good way to keep informed on any updates, changes, or important messages from CMS. To sign up for the Open Payments mailing list, visit the Open Payments website at <https://www.cms.gov/openpayments> and enter your email address at the bottom of the home page.

Figure 7: Receive Email Updates

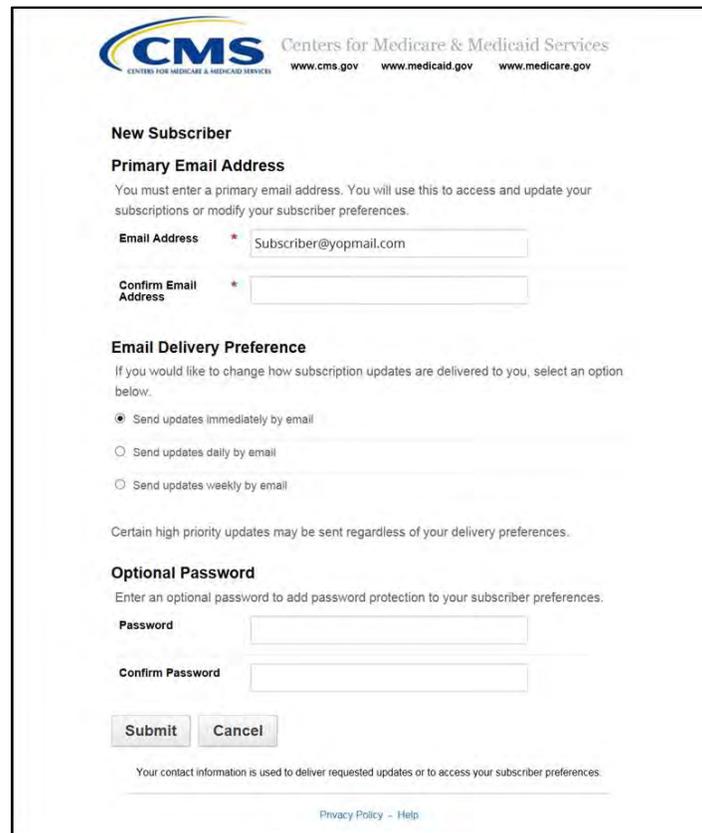


Receive Email Updates

Submit

Entering your email address will take you to a page where you can select which mailing list you want to subscribe to.

Figure 8: CMS New Subscriber Screen



CMS Centers for Medicare & Medicaid Services
www.cms.gov www.medicaid.gov www.medicare.gov

New Subscriber

Primary Email Address
You must enter a primary email address. You will use this to access and update your subscriptions or modify your subscriber preferences.

Email Address * Subscriber@yopmail.com

Confirm Email Address *

Email Delivery Preference
If you would like to change how subscription updates are delivered to you, select an option below.

Send updates immediately by email

Send updates daily by email

Send updates weekly by email

Certain high priority updates may be sent regardless of your delivery preferences.

Optional Password
Enter an optional password to add password protection to your subscriber preferences.

Password

Confirm Password

Submit Cancel

Your contact information is used to deliver requested updates or to access your subscriber preferences.

[Privacy Policy](#) - [Help](#)

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Under the Section “Open Payments,” select “General Updates on Open Payments” and then the “Submit” button at the bottom of the page to join the mailing list. You may also select either the boxes entitled “Physicians and Teaching Hospitals” or “Applicable Manufacturers and Group Purchasing Organizations”. Make sure you also select the general updates box to ensure you receive all appropriate email updates.

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Figure 9: Quick Subscribe

Quick Subscribe for subscriber@yopmail.com

Centers for Medicare & Medicaid Services (CMS) offers updates on the topics below. Subscribe by checking the boxes; unsubscribe by unchecking the boxes.

Access your [subscriber preferences](#) to update your subscriptions or modify your password or email address without adding subscriptions.

- Medicare Tools & Information
- Medicare-Medicaid Coordination
- Innovations
- Regulations & Guidance
- Research, Statistics, Data, & Systems
- Outreach & Education
- Office of Acquisition and Grants Management
- HIPAA Eligibility Transaction System (HETS)
- CMS Internal Communications
- Regional Office Lists
- Resources & Tools
- WETG Email Updates
- Chief Technology Office
- Open Payments
 - General Updates on Open Payments
 - Physicians and Teaching Hospitals
 - Applicable Manufacturers and Group Purchasing Organizations
 - Non-physician practitioner
- CMS System Notifications/Outages
- Master Data Management (MDM)
- OIS Portal Project
- Provider Compliance

Reporting and Data Collection

Chapter 2: Introduction to Reporting and Data Collection

This chapter provides information about data collection for entities required to report certain payments and other transfers of value in Open Payments.

Data is reported to the Open Payments system through bulk file uploads using character-separated values (CSV) files and through manual data entry through a graphic user interface (GUI). See Chapter 4 for details on how to prepare and submit the data.

A complete listing of metadata elements used for payments data input into the Open Payments system can be found in the “Submission Data Mapping Document.” Due to changes in record formats, there are three versions of the Submission Data Mapping document, one for Program Years “2013-2015”, one for Program Years “2016-2020” and one for Program Years “2021 and Onwards”. All three documents are available on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/About/Resources.html>. These documents provide specific, detailed descriptions of the information that must be collected by reporting entities to document general, research, and physician ownership/investment interest payments.

Section 2.1: General Payments Reporting and Data Collection

This section on general payments reporting and data collection provides information about data collection for entities required to report certain general payments and other transfers of value in the Open Payments system. Five categories of information related to [general payments](#) made by applicable manufacturers and applicable GPOs to recipient physicians and teaching hospitals are captured during data collection; these categories are shown in the bulleted list below.

- **Submission File Information** contains metadata elements collected to properly identify and attribute submitted files.
- **Recipient Demographic Information** identifies the recipient of the general payment or other transfers of value.
- **Associated Drug, Device, Biological, or Medical Supply Information** identifies the drug, device, biological, or medical supply that is related to the payment or other transfers of value.
- **Payment or Other Transfers of Value Information** specifies information regarding the general payment or other transfers of value.
- **General Record Information** captures other general information about the payment or other transfers of value.

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On the Resources page of the Open Payments website

(<https://www.cms.gov/OpenPayments/About/Resources.html>), users can find and download the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Section 2.2: Research Payments Reporting and Data Collection

This section on research payments reporting and data collection provides information about data collection for entities required to report certain research-related payments and other transfers of value in the Open Payments system. Five categories of information related to [research payments](#) made by applicable manufacturers and applicable GPOs to covered recipient physicians and teaching hospitals are captured during data collection; these categories are shown in the bulleted list below.

- **Submission File Information** contains metadata elements collected to properly identify and attribute submitted files.
- **Recipient Demographic Information** identifies the recipient of the research payments or other transfers of value.
- **Associated Drug, Device, Biological or Medical Supply Information** identifies the drug, device, biological, or medical supply that is related to the payment or other transfers of value.
- **Payment or Other Transfers of Value Information** specifies information regarding the research payment or other transfers of value.
- **Research-related Information** captures specific information about payments or other transfers of value for research activities.

On the Resources page of the Open Payments website

(<https://www.cms.gov/OpenPayments/About/Resources.html>), users can find the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Users who hold a submitter role can access submission templates within the Open Payments system on the “Resources” tab.

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Figure 10: Open Payments System "Resources" Tab for Submitters

The screenshot displays the Open Payments (Sunshine Act) user interface. At the top, it identifies the user as an 'Applicable Manufacturer or Group Purchasing Organization' and provides a 'Switch User Type' link. A navigation bar contains icons for Home, Submissions, Review and Dispute, Manage Entities, My Profile, Messages, and Resources (which is highlighted with a red box). Below the navigation bar, the 'Open Payments Resources' section is titled. It includes introductory text about available links and a reference to the CMS.gov Help page. The Resources section is organized into several categories: Resources (with links to user guides, FAQs, CMS website, error code key, NDC list, and medical device supply names), Reporting Templates, Research (with CSV template files for Research Payments from 2013-2015, 2016-2020, and 2021 onwards), General (with CSV template files for General Payments from 2013-2015, 2016-2020, and 2021 onwards), and Ownership (with a CSV template file for Physician Owner/Investor from 2013 onwards).

Section 2.3: Physician Ownership/Investment Interests Reporting and Data Collection

This section on physician ownership/investment interests reporting and data collection provides information about data collection for reporting entities required to report physician ownership or investment interests in the Open Payments system.

Three categories of information related to ownership/investment interests held by physicians in applicable manufacturers and applicable GPOs are captured during data collection; these categories are shown in the bulleted list below.

- **Submission File Information** contains metadata elements collected to properly identify and attribute submitted files.

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- **Physician Demographic Information** identifies the recipient of the ownership or investment interests.
- **Ownership or Investment Information** captures information about the ownership or investment.

On the Resources page of the Open Payments website

(<https://www.cms.gov/OpenPayments/About/Resources.html>), users can find the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Registration

Chapter 3: Physician and Teaching Hospital Registration

This chapter provides information for physician and teaching hospital registration in CMS's Identity Management system (IDM) and the Open Payments system. Both registrations are required to obtain access to the Open Payments system. All physicians and teaching hospitals who wish to view data reported about them must complete both registrations prior to accessing the system.

This chapter is divided into the following sections:

- **Two-Step Registration Process** provides an overview of the Registration process. Registration includes user registration in IDM, as well as user registration in the Open Payments system.
- **IDM Registration** provides an overview of the first step in registration, how to register for IDM and request access to the Open Payments system.
- **Registration in the Open Payments System** provides walkthroughs of various scenarios for registering a physician or a teaching hospital in the Open Payments system.
- **Open Payments Users and User Roles** contains information on who is authorized to register in the Open Payments system and the user roles that must be filled by the Open Payments system users.
- **Nominations** contain information on how to nominate other individuals for roles associated with physicians and teaching hospitals.
- **Updating Profile Information in the Open Payments System** contains information on how physicians, physician authorized representatives, and users affiliated with teaching hospitals can update their profiles in the Open Payments system.

Section 3.1: Two-Step Registration Process

Physicians and teaching hospitals must register in the Open Payments system in order to view, review, and/or dispute data submitted about them.

Note: Physicians and teaching hospitals are not required to register but are encouraged to do so in order to view information reported about them prior to CMS's publication of the data.

Registration for Open Payments is a two-step process. First, users must register in IDM to obtain IDM credentials and request access to the Open Payments system. Once the user has obtained IDM credentials, he or she will be able to register in the Open Payments system.

Section 3.2: Identity Management (IDM) Registration and Open Payments

Access

Identity Management (IDM) is an identity management and services system that (1) serves as an identity proofing tool to verify a person's identity; and (2) provides you with access to various CMS applications. Registering in IDM provides you with log in credentials **required** for access to the Open Payments system. You will be able to set up your own log in credentials in the form of a user ID and password during the IDM registration process. IDM credentials allows you to log in to the CMS Enterprise Portal and request access to various CMS applications, including the Open Payments system.

To begin the registration process, IDM can be accessed via the CMS Enterprise Portal at <https://portal.cms.gov>. A list of IDM FAQs is available on the CMS website at <https://www.cms.gov>, and information about IDM registration can be found on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/About/Resources.html>. If you already have IDM credentials, you can move ahead to section 3.3, Registering in the Open Payments System.

IDM will lock your user account if no activity is reported in the account for 60 or more days. When you log in after 60-days, the system will display the "Unlock my Account" view. To unlock the account, enter the user ID and correctly answer all challenge questions. Then enter a new password in the input fields of "New Password" and "Confirm New Password". If the account does not reactivate, contact the Open Payments Help Desk at openpayments@cms.hhs.gov or call 1-855-326-8366. For the TTY line, call 1-844-649-2766.

IDM will automatically deactivate your account if you have not logged in for 180 days or more. If your account has been deactivated, you will not be able to access CMS applications, including the Open Payments system. If your account is deactivated due to inactivity, you can contact the Open Payments Help Desk at 1-855-326-8366 to reinstate the account. For the TTY line, call 1-844-649-2766.

NOTE FOR INDIVIDUALS WITH FOREIGN ADDRESSES: Individuals with addresses outside of the United States can enter their foreign address during registration. However, identity proofing will take place manually. Contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance with this manual proofing process.

3.2a: System Requirements

CMS screens are designed to be viewed at a minimum screen resolution of 1024 x 768. For optimal performance, screen resolution should be set to 1920 x 1080. The following additional considerations optimize access to the CMS Enterprise Portal:

- Disable pop-up blockers prior to accessing CMS Enterprise Portal.
- Use one of the following browsers with JavaScript enabled:
 - Internet Explorer (IE), version 11.0 or higher

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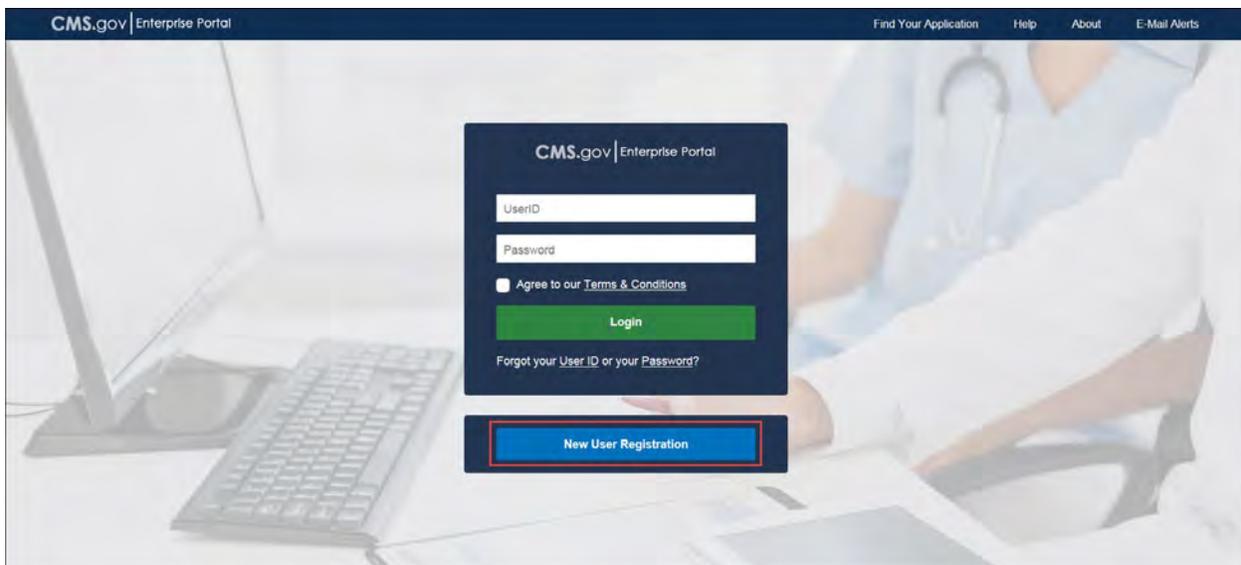
- Chrome (recommended for optimal performance)
- Firefox
- Safari

3.2b: IDM New User Registration

Go to the CMS Enterprise Portal page at <https://portal.cms.gov>. The system displays the CMS Enterprise Portal public landing page.

Step 1: On the CMS Enterprise Portal page, select “New User Registration” to continue.

Figure 11: CMS Enterprise Portal Public Landing Page



Step 2: On the “Select Your Application” page, select “Open Payments: Physician Payments Sunshine Act” from the drop down list and agree to the Terms and Conditions of the CMS Enterprise Portal page, then select the “Next” button to continue.

Step 3: Accept the Terms and Conditions of the CMS Enterprise Portal page and then select “Next” to continue. Two Terms and Conditions sections of particular importance to read are:

- Consent to monitoring; and
- Collection of Personal Identifiable Information (PII).

“Consent to monitoring” means that you agree to allow CMS to monitor your activity and actions performed through CMS applications while logged into the CMS Enterprise Portal, and accessed through IDM.

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“PII” is information that can be used to uniquely identify, contact, or locate a single person, or can be used with other sources to uniquely identify a single individual. Personal information collected will be used for the purpose of verifying your identity only.

Figure 12: Select Your Application Page

Step #1: Select Your Application

Step 1 of 3 - Select your application from the dropdown. You will then need to agree to the terms & conditions.

BPX: Bundled Payments EFT

Terms & Conditions

OMB No.0938-1236 | Expiration Date: 03/31/2021 | Paperwork Reduction Act

Consent to Monitoring

By logging onto this website, you consent to be monitored. Unauthorized attempts to upload information and/or change information on this web site are strictly prohibited and are subject to prosecution under the Computer Fraud and Abuse Act of 1986 and Title 18 U.S.C. Sec.1001 and 1030. We encourage you to read the [HHS Rules of Behavior](#).

I agree to the Terms & Conditions

Next Cancel

Step 4: On the “Register Your Information” page enter your personal user information. Be sure to enter all information in all required fields. **Note: Selecting the “Cancel” button will cause you to lose all data entered.** Select the “Next” button when all information has been entered.

Data fields to be completed are the following:

- Name (First, Middle, Last, Suffix)
- Date of Birth (Month, Date Year)
- Is Your Address US Based (Yes/No)
- Home Address, City, State, Zip Code
- Email Address
- Confirm Email Address
- Phone Number

Enter your legal name, current home address, primary phone number, and email address correctly. IDM collects personal information only to verify your identity with Experian, an external identity verification

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provider (explained in the next section). If this information is not validated successfully and identity proofing fails, contact the Open Payments Help Desk at 1-855-326-8366.

Figure 13: Register Your Information Page

The screenshot shows the 'Step #2: Register Your Information' page in the CMS.gov Enterprise Portal. The page is titled 'Step #2: Register Your Information' and includes the instruction 'Step 2 of 3 - Please enter your personal and contact information. All fields are required unless marked 'Optional''. The form contains the following fields and options:

- First Name: Karen
- Enter Middle Name (optional):
- Last Name: Miller
- Suffix (optional):
- Social Security Number (optional): 123456789
- Birth Month: August
- Birth Date: 16
- Birth Year: 1972
- Is Your Address US Based?: Yes (selected), No
- Home Address #1: 123 Main Street
- Enter Home Address #2 (optional):
- City: Baltimore
- State: Maryland
- Zip Code: 21244
- Enter Zip+4 (optional):
- E-mail Address: karen.miller@yopmail.com
- Confirm E-mail Address: karen.miller@yopmail.com
- Phone Number: 1234567890

At the bottom of the form, there are three buttons: 'Back', 'Next' (highlighted with a red box), and 'Cancel'.

NOTE FOR INDIVIDUALS WITH FOREIGN ADDRESSES: Individuals with addresses outside of the United States can register in IDM via the online portal, but the identity proofing must be conducted manually. Once you have entered your information into the CMS Enterprise Portal, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance with the manual identity proofing process.

Step 5: On the “Create User ID, Password & Security Question/Answer” page, create an IDM user name and password in accordance with the guidance provided below. You will also be asked to select three challenge questions and provide answers in the open text fields next to the question fields. Select the “Next” button when finished.

Note: Selecting the “Cancel” button will cause you to lose all data entered.

Figure 14: Create User ID, Password & Challenge Questions Page

Step #3: Create User ID, Password & Security Question/Answer

Step 3 of 3 - Please create User ID and Password. Select a Security Question and provide Answer.

Enter User ID

Enter Password Confirm Password

Security answer to be used in case you forget your password or you need to unlock your account.

Select Your Security Question

Enter Security Answer

Back Next Cancel

- The CMS Portal User ID must:
- The CMS Portal User ID must:
 - Must be a minimum of 6 characters and contain at least 1 letter;
 - Contain alphanumeric characters;
 - Allowed special characters are limited to hyphens (-), underscores (_), apostrophes ('), and periods (.)
 - The @ symbol is allowed only if the user ID is in a valid email address format (j.doe@abc.edu or 123@abc.com)
 - Cannot contain 9 consecutive numbers;
 - Cannot begin or end with special characters;
 - Cannot contain more than 1 special character;
- Password Requirements:
 - Your password must be changed every 60 days;
 - Password must be a minimum of 8 characters;

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- Password must contain: 1 upper case and 1 lower case letter, 1 number, and 1 special character;
- The following special characters may not be used ? <> () ' " / \ &(space);
- Password cannot contain: Parts of User ID, First Name, Last Name, common passwords;
- Password can only be changed once every 24 hours;
- Password must be different from the last 24 passwords;
- Confirm password:
 - Confirm password;
 - Enter the same password;
- Select your security question:
 - Select a question from the dropdown list of questions;
- Security answer:
 - Can contain alphanumeric characters;
 - Can contain spaces;
 - Must be at least 4 characters;
 - Cannot contain part of the security question;
 - Your security answer will be required in order for you to reset your password or unlock your account;

Step 6: On the “New User Registration Summary” page, review the information and make any necessary changes before submitting. Select the “Submit User” button to submit the information.

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Figure 15: New User Registration Summary Page

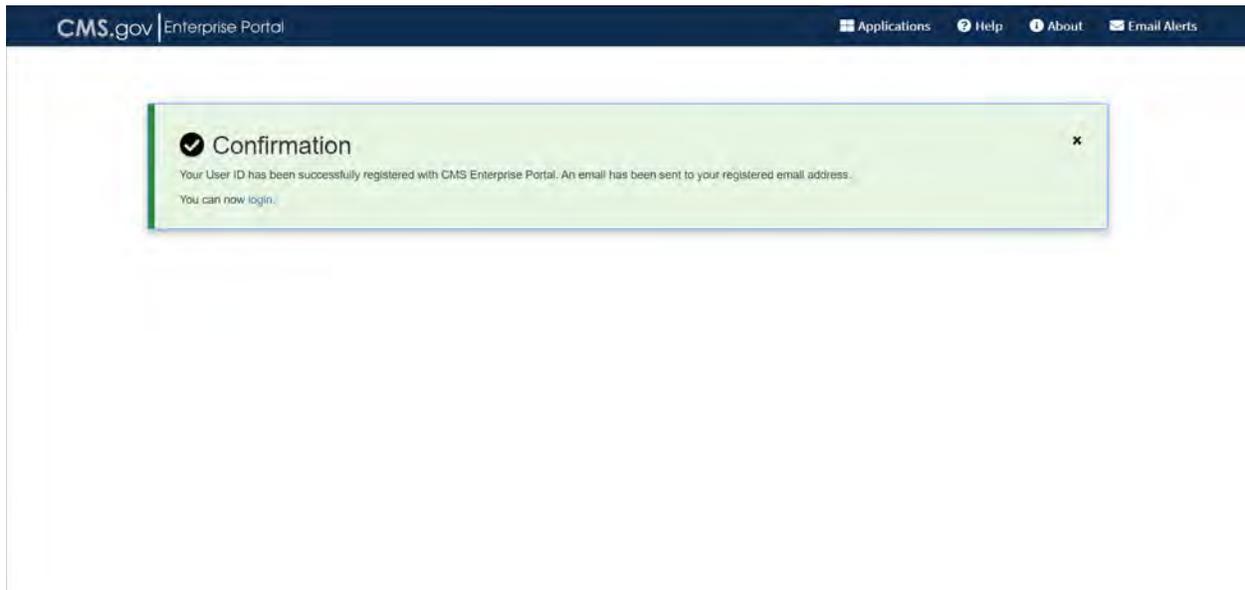
The screenshot displays the 'New User Registration Summary' page on the CMS.gov Enterprise Portal. The page title is 'New User Registration Summary' and it includes a sub-header 'Please review your information and make any necessary changes before submitting.' The form is organized into several sections:

- Open Payments:** A dropdown menu set to 'Open Payments'.
- Personal Information:** Fields for First Name (Karen), Last Name (Miller), and Suffix (optional). There is also a field for 'Enter Middle Name (optional)'. Birth information is provided via dropdowns for Birth Month (April), Birth Date (1), and Birth Year (1976).
- Address:** Home Address Line 1 (7100 Security Blvd) and Home Address Line 2 (optional). City (Baltimore) and State (Maryland) are selected. ZIP Code (21244) and an optional ZIP+4 field are also present.
- Contact Information:** Email Address (karen.miller@yopmail.com) and Phone Number (222-222-2222).
- Confirmation:** A 'Confirm Email Address' field with the same email address (karen.miller@yopmail.com).
- User Identification:** User ID (karenmiller), Password, and Confirm Password fields.
- Security:** A dropdown for 'Select Your Security Question' and a corresponding 'Security Answer' field.

At the bottom of the form, there is a green 'Submit User' button highlighted with a red box, and a 'Cancel' link next to it.

Step 7: A “Confirmation” page is displayed acknowledging successful registration. Select the “CMS.gov Enterprise Portal” link (top left) or the “Login” link (bottom right) to take users to the CMS Enterprise Portal home page. Note that profile configuration can take up to 24 hours. If users are unable to login after that timeframe, contact the Open Payments Help Desk at openpayments@cms.hhs.gov or call 1-855-326-8366. For the TTY line, call 1-844-649-2766.

Figure 16: Confirmation Page



Step 8: Request access to the Open Payments system. Proceed to Section 3.2c for instructions on how to do so.

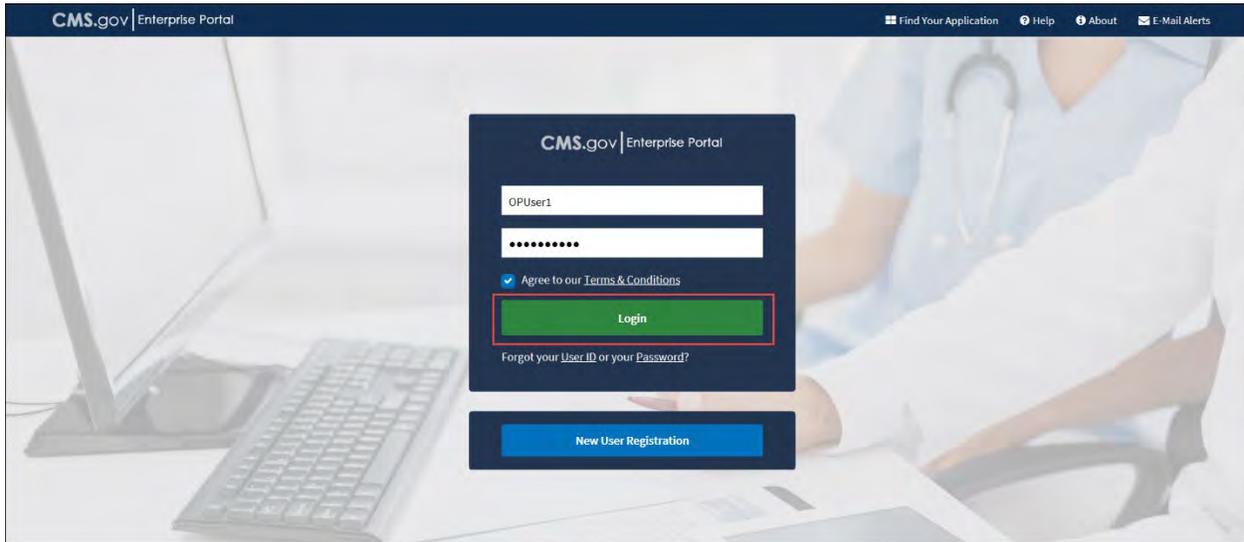
3.2c: Requesting Access to Open Payments with IDM Credentials

Once you have IDM credentials, you can request access to the Open Payments system through the Enterprise Portal at <https://portal.cms.gov>.

Step 1: On the CMS Enterprise Portal home page, enter the CMS User ID and Password and agree to “Terms & Conditions” by selecting the checkbox next to “Agree to our Terms & Conditions” link. Select the “Login” button to login.

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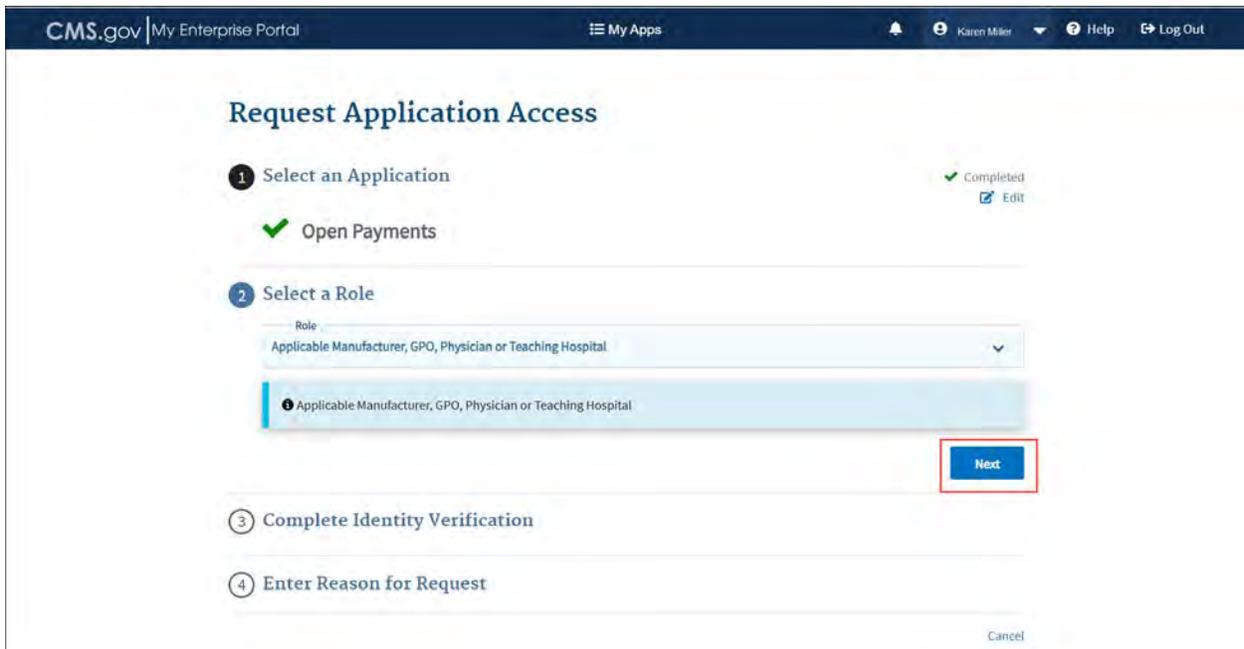
Figure 17: CMS.gov Enterprise Portal Landing Page



Step 2: You will land on the “Request Application Access” page.

Step 3: Select Open Payments from the dropdown and select “Next”. Select "Applicable Manufacturer, GPO, Physician or Teaching Hospital" from the “Select a Role” drop-down menu, then select “Next”.

Figure 18: Request Application Access Page



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Step 4: You will be sent to the “Identity Verification” page. Select “Next”. Agree to the terms and conditions. Select “Launch”. Experian performs a soft credit inquiry to verify your identity. **Identity proofing does not affect your credit score.** Soft credit inquiries are visible only to the user and only appear on credit reports produced by Experian. Credit inquiries are not visible to lenders. If you order a credit report from Experian, you will see an entry of inquiry by the Centers for Medicare & Medicaid Services with CMS’s address and the date of request. If you have questions about Experian’s verification process, please refer to Experian Customer Assistance at <http://www.experian.com/help/>.

Select the “Next” button when you have completed this page. **Pressing the “Cancel” button will cause you to lose all data entered.**

Note: This process is called the **Remote Identity Proofing Process (RIDP)**.

Figure 19: Request New System Access Page

The screenshot displays the 'Request Application Access' page in the CMS.gov My Enterprise Portal. The page features a dark blue header with the CMS.gov logo, 'My Enterprise Portal', 'My Apps', and user information (Karen Miller, Help, Log Out). The main content area is titled 'Request Application Access' and shows a progress bar with four steps:

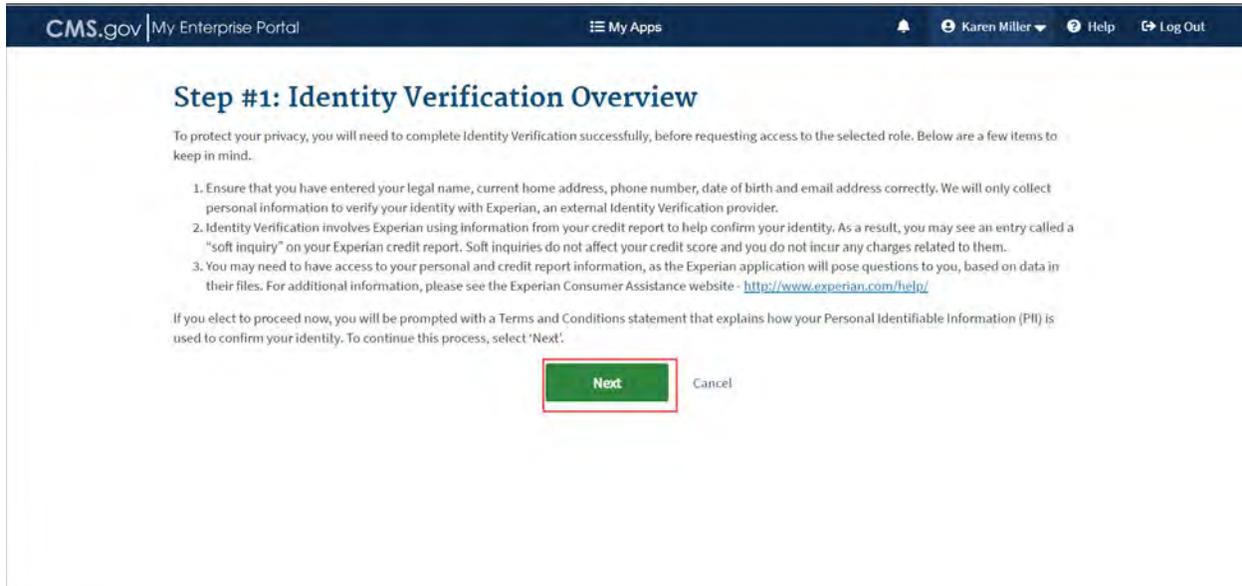
- 1 Select an Application** (Completed): Includes a green checkmark, 'Open Payments', and an 'Edit' button.
- 2 Select a Role** (Completed): Includes a green checkmark, 'Applicable Manufacturer, GPO, Physician or Teaching Hospital', and an 'Edit' button.
- 3 Complete Identity Verification** (Current step): Includes a sub-step '1 Identity Verification' with a text box: 'This role requires an additional level of verification. You will be asked to provide additional information to verify your identity. Please select "Launch" to begin the identity verification process. You will return to the next step below when identity verification is complete.' A blue 'Launch' button is highlighted with a red box.
- 4 Enter Reason for Request** (Upcoming step).

A 'Cancel' button is located at the bottom right of the page.

Step 4a: Read the Identity Verification Overview Step #1 and select “Next”.

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Figure 20: Identify Verification Overview Page



Step 4b: Accept the terms and conditions Step #2 and select “Next”.

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Figure 21: Accepting Terms and Conditions Page

Step #2: Accept Terms & Conditions

OMB No. 0938-1236 | Expiration Date: 04/30/2017 | (OMB Re-Certification Pending) | [Paperwork Reduction Act](#)

Protecting Your Privacy

Protecting your Privacy is a top priority at CMS. We are committed to ensuring the security and confidentiality of the user registering to EIDM. Please read the [CMS Privacy Act Statement](#), which describes how we use the information you provide.

Personal information is described as data that is unique to an individual, such as a name, address, telephone number, Social Security Number, and date of birth (DOB). CMS is very aware of the privacy concerns around PII data. In fact, we share your concerns. We will only collect personal information to verify your identity. Your information will be disclosed to Experian, an external authentication service provider, to help us verify your identity. If collected, we will validate your Social Security Number with Experian only for the purposes of verifying your identity. Experian verifies the information you give us against their records. We may also use your answers to the challenge questions and other PII to later identify you in case you forget or misplace your User ID /Password.

HHS Rules of Behavior

We encourage you to read the [HHS Rules of Behavior](#), which provides the appropriate use of all HHS information technology resources for Department users, including Federal employees, contractors, and other system users.

I have read the HHS Rules of Behavior for Privileged User Accounts (addendum to the HHS Rules of Behavior (HHS RoB), document number HHS-OCIO-2013-0003S and dated July 24, 2013), and understand and agree to comply with its provisions. I understand that violations of the HHS Rules of Behavior for Privileged User Accounts or information security policies and standards may lead to disciplinary action and that these actions may include termination of employment; removal or disbarment from work on federal contracts or projects; revocation of access to federal information, information systems, and/or facilities; criminal penalties; and/or imprisonment. I understand that exceptions to the HHS Rules of Behavior for Privileged User Accounts must be authorized in advance in writing by the OpDiv Chief Information Officer or his/her designee. I also understand that violation of certain laws, such as the Privacy Act of 1974, copyright law, and 18 USC 2071, which the HHS Rules of Behavior for Privileged User Accounts draw upon, can result in monetary fines and/or criminal charges that may result in imprisonment.

Identity Verification

I understand that the identity proofing services being requested are regulated by the Fair Credit Reporting Act and that my explicit consent is required to use these services. I understand that any special procedures established by CMS for identity proofing using Experian have been met and the services requested by CMS to Experian will be used solely to confirm the applicant's identity to avoid fraudulent transactions in the applicant's name.

I agree to the Terms & Conditions

Step 4c: Enter your information and select “Next”.

Figure 22: Enter Your Information Page

The screenshot shows the 'Step #3: Enter Your Information' page. At the top, there is a navigation bar with 'CMS.gov My Enterprise Portal', 'My Apps', and user information 'Karen Miller'. The main heading is 'Step #3: Enter Your Information' with a sub-instruction: 'Enter your legal first name and last name, as it may be required for Identity Verification.' Below this, it states 'All fields are required unless marked 'optional''. The form includes fields for First Name (Karen), Middle Name (optional), Last Name (Miller), and Suffix (optional). There is also a field for Social Security Number (optional) and a date of birth selector (August 16, 1972). A question 'Is Your Address US Based?' has 'Yes' selected. Address fields include Home Address Line 1 (123 Main Street), Home Address Line 2 (optional), City (Baltimore), State (Maryland), ZIP Code (21244), and ZIP+4 Code (optional). A Phone Number field contains '444-444-4444'. Email fields show 'openpayments@yopmail.com' for both Email Address and Confirm Email Address. A checkbox is checked with the text 'Check here if you have read and verified the information above is accurate and complete as required by Identity Verification.' At the bottom, there are 'Back', 'Next' (highlighted with a red box), and 'Cancel' buttons. A 'Top' button is located in the bottom right corner.

Step 5: Enter a justification for being granted the role in the “Reason for Request” field and select “Submit”.

Figure 23: Enter Reason for Request

CMS.gov My Enterprise Portal My Apps Karen Miller Help Log Out

Request Application Access

- 1 Select an Application Completed Edit
✓ Open Payments
- 2 Select a Role Completed Edit
✓ Applicable Manufacturer, GPO, Physician or Teaching Hospital
- 3 Enter Reason for Request
Enter a Reason for Request.
Submit
Cancel

Step 6: You will be shown a confirmation message. Select “Ok”.

Figure 24: Confirmation Page

CMS.gov My Enterprise Portal My Apps Karen Miller Help Log Out

Request Application Access

- 1 Select an Application Completed Edit
✓ Open Payment
- 2 Select a Role Completed Edit
✓ Applicable Ma
- 3 Enter Reason for Request
Reason for Request:
test
Submit
Cancel

Confirmation

Are you sure you want to proceed?

Cancel OK

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Note: If identity verification fails, you must call the Experian Verification Support Services number provided with the on-screen notification; or, contact Experian Proofing Support Services at 1(866)578-5409. You will need to have the incident ID given to you by IDM. If you do not have this ID you will need to repeat the previous steps and retain the incident ID to provide to Experian Proofing Support Services.

If Experian is unable to verify identity over phone, contact the Open Payments Help Desk at openpayments@cms.hhs.gov or call 1-855-326-8366. For the TTY line, call 1-844-649-2766.

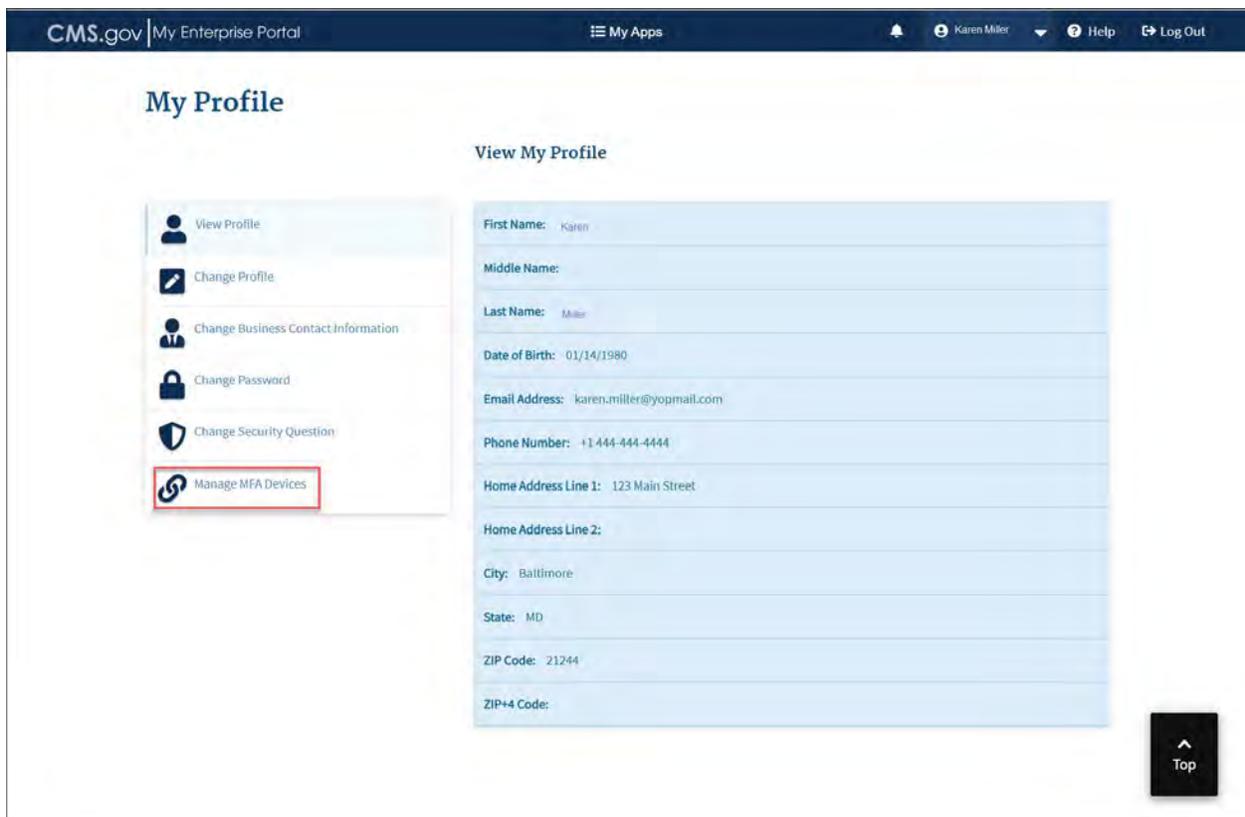
3.2d: Adding Multi-Factor Authentication (MFA) Level Of Assurance (LOA) 3 Users - Optional

Adding an MFA is **not required** to obtain access to the Open Payments system. Users may also register the device(s) at a later time. Note that the steps for adding MFA are applicable for LOA 3 roles only.

To add Multi-Factor Authentication (MFA):

Step 1: Navigate to My Profile and select Manage MFA Devices.

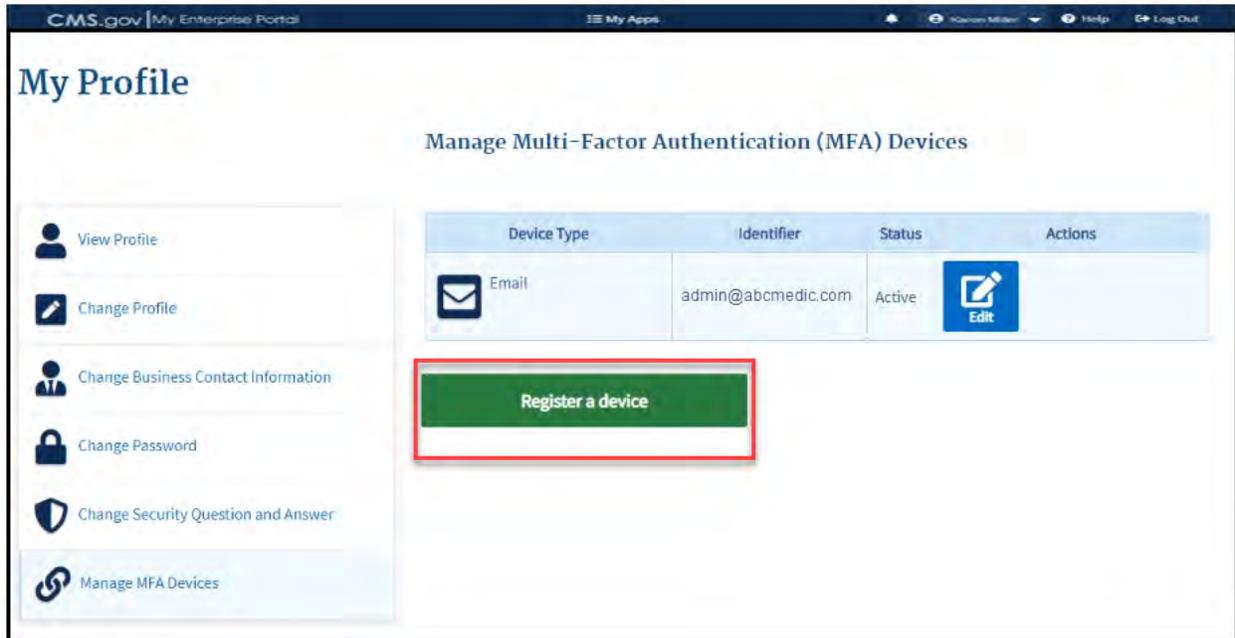
Figure 25: My Profile



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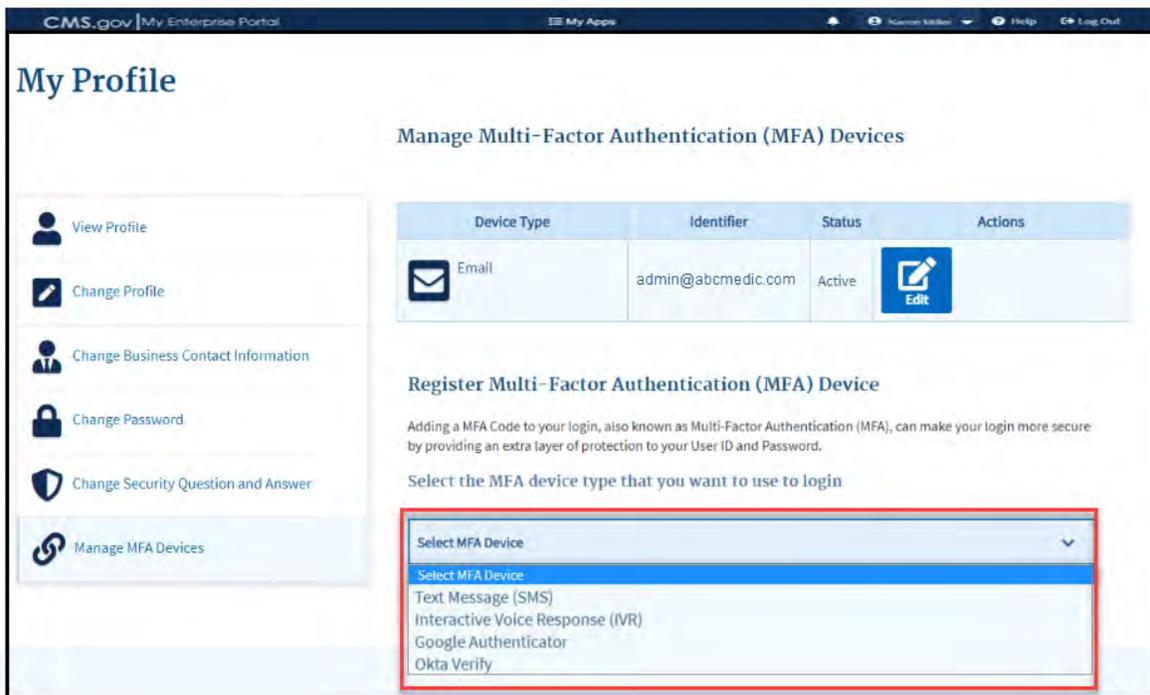
Step 2: Select “Register a Device”.

Figure 26: Manage MFA Device Register a Device Page



Step 3: Select the device you wish to register.

Figure 27: Register MFA Devices



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Step 4: Depending on the device you choose to register, you will be asked to provide information about that device (Phone number). Enter the required information for the device you are registering and select “Send MFA Code”.

Figure 28: Send MFA Code

My Profile

Manage Multi-Factor Authentication (MFA) Devices

Device Type	Identifier	Status	Actions
Email	admin@abcmedic.com	Active	

Register Multi-Factor Authentication (MFA) Device

Adding a MFA Code to your login, also known as Multi-Factor Authentication (MFA), can make your login more secure by providing an extra layer of protection to your User ID and Password.

Select the MFA device type that you want to use to login

Text Message (SMS)

Text Message (SMS)

The SMS option will send your MFA Code directly to your mobile device via a text message. This option requires you to provide a ten (10) digits U.S. phone number for a mobile device that is capable of receiving text messages. Carrier service charges may apply for this option.

Enter Phone Number

Required field.

Send MFA Code Cancel

Step 5: Enter the code sent to your device and select “Add Device” to successfully add your MFA Device.

Figure 29: Enter MFA Device Code

The screenshot shows a form for entering an MFA device code. At the top, a light blue box contains an information icon and the text: "The MFA code has been sent to your MFA device. If you are having trouble, we can resend the MFA code in 30 seconds." Below this is a blue button labeled "Re-send MFA Code". Underneath is a text input field with the placeholder "Enter Code Received" and a red border. Below the input field is the text "Required field." in red. At the bottom, there is a green button labeled "Add Device" and a blue link labeled "Cancel".

Step 6: You have successfully added an MFA device to your IDM account.

Figure 30: Manage MFA Devices Page

The screenshot shows the "Manage Multi-Factor Authentication (MFA) Devices" page. The top navigation bar includes "CMS.gov | My Enterprise Portal", "My Apps", and user information "Karon Miller" with "Help" and "Log Out" links. On the left is a "My Profile" sidebar with options: "View Profile", "Change Profile", "Change Business Contact Information", "Change Password", "Change Security Question", and "Manage MFA Devices". The main content area is titled "Manage Multi-Factor Authentication (MFA) Devices" and contains a table with the following data:

Device Type	Identifier	Status	Actions
Email	karenmiller@yopmail.com	Active	Edit
Text Message (SMS)	+1 555-555-5555	Active	Edit, Remove

Below the table is a green button labeled "Register a device".

Section 3.3: Registering in the Open Payments System

A physician must register him- or herself in the Open Payments system and undergo a vetting process to be fully registered in the Open Payments system. See Section 3.3c for more on physician vetting.

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Physician registration has five steps, as shown in Figure 34 below. Details on these steps are included later in this chapter.

Figure 31: Physician Registration Process



Figures 35 and 36 detail the fields which are required and optional when creating physician and user profiles. It is important to have this information on-hand prior to beginning the registration process.

Figure 32: Required and Optional Fields for Physician Profiles

Fields	Required or Optional?
Practice Name	Optional
Practice Business Address	Required
Physician Primary Type	Required
Physician National Provider Identifier (NPI)**	Optional
Drug Enforcement Administration (DEA) Number**	Optional
Primary Taxonomy Code	Required
License State (at least 1)	Required
License Number (at least 1)	Required

**The National Provider Identifier (NPI) and Drug Enforcement Administration (DEA) Number must be entered if you have them. If you do not have these identifiers, you can still proceed with registration.

Records submitted to Open Payments are associated with physician license numbers and NPI. Therefore, when registering in the Open Payments system, physicians must enter at least one of the state license numbers they hold, as well as their NPI number (if they have one).

Figure 33: Required and Optional Fields for Individual User Profiles

Fields	Required or Optional
First Name	Required
Middle Name	Optional
Last Name	Required
Name Suffix	Optional
Job Title (for Physician)	Optional
Job Title (for Authorized Representative)	Required
Business Address	Required
Business Phone Number	Required
Business Email Address	Required

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Teaching hospitals are pre-populated into the Open Payments system based on the Open Payments Teaching Hospital List, which is maintained by CMS and updated annually. Teaching hospitals do not need to be vetted after registration and are approved immediately in the Open Payments system after registration with a status of “vetted.” Note that no vetting occurs beyond that done to create the annual Teaching Hospital List. CMS makes the current and past Teaching Hospital Lists available on the Resources page of the CMS Open Payments website at <https://www.cms.gov/OpenPayments/About/Resources.html>. Teaching hospitals not on the lists will not have payments or other transfers of value reported about them.

Teaching hospitals must register using the hospital’s “Doing Business As” name rather than its legal name, unless you are registering the teaching hospital for program year 2013. (For directions on registering a teaching hospital for a prior program year, see Section 3.3f.) In the Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.” The address should be the “NPPES Business Address.”

Teaching hospital registration has five steps, as shown in **Figure 37** below. Details on these steps are included later in this chapter.

Figure 34: Teaching Hospital Registration Process



Figures 38 and **39** detail which fields are required and which fields are optional when creating teaching hospital and user profiles. It is important to have this information on-hand prior to beginning the registration process.

Figure 35: Required and Optional Fields for Teaching Hospital Profiles

Fields	Required or Optional?
State Where Teaching Hospital is Located	Required
Teaching Hospital Doing Business As Name	Required
Teaching Hospital Business Address	Required
Taxpayer Identification Number (TIN)	Required

Figure 36: Required and Optional Fields for Individual User Profiles

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Fields	Required or Optional?
First Name	Required
Middle Name	Optional
Last Name	Required
Suffix	Optional
Job Title	Required
Business Address	Required
Business Phone Number	Required
Business Email Address	Required
City Name	Required
State	Required
Zip Code	Required

The list of required information for registration for both physicians and teaching hospitals is also available in the quick reference guide “Required Fields for Registration,” which can be downloaded from the Resources page of the Open Payments website (<https://www.cms.gov/openpayments/About/Resources.html>).

The entire registration process takes approximately 30 minutes and must be completed in a single session. You cannot save entries or complete the profile at a later time. You will be able to edit, manage, or update a profile once it is created. **Note: The system times out after 30 minutes of inactivity and it does not have an auto-save feature. If the system times out, your updates will not be saved.**

Important notes on Open Payments physician and teaching hospital registration:

- If your teaching hospital was registered in a previous year, it does not need to be registered again.
- Registration must be completed in one session.
- Never use the navigation buttons on your browser toolbar. Only use the navigation buttons (i.e., “Back” button) within the Open Payments system itself.
- If you do not know your 10-digit NPI number, you can find it on the NPI Registry, located at <https://npiregistry.cms.hhs.gov/>.
- If you cannot find your DEA number, contact the DEA Office of Diversion Control. Their website is <https://www.deadiversion.usdoj.gov>.

3.3a: Registering as a Physician (First Time System Users)

If you are registering as a physician and are a first-time user in the Open Payments system, follow the steps below.

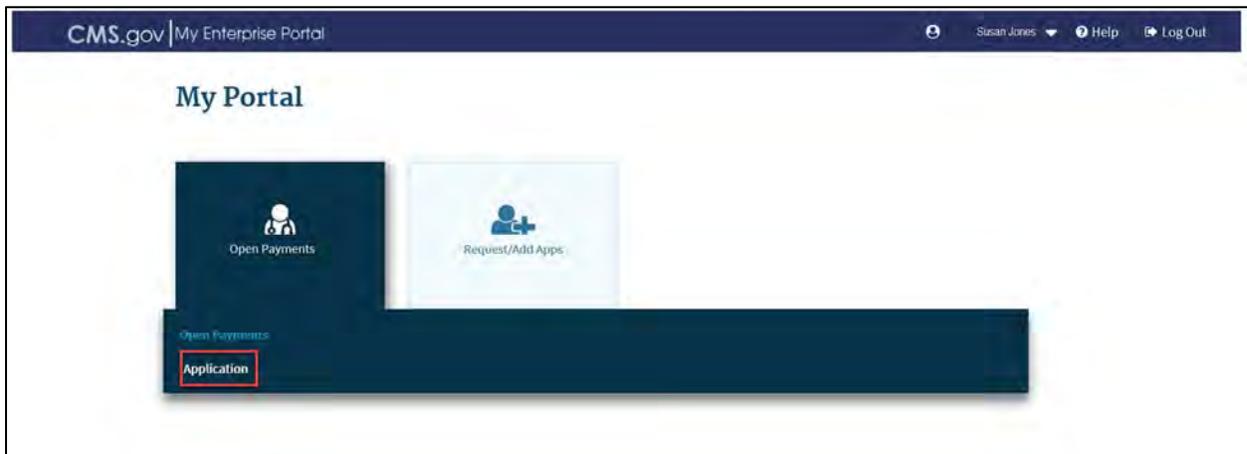
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Note: If you are a physician who works in a teaching hospital and wish to see data related to you in your capacity as a physician, select the “Physician” option when registering. If you are a physician who works in a teaching hospital and wish to represent that teaching hospital as an authorized official or authorized representative, follow the instructions in Section 3.3d for registering a teaching hospital.

Note that if you select the “Teaching Hospital” option when registering, you will only be able to view payments made to the teaching hospital you are associating yourself with. If you wish to see data related to you as a physician, register a second time and select the “Physician” option. You may be registered for both options and can alternate between physician and teaching hospital profiles using the “Switch User” functionality described in Section 3.3g.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the Application link.

Figure 37: Open Payments Home Screen



The on-screen text contains important information regarding the registration process. Read the on-screen text and select “Create My Profile” when you are ready to begin the registration process.

Figure 38: Open Payments Landing Page For First-Time System Users



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Step 2: The on-screen text contains important information regarding creating the physician profile. Read the on-screen text and select the link to the quick reference guide “Required Fields for Registration” for a list of information required during registration. Select “Start Profile” at the bottom of the page when you are ready to continue.

Figure 39: Create My Profile Page

Open Payments (Sunshine Act)

Physician Switch User Type

Create Profile

- 1 Select Profile Type
- 2 Register Entity
- 3 Personal Information
- 4 Review and Submit Profile

Access the Open Payments User Guide [PDF, 28.8 MB]

? Need help with the website? **Contact Us** by email

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Create Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, or physician.

If the applicable manufacturer, applicable GPO, teaching hospital, or physician has not yet been registered in the Open Payments system, you may register it and then yourself. Begin by collecting the information required for applicable manufacturer, applicable GPO, teaching hospital, or physician registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, or physician has already been registered in the Open Payments system and you are not affiliated with it in the Open Payments system, you may:

- Ask a user already affiliated with the applicable manufacturer, applicable GPO, teaching hospital, or physician to nominate you for a user role; or
- Create a personal profile and request a role with the applicable manufacturer, applicable GPO, or teaching hospital. Note that users who wish to associate themselves with physicians must be nominated by the physician.

Required Information

Fields for required information are marked with an asterisk.

It is important that you have all required information available when you begin, as registration must be completed in one session. Also, a registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.

A list of required information is available in the ["Required Information for Registration" quick reference guide \[PDF, 240 KB\]](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Physician or a Teaching Hospital

If you are a physician affiliated with a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

When you are ready to begin registration, select "Start Profile."

Cancel Start Profile

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Step 3: Select the profile type “Physician” and select the “Continue” button.

Figure 40: Select Profile Type Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Create Profile

- 1 **Select Profile Type**
- 2 **Register Entity**
- 3 **Personal Information**
- 4 **Review and Submit Profile**

Access the Open Payments User Guide [PDF, 28.8 MB]

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Select Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or a registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating below whether you are a physician or are affiliated with an applicable manufacturer or applicable GPO, teaching hospital, or physician.

Note: If you are a physician who is affiliated with a teaching hospital and wish to view payments made directly to you, you should register yourself as a physician and not as a teaching hospital. Registering as a teaching hospital user will allow you to view only records submitted to the teaching hospital.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

***Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID" link.**

- Applicable manufacturer or applicable group purchasing organization (GPO)
- Physician
- Teaching hospital

----- OR -----

[I have a Nomination ID and/or a Registration ID](#)

Cancel **Continue**

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Step 4: Enter your personal information. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all of the required fields have been entered. You may register with foreign address by selecting “Country” field drop down value other than “United States”.

If you are registered with the National Plan and Provider Enumeration System (NPPES), ensure that you enter your name exactly as it is in NPPES.

When done, select the “Continue” button.

Note: Selecting the “Cancel” button will cause you to lose all data entered.

Figure 41: Physician Personal Information Page

Open Payments (Sunshine Act)
Physician Switch User Type

Create Profile

- Select Profile Type
- Personal Information**
- Review and Submit Profile

Access the Open Payments User Guide [PDF]

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Review the Open Payments Privacy Policy [PDF]

Physician: Personal Information

A field with an asterisk (*) is required.

Provide personal and business information below to create the physician profile.

The first name and last name fields have been prepopulated from your CMS Enterprise Identity Management system (EIDM) profile. You may edit these fields as needed. However, changing this information in Open Payments will not change the corresponding information in your EIDM profile.

This information must match exactly as referenced in CMS sources such as the National Plan & Provider Enumeration System (NPPES) for your registration to be successful.

Note that once a physician profile has been successfully vetted, the fields prepopulated by EIDM data will no longer be editable. If you need to modify these fields after they have become non-editable, contact the Open Payments Help Desk openpayments@cms.hhs.gov for assistance.

Your Name

*First Name: Karen Middle Name:

*Last Name: Miller Suffix (Jr., Sr., etc.):

Business Information

Enter your business information below. If you have multiple practice addresses, enter the primary business address.

*Business Email Address: openpayments@yopmail.com

Physician Practice Name:

Physician Practice Business Address

*Country: United States

*Practice Business Address, Line 1: 7500 Security Blvd

Practice Business Address, Line 2:

*City Name: Baltimore *State: Maryland

*Zip Code: 21244 9999 *Business Telephone Number: 555-555-5555

Cancel Back Continue

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Step 5: Enter your physician details. All required fields will be marked with an asterisk (*).

The National Provider Identifier (NPI) and Drug Enforcement Administration (DEA) Number fields are not marked as required, however, they must be entered if you have them. If you do not have these identifiers, you can still proceed with registration.

Note the following:

- The ZIP Code entered by the physician is validated to ensure it is a valid United States ZIP code and that it is within the “State” entered.
- If the physician has a National Provider Identifier (NPI), enter it exactly as listed in NPPES for the current calendar year.
- Enter at least one active state license.
- For each license or taxonomy code entered, select the “Add” button to save the information to the profile. Without selecting the “Add” button, the information will not be saved. Physicians can register using up to 6 Taxonomy Codes. To update or replace an existing Taxonomy select the “Edit” or the “Delete” button.

Refer to the taxonomy code list on the Resources page of the Open Payments website (<https://www.cms.gov/OpenPayments/About/Resources.html>). Taxonomy codes not on the taxonomy code list should not be entered. As you begin entering information into the Taxonomy Code field, the system will provide a list of codes to help guide your entry. If your taxonomy code is not available, select the code that most closely represents your specialty. Select “Add” after entering each taxonomy code to add it to your profile.

To enter license information, select the state in which the license is held and enter the license number. Select the “Add” button to add the license. The license number field may contain up to 25 characters, including special characters such as hyphens (-). You are required to enter at least one license. If you input data into the field and select the “Continue” button before selecting the “Add” button, the system will throw an error message. If you have licenses in more than one state, enter in the first state and license number and then select “Add.” Repeat until all of your state licenses have been added. You can enter up to 52 licenses. When you are finished, select the “Continue” button.

Figure 42: Physician Details Page

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

Create Profile

- Select Profile Type
- Personal Information
- Physician Details**
Authorized Representative
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 28.8 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Physician: Physician Details

A field with an asterisk (*) is required.

Enter the required physician information below.

You must provide your National Provider Identifier (NPI) if you have one.

Physician Identification

*Physician Primary Type:

National Provider Identification (NPI):
This is a 10-digit number

Drug Enforcement Administration (DEA) Number:
This is a 9-digit alphanumeric ID

Physician Taxonomy Codes

Physician Taxonomy Code is a lookup field. Start typing a taxonomy code and the system will display a list of taxonomy codes that begin with the characters you have entered. Select the most accurate code and select the "Add" button. This will save the entered code and allow you to enter another taxonomy code if necessary. Repeat until all of your taxonomy codes are entered, up to six. Any previously entered taxonomy codes may be edited or deleted by selecting the "Edit" or "Delete" buttons. At least one taxonomy code must be selected to continue the registration.

Refer to the Taxonomy/Specialty Lookup Document available on the [Resources page of the Open Payments website](#) for the complete list of taxonomy codes that can be entered. Taxonomy codes not in this list cannot be entered into the system. If an exact match cannot be found, choose the taxonomy code that best identifies you as a health care provider.

Physician Taxonomy Code:	Actions:
207Q00000X	<input type="button" value="Edit"/>

State Licensing Information

To enter your license information, select the state and enter the license number in the fields below. Select the "Add" button to save the information to the profile. This will allow you to enter another license if necessary. Enter each state and license number separately until all licenses have been added. You must add at least 1 license number and may add up to 52. You may edit or delete any previously entered license information by selecting the "Edit" or "Delete" buttons.

License State:	License Number:	Actions:
Maryland	12345	<input type="button" value="Edit"/>

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Step 6: At this point in registration, you may nominate an individual to serve as your authorized representative within the Open Payments system. By default, “Designate an Authorized Representative” will be selected. **A physician cannot be his or her own authorized representative.**

To designate an authorized representative, enter the required information. You will also be asked to select the access level for the authorized representative. Authorized representative access levels are explained in depth in Section 3.4a. The access levels are also summarized on the page. When you are finished, select the “Continue” button.

You do not need to designate an authorized representative during initial registration and may do so at a later time. If you choose not to designate an authorized representative at this time, select “Not Now” and then select the “Continue” button. For instructions on how to nominate an authorized representative after registration is complete, see Section 3.5a.

Figure 43: Nominate a Physician Authorized Representative Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ② Personal Information
- ③ **Physician Details**
 - Authorized Representative**
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 28.8 MB]

? Need help with the website? **Contact Us** by email

🔒 **Review** the Open Payments Privacy Policy [PDF, 102 KB]

Physician: Authorized Representative

A field with an asterisk (*) is required.

A physician may designate an authorized representative to take certain actions on his or her behalf in the Open Payments system. This person can be another physician, an office manager, a practice manager, or any other person the physician wants to designate.

The nominated authorized representative will receive an email stating that he or she has been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. If the nominee does not accept the role, the physician may nominate another person. The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days.

Nominate the Physician Authorized Representative in the Open Payments System

You can nominate an individual now by providing the nominee’s information below or later via the physician profile page in the Open Payments system.

Note: physician authorized representative nominees must register in the CMS Enterprise Identity Management (EIDM) system for login credentials before he or she can log into Open Payments and take action on the nomination. If the nominee accepts the role, he or she will then be prompted to create an individual profile in the Open Payments system.

***Designate an authorized representative?**

Not now

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Step 7: Review the information entered. Select the “Back” button at the bottom of the page to go back and edit any information. Once you have reviewed the information and determined it to be correct, select “Submit.”

Figure 44: Review and Submit Profile Page

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Personal Information
- Physician Details
- Authorized Representative
- Review and Submit Profile**

Review and Submit Profile

Review the information on this page to ensure it is correct. Select “Back” to navigate to the previous pages to correct any invalid information. Select “Submit Profile” to submit your profile.

Personal Information

Name: Karen Miller
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 777-777-7777
Physician Practice Name:
Physician Practice Business Address: 7500 Security Blvd
Baltimore, Maryland 21244

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 1111111111
Drug Enforcement Administration (DEA) Number:
Taxonomy Codes:
2084H0002X - Allopathic & Osteopathic Physicians|Psychiatry & Neurology|Hospice and Palliative Medicine
State Licenses: Maryland - 12345

[Cancel](#) [Back](#) [Submit](#)

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The following message will appear on-screen to confirm your profile has been successfully created. If you have chosen to delegate someone to be an authorized representative, an email notification with a nomination ID will be sent to that individual.

Figure 45: Success Confirmed Page

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Physician Information
- ✓ Authorized Representative
- ✓ Review and Submit Profile

Success Confirmed

You have successfully created a physician profile for Karen Miller.

You may now:

- Go to [Open Payments Home](#)
- Refer to the [Open Payments User Guide \[PDF, 28.8 MB\]](#) for further information

Note: You will not be able to take any actions in the Open Payments system related to this profile until your identity as a physician is successfully vetted. This vetting process should be completed within 24 hours.

If you are not successfully vetted, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by telephone at 855-326-8366. More information on vetting is available in the [Open Payments User Guide \[PDF, 28.8 MB\]](#).

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

-  **Access** the Open Payments User Guide [PDF, 28.8 MB]
-  Need help with the website? **Contact Us** by email
-  **Review** the Open Payments Privacy Policy [PDF, 102 KB]

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3.3b: Registering as a Physician for a User with another Role (Returning System Users)

If you are registering a physician and you are already affiliated with a reporting entity or teaching hospital in the Open Payments system, follow the steps below.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the “Switch User Type” link above the menu bar on the Open Payments home page.

Figure 46: Open Payments System Landing Page for Returning System User

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home **Review and Dispute** **Manage Teaching Hospitals** **My Profile** **Utilities** **Messages** **Resources**

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

- Access** the Open Payments User Guide [PDF]
- Need help with the website? Contact Us** by email
- Review** the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: On the “Open Payments Registration” page, under the heading “Select a User Type” select the “Physician” radio button. Select the “Switch” button.

Note: Changes made here will not automatically update user’s profile information in his/her other CMS accounts.

Figure 47: Select User Type Page

Open Payments

Select a User Type

You have roles for more than one user type. Please select profile type _____

- Applicable Manufacturer or Group Purchasing Organization
- Physician**
- Teaching Hospital

Step 3: Select the “Create My Profile” button to create the Physician profile. Follow the steps in Section 3.3a to complete Physician registration.

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Figure 48: Open Payments System Landing Page for First-Time System Users

Open Payments (Sunshine Act)
Physician Switch User Type

Welcome to the Open Payments System
Our records indicate that you have not yet registered in the Open Payments system. Before you can perform any actions in the system, you must first create your profile and complete the registration process.

Create My Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Getting Started

Open Payments (the Sunshine Act) is a national disclosure program that promotes transparency by publishing the financial relationships between applicable manufacturers and applicable group purchasing organizations (GPOs) and healthcare providers (physicians and teaching hospitals) on a publicly accessible website. The Open Payments system enables the annual reporting of these relationships to CMS.

Register in the Open Payments System

To perform actions in the system, you must register and associate yourself with an applicable manufacturer or applicable GPO, physician, or teaching hospital.

Applicable manufacturers and applicable group purchasing organizations (i.e., "reporting entities")

If the reporting entity has not yet been registered in the Open Payments system, it must be registered by an individual holding a high role within the entity, such as Chief Executive Officer, Chief Financial Officer, Chief Compliance Officer, or equivalent. If you register a reporting entity, you will also register yourself in the system during the registration process.

If the entity already has been registered in Open Payments, you may nominate yourself for a user role for the entity. Your self-nomination must be approved by an officer of the reporting entity before you can perform actions associated with the requested role.

If you received an email from Open Payments notifying you that you have been nominated for a user role with a reporting entity, you can proceed to register yourself and accept or reject the role.

Physicians

Physicians do not have to register in the Open Payments system. However, registration is the only means by which a physician can review records associated with them in the Open Payments system prior to their publication.

If you are an office manager or other individual who wants to be a physician's authorized representative, contact the physician and ask him or her to nominate you for that role. Once the physician nominates you, you will receive an email with instructions for accepting or rejecting the role.

Teaching hospitals (only those identified on the CMS-approved list)

Teaching hospitals do not have to register in the Open Payments system. However, registration is the only means by which individuals associated with a teaching hospital can review records associated with that teaching hospital in the Open Payments system prior to the records' publication.

A complete list of teaching hospitals by program year can be found on the [CMS Open Payments website](#). You may register a teaching hospital for the current program year or, if the hospital cannot be found in the current program year list, you may register it for a previous program year.

If the teaching hospital has not yet been registered in the Open Payments system, you may register the teaching hospital and create your individual user profile if you have not already done so.

If the teaching hospital has been registered in the Open Payments system, you can nominate yourself to fulfill a role for a teaching hospital. All self-nominations must be approved by an authorized official of the teaching hospital before the user can perform actions associated with their user role.

To begin the registration process, select the "Create My Profile" button.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

3.3c: Physician Vetting

Vetting is the process of verifying a physician's identity. This is done to ensure that the physician is a valid covered recipient and to aid in the matching of submitted payments to the corresponding physicians. All physicians registering in the Open Payments system will be vetted. Vetting occurs once the physician has submitted his or her profile to the Open Payments system. **Physician registration is not considered complete until the vetting process has been successfully completed.**

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The vetting process will typically require little action from the user in order to complete it. Once the physician submits the physician user profile, the vetting process begins. The process will attempt to vet the physician using the information provided in the physician profile, so it is important for the physician to provide as much information as possible to aid in the vetting process. Particularly important are the physician's first and last name, NPI (if physician has an NPI), state license information, and primary type. The Open Payments system will match physician information against multiple data sources. Note: If the physician has an NPI but neglects to provide it they will not be able to successfully complete vetting.

If the vetting fails, the physician will have the opportunity to correct the information in his or her profile and resubmit the profile for vetting. The physician may update his or her profile as many times as necessary to successfully complete vetting.

If the physician does not successfully pass vetting despite all of the information provided in the physician profile being correct to the best of his or her knowledge, or the physician requires assistance with successfully vetting their profile, contact the Open Payments Help Desk (openpayments@cms.hhs.gov) or call 1-855-326-8366. **For the TTY line, call 1-844-649-2766.**

The automatic vetting process normally takes less than 24 hours, though it could take longer depending upon the completeness of the information provided by the user and the number of physicians undergoing vetting at the same time.

Once vetting is successful, you will not be able to change the name or NPI referenced in your profile. If edits to either of these fields is required after successful vetting, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance. CMS encourages physicians to register and begin the vetting process as early as possible to allow sufficient time for vetting to be completed.

Note: If a physician profile is modified, the profile will undergo vetting again.

3.3d: Registering a Teaching Hospital (First Time System User)

If you are a first-time user registering a teaching hospital in the Open Payments system, follow the steps below. By registering a teaching hospital, you must take the role of authorized official for the teaching hospital in the Open Payments system. See Section 3.4b for information on user roles for teaching hospitals.

Note that a physician who is employed by a teaching hospital should not register him- or herself with the option "Teaching Hospital" unless he or she will represent the teaching hospital regarding the data reported by applicable manufacturers and applicable GPOs. Physicians who select the "Teaching Hospital" option when registering will only be able to view payments made to the teaching hospital they are affiliated with. If you wish to see data related to you as a physician, register a second time and select the "Physician" option. Users may be registered for both options.

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Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. The on-screen text contains important information regarding the registration process. Read the on-screen text and select “Create My Profile” when you are ready to begin the registration process.

Figure 49: Open Payments System Landing Page for First-Time System Users Top Page View



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Step 2: The on-screen text contains important information regarding creating the individual profile. Read the on-screen text and select the link to the quick reference guide “Required Fields for Registration” for a list of information required during registration. Select “Start Profile” at the bottom of the page when you are ready to continue.

Figure 50: Create My Profile Page

Open Payments (Sunshine Act)

Create Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, or physician.

If the applicable manufacturer, applicable GPO, teaching hospital, or physician has not yet been registered in the Open Payments system, you may register it and then yourself. Begin by collecting the information required for applicable manufacturer, applicable GPO, teaching hospital, or physician registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, or physician has already been registered in the Open Payments system and you are not affiliated with it in the Open Payments system, you may:

- Ask a user already affiliated with the applicable manufacturer, applicable GPO, teaching hospital, or physician to nominate you for a user role; or
- Create a personal profile and request a role with the applicable manufacturer, applicable GPO, or teaching hospital. Note that users who wish to associate themselves with physicians must be nominated by the physician.

Required Information

Fields for required information are marked with an asterisk.

It is important that you have all required information available when you begin, as registration must be completed in one session. Also, a registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.

A list of required information is available in the "[Required Information for Registration](#)" quick reference guide [PDF, 136 KB]. This QRG is also available on the [Resources page](#) of the Open Payments website.

Registering as a Physician or a Teaching Hospital

If you are a physician affiliated with a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

When you are ready to begin registration, select "Start Profile."

[Cancel](#) [Start Profile](#)

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Step 3: Select the profile type, when finished select the “Continue” button.

Figure 51: Select Profile Type Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Register Entity
- Personal Information
- Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Select Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or a registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating below whether you are a physician or are affiliated with an applicable manufacturer or applicable GPO, teaching hospital, or physician.

Note: If you are a physician who is affiliated with a teaching hospital and wish to view payments made directly to you, you should register yourself as a physician and not as a teaching hospital. Registering as a teaching hospital user will allow you to view only records submitted to the teaching hospital.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

***Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID" link.**

Applicable manufacturer or applicable group purchasing organization (GPO)

Physician

Teaching hospital

----- OR -----

[I have a Nomination ID and/or a Registration ID](#)

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Step 4: Search for the teaching hospital by selecting the appropriate state, teaching hospital legal name, teaching hospital business address, and Taxpayer Identification Number (TIN) from the drop-downs and select “Search.”

If your teaching hospital is not found, select “Register for Prior Program Year” to search for your teaching hospital in a previous program year. If you can find your teaching hospital only in a prior program year, you may select the teaching hospital and proceed with registration. For instructions on registering a teaching hospital for a prior program year, see Section 3.3f.

If your teaching hospital cannot be found in any program year, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

The name of the hospital selected during registration must be the hospital’s “Doing Business As” name. In the CMS Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.” (Note that this differs if you register the teaching hospital for Program Year 2013 only. Refer to Section 3.3f for detailed information on registering a teaching hospital for a prior program year.)

The hospital address selected must be the address listed in the CMS Teaching Hospital List as the hospital’s “NPPES Business Address.”

Teaching hospitals are pre-populated into the Open Payments system based on the Open Payments Teaching Hospital List. Teaching hospitals not on this list or prior program year lists will not have payments or other transfers of value reported about them.

Figure 52: Teaching Hospital Search Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

***State Where Teaching Hospital is Located:**
Maryland

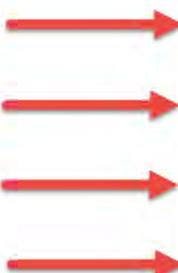
***Teaching Hospital Doing Business As Name:**
ABCDE Teaching Hospital

***Taxpayer Identification Number (TIN):**
1234567890

***Teaching Hospital Business Address:**
7500 Security Blvd., Baltimore MD

Search

Cancel **Back**



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Step 5: Review the information displayed on the page. Select the “Continue” button if the information displayed is the teaching hospital you wish to register. If this is not the correct teaching hospital, select the “Back” button at the bottom of the page to return to the previous page and re-enter the information.

Figure 53: Teaching Hospital Search Page Search Results

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the “Search” button. If the teaching hospital is not listed in the search results, select the “Register for Prior Program Year” link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located:
Maryland

*Teaching Hospital Doing Business As Name:
ABCDE Teaching Hospital

*Taxpayer Identification Number (TIN):
1234567890

*Teaching Hospital Business Address:
7500 Security Blvd., Baltimore, MD

[Search](#)

Teaching Hospital Search Results

This teaching hospital is not yet registered in the Open Payments system:

ABCDE Teaching Hospital
7500 Security Blvd.,
Baltimore, MD 21244
TIN: 1234567890

To begin registering a teaching hospital, select the “Continue” button.

[Cancel](#) [Back](#) [Continue](#)

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Step 6: Review the information displayed on the page. When complete, select the “Continue” button.

Figure 54: Register Teaching Hospital Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
- Register Teaching Hospital**
- Your Role
- Nominate Roles
- ③ Personal Information
- ④ Review and Submit Profile

Teaching Hospital: Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to register. To proceed with registering this teaching hospital, select the “Continue” button. To change your search criteria and find a different teaching hospital, select the “Back” button.

ABCDE Teaching Hospital

Business Address: 7500 Security Blvd., Baltimore, MD 21244	Taxpayer Identification Number (TIN): 1234567890
---	--

[Cancel](#) [Back](#) [Continue](#)

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

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Step 7: Review the information generated by the system related to your role. You must select the role of “Authorized Official” for yourself to proceed with registration. Information on teaching hospital user roles is available in Section 3.4b.

First name, last name, email address, and business phone will be pre-populated with information from your IDM profile. Edit these fields as necessary. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all of the required fields have been entered. When complete, select the “Continue” button.

Figure 55: Teaching Hospital Your Role Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital
 - Your Role**
 - Nominate Roles
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Your Role

A field with an asterisk (*) is required.

To register a teaching hospital, you must take the role of authorized official with the teaching hospital. Enter your personal information below to create your profile and take the role of authorized official.

Authorized officials are responsible for registering the teaching hospital in the Open Payments system. Authorized officials may nominate other users, or modify existing user roles. They are also responsible for approving/denying nominations made by others, and approving/denying requests for user roles made by others.

Your Role-Related Information

Enter your personal information below.

*First Name: Karen	Middle Name:
*Last Name: Miller	Suffix (Jr., Sr., etc.):
*Email Address: openpayments@yopmail.com	*Business Phone: 999-999-9999 555-555-5555

Cancel Back **Continue**

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Step 8: You may choose to nominate additional users to fill the authorized official or authorized representative roles. To do so, select “Add” to nominate any additional individual(s). If you choose not to add nominees at this time, select the “Continue” button without adding any nominee information and proceed to Step 9.

Figure 56: Teaching Hospital Nominate Roles Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital
 - Your Role
 - Nominate Roles**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	555-555-5555	(YOU)

Add **Cancel** **Back** **Continue**

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Step 8a: If you selected “Add,” enter the required information for one individual and select either “Authorized Official” or “Authorized Representative” to nominate the individual for the selected role. Information on teaching hospital user roles is available in Section 3.4b. When you have entered the information, select “Add.” This will add the nominee information to the teaching hospital’s profile, as well as open another set of information fields to enter an additional nominee.

Repeat the process until you have entered all of the individuals you wish to nominate. A teaching hospital may have up to 10 unique active users, 5 of whom can hold the role of authorized official. When you have added all of the individuals you wish to nominate, select “Continue.”

Each nominated individual will receive an email notifying them of their nomination. The nominee must confirm or reject the role within 10 calendar days.

You do not have to nominate additional individuals during initial registration. You can nominate individuals later by updating your teaching hospital profile within the “Manage Teaching Hospitals” tab.

Figure 57: Teaching Hospital Nominate Roles Page Add Nominee

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- 2** Teaching Hospital: Search
 - Register Teaching Hospital Your Role
 - Nominate Roles**
 - Personal Information
 - Review and Submit Profile
- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	555-555-5555	(YOU)

Nominee Information

*First Name: Middle Name:

*Last Name: Suffix (Jr., Sr., etc.):

*Email Address: *Business Phone Number:
555-555-5555

*Job Title:

*Indicate the role this person will have:

Authorized Official: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.

Authorized Representative: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address:

*Business Address, Line 1:

Business Address, Line 2:

*City Name: *State: *Zip Code:
21244

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If you entered any nominees, you will see the nominee information displayed on the page. Confirm the information and when complete select the “Continue” button.

Figure 58: Teaching Hospital Nominate Roles Page With Added Nominees

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Register Teaching Hospital
- Your Role
- Nominate Roles**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

Confirmation:

- Your nominee has been successfully added.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	555-555-5555	(YOU)
Authorized Official	Tom Smith	openpayments1@yopmail.com	555-555-5555	Edit Delete

[Add](#) [Cancel](#) [Back](#) [Continue](#)

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Step 9: Enter your personal information. When finished, select the “Continue” button.

Figure 59: Personal Information Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Personal Information**
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name:	*Last Name:
<input type="text" value="Karen"/>	<input type="text" value="Miller"/>
Middle Name:	Suffix (Jr., Sr., etc.):
<input type="text"/>	<input type="text"/>

Business Address

*Job Title:		
<input type="text" value="Director"/>		
*Business Email Address:	*Business Telephone Number:	
<input type="text" value="openpayments@yopmail.com"/>	<input type="text" value="999-999-9999"/>	
*Business Address, Line 1:		
<input type="text" value="7500 Security Blvd."/>		
Business Address, Line 2:		
<input type="text"/>		
*City Name:	*State:	*Zip Code:
<input type="text" value="Baltimore"/>	<input type="text" value="Maryland"/>	<input type="text" value="99999"/> <input type="text" value="9999"/>
<input type="text" value="21244"/>	<input type="text"/>	<input type="text"/>

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The following message will appear on-screen to confirm your profile has been successfully created. You will have immediate access to the teaching hospital data. If you nominated additional individuals for user roles, an email notification is sent to the nominees that will instruct them on their next steps.

Figure 61: Success Confirmed Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch](#) [User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ✓ Personal Information
- ✓ Review and Submit Profile

Success Confirmed

You have successfully submitted Maryland General Hospital profile information

You may now:

- Go to [Open Payments Home](#)

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

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3.3e: Registering a Teaching Hospital (Returning System Users)

If you are a returning user registering an additional teaching hospital in the Open Payments system, follow the steps below.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the “Manage Teaching Hospitals” tab.

Figure 62: Open Payments Landing Page For Returning System Users

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

Access the Open Payments User Guide [PDF]

? Need help with the website? **Contact Us** by email

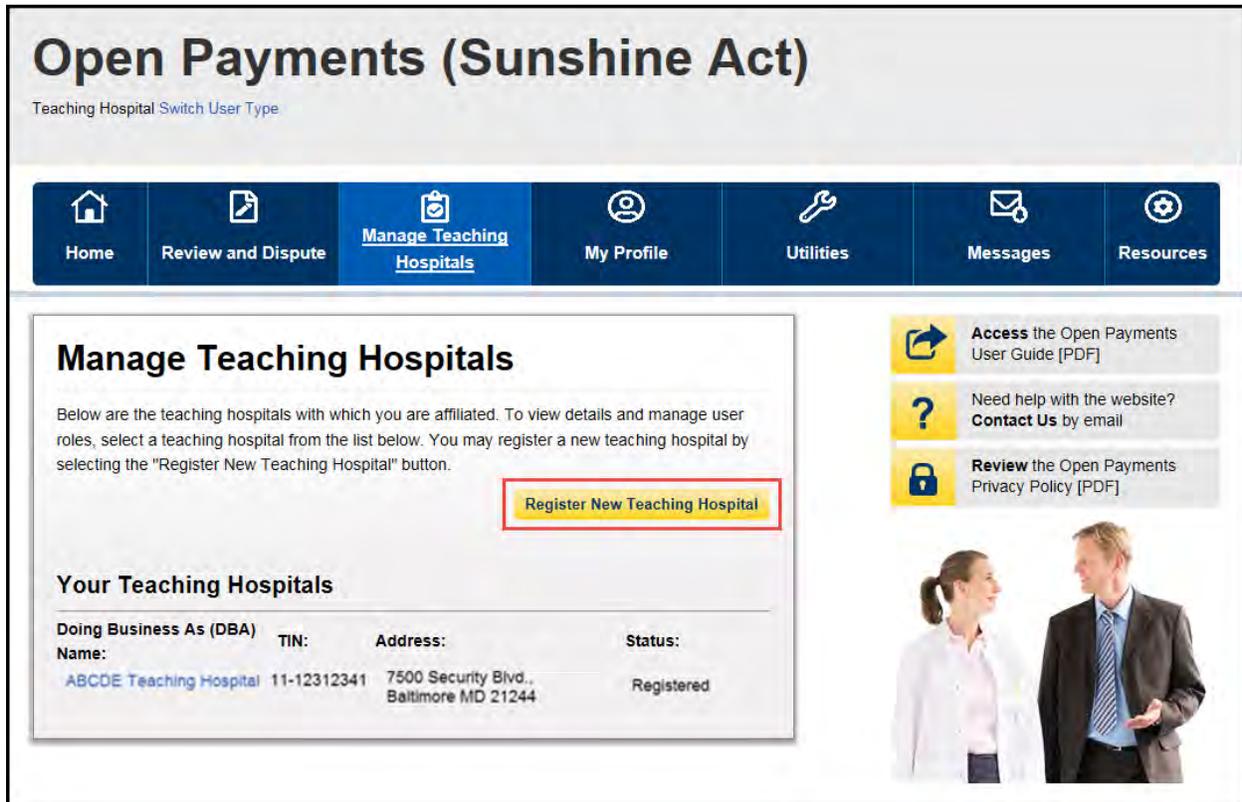
Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: From the “Manage Teaching Hospitals” tab, select, “Register New Teaching Hospital.”

Figure 63: Manage Teaching Hospitals Page



Step 3: Search for the teaching hospital by selecting the appropriate state, teaching hospital name, teaching hospital business address, and Taxpayer Identification Number (TIN) from the drop-downs and select “Search.”

If your teaching hospital is not found, select “Register for Prior Program Year” to search for your teaching hospital in a previous program year. If you can find your teaching hospital only in a prior program year, you may select the teaching hospital and proceed with registration. For instructions on registering a teaching hospital for a prior program year, see Section 3.3f.

If your teaching hospital cannot be found in any program year, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

The name of the hospital selected during registration must be the hospital’s “Doing Business As” name. In the CMS Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.” (Note that this differs if you register the teaching hospital for Program Year 2013 only. Refer to Section 3.3f for detailed information on registering a teaching hospital for a prior program year.)

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The hospital address selected must be the address listed in the CMS Teaching Hospital List as the hospital's "NPPES Business Address."

Teaching hospitals are pre-populated into the Open Payments system based on the 2015 Open Payments Teaching Hospital List. Teaching hospitals not on this list or prior program year lists will not have payments or other transfers of value reported about them.

Figure 64: Teaching Hospital Search Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located: Maryland

*Teaching Hospital Doing Business As Name: ABCDE Teaching Hospital

*Taxpayer Identification Number (TIN): 112312312

*Teaching Hospital Business Address: 7500 Security Blvd., Baltimore MD 21244

Search

Cancel Back

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Step 4: Review the information displayed on the page, and select the “Continue” button if the information displayed is the teaching hospital you wish to register. If this is not the correct teaching hospital, select the “Back” button at the bottom of the page to return to the previous page and re-enter the information.

Figure 65: Teaching Hospital Search Page Results

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the “Search” button. If the teaching hospital is not listed in the search results, select the “Register for Prior Program Year” link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page](#) of the Open Payments website. The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located:
Maryland

*Teaching Hospital Doing Business As Name:
ABCDE Medical Hospital

*Taxpayer Identification Number (TIN):
112312312

*Teaching Hospital Business Address:
7500 Security Blvd., Baltimore MD 21244

[Search](#)

Teaching Hospital Search Results

This teaching hospital is not yet registered in the Open Payments system:

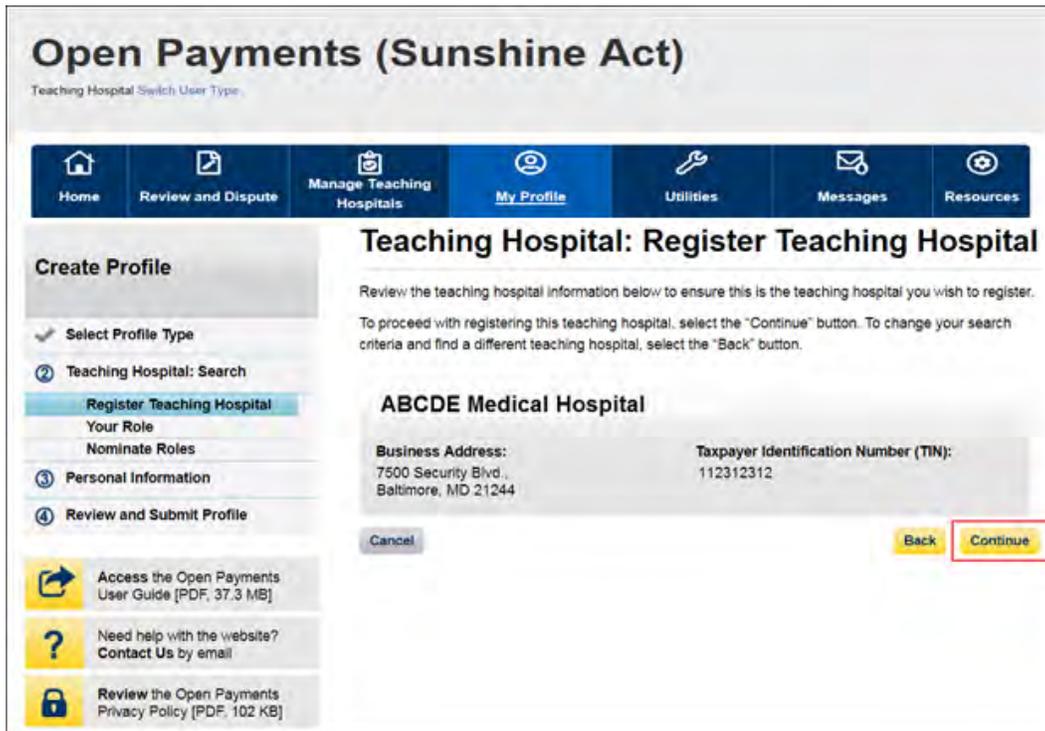
→ ABCDE Medical Hospital
7500 Security Blvd.,
Baltimore, MD 21244
TIN: 112312312

To begin registering a teaching hospital, select the “Continue” button.

[Cancel](#) [Back](#) [Continue](#)

Step 5: Review the information displayed on the page and select the “Continue” button.

Figure 66: Register Teaching Hospital Page



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Step 6: Review the information generated by the system related to your role. You must select the role of “Authorized Official” for yourself to proceed with registration. Information on teaching hospital user roles is available in Section 3.4b.

First name, last name, email address, and business phone should be pre-populated with information from your IDM profile. Edit these fields as necessary. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all of the required fields have been entered. When complete, select the “Continue” button.

Figure 67: Teaching Hospital Your Role Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Register Teaching Hospital
- Your Role**
- Nominate Roles
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

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Teaching Hospital: Your Role

A field with an asterisk (*) is required.

To register a teaching hospital, you must take the role of authorized official with the teaching hospital. Enter your personal information below to create your profile and take the role of authorized official.

Authorized officials are responsible for registering the teaching hospital in the Open Payments system. Authorized officials may nominate other users, or modify existing user roles. They are also responsible for approving/denying nominations made by others, and approving/denying requests for user roles made by others.

Your Role-Related Information

Enter your personal information below:

*First Name: Karen	Middle Name:
*Last Name: Miller	Suffix (Jr., Sr., etc.):
*Email Address: openpayments@yopmail.com	*Business Phone: 999-999-9999 777-777-7777

Cancel | Back | **Continue**

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Step 7: You may choose to nominate additional users to fill the authorized official or authorized representative roles. To do so, select “Add” to nominate any additional individual(s). If you choose not to add nominees at this time, select the “Continue” button without adding any nominee information and proceed to step 8.

Figure 68: Teaching Hospital Nominate Roles Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital
 - Your Role
 - Nominate Roles
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

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Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	777-777-7777	(YOU)

Add Cancel Back Continue

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Step 7a: If you selected “Add,” enter the required information for one individual and select either “Authorized Official” or “Authorized Representative” to nominate the individual for the selected role. Information on teaching hospital user roles is available in Section 3.4b. When you have entered the information, select “Add.” This will add the nominee information to the teaching hospital’s profile, as well as open another set of information fields to enter an additional nominee.

Repeat the process until you have entered all of the individuals you wish to nominate. A teaching hospital may have up to 10 active users, 5 of whom can hold the role of authorized official. When you have added all of the individuals you wish to nominate, select the “Continue” button.

Each nominated individual will receive an email notifying them of their nomination. The nominee must confirm or reject the role within 10 calendar days.

You do not have to nominate additional individuals during initial registration. You can nominate individuals later by updating your teaching hospital profile within the “Manage Teaching Hospitals” tab.

Figure 69: Teaching Hospital Nominate Roles Page Add Nominee

Open Payments (Sunshine Act)

Teaching Hospital Search User Type

Home
Review and Dispute
Manage Teaching Hospitals
My Profile
Utilities
Messages
Resources

Create Profile

✓ Select Profile Type

🔍 Teaching Hospital: Search

➤ Register Teaching Hospital Your Role

Nominate Roles

👤 Personal Information

🔄 Review and Submit Profile

📄 Access the Open Payments User Guide [PDF, 37.3 MB]

🔗 Need help with the website? Contact Us by email

🔒 Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments3@yopmail.com	777-777-7777	(YOU)

Nominee Information

*First Name: Middle Name:

*Last Name: Suffix (jr., Sr., etc.):

*Email Address: *Business Phone Number:
777-777-7777

*Job Title:

*Indicate the role this person will have:

Authorized Official: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.

Authorized Representative: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address:

*Business Address, Line 1:

Business Address, Line 2:

*City Name: *State: *Zip Code:
21244

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If you entered any nominees, you will see the nominee information displayed on the page. Confirm the information and when complete select the “Continue” button.

Figure 70: Teaching Hospital Nominate Roles Page with Confirmation Message

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital
 - Your Role
 - Nominate Roles**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

Confirmation:

- Your nominee has been successfully added.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	777-777-7777	(YOU)
Authorized Official	Susan Jones	openpayments3@yopmail.com	777-777-7777	Edit Delete

[Add](#) [Cancel](#) [Back](#) [Continue](#)

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Step 8: Enter the required personal information. When finished, select the “Continue” button.

Figure 71: Teaching Hospital Personal Information Page

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ③ Personal Information**
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name: Karen

*Last Name: Miller

Middle Name:

Suffix (Jr., Sr., etc.):

Business Address

*Job Title: Director

*Business Email Address: openpayments@yopmail.com

*Business Telephone Number: 999-999-9999
777-777-7777

*Business Address, Line 1: 7500 Security Blvd.

Business Address, Line 2:

*City Name: Baltimore

*State: Maryland

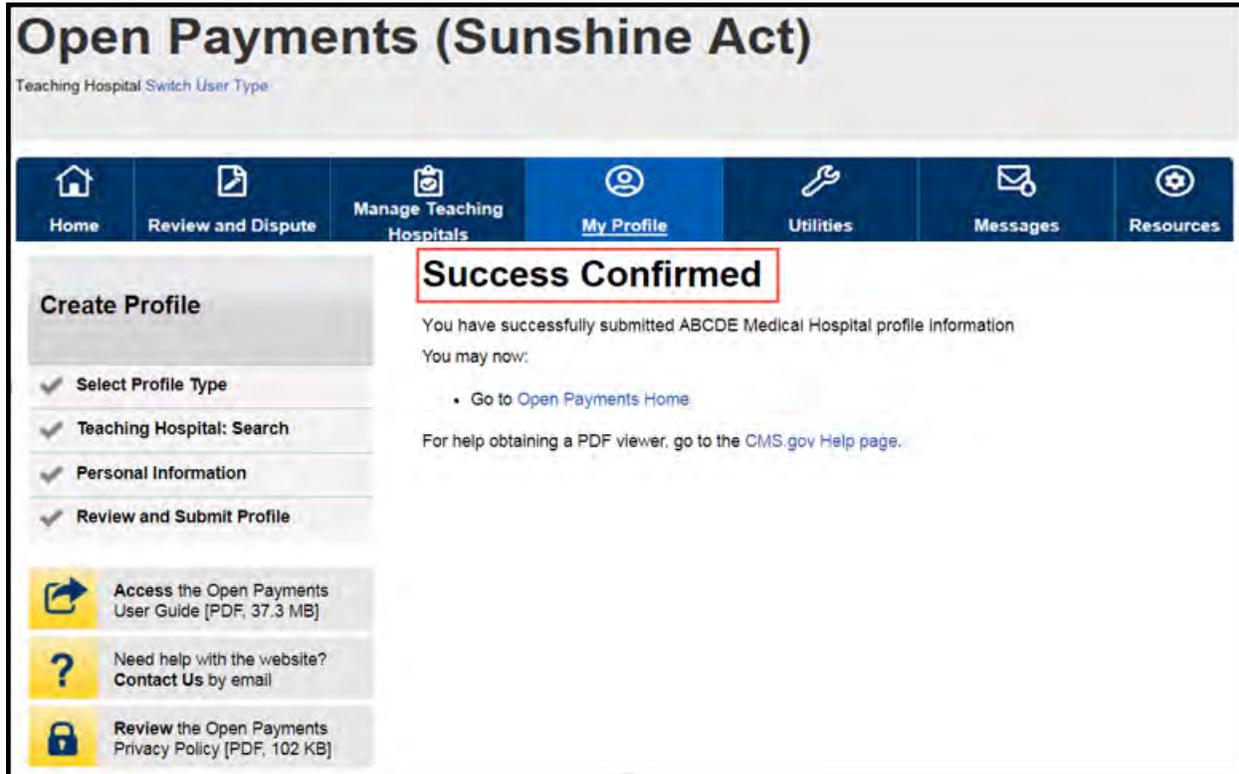
*Zip Code: 99999 9999
21244

Cancel | Back | **Continue**

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The following message will appear on-screen to confirm your profile has been successfully created. You will have immediate access to the teaching hospital data. If you nominated additional individuals for user roles, an email notification will be sent to the nominees that will instruct them on their next steps.

Figure 73: Registration Confirmation Successful Page



3.3f Registering a Teaching Hospital (Prior Program Year)

If you wish to register a teaching hospital in Open Payments that does **not** appear on the current program year's CMS Teaching Hospital List, but **does** appear in previous Teaching Hospital Lists, you can register the hospital for a prior program year. Doing so will allow you to view records associated with that teaching hospital from a prior program year.

If your teaching hospital is already registered in the Open Payments system, you do not need to re-register the teaching hospital for the prior program year.

If your teaching hospital does not appear in any CMS Teaching Hospital Lists, it will not have any records associated with it in the Open Payments system and cannot be registered.

To register your teaching hospital for a prior program year, follow these steps:

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Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the “Manage Teaching Hospitals” tab.

Figure 74: Open Payments System Landing Page For Returning System Users.

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

- Home
- Review and Dispute
- Manage Teaching Hospitals**
- My Profile
- Utilities
- Messages
- Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

- Access the Open Payments User Guide [PDF]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF]

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Step 2: On the “Manage Teaching Hospitals” page, select “Register New Teaching Hospital.”

Figure 75: Manage Teaching Hospitals Page

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

Home Review and Dispute **Manage Teaching Hospitals** My Profile Utilities Messages Resources

Manage Teaching Hospitals

Below are the teaching hospitals with which you are affiliated. To view details and manage user roles, select a teaching hospital from the list below. You may register a new teaching hospital by selecting the "Register New Teaching Hospital" button.

[Register New Teaching Hospital](#)

Your Teaching Hospitals

Doing Business As (DBA)	TIN:	Address:	Status:
Name: ABCDE Teaching Hospital	11-12312341	7500 Security Blvd., Baltimore MD 21244	Registered

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- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

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Step 3: On the “Teaching Hospital: Search” page, select the link “Register for Prior Program Year.”

Figure 76: Teaching Hospital Search Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | My Profile | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the “Search” button. If the teaching hospital is not listed in the search results, select the “Register for Prior Program Year” link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources](#) page of the [Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located:
Select the state...

*Teaching Hospital Doing Business As Name:
Select the teaching hospital DBA name...

*Taxpayer Identification Number (TIN):
Please Select TIN

*Teaching Hospital Business Address:
Please select hospital NPPES business address

Search

Cancel Back

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

Step 4: Teaching hospitals are pre-populated in the Open Payments system based on the Teaching Hospital List for the program year you selected. Teaching hospitals not found in any program year will not have payments or other transfers of value reported about them for that program year.

Search for the teaching hospital by selecting the program year you wish to register the hospital for, as well as the state, teaching hospital name, teaching hospital business address, and Taxpayer Identification Number (TIN) from the drop-downs and select “Search.”

Teaching hospitals must be registered using the hospital’s “Doing Business As” name as the hospital name. In the CMS Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.”

The address selected should be the address listed in the CMS Teaching Hospital List as the hospital’s “NPPES Business Address.”

Figure 77: Teaching Hospital Search Page- Prior Program Year

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 28.8 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

*Prior Program Year: 2017

*State Where Teaching Hospital is Located: Maryland

*Teaching Hospital Doing Business As Name: XYZ Teaching Hospital

*Taxpayer Identification Number (TIN): 9988776655

*Teaching Hospital Business Address: 7500 Security Blvd, Baltimore MD 21244

Search

Cancel Back

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Step 5: Review the information displayed on the page, and select the “Continue” button if the information displayed is the teaching hospital you wish to register. If this is not the correct teaching hospital, select the “Back” button at the bottom of the page to return to the previous page and re-enter the information.

Figure 78: Teaching Hospital Search Page with Search Results

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 28.8 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources](#) page of the [Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

*Prior Program Year:
2017

*State Where Teaching Hospital is Located:
Maryland

*Teaching Hospital Doing Business As Name:
XYZ Teaching Hospital

*Taxpayer Identification Number (TIN):
9988776655

*Teaching Hospital Business Address:
7500 Security Blvd, Baltimore MD 21244

Search

Teaching Hospital Search Results

This teaching hospital is not yet registered in the Open Payments system:

XYZ Teaching Hospital
7500 Security Blvd
Baltimore, MD 21244
TIN-9988776655

To begin registering a teaching hospital, select the "Continue" button.

Cancel **Back** **Continue**

Step 6: Review the teaching hospital information displayed on the page. When complete, select the "Continue" button.

Figure 79: Register Teaching Hospital Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
 - Register Teaching Hospital**
 - Your Role
 - Nominate Roles
- ③ Personal Information
- ④ Review and Submit Profile

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Teaching Hospital: Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to register. To proceed with registering this teaching hospital, select the "Continue" button. To change your search criteria and find a different teaching hospital, select the "Back" button.

XYZ Teaching Hospital

Business Address: 7500 Security Blvd Baltimore, MD 21244	Taxpayer Identification Number (TIN): 9988776655
---	--

Cancel | Back | **Continue**

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

Step 7: Review the information generated by the system related to your role. You must select the role of “Authorized Official” for yourself to proceed with registration. Information on teaching hospital user roles is available in Section 3.4b.

First name, last name, email address, and business phone should be pre-populated with information from your IDM profile. Edit these fields as necessary. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all of the required fields have been entered. When complete, select the “Continue” button.

Figure 80: Teaching Hospital Your Role Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Register Teaching Hospital Your Role**
- Nominate Roles
- Personal Information
- Review and Submit Profile

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Teaching Hospital: Your Role

A field with an asterisk (*) is required.

To register a teaching hospital, you must take the role of authorized official with the teaching hospital. Enter your personal information below to create your profile and take the role of authorized official.

Authorized officials are responsible for registering the teaching hospital in the Open Payments system. Authorized officials may nominate other users, or modify existing user roles. They are also responsible for approving/denying nominations made by others, and approving/denying requests for user roles made by others.

Your Role-Related Information

Enter your personal information below.

*First Name: Karen	Middle Name:
*Last Name: Miller	Suffix (Jr., Sr., etc.):
*Email Address: openpayments@yopmail.com	*Business Phone: 999-999-9999 555-555-5555

Cancel | Back | **Continue**

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Step 8: You may choose to nominate additional users to fill the authorized official or authorized representative roles. To do so, select the “Add” button to nominate any additional individual(s).

Figure 81: Teaching Hospital Nominate Roles Add Nominees

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	555-555-5555	(YOU)

Add | Cancel | Back | Continue

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

Step 8a: Enter the required information for one individual and select either “Authorized Official” or “Authorized Representative” to nominate the individual for the selected role. Information on teaching hospital user roles is available in Section 3.4b. When you have entered the information, select the “Add” button.

Repeat the process until you have entered all of the individuals you wish to nominate. A teaching hospital may have up to 10 active users, 5 of whom can hold the role of authorized official. When you have added all of the individuals you wish to nominate, select the “Continue” button.

Each nominated individual will receive an email notifying them of their nomination. The nominee must confirm or reject the role within 10 calendar days.

You do not have to nominate additional individuals during initial registration. You can nominate individuals later by updating your teaching hospital profile within the “Manage Teaching Hospitals” tab.

If you choose not to add nominees at this time, select “Continue” without adding any nominee information.

Figure 82: Teaching Hospital Nominate Roles Nominee Information

Open Payments (Sunshine Act)

Teaching Hospital [Switch User Type](#)

Home
Review and Dispute
Manage Teaching Hospitals
My Profile
Utilities
Messages
Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital Your Role
 - Nominate Roles
 - Personal Information
 - Review and Submit Profile
- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official:	Karen Miller	openpayments3@yopmail.com	555-555-5555	(YOU)

Nominee Information

*First Name: Middle Name:

*Last Name: Suffix (Jr., Sr., etc.):

*Email Address: *Business Phone Number:

*Job Title:

*Indicate the role this person will have:

* **Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.

* **Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address:

*Business Address, Line 1:

Business Address, Line 2:

*City Name: *State: *Zip Code:

21244

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If you entered any nominees, you will see the nominee information displayed on the page. Confirm the information and when complete select the “Continue” button.

Figure 83: Teaching Hospital Nominate Roles Confirmation

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | My Profile | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
 - Register Teaching Hospital
 - Your Role
 - Nominate Roles**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

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Teaching Hospital: Nominate Roles

A field with an asterisk (*) is required.

Confirmation:

- Your nominee has been successfully added.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

- Authorized Official:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital
 - May approve or modify user role nominations
 - May deactivate users from the teaching hospital
- Authorized Representative:
 - May review and dispute records associated with the teaching hospital
 - May nominate individuals for user roles with the teaching hospital

Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.

Nominees must register in Enterprise Identity Management (EIDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to five can be 5 authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role:	Name:	Business Email:	Business Phone Number:	Actions:
Authorized Official	Karen Miller	openpayments@yopmail.com	555-555-5555	(YOU)
Authorized Official	Susan Jones	openpayments3@yopmail.com	777-777-7777	Edit Delete

Add

Cancel

Back Continue

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

Step 9: Enter your personal information. When finished, select the “Continue” button.

Figure 84: Teaching Hospital Personal Information Page

The screenshot displays the 'Open Payments (Sunshine Act)' interface for a Teaching Hospital user. The page title is 'Open Payments (Sunshine Act)' with a subtitle 'Teaching Hospital Switch User Type'. A navigation bar includes links for Home, Review and Dispute, Manage Teaching Hospitals, My Profile (active), Utilities, Messages, and Resources. The main content area is titled 'Personal Information' and includes instructions: 'A field with an asterisk (*) is required.' and 'Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.' A note states that changes will not automatically update other CMS accounts. The form is divided into sections: 'Your Name' with fields for First Name (Karen), Last Name (Miller), Middle Name, and Suffix; 'Business Address' with fields for Job Title (Director), Business Email Address (openpayments@yopmail.com), Business Telephone Number (999-999-9999), Business Address Line 1 (7500 Security Blvd), Business Address Line 2, City Name (Baltimore), State (Maryland), and Zip Code (21244). A 'Continue' button is highlighted with a red box.

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals **My Profile** Utilities Messages Resources

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ③ Personal Information**
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

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Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name: Karen *Last Name: Miller

Middle Name: Suffix (Jr., Sr., etc.):

Business Address

*Job Title: Director

*Business Email Address: openpayments@yopmail.com *Business Telephone Number: 999-999-9999
555-555-5555

*Business Address, Line 1: 7500 Security Blvd.

Business Address, Line 2:

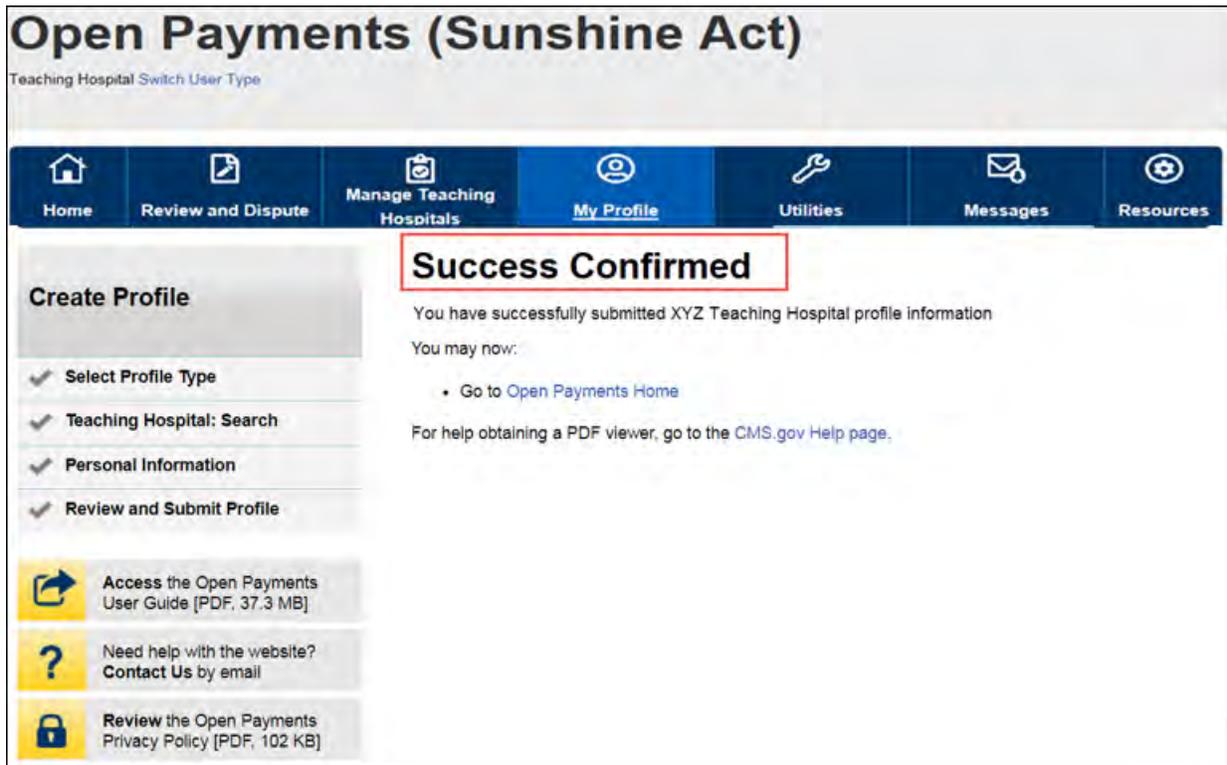
*City Name: Baltimore *State: Maryland *Zip Code: 99999 9999
21244

Cancel Back **Continue**

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The following message will appear on-screen to confirm the hospital has been successfully registered. You will have immediate access to the teaching hospital data. If you nominated individuals for user roles, an email notification is sent to the nominees that will instruct them on their next steps.

Figure 86: Registration Confirmation Successful



3.3g: Using the Switch User Functionality

The Open Payments system allows you to hold multiple user roles based on your affiliations with multiple entities. You can switch among the applicable manufacturer/applicable GPO, physician, and teaching hospital user types through a “Switch User Type” functionality. Once you have successfully registered within the Open Payments system under any user type (reporting entity, physician, or teaching hospital), the “Switch User Type” functionality will become available. The pages in the Open Payments system will look similar for each user type, though the actions available will change depending on the user type you are operating under at that time.

All users have access to the “Switch User Type” functionality. Users who have only a single user type can use the functionality to request roles for and/or register as another user type.

Follow these steps to switch between user types or request a role as another user type in the Open Payments system:

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Step 1: At the very top of the page, select “Switch User Type.”

Figure 87: Open Payments Landing Page For Returning Reporting Entity User

Open Payments (Sunshine Act)

Applicable Manufacturer or Group Purchasing Organization [Switch User Type](#)

Home **Submissions** **Review and Dispute** **Manage Entities** **My Profile** **Messages** **Resources**

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform user role functions associated with your profile.

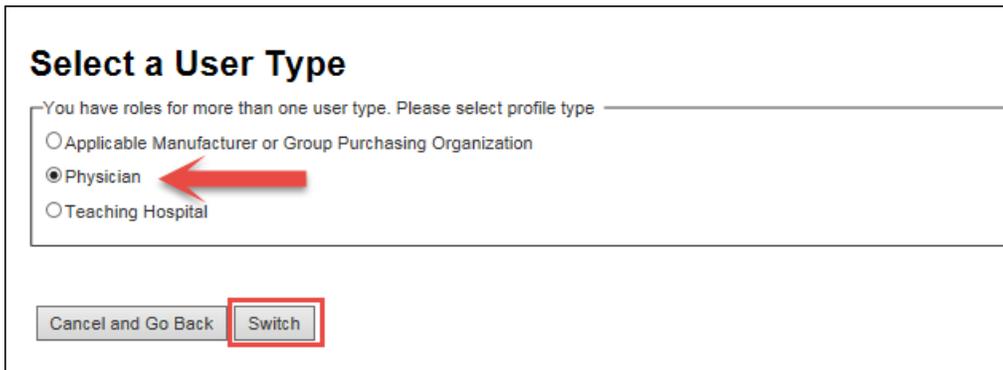
- Access the Open Payments User Guide [PDF]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: Select the user type to which you would like to switch, or which user type you would like to request a role. When finished, select “Switch.” If you decide you do not want to change user types, or you selected “Switch User Type” by accident, select “Cancel and Go Back.”

Figure 88: Select User Type Page



Select a User Type

You have roles for more than one user type. Please select profile type

Applicable Manufacturer or Group Purchasing Organization

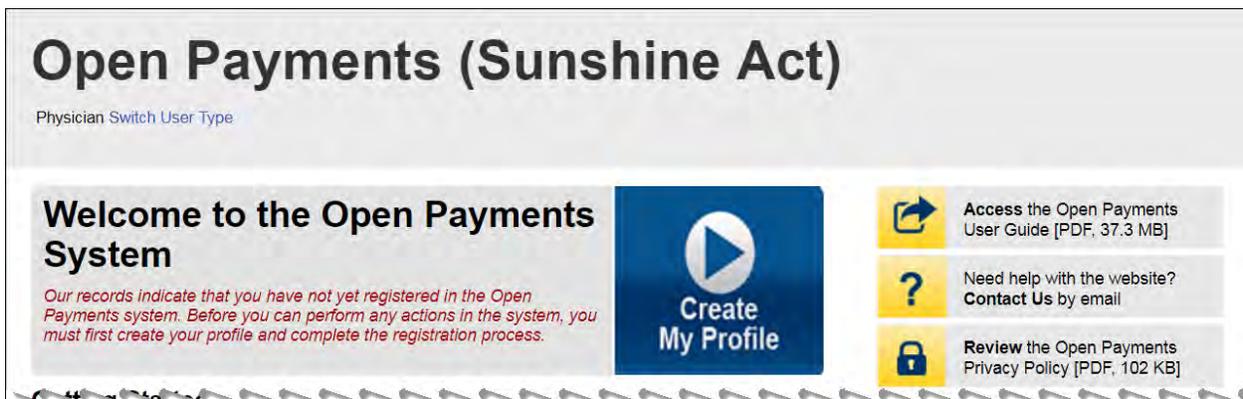
Physician

Teaching Hospital

Cancel and Go Back Switch

Step 3: If you are not currently assigned a role for the selected user type, you will be prompted to create a profile. Select the “Create My Profile” button to begin that process.

Figure 89: Open Payments Landing Page For First-Time Teaching Hospital User



Open Payments (Sunshine Act)

Physician Switch User Type

Welcome to the Open Payments System

Our records indicate that you have not yet registered in the Open Payments system. Before you can perform any actions in the system, you must first create your profile and complete the registration process.

Create My Profile

-  **Access the Open Payments User Guide [PDF, 37.3 MB]**
-  **Need help with the website? Contact Us by email**
-  **Review the Open Payments Privacy Policy [PDF, 102 KB]**

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Step 3a: If you are currently assigned a role for the selected user type, you will be able to view your profile information for that user type.

Figure 90: Open Payments Landing Page For Returning Physician User

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home | Review and Dispute | My Profile | Messages | **Resources**

Welcome to the Open Payments System

Announcements

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You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

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The profile type will be displayed at the top of the page.

You may switch between user types at any time. To perform system actions such as registration and nominations, data submission, and review and dispute for the user type that is displayed, refer to the

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corresponding section of Open Payments User Guide for Reporting Entities or Open Payments User Guide for Covered Recipients.

Section 3.4: Open Payments Users and User Roles

There are two user roles available for individuals associated with physicians or teaching hospitals: authorized officials or authorized representatives.

3.4a: Physician User Roles

A physician may designate **one authorized representative** within the Open Payments system to take certain actions on behalf of the physician. This person can be another physician, an office manager, a practice manager, or another person the physician would like to designate to interface with the Open Payments system on his or her behalf. A physician cannot be his or her own authorized representative, and a physician can only have one physician authorized representative.

The physician will designate the level of access for their authorized representative; more than one access level can be granted. The different levels of access that can be granted to the authorized representative are:

1. **Read:** Default access level. Able to see physician profile and records information.
2. **Modify Profile:** Able to edit or enter the physician’s My Profile information (NPI, license, specialties, etc.).
3. **Dispute Records:** Able to dispute reported payments, other transfers of value, or physician ownership and investment interests.

Figure 91: Physician User Roles and User Role Functions

User Role	Function
Physician	<ul style="list-style-type: none"> • Registers him- or herself in the Open Payments system • Nominates an authorized representative • Has full access to review and dispute records • Views and download system generated email messages
Authorized Representative	<ul style="list-style-type: none"> • Physician can grant one or more of the following access levels: <ul style="list-style-type: none"> ○ <u>Read-only:</u> (default) Able to see physician profile and records information ○ <u>Modify Profile:</u> Able to edit or enter a physician’s “My Profile” information (NPI, license, specialties, etc.)

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User Role	Function
	<ul style="list-style-type: none"> ○ <u>Dispute Records</u>: Able to dispute records related to the physician submitted by reporting entities • Access levels are separate; having “dispute records” access does not automatically include “modify profile” access, or vice versa • Views and download system generated email messages

3.4b: Teaching Hospital User Roles

Teaching hospitals can have up to 10 unique users holding a role of either of authorized official or authorized representative. There can be a maximum of five authorized officials per teaching hospital. For teaching hospitals, authorized officials are responsible for approving all user role nominations and modifying user roles. The teaching hospital authorized representatives will be granted certain permissions to access/review data, initiate a dispute on behalf of the teaching hospital, and make/approve nominations by an authorized official. The authorized representatives can be a physician, an office manager, a practice manager, or any person the teaching hospital would like to designate. There can be a maximum of five authorized official(s) per teaching hospital. The user roles applicable for teaching hospitals are given in Figure 95 below.

Figure 92: Teaching Hospital User Roles and User Role Functions

User Role	Function
Authorized Official	<ul style="list-style-type: none"> • Registers with the teaching hospital in the Open Payments system • Nominates other users and modifies existing user roles • Approves or modifies nominations made by others and requests for user roles made by others, including self-nominations • Removes user roles from other users • Reviews and disputes records associated with the teaching hospital • Views and download system generated email messages
Authorized Representative	<ul style="list-style-type: none"> • Reviews and disputes records associated with the teaching hospital • Nominates other individuals for user roles with teaching hospital (nominations must be confirmed by an authorized official) • Views and download system generated email messages

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To nominate an individual for any role, the following information must be input into the Open Payments system about that individual:

- First name
- Last name
- Business phone
- Business address
- Email address

Section 3.5: Nominations

The nomination process allows you to assign specific roles to individuals to act on behalf of a physician or teaching hospital. Physicians can nominate an individual to serve as an authorized representative, and users affiliated with teaching hospitals can nominate individuals to serve as authorized representatives or authorized officials. Also, individuals can nominate themselves for a user role with a teaching hospital.

An authorized official from the teaching hospital must approve all nominations before users can begin performing actions in the system. Note: If you nominate yourself for a role with a teaching hospital that is already registered but lacks an active authorized official, contact the Open Payments Help Desk at openpayments@cms.hhs.gov to complete the registration.

Individuals may not self-nominate to serve on behalf of physicians. The physician must directly nominate the individual to serve as the authorized representative.

Nominations can be made during registration or afterwards. The system will generate an email notification to the nominee informing them that they are nominated for a role in the Open Payments system. The email notifying teaching hospital authorized officials and authorized representatives will contain a registration ID and a nomination ID. The registration ID is specific to the teaching hospital; the nomination ID is specific to the individual nominee. The email notifying physician authorized representatives will contain only a nomination ID. Users notified of their nomination for a role must use the registration ID and/or nomination ID received in the nomination email to complete their profile in the Open Payments system to receive access to the functions for that specific role.

The nominee must confirm or reject the role within 10 calendar days. They can do so by registering in IDM to obtain credentials and request access to the Open Payments system. Nominees can then log in to the Open Payments system to confirm or reject the role. The Open Payments system will render the nomination expired if the nomination has not been accepted or rejected within 10 calendar days. This information is included in the email notification.

If the nomination is accepted, the individual will be able to complete a user profile, gain access to the system, and perform the duties of their role. If they reject the nomination, the individual will not be able

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to perform the actions on that entity’s behalf and the officer will receive an email notification of the nomination rejection.

Note: Nominees will need to have IDM credentials in order to access the Open Payments system and accept or reject their nominations.

If there is no action taken by the nominee, a final reminder notification will be sent on the 9th calendar day, reminding the nominee that they have yet to accept or reject the nomination. If the nomination is still not accepted or rejected by the end of the 10th calendar day, an expired nomination notification will be sent to the nominee. **Figure 96** shows the five steps in the nomination acceptance process.

Figure 93: Accepting Nominations Process



Users can also be deactivated from a teaching hospital. Deactivation removes an individual’s association with a teaching hospital in the Open Payments system, including removing the individual’s access to that teaching hospital’s information and records. It does not remove the individual from the Open Payments system.

In the “My Profile” page of the Open Payments system, user roles are listed with a status. An explanation of those statuses is in the table below.

Figure 94: User Role Statuses for Physicians and Teaching Hospitals

User Role Status	Meaning
Nominated	The individual has been nominated for a user role with the teaching hospital or physician
Declined	The individual declined the nomination for the user role
Accepted	The individual accepted the nomination for the user role
Requested (teaching hospital only)	An individual has self-nominated for a user role with a teaching hospital
Approved (teaching hospital only)	The individual’s self-nomination for the user role has been approved by an authorized official with the teaching hospital
Vetted (physician only)	The physician has successfully completed the vetting process
Pending Vetting (physician only)	The physician has not yet undergone the vetting process
Vetting In Progress (physician only)	The physician is undergoing the vetting process

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Failed Vetting (physician only)	The physician has not yet undergone the vetting process
---------------------------------	---

3.5a: Nominating a Physician Authorized Representative (Returning System Users)

If you are a physician that did not delegate an authorized representative during initial registration, follow the steps below to nominate an individual for that role.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page, and select the “My Profile” tab.

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Figure 95: Open Payments Landing Page For Returning Physician User

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

- [Home](#)
- [Review and Dispute](#)
- [My Profile](#)**
- [Messages](#)
- [Resources](#)

Welcome to the Open Payments System

Announcements

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You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

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Step 2: From the “My Profile Overview” tab, select the “My Profile Details” tab.

Figure 96: My Profile Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home | Review and Dispute | **My Profile** | Messages | Resources

My Profile

KAREN MILLER

[Overview](#) | **My Profile Details** | [My Roles & Nominations](#)

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 28.8 MB\]](#).

Profile Name

KAREN MILLER	Doctor of Osteopathy	Vetted 6/18/2018
--------------	----------------------	---------------------

Your Roles

Role:	Name:	Role Status:
Physician	You	Vetted

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Step 3: On this page, you will be able to view your profile information. Select “Update Profile.”

Figure 97: My Profile Details Page

Open Payments (Sunshine Act)

Physician Switch User Type

Home Review and Dispute **My Profile** Messages Resources

My Profile

Karen Miller Medical Doctor

Overview **My Profile Details** My Roles & Nominations

To update your profile details, including adding or updating authorized representative information, select the "Update Profile" button.

[Update Profile](#)

Personal Information

Name: Karen Miller
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 555-555-5555
Physician Practice Name:
Physician Practice Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI):
Drug Enforcement Administration (DEA) Number:
Taxonomy Codes: Allopathic & Osteopathic Physicians|Preventive Medicine|Aerospace Medicine
State Licenses:
Maryland 35096673
Ohio 35096673

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Step 4: On the “My Profile” page, you can nominate an authorized representative if you did not do so during initial registration, or update the level of access for an existing authorized representative. You may also change your current authorized representative. To do this, replace the existing authorized representative’s name, email address, telephone number, job title, business address and level of access information with new data. The system will generate an email notification with a Nomination ID to the nominee informing that person of their nomination for a role in the Open Payments system.

If you still do not wish to delegate an authorized representative, select “Not now” and select “Save Updates.” On this page, you may also modify your profile information, such as adding or editing your state license information or physician taxonomy codes. See Section 3.6 for more information on profile updates.

If you wish to delegate an authorized representative, select “Yes, designate an authorized representative.” The fields required to nominate an authorized representative will appear on the page. Please enter information for all required fields. Required fields will appear with an asterisk.

The default level of access is “Read.” If you would like the authorized representative to have more access while representing you in the Open Payments system, select “Modify Profile” or “Dispute Records.” Access levels are discussed in Section 3.4. When complete, select “Save Updates.”

Figure 98: My Profile Details Tab Editing

Open Payments (Sunshine Act)

Physician Switch User Type

Home
Review and Dispute
My Profile
Messages
Resources

My Profile

Karen Miller Medical Doctor

Overview
My Profile Details
My Roles & Nominations

Update Profile

A field with an asterisk (*) is required.

You may update your physician profile by entering the updated information below. You must provide your National Provider Identifier (NPI) if you have one. You must also provide all of your state licenses. Once your profile has been successfully vetted, you will not be able to update your NPI in your physician profile.

The first name and last name fields have been prepopulated from your CMS Enterprise Identity Management system (EIDM) profile. You may edit these fields as needed until your physician profile has been successfully vetted. After successful vetting, the fields prepopulated by EIDM data will no longer be available for editing.

Physician's Authorized Representative

A physician may designate an authorized representative to take certain actions on his or her behalf in the Open Payments system. This person can be another physician, an office manager, a practice manager, or any other person the physician wants to designate.

The nominated authorized representative will receive an email stating that he or she has been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. If the nominee does not accept the role, the physician may nominate another person.

Nominate the Physician Authorized Representative in the Open Payments System

The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days.

Note: physician authorized representative nominees must register in the CMS Enterprise Identity Management (EIDM) system for login credentials before he or she can log into Open Payments and take action on the nomination. If the nominee accepts the role, he or she will then be prompted to create an individual profile in the Open Payments system.

*Designate an authorized representative?
Yes, designate an authorized representative

*Authorized Representative's First Name: Middle Name:

*Authorized Representative's Last Name: Suffix (Jr., Sr., etc.):

*Business Email Address: *Job Title:

Authorized Representative's Business Address

Enter the primary business address if the authorized representative has multiple business addresses.

*Country:

*Business Address, Line 1:

Business Address, Line 2:

*City Name: *State:

*Zip Code: *Business Telephone Number:

21044 555-555-5555

Nominated Physician Authorized Representative's Access Level

The authorized representative's access level will determine the actions he or she can take on behalf of the physician in the Open Payments system.

By default, the physician authorized representative can read any information in the physician's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. Physician authorized representatives cannot edit their access levels.

*Access Level:

Read - (Default access level) Able to see physician profile and records associated with the physician.

Modify Profile - Able to edit or enter the physician's profile information (NPI, license, taxonomy code, etc.)

Dispute Records - Able to dispute records of payments, other transfers of value, and ownership and investment interests associated with the physician that were submitted by reporting entities.

Cancel
Save Updates

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Step 5: The nominee will receive an email notification that they have been nominated for a role in the Open Payments system. The email will contain a nomination ID. Users notified of their nomination for a role must use the nomination ID received in the nomination email to complete their profile in the Open

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Payments system and receive access to the functions for that specific role. The nominee will have 10 calendar days to accept or reject the nomination.

Figure 99: My Profile Details Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home Review and Dispute **My Profile** Messages Resources

My Profile

Karen Miller Medical Doctor

Overview **My Profile Details** My Roles & Nominations

Confirmation:

- You have successfully updated your profile.

To update your profile details, including adding or updating authorized representative information, select the "Update Profile" button.

[Update Profile](#)

Personal Information

Name: Karen Miller
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 555-555-5555
Physician Practice Name:
Physician Practice Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI):
Drug Enforcement Administration (DEA) Number:
Taxonomy Codes: Allopathic & Osteopathic Physicians|Preventive Medicine|Aerospace Medicine
State Licenses:
Maryland 35096673
Ohio 35096673

Physician's Authorized Representative

Authorized Representative: Joe Smith
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 555-555-5555
Job Title: General Manager
Business Address: 7500 Security Blvd
Baltimore, MD 21044
US
Access Level:
Review Data (Read-Only)

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3.5b: Nominating Additional Roles for a Teaching Hospital (Returning System Users)

If you are an authorized official for a teaching hospital that did not nominate individuals for user roles during initial registration, follow the steps below. The authorized representative can be a physician, an office manager, a practice manager, or any person the teaching hospital would like to designate.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page, and select the “Manage Teaching Hospitals” tab.

Figure 100: Open Payments Landing Page For Returning Teaching Hospital User

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

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Step 2: Select the teaching hospital for which you wish to nominate a new role.

Figure 101: Manage Teaching Hospitals Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

Manage Teaching Hospitals

Below are the teaching hospitals with which you are affiliated. To view details and manage user roles, select a teaching hospital from the list below. You may register a new teaching hospital by selecting the "Register New Teaching Hospital" button.

[Register New Teaching Hospital](#)

Your Teaching Hospitals

Doing Business As (DBA) Name:	TIN:	Address:	Status:
ABCDE Teaching Hospital	11-1231224	7500 Security Blvd., Baltimore, MD 21244	Registered
ABCDE Medical Hospital	11-1231234	7500 Security Blvd., Baltimore, MD 21244	Registered

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Step 3: Select the "Manage Roles" tab.

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Figure 102: Teaching Hospital Information Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

XYZ Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Below are the details for the teaching hospital you selected, listed by program year.

XYZ Teaching Hospital

Taxpayer Identification Number (TIN): 11-1231234

Teaching Hospital History

Program Year	TIN	DBA Name	Legal Name	Cost Report Address	NPDES Address
2018	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2017	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2016	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2015	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2014	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2013	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244

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Step 4: Select the “Nominate New Role” button.

Figure 103: Manage Teaching Hospital Manage Roles Tab

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

Home
Review and Dispute
Manage Teaching Hospitals
My Profile
Utilities
Messages
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XYZ Teaching Hospital

Teaching Hospital Information
Manage Roles

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

Nominate New Role

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify

➔
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Step 5: Enter the required fields and select the desired role for the nomination, such as authorized official. All required fields are marked with an asterisk. When finished, select the “Send Nomination” button.

Figure 104: Teaching Hospital Manage Roles Tab Nominate Roles

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

XYZ Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Nominate New Role

Nominee

A field with an asterisk (*) is required.
Nominate someone who will have a role in the Open Payments system for your Teaching Hospital.

***First Name:** Susan | **Middle Name:**

***Last Name:** Jones | **Suffix (Jr., Sr., etc.):**

***Email Address:** openpayments7@yopmail.com | ***Business Phone:** 999-999-9999 / 333-333-3333

***Indicate the role this person will have:**

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address

Business Address, Line 1: 7500 Security Blvd

Business Address, Line 2:

City Name: Baltimore | **State:** Maryland | **Zip Code:** 21244

Cancel | **Send Nomination**

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After you send the nomination, you will be brought back to the “Manage Roles” tab and you will see that the new nomination and the status of the nomination are now listed on the page.

Figure 105: Teaching Hospital Manage Roles Tab Confirmation

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

XYZ Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Confirmation:

- You have successfully submitted a nomination.

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify
Susan Jones	Authorized Representative	Nomination Approved	Modify

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? [Contact Us](#) by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

The nominee will receive an email notification that they have been nominated for a role in the Open Payments system. The email notifying the nominee will contain a registration ID and a nomination ID. Users notified of their nomination for a role must use the registration ID and nomination ID received in the nomination email to complete their profile in the Open Payments system and receive access to the functions for that specific role. The nominee will have 10 calendar days to accept or reject the nomination.

Instructions for confirming nominations can be found in the next section.

3.5c: Accepting or Rejecting a Nomination (Physician Authorized Representative – First-Time Users)

If you have been nominated for a physician authorized representative role, you will receive a notification email containing instructions and important information needed to accept your nomination. You will have 10 calendar days to accept or reject the nomination. If you have not taken action on your nomination within 10 days, the nomination will expire. Follow the steps below to accept the nomination.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. The on-screen text contains important information regarding the registration process. Read the on-screen text and select “Create My Profile” when you are ready to begin the registration process.

Figure 106: Open Payments Landing Page For First-Time System Users



Step 2: The on-screen text contains important information regarding creating the physician and individual profiles. Read the on-screen text and select the link to the quick reference guide “Required Fields for Registration” for a list of information required during registration. Select “Start Profile” at the bottom of the page when you are ready to continue.

Figure 107: Create My Profile Page



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Step 3: Although you are affiliating with a physician, do not select “Physician” on the “Select Profile Type” page. Instead, select the “I have a Nomination ID and/or a Registration ID” link at the bottom of the page.

Figure 108: Select Profile Type Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type**
- Register Entity
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Select Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or a registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating below whether you are a physician or are affiliated with an applicable manufacturer or applicable GPO, teaching hospital, or physician.

Note: If you are a physician who is affiliated with a teaching hospital and wish to view payments made directly to you, you should register yourself as a physician and not as a teaching hospital. Registering as a teaching hospital user will allow you to view only records submitted to the teaching hospital.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

***Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID" link.**

Applicable manufacturer or applicable group purchasing organization (GPO)

Physician

Teaching hospital

----- OR -----

[I have a Nomination ID and/or a Registration ID](#)

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Step 4: Select the User Type “Physician.” Enter the nomination ID included in the nomination notification email you received and then select the “Show Nomination” button.

Figure 109: Search for Nomination Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- 2 Register Entity
- Search Nomination**
- Entity Information
- Confirm Nomination
- 3 Personal Information
- 4 Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Physician

***Nomination ID:**
The ID is a 12-digit number
458695578108

[Show Nomination](#)

[Cancel](#)

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Step 5: Review the nomination information displayed. If the information is correct, select the “Continue” button. If the information is incorrect, select the “Cancel” button and contact the nominator directly.

Figure 110: Search for Nomination Page With Nomination Shown

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Register Entity
- Search Nomination**
- Entity Information
- Confirm Nomination
- Personal Information
- Review and Submit Profile

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Physician

***Nomination ID:**
The ID is a 12-digit number
458695578108 **Show Nomination**

Below is the information associated with the nomination.
Select "Continue" if the information displayed on the screen is correct.
Select "Cancel" to return to the previous page if the information displayed is incorrect.

Tom Smith was nominated as Authorized Representative for

User Type: Physician
Physician's Name: Karen Miller

Cancel **Continue**

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Step 6: Review the physician information displayed on the page. You will not be able to edit the information. Ensure that this is the physician for which you want to accept the user role nomination. If it is not the correct physician, either select the “Back” button at the bottom of the page to return to the previous page to correct the information you have entered, or select the “Cancel” button to end the acceptance process.

Figure 111: Confirm Physician Information Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ② Confirm Physician**
- ③ Confirm Nomination
- ④ Authorized Representative
- ⑤ Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Confirm Physician Information

Below is the information for your selected physician. Review and confirm the Physician identified below is correct. Select “Continue” to begin creating your user profile.

Personal Information

First Name: Karen
Last Name: Miller
Business Telephone Number: 555-555-5555
Business Email Address: openpayments@yopmail.com
Physician Practice Name:
Practice Business Address, Line 1: 7500 Security Blvd
Practice Business Address, Line 2:
City Name: Baltimore
State: MD
Zip Code: 21244
Country: US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 1123456789
Drug Enforcement Administration (DEA) Number:
Physician Taxonomy Code:
111N00000X
State Licensing Information: Maryland - 1234

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Step 7: Review the nomination information on the page. Select “Yes, I accept the role of Authorized Representative” if you wish to accept the role or “No, I do not accept” if you do not wish to accept the role. If you accept the role and select “Continue,” you will continue the nomination confirmation process.

If you reject the role for which you have been nominated, select the “Continue” button after selecting “No, I do not accept” for the role. The system will ask you if you are sure you want to reject this role. If you confirm your choice to reject the role, you will exit the system and the nominator will receive an email that you rejected the nomination. The nominator will then be allowed to nominate another individual for the role.

Figure 112: Confirm Nomination Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ③ Confirm Nomination**
- ④ Authorized Representative
- ⑤ Review and Submit Profile

Confirm Nomination

A field with an asterisk (*) is required.

Confirm the user role by either accepting or rejecting the nomination. Select the appropriate option below. Then, select the “Continue” button to proceed.

Karen Miller

7500 Security Blvd
Baltimore, MD 21244

Physician Primary Type: Medical Doctor

National Provider Identifier (NPI): 1123456789

Business Telephone Number: 555-555-5555

***Confirm Nominations:**

- Yes, I accept the role of **Authorized Representative**
- No, I do not accept

Access Level:

- Read** - (Default access level) Able to see physician profile and records associated with the physician.
- Modify Profile** - Able to edit or enter the physician’s profile information (NPI, license, taxonomy code, etc.).
- Dispute Records** - Able to dispute records of payments, other transfers of value, and ownership and investment interests associated with the physician that were submitted by reporting entities.

Buttons: Cancel, Back, Continue

Step 8: Enter your personal information and select the "Continue" button.

Figure 113: Physician Authorized Representative Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ✓ Confirm Nomination
- 4 Authorized Representative**
- 5 Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Physician: Authorized Representative

A field with an asterisk (*) is required.

Authorized Representative

Enter the name, business email, job title, and business telephone number of the nominated authorized representative.

*Authorized Representative's First Name:	Authorized Representative's Middle Name
Tom	
*Authorized Representative's Last Name:	Authorized Representative's Suffix
Smith	
*Business Email Address:	*Business Telephone Number:
openpayments2@yopmail.com	999-999-9999
	222-222-2222
*Job Title:	
Manager	

Authorized Representative's Business Address

Enter the primary business address if the authorized representative has multiple business addresses.

*Country: United States ▼

*Business Address, Line 1: 5700 Security Blvd

Business Address, Line 2:

*City Name: Baltimore

*State: Maryland ▼

*Zip Code: 99999 9999
21044

*Business Telephone Number: 999-999-9999
555-555-5555

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Step 9: Now you will be asked to review the information you have entered. To correct any errors, select the “Back” button at the bottom of the page to return to previous pages and make your corrections. If the information is correct, select the “Submit” button.

Figure 114: Review and Submit Profile Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ✓ Confirm Nomination
- ✓ Authorized Representative
- 5 Review and Submit Profile**

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Review and Submit Profile

Review the information on this page to ensure it is correct. Select the “Back” button to navigate to the previous pages to correct any invalid information. Select the “Continue” button to submit your profile.

Authorized Representative Information

First Name: Tom
Last Name: Smith
Middle Name:
Suffix (Jr., Sr., etc.):
Business Telephone Number: 222-222-2222
Business Email Address: openpayments2@yopmail.com
Job Title: Manager
Practice Business Address, Line 1: 7500 Security Blvd.
Practice Business Address, Line 2:
City Name: Baltimore
State: MD
Zip Code: 21244
Country: US

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 115: Success Confirmed Page

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ✓ Confirm Nomination
- ✓ Authorized Representative
- ✓ Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Success Confirmed

You have successfully created your profile, Tom Smith.

You may now:

- Go to [Open Payments Home](#)
- Refer to the [Open Payments User Guide \[PDF, 37.3 MB\]](#) for further information

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

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3.5d: Accepting or Rejecting a Nomination (Physician Authorized Representative – Returning System Users)

If you have been nominated for a physician authorized representative role, you will receive a notification email containing instructions and important information needed to accept your nomination. Once you have received this email, follow the steps below to accept the nomination.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “My Profile” tab from the menu bar on the Open Payments home page.

Figure 116: Open Payments Landing Page For Returning Physician User

Open Payments (Sunshine Act)
Physician Switch User Type

Home | Review and Dispute | **My Profile** | Messages | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

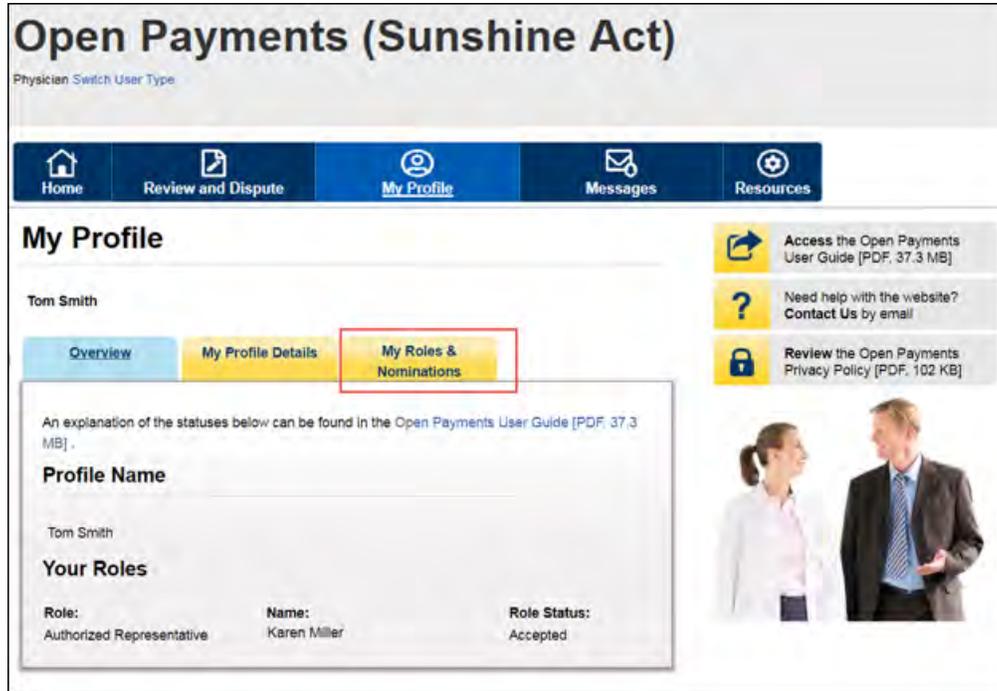
Access the Open Payments User Guide [PDF]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: From the “My Profile Overview” tab, select the “My Roles and Nominations” tab.

Figure 117: My Profile Page



Step 3: From the “My Roles and Nominations” tab, select “Accept/Reject Nominations.”

Figure 118: My Roles and Nominations Tab

The screenshot shows the 'Open Payments (Sunshine Act)' user interface. At the top, it says 'Physician Switch User Type'. Below this is a navigation bar with icons for Home, Review and Dispute, My Profile (selected), Messages, and Resources. The main content area is titled 'My Profile' and shows the user's name 'Tom Smith'. There are three tabs: Overview, My Profile Details, and My Roles & Nominations (selected). A yellow button labeled 'Accept/Reject Nominations' is highlighted with a red box. Below this is a section titled 'My Roles' with instructions on how to use the button. A table shows a role for 'Authorized Representative' by 'Karen Miller' with a status of 'Accepted'. On the right side, there are three utility links: 'Access the Open Payments User Guide [PDF, 37.3 MB]', 'Need help with the website? Contact Us by email', and 'Review the Open Payments Privacy Policy [PDF, 102 KB]'. A photo of a man and a woman in professional attire is also visible.

Open Payments (Sunshine Act)
Physician Switch User Type

Home Review and Dispute **My Profile** Messages Resources

My Profile

Tom Smith

Overview My Profile Details **My Roles & Nominations**

Accept/Reject Nominations

My Roles

Select the "Accept/Reject Nominations" button above to take action on a nomination. You will be asked to enter the Nomination ID (and Registration ID if you are associated with an applicable manufacturer, an applicable GPO, or a teaching hospital). Roles which you have already accepted are listed below.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

Role:	Physician's Name:	Role Status:
Authorized Representative	Karen Miller	Accepted

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Review the Open Payments Privacy Policy [PDF, 102 KB]

Step 4: The "User Type" should be pre-populated with "Physician." Enter the nomination ID provided in the nomination notification email you received and then select "Show Nomination."

Figure 119: Search for Nomination Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home Review and Dispute **My Profile** Messages Resources

Create Profile

- ✓ Select Profile Type
- 2 Register Entity
 - Search Nomination**
 - Entity Information
 - Confirm Nomination
- 3 Personal Information
- 4 Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Physician

***Nomination ID:**
The ID is a 12-digit number
154799636088 **Show Nomination**

Cancel

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Step 5: Review the nomination information displayed. If the information is correct, select the “Continue” button. If the information is incorrect, select the “Cancel” button and contact the nominator directly.

Figure 120: Search for Nomination Page with Search Results

Open Payments (Sunshine Act)
Physician Switch User Type

Home | Review and Dispute | Manage Physicians | **My Profile** | Messages | Resources

Create Profile

- Select Profile Type
- Register Entity
- Search Nomination**
- Entity Information
- Confirm Nomination
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Search for Nomination

A field with an asterisk (*) is required.
From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.
Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Physician

***Nomination ID:**
The ID is a 12-digit number
458695578108 **Show Nomination**

Below is the information associated with the nomination.
Select "Continue" if the information displayed on the screen is correct.
Select "Cancel" to return to the previous page if the information displayed is incorrect.

Tom Smith was nominated as Authorized Representative for

User Type: Physician
Physician's Name: Karen Miller

Cancel **Continue**

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Step 6: Review the physician information displayed on the page. You will not be able to edit the information. Ensure that this is the physician for which you want to accept the user role nomination. If it is not the correct physician, either select the “Back” button at the bottom of the page to return to the previous page to correct the information you have entered, or select the “Cancel” button to end the acceptance process.

Figure 121: Confirm Physician Information Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home | Review and Dispute | Manage Physicians | **My Profile** | Messages | Resources

Create Profile

- ✓ Select Profile Type
- 2 Confirm Physician**
- 3 Confirm Nomination
- 4 Authorized Representative
- 5 Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Confirm Physician Information

Below is the information for your selected physician. Review and confirm the Physician identified below is correct. Select “Continue” to begin creating your user profile.

Personal Information

First Name: Karen
Last Name: Miller
Business Telephone Number: 555-555-5555
Business Email Address: openpayments@yopmail.com
Physician Practice Name:
Practice Business Address, Line 1: 7500 Security Blvd
Practice Business Address, Line 2:
City Name: Baltimore
State: MD
Zip Code: 21244
Country: US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 1123456789
Drug Enforcement Administration (DEA) Number:
Physician Taxonomy Code:
111N00000X
State Licensing Information: Maryland - 1234

Cancel | Back | **Continue**

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Step 7: Review the nomination information on the page. Select “Yes, I accept the role of” if you wish to accept the role or “No, I do not accept” if you do not wish to accept the role. If you accept the role and select the “Continue” button, you will continue the nomination confirmation process.

If you reject the role for which you have been nominated, select the “Continue” button after selecting “No, I do not accept” for the role. The system will ask you if you are sure you want to reject this role. If you confirm your choice to reject the role, you will exit the system and the nominator will receive an email that you rejected the nomination. The nominator will then be allowed to nominate another individual for the role.

Figure 122: Confirm Nomination Page

Open Payments (Sunshine Act)
Physician Switch User Type

Home | Review and Dispute | Manage Physicians | **My Profile** | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ③ Confirm Nomination**
- ④ Authorized Representative
- ⑤ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Confirm Nomination

A field with an asterisk (*) is required.

Confirm the user role by either accepting or rejecting the nomination. Select the appropriate option below. Then, select the “Continue” button to proceed.

Karen Miller
7500 Security Blvd
Baltimore, MD 21244
Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 1123456789
Business Telephone Number: 555-555-5555

***Confirm Nominations:**

- Yes, I accept the role of **Authorized Representative**
- No, I do not accept

Access Level:

- Read** - (Default access level) Able to see physician profile and records associated with the physician.
- Modify Profile** - Able to edit or enter the physician's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records** - Able to dispute records of payments, other transfers of value, and ownership and investment interests associated with the physician that were submitted by reporting entities.

Cancel | Back | **Continue**

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Step 8: Enter your personal information and select the “Continue” button.

Figure 123: Physician Authorized Representative Page

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

- Home
- Review and Dispute
- Manage Physicians
- My Profile**
- Messages
- Resources

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ✓ Confirm Nomination
- 4 Authorized Representative**
- 5 Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Physician: Authorized Representative

A field with an asterisk (*) is required.

Authorized Representative

Enter the name, business email, job title, and business telephone number of the nominated authorized representative.

*Authorized Representative's First Name:	Authorized Representative's Middle Name:
<input type="text" value="Tom"/>	<input type="text"/>
*Authorized Representative's Last Name:	Authorized Representative's Suffix:
<input type="text" value="Smith"/>	<input type="text"/>
*Business Email Address:	*Business Telephone Number:
<input type="text" value="openpayments2@yopmail.com"/>	<input type="text" value="999-999-9999"/>
<input type="text" value="222-222-2222"/>	
*Job Title:	
<input type="text" value="Manager"/>	

Authorized Representative's Business Address

Enter the primary business address if the authorized representative has multiple business addresses.

*Country:

*Business Address, Line 1:

Business Address, Line 2:

*City Name:	*State:
<input type="text" value="Baltimore"/>	<input type="text" value="Maryland"/>
*Zip Code:	*Business Telephone Number:
<input type="text" value="99999"/> <input type="text" value="9999"/>	<input type="text" value="999-999-9999"/>
<input type="text" value="21044"/> <input type="text"/>	<input type="text" value="555-555-5555"/>

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Step 9: Now you will be asked to review the information you have entered. To correct any errors, select the “Back” button at the bottom of the page to return to previous pages and make your corrections. If the information is correct, select the “Submit” button.

Figure 124: Review and Submit Profile Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home | Review and Dispute | Manage Physicians | **My Profile** | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ✓ Confirm Physician
- ✓ Confirm Nomination
- ✓ Authorized Representative
- 5 Review and Submit Profile**

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Review and Submit Profile

Review the information on this page to ensure it is correct. Select the “Back” button to navigate to the previous pages to correct any invalid information. Select the “Continue” button to submit your profile.

Authorized Representative Information

First Name: Tom
Last Name: Smith
Middle Name:
Suffix (Jr., Sr., etc.):
Business Telephone Number: 222-222-2222
Business Email Address: openpayments2@yopmail.com
Job Title: Manager
Practice Business Address, Line 1: 7500 Security Blvd.
Practice Business Address, Line 2:
City Name: Baltimore
State: MD
Zip Code: 21244
Country: US

Cancel | Back | **Submit**

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 125: Success Confirmed Page



3.5e: Accepting or Rejecting a Nomination (Teaching Hospital – First Time System Users)

If you have been nominated for a teaching hospital user role, you will receive a notification email. Take note of the information in the email, including registration ID and nomination ID, then follow the steps below to accept the nomination.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. The on-screen text contains important information regarding the registration process. Read the on-screen text and select “Create My Profile” when you are ready to begin the registration process.

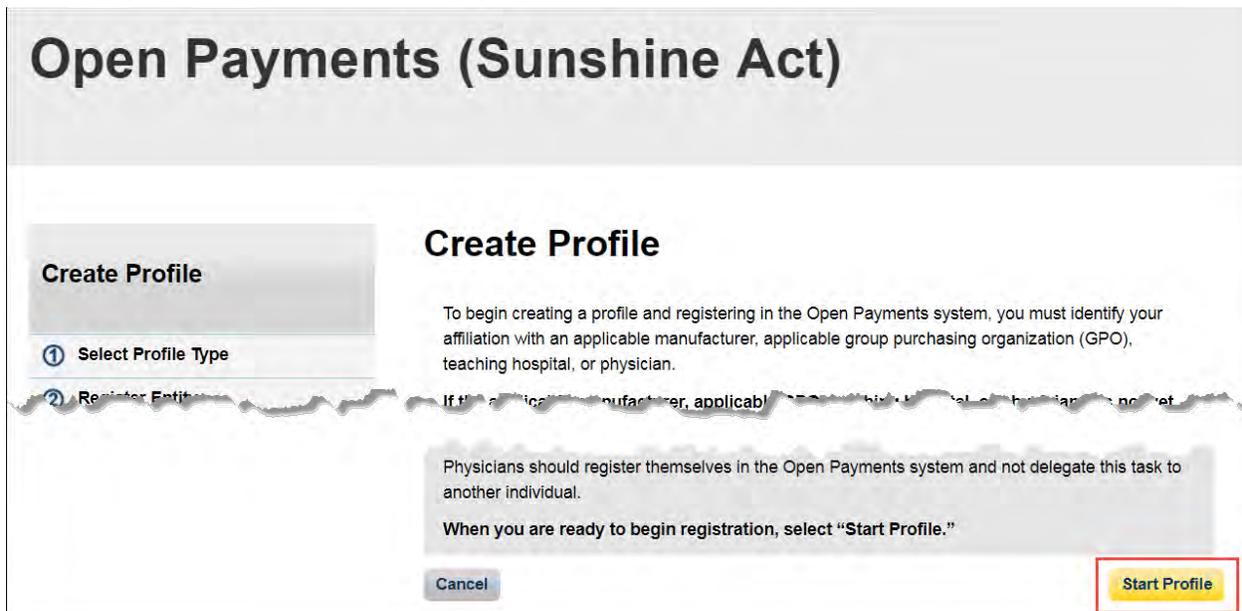
Figure 126: Open Payments Landing Page For First-Time System Users



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Step 2: The on-screen text contains important information regarding creating the physician and individual profiles. Read the on-screen text and select the link to the quick reference guide “Required Fields for Registration” for a list of information required during registration. Select “Start Profile” at the bottom of the page when you are ready to continue.

Figure 127: Create Profile Page



Step 3: On the “Select Profile Type” page, though you are affiliating with a teaching hospital, do not select “Teaching Hospital.” Instead, select the link at the bottom of the page, “I have a Nomination ID and/or a Registration ID.”

Figure 128: Select Profile Type Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Register Entity
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Select Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or a registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating below whether you are a physician or are affiliated with an applicable manufacturer or applicable GPO, teaching hospital, or physician.

Note: If you are a physician who is affiliated with a teaching hospital and wish to view payments made directly to you, you should register yourself as a physician and not as a teaching hospital. Registering as a teaching hospital user will allow you to view only records submitted to the teaching hospital.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

***Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID" link.**

Applicable manufacturer or applicable group purchasing organization (GPO)

Physician

Teaching hospital

----- OR -----

[I have a Nomination ID and/or a Registration ID](#)

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Step 4: Select the user type “Teaching Hospital” from the drop-down menu. Enter the registration ID and nomination ID that you received in the nomination notification email and then select “Show Nomination.”

Figure 129: Search for Nomination Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- 2 Register Entity
- Search Nomination**
- Entity Information
- Confirm Nomination
- 3 Personal Information
- 4 Review and Submit Profile

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Teaching Hospital

***Registration ID:**
The ID is a 12-digit number
100000080198

***Nomination ID:**
The ID is a 12-digit number
660165971257

Show Nomination

Cancel

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Step 5: Review the nomination information displayed. If the information is correct, select “Continue.” If the information is incorrect, select the “Cancel” button and contact the Open Payments Help Desk at openpayments@cms.hhs.gov.

Figure 130: Search for Nomination Select Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Register Entity
- Search Nomination**
 - Entity Information
 - Confirm Nomination
- Personal Information
- Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Teaching Hospital

***Registration ID:** The ID is a 12-digit number
100000080109

***Nomination ID:** The ID is a 12-digit number
660165971257

Show Nomination

Below is the information associated with the nomination.
Select "Continue" if the information displayed on the screen is correct.
Select "Cancel" to return to the previous page if the information displayed is incorrect.

Joe Miller was nominated as Authorized Representative for

User Type: Teaching Hospital

Teaching Hospital Doing Business As Name: ABCDE Teaching Hospital

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Step 6: Select “Yes, I accept the role of” if you wish to accept the role or “No, I do not accept” if you do not wish to accept the role. If you accept the role and select “Continue,” you will continue the nomination confirmation process.

If you reject the role for which you have been nominated, select the “Continue” button after selecting “No, I do not accept” for the role. The system will ask you if you are sure you want to reject this role. If you confirm your choice to reject the role, you will exit the system and the nominator will receive an email that you rejected the nomination. The nominator will then be allowed to nominate another individual for the role.

Figure 131: Confirm Nomination Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
 - Search Nomination
 - Confirm Nomination**
- ③ Personal Information
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Confirm Nomination

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

XYZ Teaching Hospital

7500 Security Blvd
Baltimore, MD 21244

TIN: 111111111

***Confirm Nomination:**

Yes, I accept the role of **Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

No, I do not accept

Cancel **Back** **Continue**

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Step 7: Review your personal information. If the information displayed is correct, select the “Continue” button. If the information displayed is incorrect, update the necessary fields. When finished, select the “Continue” button.

Figure 132: Personal Information Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Personal Information**
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name:	Tom	*Last Name:	Miller
Middle Name:		Suffix (Jr., Sr., etc.):	

Business Address

*Job Title:	Office Manager		
*Business Email Address:	openpayments5@yopmail.com	*Business Telephone Number:	999-999-9999 222-222-2222
*Business Address, Line 1:	7500 Security Blvd.		
Business Address, Line 2:			
*City Name:	Baltimore	*State:	Maryland
*Zip Code:	99999	9999	21244

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The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 134: Success Confirmed Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ✓ Personal Information
- ✓ Review and Submit Profile

Success Confirmed

You have successfully accepted your affiliation with Kennedy Krieger and created your own profile, Tom Miller

You may now:

- Go to [Open Payments Home](#)

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

Note: Based on your user role, you now will be able to take actions related to this teaching hospital in the system.

- Access the Open Payments User Guide** [PDF, 37.3 MB]
- Need help with the website?** [Contact Us](#) by email
- Review the Open Payments Privacy Policy** [PDF, 102 KB]

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3.5f: Accepting or Rejecting a Nomination (Teaching Hospital – Returning System Users)

If you have been nominated for a teaching hospital user role you will receive a notification email. Take note of the information in the email, including registration ID and nomination ID, then follow the steps below to accept the nomination.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the “My Profile” tab.

Figure 135: Open Payments Landing Page For Returning Teaching Hospital users.

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-9366. For the TTY line, call 1-844-649-2765.

You can manage your user profile and perform the actions associated with your profile.

Access the Open Payments User Guide [PDF]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: Select “My Roles and Nominations.”

Figure 136: My Profile Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals **My Profile** Utilities Messages Resources

My Profile

Tom Miller

Overview My Profile Details **My Roles & Nominations**

The page contains information about your profile and user roles.
An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

Profile Name
Tom Miller

Your Authorized Roles

Role:	Name:	Status:
Authorized Representative	XYZ Teaching Hospital	Accepted

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

Step 3: Select “Accept/Reject Nominations.”

Figure 137: My Profile Page My Roles and Nominations

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

My Profile

Tom Miller

Overview My Profile Details **My Roles & Nominations**

Below are the user roles for each teaching hospital with which you are affiliated. You have the option to accept roles for which you have been nominated or request additional roles for each teaching hospital(s) with which you are affiliated.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

My Roles

Role:	Name:	Status:	Actions:
Authorized Representative	XYZ Hospital	Accepted	Modify

[Accept/Reject Nominations](#) [Request a Role](#)

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? [Contact Us](#) by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

Step 4: Select your user type from the drop-down and enter the registration ID and nomination ID in the appropriate fields. When complete, select “Show Nomination.”

Figure 138: Search for Nomination Page Show Nomination

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ② Register Entity
 - Search Nomination**
 - Entity Information
 - Confirm Nomination
- ③ Personal Information
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

***User Type**
Teaching Hospital

***Registration ID:** The ID is a 12-digit number
10000080198

***Nomination ID:** The ID is a 12-digit number
154799636088

Show Nomination

Cancel

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Step 5: Review the nomination information displayed. If the information is correct, select the “Continue” button. If the information is incorrect, select the “Cancel” button and contact the teaching hospital directly.

Figure 139: Search for Nomination Page Continue

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Register Entity
- Search Nomination**
- Entity Information
- Confirm Nomination
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Note: nominees for physician authorized representatives receive only Nomination ID numbers.

Select the "Show Nomination" button to see the information associated with your nomination.

*User Type: Teaching Hospital

*Registration ID: The ID is a 12-digit number
100000080109

*Nomination ID: The ID is a 12-digit number
660165971257

Show Nomination

Below is the information associated with the nomination.
Select "Continue" if the information displayed on the screen is correct.
Select "Cancel" to return to the previous page if the information displayed is incorrect.

Joe Miller was nominated as Authorized Representative for

User Type: Teaching Hospital

Teaching Hospital Doing Business As Name: ABCDE Teaching Hospital

Cancel | **Continue**

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Step 6: Select “Yes, I accept the role of” if you wish to accept the role or “No, I do not accept” if you do not wish to accept the role. If you accept the role and select the “Continue” button, you will continue the nomination confirmation process.

If you reject the role for which you have been nominated, select the “Continue” button after selecting “No, I do not accept” for the role. The system will ask you if you are sure you want to reject this role. If you confirm your choice to reject the role, you will exit the system and the nominator will receive an email that you rejected the nomination. The nominator will then be allowed to nominate another individual for the role.

Figure 140: Confirm Nomination Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
 - Search Nomination
 - Confirm Nomination**
 - ③ Personal Information
 - ④ Review and Submit Profile
- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Confirm Nomination

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

XYZ Teaching Hospital
7500 Security Blvd
Baltimore, MD 21244

TIN: 111111111

***Confirm Nomination:**

- Yes, I accept the role of **Authorized Representative**: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.
- No, I do not accept

Cancel | Back | **Continue**

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Step 7: Review your personal information and enter in any additional required fields. Select the “Continue” button to proceed.

Figure 141: Personal Information Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- 3 Personal Information**
- 4 Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? **Contact Us** by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name: Tom	*Last Name: Miller
Middle Name:	Suffix (Jr., Sr., etc.):

Business Address

*Job Title:
Office Manager

*Business Email Address: openpayments5@yopmail.com	*Business Telephone Number: 999-999-9999 222-222-2222
---	---

*Business Address, Line 1:
7500 Security Blvd.

Business Address, Line 2:

*City Name: Baltimore	*State: Maryland	*Zip Code: 99999 9999 21244
--------------------------	---------------------	-----------------------------------

Cancel | Back | **Continue**

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 143: Success Confirmed Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ✓ Personal Information
- ✓ Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Success Confirmed

You have successfully accepted your affiliation with Kennedy Krieger and created your own profile, Tom Miller

You may now:

- Go to [Open Payments Home](#)

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

Note: Based on your user role, you now will be able to take actions related to this teaching hospital in the system.

3.5g: Self-Nomination: Requesting a Role (Teaching Hospital - First Time System Users)

If you are a first-time user and would like to self-nominate for a teaching hospital role as an authorized official or authorized representative, follow the steps below.

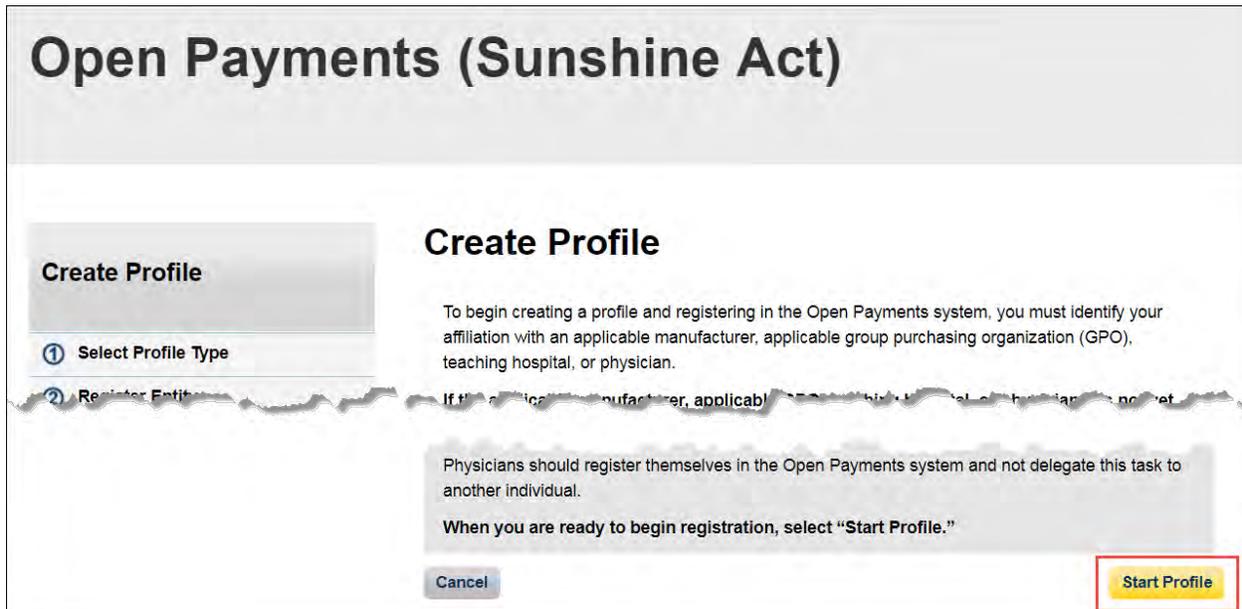
Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. The on-screen text contains important information regarding the registration process. Read the on-screen text and select “Create My Profile” when you are ready to begin the registration process.

Figure 144: Open Payments Landing Page For First-Time Teaching Hospital User



Step 2: The on-screen text contains important information regarding creating the physician and individual profiles. Read the on-screen text and select the link to the quick reference guide “Required Fields for Registration” for a list of information required during registration. Select “Start Profile” at the bottom of the page when you are ready to continue.

Figure 145: Create Profile Page



Step 3: Select the profile type "Teaching Hospital." When finished, select the "Continue" button.

Figure 146: Select Profile Type Page

Open Payments (Sunshine Act)

Create Profile

- 1 Select Profile Type
- 2 Register Entity
- 3 Personal Information
- 4 Review and Submit Profile

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

Select Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or a registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating below whether you are a physician or are affiliated with an applicable manufacturer or applicable GPO, teaching hospital, or physician.

Note: If you are a physician who is affiliated with a teaching hospital and wish to view payments made directly to you, you should register yourself as a physician and not as a teaching hospital. Registering as a teaching hospital user will allow you to view only records submitted to the teaching hospital.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

***Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID" link.**

Applicable manufacturer or applicable group purchasing organization (GPO)

Physician

Teaching hospital

----- OR -----

[I have a Nomination ID and/or a Registration ID](#)

Cancel Continue

Step 4: Search the database for the correct teaching hospital. Select the teaching hospital's state, name, business address, and Taxpayer Identification Number (TIN) from the drop-downs. When finished, select the "Search" button.

Figure 147: Teaching Hospital Search Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

***State Where Teaching Hospital is Located:**
Maryland

***Teaching Hospital Doing Business As Name:**
ABCDE Teaching Hospital

***Taxpayer Identification Number (TIN):**
123456789

***Teaching Hospital Business Address:**
7500 Security Blvd Baltimore MD 21244

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Step 5: Review the returned information displayed on the page. Select the “Continue” button if the information displayed is correct. If the information is not correct, select the “Back” button at the bottom of the page to return to the previous page and edit the search information you entered.

Figure 148: Teaching Hospital Search Page Search Results

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the “Search” button. If the teaching hospital is not listed in the search results, select the “Register for Prior Program Year” link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located:
Maryland

*Teaching Hospital Doing Business As Name:
ABCDE Teaching Hospital

*Taxpayer Identification Number (TIN):
123456789

*Teaching Hospital Business Address:
7500 Security Blvd Baltimore MD 21244

Search

Teaching Hospital Search Results

This teaching hospital is already registered in the Open Payments system:

→ ABCDE Teaching Hospital
7500 Security Blvd
Baltimore, MD 21244
TIN: 123456789

If this is the correct teaching hospital, select the “Continue” button to affiliate yourself with it. If this is not the teaching hospital you wish to affiliate yourself with, change the search criteria above to search for another teaching hospital.

Cancel **Back** **Continue**

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Step 6: Review the information displayed on the page. If the information is correct, select the “Continue” button. If the information is not correct, select the “Back” button at the bottom of the page to select a different teaching hospital.

Figure 149: Register Teaching Hospital Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
- Register Teaching Hospital Your Role**
- ③ Personal Information
- ④ Review and Submit Profile

Teaching Hospital: Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

To accept or reject your nominated role(s), select the corresponding radio button below, then select the “Continue” button to continue the registration process. To change your search criteria and find a different teaching hospital, select the “Back” button.

ABCDE Teaching Hospital

Business Address: 7500 Security Blvd Baltimore, MD 21244	Taxpayer Identification Number (TIN): 123456789
---	---

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

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Step 7: Select the role you wish to nominate yourself for in the teaching hospital. Note that as you are not registering the teaching hospital, you may select either role. After you have chosen your role, enter in the role-related information in the data fields. Required fields are marked with an asterisk. Once you have entered in all of the information, select the “Continue” button.

Figure 150: Teaching Hospital Your Role Page

Open Payments (Sunshine Act)

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Register Teaching Hospital
Your Role
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Your Role

A field with an asterisk (*) is required.

Select the role for which you want to nominate yourself with the teaching hospital.

***Choose your role:**

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Your Role-Related Information

Enter your personal information below.

*First Name: Karen	Middle Name:
*Last Name: Miller	Suffix (Jr., Sr., etc.):
*Email Address: openpayments4@yopmail.com	*Business Phone: 999-999-9999 666-666-6666

Cancel Back **Continue**

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Step 8: Review your information displayed on the page. Enter your job title and business address. When finished, select the “Continue” button.

Figure 151: Personal Information Page

Open Payments (Sunshine Act)

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ③ **Personal Information**
- ④ Review and Submit Profile

- ➔ Access the Open Payments User Guide [PDF, 37.3 MB]
- ❓ Need help with the website? [Contact Us](#) by email
- 🔒 Review the Open Payments Privacy Policy [PDF, 102 KB]

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will **not** automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Enterprise Identity Management (EIDM) accounts.

Your Name

*First Name:	Karen	*Last Name:	Miller
Middle Name:		Suffix (Jr., Sr., etc.):	

Business Address

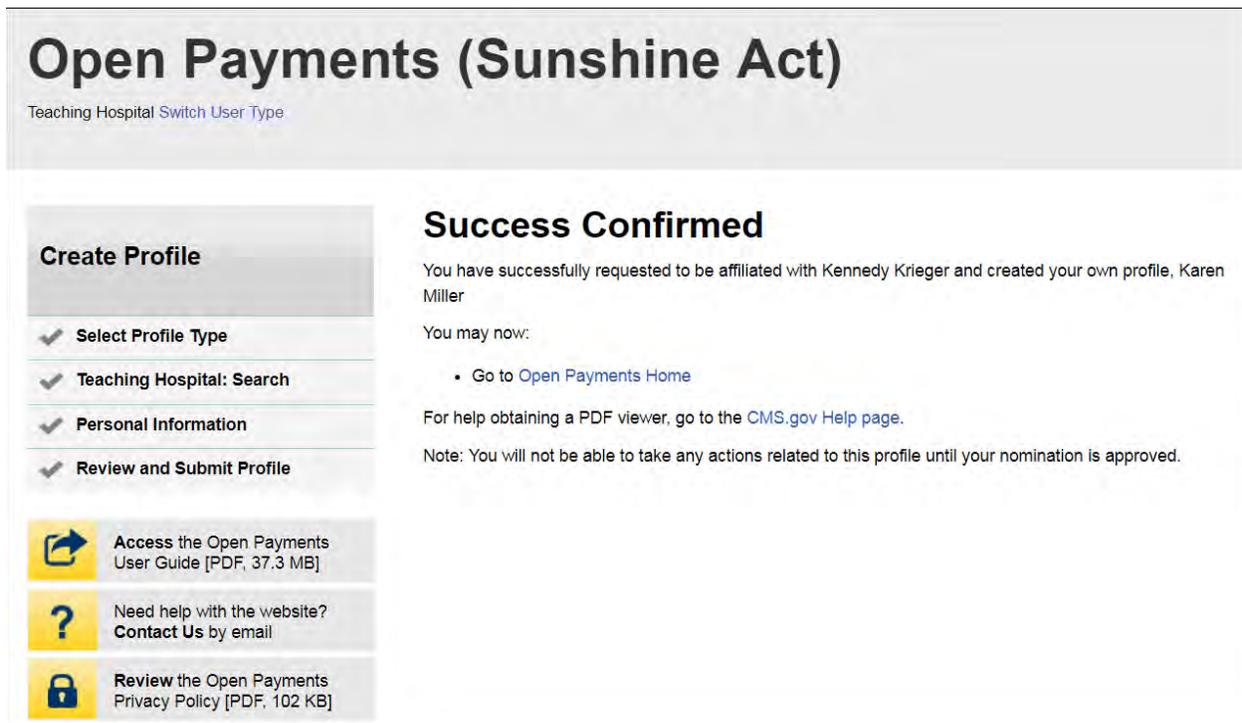
*Job Title:	Office Manager		
*Business Email Address:	openpayments4@yopmail.com	*Business Telephone Number:	999-999-9999 666-666-6666
*Business Address, Line 1:	7500 Security Blvd		
Business Address, Line 2:			
*City Name:	Baltimore	*State:	Maryland
*Zip Code:	99999	9999	21244

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The following message will appear on-screen to confirm your profile has been successfully created. You will receive an email when your nomination is approved. Also, the authorized officials for the teaching hospital will receive emails notifying them of your request for a user role.

If you are nominating yourself for a role with a teaching hospital that has already been registered but which lacks an active authorized official to approve your self-nomination, please contact the Open Payments Help Desk at openpayments@cms.hhs.gov.

Figure 153: Success Confirmation Page



Open Payments (Sunshine Act)

Teaching Hospital [Switch User Type](#)

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ✓ Personal Information
- ✓ Review and Submit Profile

-  Access the Open Payments User Guide [PDF, 37.3 MB]
-  Need help with the website? [Contact Us](#) by email
-  Review the Open Payments Privacy Policy [PDF, 102 KB]

Success Confirmed

You have successfully requested to be affiliated with Kennedy Krieger and created your own profile, Karen Miller

You may now:

- Go to [Open Payments Home](#)

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

Note: You will not be able to take any actions related to this profile until your nomination is approved.

Open Payments User Guide_for_Covered_Recipients_February-2021_IDM_Draft

3.5h: Self-Nomination: Requesting a Role (Teaching Hospital - Returning System Users)

If you would like to self-nominate for a teaching hospital role as an authorized official or authorized representative, and already have a profile in the Open Payments system, follow the steps below.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select the “My Profile” tab.

Figure 154: Open Payments Landing Page For Returning Teaching Hospital User

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

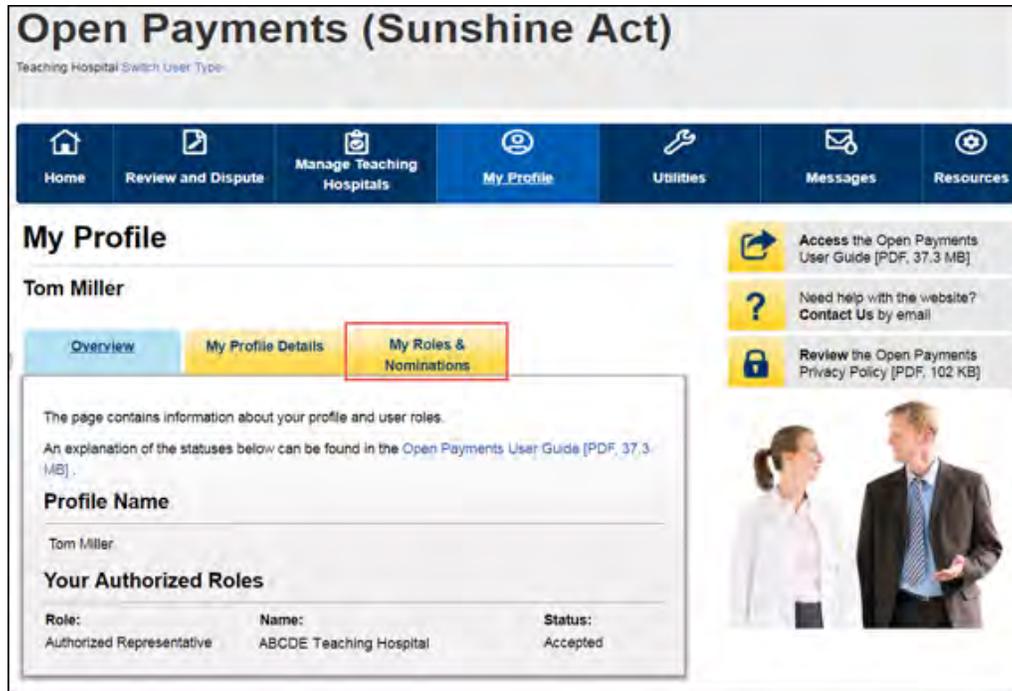
Access the Open Payments User Guide [PDF]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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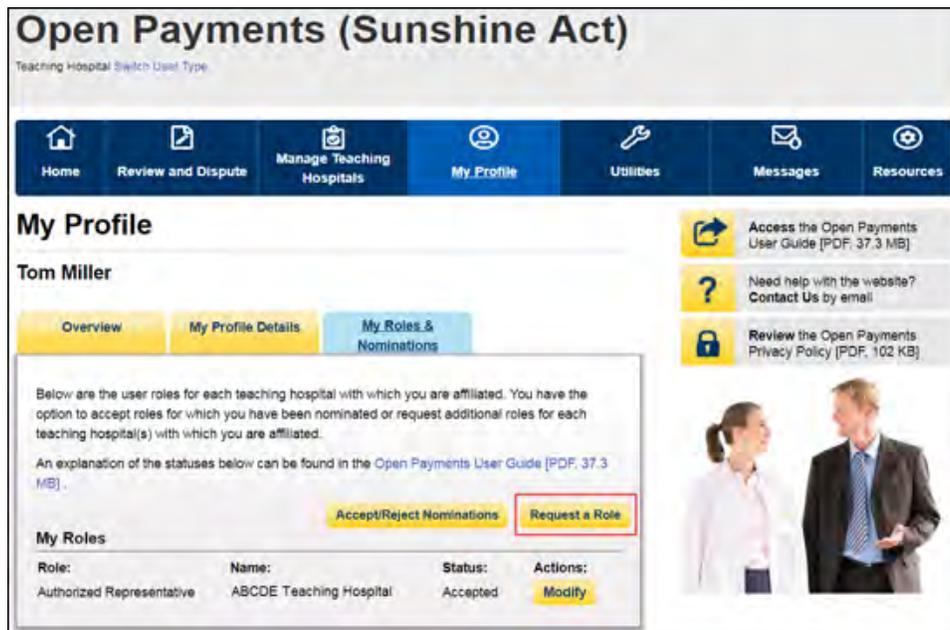
Step 2: Select the “My Roles and Nominations” tab.

Figure 155: My Profile Page



Step 3: From the “My Roles and Nominations” tab, select the “Request a Role” button.

Figure 156: My Profile Page My Roles and Nominations Tab



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Step 4: Search the database for the correct teaching hospital. To do so, select the teaching hospital's state, name, business address, and Taxpayer Identification Number (TIN) from the drop-downs. When finished, select the "Search" button.

Figure 157: Teaching Hospital Search Page Search Fields

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? Contact Us by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources](#) page of the [Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

***State Where Teaching Hospital is Located:**
Maryland

***Teaching Hospital Doing Business As Name:**
ABCDE Teaching Hospital

***Taxpayer Identification Number (TIN):**
123456789

***Teaching Hospital Business Address:**
7500 Security Blvd Baltimore MD 21244

Search

Cancel Back

Open Payments User Guide_for_Covered_Recipients_February- 2021_IDM_Draft

Step 5: Review the returned information displayed on the page. Select the “Continue” button if the information displayed is correct. If the information is not correct, select the “Back” button at the bottom of the page to return to the previous page and edit the search information you entered.

Figure 158: Teaching Hospital Search Page Continue

Open Payments (Sunshine Act)

Teaching Hospital [Switch User Type](#)

- Home
- Review and Dispute
- Manage Teaching Hospitals
- My Profile**
- Utilities
- Messages
- Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search**
- Personal Information
- Review and Submit Profile

- Access the Open Payments User Guide [PDF, 37.3 MB]
- Need help with the website? **Contact Us** by email
- Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Search

A field with an asterisk (*) is required.

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

*State Where Teaching Hospital is Located:
Maryland

*Teaching Hospital Doing Business As Name:
ABCDE Teaching Hospital

*Taxpayer Identification Number (TIN):
123456789

*Teaching Hospital Business Address:
7500 Security Blvd Baltimore MD 21244

Search

Teaching Hospital Search Results

This teaching hospital is already registered in the Open Payments system:

→ ABCDE Teaching Hospital
7500 Security Blvd
Baltimore, MD 21244
TIN: 123456789

If this is the correct teaching hospital, select the "Continue" button to affiliate yourself with it. If this is not the teaching hospital you wish to affiliate yourself with, change the search criteria above to search for another teaching hospital.

Cancel **Back** **Continue**

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Step 6: Review the teaching hospital information. If the information is correct, select the “Continue” button. If the information is not correct, select the “Back” button at the bottom of the page to select a different teaching hospital.

Figure 159: Register Teaching Hospital Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

Create Profile

- Select Profile Type
- Teaching Hospital: Search
- Register Teaching Hospital Your Role**
- Personal Information
- Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

To accept or reject your nominated role(s), select the corresponding radio button below, then select the “Continue” button to continue the registration process. To change your search criteria and find a different teaching hospital, select the “Back” button.

ABCDE Teaching Hospital

Business Address: 7500 Security Blvd Baltimore, MD 21244	Taxpayer Identification Number (TIN): 123456789
---	---

Cancel | Back | **Continue**

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Step 7: Select the role you wish to nominate yourself for in the teaching hospital. Note that as you are not registering the teaching hospital, you may select either role. After you have chosen your role, enter in the role-related information in the data fields. Required fields are marked with an asterisk. Once you have entered in all of the information, select the “Continue” button.

Figure 160: Teaching Hospital Your Role Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ② Teaching Hospital: Search
- Register Teaching Hospital
- Your Role**
- ③ Personal Information
- ④ Review and Submit Profile

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

Teaching Hospital: Your Role

A field with an asterisk (*) is required.

Select the role for which you want to nominate yourself with the teaching hospital.

***Choose your role:**

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Your Role-Related Information

Enter your personal information below.

*First Name: Karen	Middle Name:
*Last Name: Miller	Suffix (Jr., Sr., etc.):
*Email Address: openpayments4@yopmail.com	*Business Phone: 999-999-9999 666-666-6666

Cancel | Back | **Continue**

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The following message will appear on-screen to confirm your profile has been successfully created. You will receive an email when your nomination is approved. Also, the authorized officials for the teaching hospital will receive emails notifying them of your request for a user role.

If you are nominating yourself for a role with a teaching hospital that has already been registered but which lacks an active authorized official to approve your self-nomination, please contact the Open Payments Help Desk at openpayments@cms.hhs.gov.

Figure 162: Success Confirmation Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | **My Profile** | Utilities | Messages | Resources

Create Profile

- ✓ Select Profile Type
- ✓ Teaching Hospital: Search
- ✓ Personal Information
- ✓ Review and Submit Profile

Success Confirmed

You have successfully requested to be affiliated with Kennedy Krieger and created your own profile, Karen Miller

You may now:

- Go to [Open Payments Home](#)

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

Note: You will not be able to take any actions related to this profile until your nomination is approved.

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)

[Need help with the website? Contact Us by email](#)

[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

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3.5i: Approving or Modifying a Nomination (Authorized Official Only)

If you are an authorized official for a teaching hospital and would like to approve or modify a nomination, follow the steps below.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials and navigate to the Open Payments home page. Select “Manage Teaching Hospitals.”

Figure 163: Open Payments Landing Page For Returning Teaching Hospital User

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

- [Access the Open Payments User Guide \[PDF\]](#)
- [Need help with the website? Contact Us by email](#)
- [Review the Open Payments Privacy Policy \[PDF\]](#)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: On the “Manage Teaching Hospitals” page, select the teaching hospital for which you want to confirm a nomination.

Figure 164: Manage Teaching Hospitals Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

Manage Teaching Hospitals

Below are the teaching hospitals with which you are affiliated. To view details and manage user roles, select a teaching hospital from the list below. You may register a new teaching hospital by selecting the "Register New Teaching Hospital" button.

[Register New Teaching Hospital](#)

Your Teaching Hospitals

Doing Business As (DBA) Name:	TIN:	Address:	Status:
ABCDE Teaching Hospital	123456789	7500 Security Blvd Baltimore, MD 21244	Registered

Access the Open Payments User Guide (PDF, 37.3 MB)
Need help with the website? [Contact Us](#) by email
Review the Open Payments Privacy Policy (PDF, 102 KB)

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Step 3: Select the “Manage Roles” tab.

Figure 165: Teaching Hospital Information Page

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

Home
Review and Dispute
Manage Teaching Hospitals
My Profile
Utilities
Messages
Resources

XYZ Teaching Hospital

Teaching Hospital Information

Manage Roles

Below are the details for the teaching hospital you selected, listed by program year.

XYZ Teaching Hospital

Taxpayer Identification Number (TIN): 11-1231234

Teaching Hospital History

Program Year	TIN	DBA Name	Legal Name	Cost Report Address	NPPE Address
2018	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2017	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2016	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2015	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2014	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244
2013	11-1231234	XYZ Teaching Hospital	XYZ Teaching Hospital	7500 Security Blvd Baltimore MD 21244	7500 Security Blvd Baltimore MD 21244

- Access** the Open Payments User Guide [PDF, 28.8 MB]
- Need help with the website? **Contact Us** by email
- Review** the Open Payments Privacy Policy [PDF, 102 KB]

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Step 4: To approve a nomination, select the “Approve” button next to a nominee’s name. The nominee will receive an email notification informing them of the acceptance of their nomination.

Figure 166: Teaching Hospital Manage Roles Tab

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

ABCDE Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Requested	Approve
Tom Miller	Authorized Representative	Accepted	Modify Deactivate User
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify
Susan Jones	Authorized Representative	Nomination Approved	Modify

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? [Contact Us](#) by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

Figure 167: Teaching Hospital Manage Roles Tab Confirmation

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

ABCDE Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Confirmation:

- The selected user, Karen Miller, has been approved for the Authorized Official role.

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Approved	Modify Deactivate User
Tom Miller	Authorized Representative	Accepted	Modify Deactivate User
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify
Susan Jones	Authorized Representative	Nomination Approved	Modify

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

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Step 4a: To modify a nomination, select the “Modify” button next to a nominee’s name. This will allow you to change the individual’s information and/or role. When modifications are complete, select “Save Role.”

Figure 168: Teaching Hospital Manage Roles Tab Modify Roles

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

ABCDE Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Select the “Nominate New Role” button to nominate a new individual to fill a role for your teaching hospital. Select the “Modify” button to change the role of an existing hospital. Select the “Deactivate User” button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Requested	Approve
Tom Miller	Authorized Representative	Accepted	Modify Deactivate User
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify
Susan Jones	Authorized Representative	Nomination Approved	Modify

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? [Contact Us](#) by email.
Review the Open Payments Privacy Policy [PDF, 102 KB]

Note that you cannot remove all user roles from a nominee.

Figure 169: Modify Role Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

ABCDE Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Modify Role

Nominee

A field with an asterisk (*) is required.

Make any edits to the person's role below. The person will be notified of changes in his or her role.

*First Name: Tom | Middle Name: | *Last Name: Smith | Suffix (Jr., Sr., etc.): | *Email Address: openpayments1@yopmail.com | *Business Phone: 999-999-9999 / 555-555-5555

*Indicate the role this person will have:

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address

Business Address, Line 1: 7500 Security Blvd.

Business Address, Line 2:

City Name: Baltimore | State: Maryland | Zip Code: 99999 21244 9999

Cancel | **Save Role**

Access the Open Payments User Guide [PDF, 37.3 MB] | Need help with the website? Contact Us by email | Review the Open Payments Privacy Policy [PDF, 102 KB]

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Step 5: You will now see an updated list of nominations on the “Manage Roles” tab.

Figure 170: Teaching Hospital Manage Roles Tab Confirmation

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home Review and Dispute **Manage Teaching Hospitals** My Profile Utilities Messages Resources

ABCDE Teaching Hospital

Teaching Hospital Information **Manage Roles**

Confirmation:

- You have successfully modified a user role.

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Vetted	Modify
Joe Miller	Authorized Representative	Nomination Approved	Modify
Tom Smith	Authorized Official	Nomination Approved	Modify

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? [Contact Us](#) by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

3.5j: Deactivating a User

A user holding the role of authorized official with a teaching hospital can deactivate other individuals' user roles with that teaching hospital, including other authorized officials. Deactivation removes an individual's association with a teaching hospital in the Open Payments system, including removing the individual's access to that teaching hospital's information and records. It does not remove the individual from the Open Payments system.

You cannot deactivate yourself. If you wish to be deactivated, contact the Open Payments Help Desk.

Only active users can be deactivated; individuals who have not yet accepted or rejected a user role nomination cannot be deactivated, nor can individuals who have self-nominated for a user role but their nomination has not yet been approved or denied.

To deactivate an individual's user role, follow these steps.

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Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “Manage Teaching Hospitals” tab from the menu bar on the Open Payments home page.

Step 2: You will see a list of all of the teaching hospitals you are associated with. Select the hyperlink for the teaching hospital for which you will be deactivating a user.

Step 3: Select the “Manage Roles” tab.

Step 4: Select the “Deactivate User” button associated with name of the individual’s name

Figure 171: Teaching Hospital Manage Roles Tab Deactivate User

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | **Manage Teaching Hospitals** | My Profile | Utilities | Messages | Resources

ABCDE Teaching Hospital

Teaching Hospital Information | **Manage Roles**

Select the "Nominate New Role" button to nominate a new individual to fill a role for your teaching hospital. Select the "Modify" button to change the role of an existing hospital. Select the "Deactivate User" button to remove an active user completely from your teaching hospital. Note: an authorized official may modify or deactivate another authorized official but cannot deactivate themselves from the teaching hospital.

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

[Nominate New Role](#)

Name:	Role:	Status:	Actions:
Karen Miller	Authorized Official	Requested	Approve
Tom Miller	Authorized Representative	Accepted	Modify Deactivate User
Karen Miller	Authorized Official	Vetted	Modify
Susan Jones	Authorized Official	Nomination Approved	Modify
Susan Jones	Authorized Representative	Nomination Approved	Modify

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[Review the Open Payments Privacy Policy \[PDF, 102 KB\]](#)

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Step 5: The Open Payments system will ask you to confirm the deactivation. Select the “Continue” button to confirm deactivation or the “Cancel” button to return to the Manage Roles page with no action taken. If you confirm deactivation, the Open Payments system will send emails to you and the individual being deactivated that confirms the individual’s user role deactivation.

Figure 172: Teaching Hospital Manage Roles Tab- Confirmation Page



Section 3.6: Updating Profile Information in the Open Payments System

Physician and teaching hospital profiles can be kept current by updating them as information changes. The steps for profile updates are given below.

3.6a: Updating a Physician Profile as an Authorized Representative

For a physician's authorized representative who holds the level of access of "Modify Profile" to edit their physician's profile, follow these steps.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the "Manage Physicians" tab.

Figure 173: Open Payments Landing Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home Review and Dispute **Manage Physicians** My Profile Messages Resources

Welcome to the Open Payments System

Announcements

Program Year 2017 General Information -
Review and dispute functionality in the Open Payments system is unavailable until April 2018. CMS will announce the beginning of the review and dispute period via the Open Payments email updates.

Covered Recipients may register or affiliate themselves with a teaching hospital, accept or request nominations, and review their profile information. Resources to complete these actions are located on the Resources page of the Open Payments website.

You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

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- Review the Open Payments Privacy Policy [PDF, 102 KB]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: Select the physician for which you wish to make updates on the “Manage Physicians” page.

Figure 174: Manage Physicians Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home Review and Dispute **Manage Physicians** My Profile Messages Resources

Manage Physicians

Looking for a way to register a reporting entity or teaching hospital?
[>> Go to Switch User Type](#)

Physicians You Represent

Any physicians who have listed you as an authorized representative are shown below. Depending on the access level the physician gives to you, your ability to take actions on their behalf might be limited. You can always view the physician's profile or see the records reported for them. If allowed, you might also be able to update the physician's profile or to dispute reported payments, other transfers of value, or ownership and investment interests.

Physicians who have approved you as an authorized representative

Name: Karen Miller	Date Confirmed: 11/03/2017
--	--------------------------------------

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Figure 175: Manage Physicians Page- Physician Selected

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

Home Review and Dispute **Manage Physicians** My Profile Messages Resources

Karen Miller

Overview **Physician's Profile Details** Physician's Roles

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

Profile Name

Karen Miller	Medical Doctor	Verified 12/12/2016
--------------	----------------	------------------------

Physician's Roles

Role:	Name:	Status:
Physician		Vetted

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Step 3: Select the “Physician’s Profile Details” tab to view the profile information for the selected physician. You will be able to view the physician’s personal information, physician information, and the physician’s authorized representative information. Select “Update Profile” to begin making updates.

Figure 176: Manage Physicians Page Physician's Profile Details Tab

Open Payments (Sunshine Act)

Physician [Switch User Type](#)

[Home](#) [Review and Dispute](#) [Manage Physicians](#) [My Profile](#) [Messages](#) [Resources](#)

Karen Miller

[Overview](#) [Physician's Profile Details](#) [Physician's Roles](#)

The physician's profile information is shown below. [Update Profile](#)

Personal Information

Name: Karen Miller
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 555-555-5555
Physician Practice Name:
Physician Practice Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 111111111
Drug Enforcement Administration (DEA) Number:
Taxonomy Codes:
Allopathic & Osteopathic Physicians|Pathology|Forensic Pathology
State Licenses:
Maryland 1234

Physician's Authorized Representative

Authorized Representative: Tom Smith
Business Email Address: openpayments2@yopmail.com
Business Telephone Number: 222-222-2222
Job Title: Manager
Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US

Access Level:
Edit Physician profile
Review Data (Read-Only)
Dispute Data

[Access the Open Payments User Guide \[PDF, 37.3 MB\]](#)
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Step 4: Update the necessary information. When your updates are complete, select “Save Updates.”

Figure 177: Manage Physicians page Physician's Profile Details Tab Update Profile

The screenshot shows the 'Open Payments (Sunshine Act)' interface. At the top, there is a navigation bar with icons for Home, Review and Dispute, Manage Physicians (highlighted), My Profile, Messages, and Resources. Below this is a sub-navigation bar with 'Overview', 'Physician's Profile Details' (highlighted), and 'My Roles & Nominations'. The main content area is titled 'Personal Information' and contains a form with the following fields: First Name (Thomas), Middle Name, Last Name (Carnaggio), and Suffix (Jr., Sr., etc.). Below these are fields for Country (United States), Business Address, Line 1 (5700 Security Blvd), Business Address, Line 2, City Name (Baltimore), State (Maryland), Zip Code (99999 9999, 21044), and Business Telephone Number (999-999-9999, 555-555-5555). A 'Cancel' button is on the left and a 'Save Updates' button is on the right. To the right of the form, there are three utility links: 'Access the Open Payments User Guide [PDF, 37.3 MB]', 'Need help with the website? Contact Us by email', and 'Review the Open Payments Privacy Policy [PDF, 102 KB]'. A small image of a man and a woman in business attire is also visible on the right side of the page.

Note: Any updates made to the physicians profile will cause the physician to be re-vetted. Once the updated information has been re-vetted, both the physician and authorized official will be able to perform the necessary actions in the Open Payments system. See Section 3.3c for information on physician vetting.

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3.6b: Updating Physician or Physician Authorized Representative Personal Profile

As a user of the Open Payments system, you have the ability to update your personal profile information. You will be able to update your name, business email address, business telephone number, job title, and business address. Steps for updating your profile are explained below.

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “My Profile” tab.

Figure 178: Open Payments Landing Page For Returning Physician Users

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home **Review and Dispute** **My Profile** **Messages** **Resources**

Welcome to the Open Payments System

You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

- [Access the Open Payments User Guide \[PDF\]](#)
- [Need help with the website? Contact Us by email](#)
- [Review the Open Payments Privacy Policy \[PDF\]](#)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: Select the “My Profile Details” tab. You will be able to view your existing profile information.

Figure 179: My Profile Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home Review and Dispute **My Profile** Messages Resources

My Profile

Karen Miller

Overview **My Profile Details** My Roles & Nominations

An explanation of the statuses below can be found in the [Open Payments User Guide \[PDF, 37.3 MB\]](#).

Profile Name

Karen Miller	Medical Doctor	Vetted 12/12/2016
--------------	----------------	----------------------

Your Roles

Role:	Name:	Role Status:
Physician	You	Vetted

Access the Open Payments User Guide [PDF, 37.3 MB]

Need help with the website? [Contact Us](#) by email

Review the Open Payments Privacy Policy [PDF, 102 KB]

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Step 3: Select “Update Profile” to begin making edits and/or updates.

Figure 180: My Profile Details Page

Open Payments (Sunshine Act)
Physician Switch User Type

Home Review and Dispute **My Profile** Messages Resources

My Profile

Karen Miller Medical Doctor

Overview **My Profile Details** My Roles & Nominations

To update your profile details, including adding or updating authorized representative information, select the “Update Profile” button.

Update Profile

Personal Information

Name: Karen Miller
Business Email Address: openpayments@yopmail.com
Business Telephone Number: 555-555-5555
Physician Practice Name:
Physician Practice Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US

Physician Information

Physician Primary Type: Medical Doctor
National Provider Identifier (NPI): 111111111
Drug Enforcement Administration (DEA) Number:
Taxonomy Codes:
Allopathic & Osteopathic Physicians(Pathology)Forensic Pathology
State Licenses:
Maryland XX-1234567890

Physician's Authorized Representative

Authorized Representative: Tom Smith
Business Email Address: openpayments2@yopmail.com
Business Telephone Number: 222-222-2222
Job Title: Manager
Business Address: 7500 Security Blvd.
Baltimore, MD 21045
US
Access Level:
Edit Physician profile
Review Data (Read-Only)
Dispute Data

Access the Open Payments User Guide [PDF, 37.3 MB]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

Step 4: Update fields as necessary. When changes are complete, select “Save Updates.”

The updated information will now appear on the “My Profile Details” page.

Figure 181: Update Profile Details Tab

The screenshot shows the 'Open Payments (Sunshine Act)' user interface. At the top, there is a navigation bar with icons for Home, Review and Dispute, My Profile (which is highlighted), Messages, and Resources. Below the navigation bar, the user's name 'Karen Miller' and title 'Medical Doctor' are displayed. There are three tabs: 'Overview', 'My Profile Details' (which is selected), and 'My Roles & Nominations'. On the right side, there are three utility links: 'Access the Open Payments User Guide [PDF, 37.3 MB]', 'Need help with the website? Contact Us by email', and 'Review the Open Payments Privacy Policy [PDF, 102 KB]'. The main content area is titled 'Update Profile' and includes a note: 'A field with an asterisk (*) is required.' Below this, there is a paragraph explaining that users can update their physician profile with new information, including NPI and state licenses, but cannot update their NPI once vetted. The section 'Nominated Physician Authorized Representatives Access Level' explains that this level determines actions on behalf of the physician and lists three access levels: 'Read' (selected), 'Modify Profile', and 'Dispute Records'. At the bottom of the form, there are 'Cancel' and 'Save Updates' buttons.

Open Payments (Sunshine Act)

Physician Switch User Type

Home Review and Dispute My Profile Messages Resources

My Profile

Karen Miller Medical Doctor

Overview My Profile Details My Roles & Nominations

Update Profile

A field with an asterisk (*) is required.

You may update your physician profile by entering the updated information below. You must provide your National Provider Identifier (NPI) if you have one. You must also provide all of your state licenses. Once your profile has been successfully vetted, you will not be able to update your NPI on your physician profile.

Nominated Physician Authorized Representatives Access Level

The authorized representative's access level will determine the actions he or she can take on behalf of the physician in the Open Payments system.

By default, the physician authorized representative can read any information in the physician's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. Physician authorized representatives cannot edit their access levels.

***Access Level:**

- Read - (Default access level) Able to see physician profile and records associated with the physician.
- Modify Profile - Able to edit or enter the physician's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records - Able to dispute records of payments, other transfers of value, and ownership and investment interests associated with the physician that were submitted by reporting entities.

Cancel Save Updates

Review and Dispute

Chapter 4: Review and Dispute for Physicians, Teaching Hospitals, and Principal Investigators

This chapter provides information on the review, dispute, and correction process for physicians, teaching hospitals, and physician principal investigators in the Open Payments system.

This chapter is divided into the following sections:

- **Review and Dispute Process Overview** provides information on the review and dispute process within the Open Payments system.
- **Reviewing Records** provides information on how physicians, teaching hospitals, and physician principal investigators can review data submitted about them by applicable manufacturers and applicable GPOs.
- **Affirming Records** provides information on how physicians, teaching hospitals, and physician principal investigators can affirm data submitted about them by applicable manufacturers and applicable GPOs.
- **Disputing Records** provides information on how physicians, teaching hospitals, and physician principal investigators can initiate disputes against data submitted about them by applicable manufacturers and applicable GPOs.
- **Withdrawing Disputes** provides information on how physicians, teaching hospitals, and physician principal investigators can withdraw disputes they have initiated against data submitted about them by applicable manufacturers and applicable GPOs.

This chapter covers the review and dispute functions for physicians, teaching hospitals, and physician principal investigators.

Section 4.1: Review and Dispute Process Overview

Physicians, teaching hospitals, and physician principal investigators registered in the Open Payments system may review data reported about them by applicable manufacturers and applicable GPOs in the Open Payments system record(s) that were submitted during that calendar year's submission period. This includes records submitted for the previous program year and any records submitted late from prior program years.

During a review, the reviewer may perform two optional actions:

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- If the reviewing physician, teaching hospital user, or physician principal investigator agrees that a record is accurate, they can **affirm** the record. Note: Affirmation is not a required action. Records that have not been affirmed will still be included in the next data publication.
- If the reviewing physician, teaching hospital user, or physician principal investigator believes a record is in error, they can **initiate a dispute** against the record.

These actions can be performed on one record at a time.

Physicians and teaching hospitals may dispute any part of a record in which they are identified as the covered recipient. Physicians identified in a record as a Principal Investigator (PI) rather than covered recipient may only dispute their own association with the record and their own personal identifying information given in that record. They should not dispute any other information in the record, such as payment amount, nature of payment, etc.

To resolve a dispute, physicians, teaching hospitals, and physician principal investigators should work directly with reporting entities outside of the Open Payments system. **CMS will not mediate disputes between physicians, teaching hospitals, physician principal investigators, and reporting entities.**

The outcome of the resolution will be recorded in the Open Payments system. Dispute resolutions can lead to changes to the submitted data or the dispute may be resolved without changes to the data. In addition, a dispute may be withdrawn by the individual who initiated it.

Email notifications are sent to alert applicable manufacturers, applicable GPOs, physicians, teaching hospitals, and physician principal investigators of review and dispute activities in the Open Payments system.

Note: records that are flagged for a delay in publication by the reporting entity are eligible for review and dispute by physicians, teaching hospitals, and physician principal investigators.

4.1a: Review, Dispute, and Correction Period

Each program year has a scheduled review, dispute, and correction period. The period is 60 days long and divided into two sections.

The period begins with a 45-day period for physicians, teaching hospitals, and physician principal investigators to review, dispute, and work with the reporting entity to resolve the disputed record(s) submitted during the submission period. This includes records submitted for the previous program year and any records submitted late from prior program years.

Immediately following the 45-day period is a 15-day correction period for reporting entities to make corrections to records and resolve any active disputes.

Although there is a distinct review, dispute, and correction period, these activities can be performed throughout the year. The designated review and dispute period only affects when and how the dispute is displayed in the initial data publication and subsequent data refresh publication. Therefore, if a

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dispute is not resolved within the review, dispute, and correction period for a program year, all parties should continue to seek a resolution until the dispute is resolved. Once the dispute is resolved and any necessary corrections made to the record(s), the data will be updated in a subsequent publication based on when they were corrected in the Open Payments system.

Disputes initiated within the initial 45-day review, dispute, and correction period, and resolved by the end of the additional 15-day correction period, will be published and identified as non-disputed in the initial public posting of data. If the dispute is not resolved by the end of the correction period, the record will be published and identified as being under dispute. Disputes initiated or resolved after the full 60-day review, dispute, and correction period will not be reflected in the initial publication of data. Those disputes and any related data changes will be published in the next publication of data, which may be a refresh publication of the program year data or the publication of the next program year's data. Refer to the "Methodology and Data Dictionary Document" available on the Resources page of the Open Payments website, at <https://www.cms.gov/openpayments/About/Resources.html> for details on how dispute and resolution timing affect data publication. In the publication, the data will be associated with the program year of the data, not the date of its publication.

4.1b: Effects of Changing a Record to Resolve a Dispute

When a dispute resolution results in changes to a record, applicable manufacturers and applicable GPOs must re-submit the record with the revisions to the Open Payments system and attest to the revisions. The physician, teaching hospital, or physician principal investigator will be able to view the original record disputed under the "Deleted and In Progress Records" tab with status of "In Progress" and the user(s) that have disputes in "Initiated" or "Acknowledged" status will be notified via email that the record is being updated by the entity. Once the record has been re-attested, the record will be available under the "Available for Review and Dispute" tab again.

If the physician, teaching hospital, or physician principal investigator identified in a record is changed as part of a dispute resolution, the original physician, teaching hospital, or physician principal investigator will no longer see the record under the "Available for Review and Dispute" tab, the record will be available under the "Deleted and In Progress Records" tab. If the deletion of the record was in response to a dispute, any user(s) that have disputes in "Initiated" or "Acknowledged" status will be notified via email that the record has been deleted by the entity when the record is re-attested. The records that have been deleted will display under the "Deleted and In Progress Records" tab with a "Deleted" status. The newly identified physician, teaching hospital, or physician principal investigator will see the record during the next calendar year's review and dispute period under the "Available for Review and Dispute" tab.

If a reporting entity edits or deletes a disputed record, the Open Payments system will send an email notification to the individual(s) who initiated the dispute(s). If the dispute initiator is a physician's authorized representative, email notifications will be sent to both the authorized representative and the physician.

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Refer to the “Methodology and Data Dictionary Document” available on the Resources page of the Open Payments website, at <https://www.cms.gov/openpayments/About/Resources.html> for details on how changes to records affect data publication.

4.1c: Review and Dispute Statuses

Records available for the review and dispute process will have one of the following review and dispute statuses:

- **Initiated:** Indicates that a physician, teaching hospital, or physician principal investigator has initiated a dispute against a record submitted by an applicable manufacturer or applicable GPO.
- **Acknowledged:** Indicates that an applicable manufacturer or applicable GPO has received and acknowledged a dispute initiated by a physician, teaching hospital, or physician principal investigator.
- **Resolved No Change:** Indicates that the applicable manufacturer or applicable GPO and the physician, teaching hospital, or physician principal investigator have resolved the dispute in accordance with the guidance in the Final Rule, and no changes were made to the disputed record.
- **Withdrawn:** Indicates that a physician, teaching hospital, or physician principal investigator has withdrawn a dispute they initiated against a record submitted by an applicable manufacturer or applicable GPO.
- **Resolved:** Indicates that disputed data was updated and then re-submitted and re-attested to by the applicable manufacturer or applicable GPO.

Records submitted during the submission period that calendar year may be unavailable for review and dispute for one of the following reasons:

- A deleted record can only be viewed under the “Deleted and In Progress Records” tab and cannot be disputed. For deleted records only the record information will display. Disputes associated to the record will not be available once the record has been deleted.
- A record undergoing editing by its reporting entity can only be viewed under the “Deleted and In Progress Records” tab and cannot be disputed. Records undergoing editing will display all the dispute statuses and dispute details (where available).

Section 4.2: Reviewing Records

Physicians, teaching hospitals, and physician principal investigators that have registered with the Open Payments system may review all payments, other transfers of value, and physician ownership or investment interests submitted about them by an applicable manufacturer or applicable GPO.

A step-by-step walkthrough for reviewing records follows.

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Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “Review and Dispute” tab on the menu bar. “Review and Dispute Overview” page is displayed.

Figure 182: Open Payments Landing Page For Returning Physician User

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

Home **Review and Dispute** My Profile Messages Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

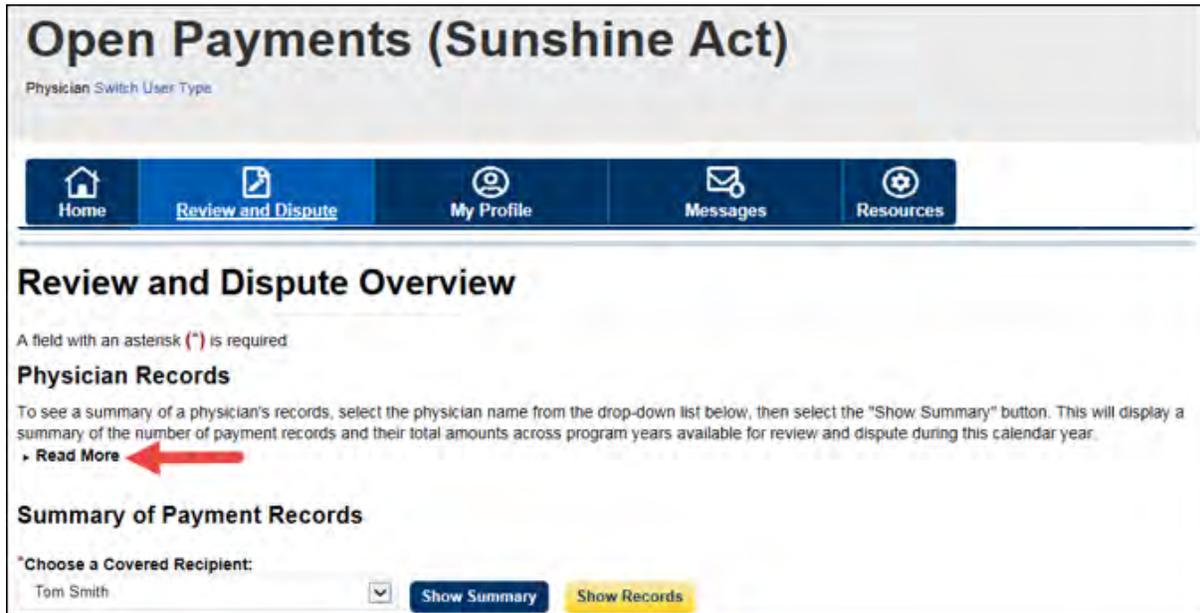
You can manage your user profile and perform the actions associated with your profile. Note: In order to be able to review all records submitted for you, you must have entered your NPI, if available, and all state licenses under your profile details in Open Payments system.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

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Step 2: On the “Review and Dispute Overview” page, some instructions will be visible. To display all of the instructions, select the “Read More” hyperlink.

Figure 183: Physician Review and Dispute Overview Page- Read More



Step 3: Under the heading “Summary of Payment Records,” select the covered recipient whose records are to be reviewed from the drop-down menu. To see the summary of payment records for the covered recipient chosen, select the “Show Summary” button. This will bring up the Summary of Payment Records.

The Summary of Payment Records displays the total number of records available for review and dispute for the selected covered recipient and the total dollar amount for those records. The totals are grouped by program year and payment category.

For physicians, the research payment category totals are split to differentiate between the records in which the physician is identified as the covered recipient and the records in which the physician is identified a principal investigator. Note that teaching hospital summaries do not include an Ownership/Investment section, as that payment category is not applicable to them.

Figure 184: Physician Review and Dispute Overview Page

Open Payments (Sunshine Act)
Physician Switch User Type

Home Review and Dispute My Profile Messages Resources

Review and Dispute Overview

A field with an asterisk (*) is required.

Physician Records

To see a summary of a physician's records, select the physician name from the drop-down list below, then select the "Show Summary" button. This will display a summary of the number of payment records and their total amounts across program years available for review and dispute during this calendar year.

• Read More

Summary of Payment Records

*Choose a Covered Recipient:

Tom Smith **Show Summary** Show Records

General		
Program Year	Number of Records	Total Amount
2018	1	\$5,485.95
2017	51	\$303.09
2016	0	\$0.00
2015	0	\$0.00
2014	0	\$0.00
2013	0	\$0.00

Research				
Program Year	Physician		Principal Investigator	
	Number of Records	Total Amount	Number of Records	Total Amount
2018	0	\$0.00	0	\$0.00
2017	50	\$306.17	0	\$0.00
2016	0	\$0.00	0	\$0.00
2015	0	\$0.00	0	\$0.00
2014	0	\$0.00	0	\$0.00
2013	0	\$0.00	0	\$0.00

Ownership/Investment			
Program Year	Number of Records	Amount Invested	Value of Interest
2018	0	\$0.00	\$0.00
2017	40	\$44.00	\$40.00
2016	0	\$0.00	\$0.00
2015	0	\$0.00	\$0.00
2014	0	\$0.00	\$0.00
2013	0	\$0.00	\$0.00

Step 4: Select the "Show Records" button to navigate to the "Review and Dispute" page for the covered recipient.

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Figure 185: Physician Review and Dispute Page

Open Payments (Sunshine Act)
Physician: TOM SMITH

Navigation: Home, Review and Dispute, My Profile, Messages, Resources

Review and Dispute - TOM SMITH

Back

Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year: 2015, 2017, 2016, ...

Entity Making Payment: Please Select

Order By: Please Select

Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved

Record ID: Maximum 30 digits

Dispute ID: Maximum 30 digits

Payment Category: General Payments, Research Payments, Ownership or Investment Interest

Affirmed (Yes/No): Yes, No

Reported As: Recipient, Principal Investigator

Search Clear All

Showing Results for: [All]

Available for Review and Dispute Deleted and In Progress Records

Showing 10 of 142 Entries Page 1 of 15 Page 1 Download Zip File

Record ID 672652		
Entity Making Payment	Program Year	Record Status
ABCDE Medical	2016	Attested
Record ID	Affirmed	Payment Category
672652	No	General Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$5,455.95	N/A	No
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2016-02-18	N/A	N/A
Affirm Record Dispute Record		

Record ID 660194		
Entity Making Payment	Program Year	Record Status
ABCDE Medical	2017	Attested
Record ID	Affirmed	Payment Category
660194	No	Research Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$6.19	N/A	Yes
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2017-12-31	No	No
Affirm Record Dispute Record		

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Step 5: The “Review and Dispute” page displays all available records for review. Users can use available filters on the page to narrow search results as desired.

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There are two tabs that may contain information related to the disputes attributed to the covered recipient:

- **Available for Review and Dispute:** This tab displays all records that are currently available for review and dispute. All records in this tab are in “Attested” status.

Figure 186: Physician Review and Dispute Page Available for Review and Dispute

Open Payments (Sunshine Act)

Physician Switch User Type

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Review and Dispute - TOM SMITH

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Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year.

[Read More](#)

Physician Records

Program Year: 2018, 2017, 2016, ...

Entity Making Payment: Please Select

Order By: Please Select

Review and Dispute Status: Initiated, Acknowledged, Resolved No Charge, Withdrawn, Resolved

Record ID: Minimum 20 digits

Dispute ID: Minimum 20 digits

Payment Category: General Payments, Research Payments, Ownership or Investment Interest

Affirmed (Yes/No): Yes, No

Reported As: Recipient, Principal Investigator

Search Clear All

Showing Results for: [All]

Available for Review and Dispute Deleted and In Progress Records

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Record ID 672652

Entity Making Payment	Program Year	Record Status
ABCDE Medical	2016	Attested
Record ID	Affirmed	Payment Category
672652	No	General Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$5,455.95	N/A	No
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2018-02-18	N/A	N/A

Affirm Record Dispute Record

Record ID 660194

Entity Making Payment	Program Year	Record Status
ABCDE Medical	2017	Attested
Record ID	Affirmed	Payment Category
660194	No	Research Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$6.15	N/A	Yes
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2017-12-31	No	No

Affirm Record Dispute Record

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- Deleted and In Progress Records:** This tab displays all records that were available for review and dispute in the current calendar year but were deleted or are in the process of being edited by the AM/GPOs and are not available for review. Note that review and dispute actions will not be allowed for these records as they can only be viewed.

Figure 187: Physician Review and Dispute Page Available for Deleted and In Progress Records

Open Payments (Sunshine Act)
 Physician Search User Type

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Review and Dispute - TOM SMITH

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Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year: 2019, 2018, 2017, 2016
 Entity Making Payment: Please Select
 Order By: Please Select
 Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved
 Record ID: Maximum 36 digits
 Dispute ID: Maximum 36 digits
 Payment Category: General Payments, Research Payments, Ownership or Investment Interest
 Affirmed (Yes/No): Yes, No
 Reported As: Recipient, Principal Investigator

[Search](#) [Clear All](#)

Showing Results for:
 [All]

[Available for Review and Dispute](#) | **Deleted and In Progress Records**

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Record ID 660030		
Entity Making Payment	Program Year	Record Status
ABCDE Medical	2018	Deleted
Record ID	Affirmed	Payment Category
660030	No	Research Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$6.29	N/A	Yes
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2017-12-31	No	No

Record ID 660188		
Entity Making Payment	Program Year	Record Status
ABCDE Medical	2017	Deleted
Record ID	Affirmed	Payment Category
660188	No	Research Payments
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator
\$7.06	N/A	Yes
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only
2017-12-31	No	No

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Step 6: Records on the “Review and Dispute” page are displayed in a card format. To see all of a record’s information, select the hyperlinked number under the “Record ID” heading. This will open up the “Record ID” page for that record, which contains the record’s details, general information, and reporting entity contact information. The Record Details page also displays any “Active Disputes,” if available.

Figure 188: Record ID Page

Open Payments (Sunshine Act)
Physician Switch User Type

Home Review and Dispute My Profile Messages Resources

Record ID: 672652

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Reporting Entity Contact Information

If you have any questions about this record, you may use the contact information provided below to directly contact the reporting entity.

Record Details

Program Year: 2018
Reporting Entity: ABCDE Medical
Payment Category: General Payments
Consolidated Reporting: No
Last Modified Date: 2018-09-11
Submission Date: 2018-09-11
Record Status: Alleged

General Record Information

Physician Ownership Indicator: No
Third Party Payment Recipient Indicator: No Third Party Payment
Name of Third Party Entity Receiving Payment or Transfer of Value:
Charity Indicator: No
Third Party Equals Covered Recipient Indicator:
Delay in Publication of Research Payment Indicator: No Delay Requested
Contextual Information:

Active Disputes

Associated Disputes and Statuses:

Dispute ID	Status
None	None

Recipient Demographics Information

Covered Recipient Type: Physician

City of Travel:
State of Travel:
Country of Travel:

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Access the Open Payments User Guide [PDF, 26.8 MB]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF, 102 KB]

Step 7: Available disputes are displayed as a child record within the payment record on the covered recipient “Review and Dispute” page. Select “Dispute ID” link to view all dispute(s) associated with the

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record. Each dispute is displayed in an accordion style listed in descending order of Dispute ID. The record header also displays the total number of disputes for the record.

Figure 189: Physician Review and Dispute Page Dispute ID

Open Payments (Sunshine Act)
Physician: Switch User Type

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Review and Dispute - TOM SMITH

Track

Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year: 2016, 2017, 2018
Entity Making Payment: Please Select
Order By: Please Select

Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved
Record ID: Maximum 30 digits
Dispute ID: Maximum 30 digits

Payment Category: General Payments, Research Payments, Ownership or Investment Interest
Affirmed (Yes/No): Yes, No
Reported As: Recipient, Principal Investigator

Showing Results for: [All]

Available for Review and Dispute | Deleted and In Progress Records

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Record ID 672662	Disputed (1)	
Entity Making Payment: ABCDE Medical	Program Year: 2016	Record Status: Affirmed
Record ID: 672662	Affirmed: No	Payment Category: General Payments
Total Payment Amount: \$5,435.95	Value of Interest: N/A	Delay in Publication of Research Payment Indicator: No
Date of Payment: 2016-02-18	Principal Investigators Associated: N/A	Physician Listed as PI Only: N/A
Affirm Record Dispute Record		
Dispute ID 1501219 (Initiated)		
Dispute Details and Contact Information The information is incorrect. Initiated on 2015-09-13 by TOM SMITH		
Withdraw Dispute		
Date of Payment: 2017-12-31	Principal Investigators Associated: No	Physician Listed as PI Only: No
Affirm Record Dispute Record		

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Section 4.3: Affirming Records

Records that have been reviewed and determined to be accurate can be affirmed by the physician, teaching hospital, or physician principal investigator in the Open Payments system. Affirmation is not a

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required action. Records that have not been affirmed will still be included in the next data publication. You may initiate disputes on records that have been previously affirmed if you determine that the record contains an error.

To affirm a record, follow the steps below.

Step 1: On the “Review and Dispute” page, use the filtering tools to help find the records to be affirmed, then select the “Search” button. All records available for affirmation will be under the “Available for Review and Dispute” tab.

Step 2: Select the “Affirm Record” button to open the “Affirm Record” page.

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Figure 190: Physician Review and Dispute Page Affirm Record

Open Payments (Sunshine Act)

Physician Search User Type

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Review and Dispute - TOM SMITH

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Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year:

2016
2017
2018
-- --

Entity Making Payment:

Please Select

Order By:

Please Select

Review and Dispute Status:

Indebted
 Acknowledged
 Resolved No Change
 Withdrawn
 Resolved

Record ID:

Maximum 38 digits

Dispute ID:

Maximum 38 digits

Payment Category:

General Payments
 Research Payments
 Ownership or Investment Interest

Affirmed (Yes/No):

Yes
 No

Reported As:

Recipient
 Principal Investigator

Search
Clear All

Showing Results for:
[All]

Available for Review and Dispute
Deleted and in Progress Records

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Record ID 672652		
Entity Making Payment ABCDE Medical	Program Year 2016	Record Status Attested
Record ID 672652	Affirmed No	Payment Category General Payments
Total Payment Amount \$5,455.95	Value of Interest N/A	Delay in Publication of Research Payment Indicator No
Date of Payment 2016-02-19	Principal Investigators Associated N/A	Physician Listed as PI Only N/A
Affirm Record Dispute Record		

Record ID 660194		
Entity Making Payment ABCDE Medical	Program Year 2017	Record Status Attested
Record ID 660194	Affirmed No	Payment Category Research Payments
Total Payment Amount \$6.19	Value of Interest N/A	Delay in Publication of Research Payment Indicator Yes
Date of Payment 2017-12-31	Principal Investigators Associated No	Physician Listed as PI Only No
Affirm Record Dispute Record		

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Step 3: Review the information displayed on the “Affirm Record” page. Select “Affirm Record” button to affirm the record.

Figure 191: Affirm Record Page

Open Payments (Sunshine Act)
Physician [Switch User Type](#)

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Affirm Record

TOM SMITH - 2018

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Select the “Affirm Record” button to confirm that the record below is accurate and valid.
To return to the previous page, select “Cancel.”
For more information about the review and dispute process, refer to the [Open Payments User Guide \[PDF, 28.8 MB\]](#).

You are affirming the following record:

Record ID	Entity Making Payment	Date of Payment	Amount (\$)	Record Status
660028	ABCDE Medical	2018-09-13	\$8.20	Attested

[Cancel](#) [Affirm Record](#)

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Step 4: A confirmation message is displayed that the record has been successfully affirmed.

Note: Once a record has been affirmed, the “Affirm Record” button will be grayed out.

Figure 192: Physician Review and Dispute Page Confirmation

Open Payments (Sunshine Act)
Physician: **TOM SMITH**

Confirmation:
• You have successfully affirmed Record ID 660028

Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year.

Physician Records

Program Year: 2019, 2018, 2017, 2016
Entry Making Payment: Please Select
Order By: Please Select

Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved
Record ID: 11-digits, 28 digits
Dispute ID: Maximum: 36 digits

Payment Category: General Payments, Research Payments, Consultation or Investment Interest
Affirmed (Yes/No): Yes, No
Reported As: Recipient, Principal Investigator

Showing Results for: [All]

Available for Review and Dispute | Deleted and In Progress Records

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Record ID 672652			Disputed (1)
Entry Making Payment	Program Year	Record Status	
ABCDE Medical	2016	Advised	
Record ID	Affirmed	Payment Category	
672652	No	General Payments	
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator	
\$5,455.95	N/A	No	
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only	
2016-02-18	N/A	N/A	
			Affirm Record Dispute Record

Dispute ID 1001219 (Withdrawn)

Record ID 660028			Disputed (1)
Entry Making Payment	Program Year	Record Status	
ABCDE Medical	2016	Advised	
Record ID	Affirmed	Payment Category	
660028	Yes	Research Payments	
Total Payment Amount	Value of Interest	Delay in Publication of Research Payment Indicator	
\$5.20	N/A	Yes	
Date of Payment	Principal Investigators Associated	Physician Listed as PI Only	
2017-12-31	No	No	
			Affirm Record Dispute Record

Dispute ID 1001209 (Resolved)

Date of Payment	Principal Investigators Associated	Physician Listed as PI Only	
2017-12-31	No	No	
			Affirm Record Dispute Record

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Section 4.4: Disputing Records

Records can only be disputed individually. To initiate a dispute on a record, follow the steps below.

Step 1: On the “Review and Dispute” page, use the filtering tools to help find the records to be disputed, then select the “Search” button. All records available for dispute will be under the “Available for Review and Dispute” tab.

Step 2: Select the “Dispute Record” button to dispute the record. This opens the “Dispute Record” page.

Note that when the user selects the “Dispute Record” button to initiate a dispute on a record which has an active dispute (Dispute Status is “Initiated” or “Acknowledged”), the system will display a pop-up window identifying that a dispute has already been initiated against the record with the following messages based on the scenarios explained below:

- The physician (not principal investigator), physician’s Authorized Representative (AR) or Teaching Hospital’s Authorized Representative (AR)/Authorized Official (AO) has ACTIVE (Dispute Status is “Initiated” or “Acknowledged”) disputes against the record. The Record ID header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the “Dispute Record” button:
 - The selected payment record has a dispute that is currently in progress.
 - Select Yes to continue with your dispute request.
 - Select No to cancel your dispute request.
- The physician is a principal investigator or AR for a physician listed as principal investigator, and has NO ACTIVE disputes against the record. The Record ID header only displays the Record ID and the following pop-up message is issued when the user selects the “Dispute Record” button:
 - The selected payment record has the physician listed as a principal investigator. A principal investigator is not considered a recipient of a payment but rather is considered associated with the payment.
 - As a result, the dispute can be initiated for the physician’s association with the selected research payment(s) but not the date, amount, or other values it contains.
 - Select Yes to continue with your dispute request.
 - Select No to cancel your dispute request.
- The physician is a principal investigator or AR for a physician listed as a principal investigator, and has disputes in status other than “Initiated” or “Acknowledged” against that record. The Record ID header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the “Dispute Record” button:
 - The selected payment record has the physician listed as a principal investigator. A principal investigator is not considered a recipient of a payment but rather is considered associated with the payment.
 - As a result, the dispute can be initiated for the physician’s association with the selected research payment(s) but not the date, amount, or other values it contains.
 - Select Yes to continue with your dispute request.
 - Select No to cancel your dispute request.

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- The physician is a principal investigator or AR for a physician listed as a principal investigator, and has ACTIVE (“Initiated” or “Acknowledged”) disputes against the record. The Record ID header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the “Dispute Record” button:

The selected payment record has a dispute that is currently in progress. Note that the physician is listed as a principal investigator in this record. As a result, the dispute can be initiated for the physician’s association with the selected research payment(s) but not the date, amount, or other values it contains.

Select Yes to continue with your dispute request.

Select No to cancel your dispute request.

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Figure 193: Physician Review and Dispute Page Dispute Record

Open Payments (Sunshine Act)

Physician Search User Type

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Review and Dispute - TOM SMITH

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Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year: 2018, 2017, 2016
Entity Making Payment: Please Select
Order By: Please Select
Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved
Record ID: Maximum 36 digits
Dispute ID: Maximum 38 digits
Payment Category: General Payments, Research Payments, Ownership or Investment Interest
Affirmed (Yes/No): Yes, No
Reported As: Recipient, Principal Investigator

[Search](#) [Clear All](#)

Showing Results for: [All]

[Available for Review and Dispute](#) | [Deleted and In Progress Records](#)

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Record ID 672652		
Entity Making Payment ABCDE Medical	Program Year 2018	Record Status Attested
Record ID 672652	Affirmed No	Payment Category General Payments
Total Payment Amount \$5,465.95	Value of Interest N/A	Delay in Publication of Research Payment Indicator No
Date of Payment 2018-02-18	Principal Investigators Associated N/A	Physician Listed as PI Only N/A
Affirm Record Dispute Record		

Record ID 660194		
Entity Making Payment ABCDE Medical	Program Year 2017	Record Status Attested
Record ID 660194	Affirmed No	Payment Category Research Payments
Total Payment Amount \$6.19	Value of Interest N/A	Delay in Publication of Research Payment Indicator Yes
Date of Payment 2017-12-31	Principal Investigators Associated No	Physician Listed as PI Only No
Affirm Record Dispute Record		

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Step 3: Review the information on the “Dispute Record” page. Enter a detailed reason for why the dispute has been initiated in the “Dispute Details and Contact Information” text box before continuing. The text box can contain up to 4,000 characters, including spaces, and allows all special characters on a standard U.S. keyboard (not including ALT+NUMPAD ASCII Key characters). **It is recommended that the “Dispute Details and Contact Information” text includes review and dispute contact information, such as an email address and/or phone number, within the text box,** to facilitate the resolution of the dispute in a timely manner.

Once the dispute is ready, select the “Send Dispute” button. The Open Payments system will send an email to the reporting entity to notify, that a dispute has been initiated. The email will include the contents of the “Dispute Details and Contact Information” text box.

Figure 194: Dispute Record Page

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Physician [Switch User Type](#)

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Dispute Record

A field with an asterisk (*) is required.

TOM SMITH - 2018

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Explain the reason(s) for disputing the record in the text box below. **Include your contact information so the reporting entity can contact you regarding the dispute resolution.** Select the "Send Dispute" button when finished.

If you are listed as a principal investigator, you may only dispute your association with the payment or any inaccurate identifying information.

You are disputing the following record:

Record ID	Entity Making Payment	Date of Payment	Amount (\$)
672652	ABCDE Medical	2018-02-18	\$5,485.95

***Dispute Details and Contact Information:**
4,000 characters maximum. Characters entered over this limit will not be saved.

Include review and dispute contact information, such as email address and/or phone number

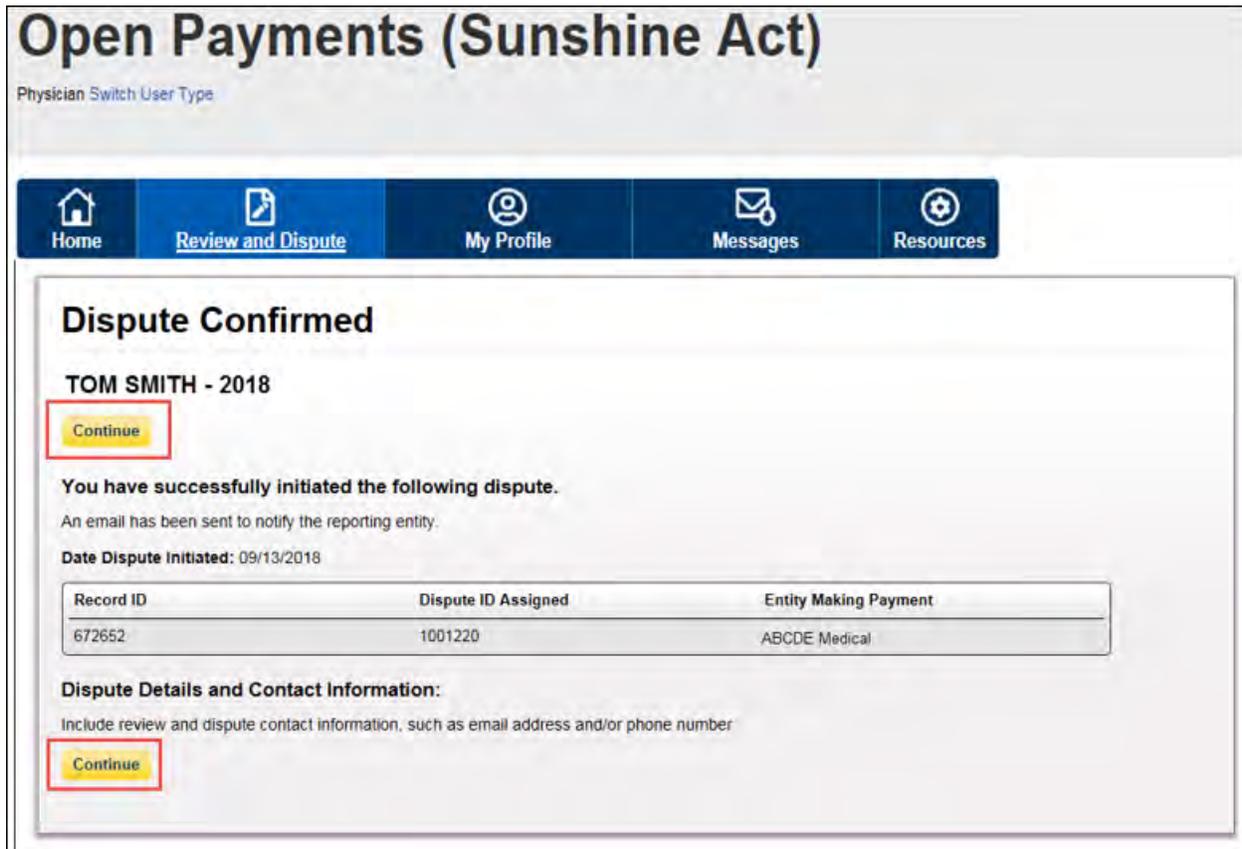
3911 characters remaining

[Cancel](#) [Send Dispute](#)

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Step 4: The “Dispute Confirmed” page displays a confirmation message that the dispute has been successfully initiated for that record. The initiator of the dispute will receive an email notification as well.

Figure 195: Dispute Confirmed Page



The covered recipient who initiated the dispute will receive an email notification from the Open Payments system if the reporting entity takes any action on the record. Also, the covered recipient can see any records that are undergoing editing by the entity under the “Deleted and In Progress Records” tab. Records under the “Deleted and In Progress Records” tab will be in either “In Progress” or “Deleted” statuses. The records “In Progress” will display under the “Available for Review and Dispute” tab once the entity has re-attested to them.

Section 4.5: Withdrawing Disputes

To withdraw a dispute on a record, follow these steps.

Step 1: On the “Review and Dispute” page, use the filtering tools to help find the records to be withdrawn, then select the “Search” button. All records available for withdrawing will be under the “Available for Review and Dispute” tab.

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Note: Only records in “Initiated” and/or “Acknowledged” statuses will be available to withdraw.

Step 2: Select the “Dispute ID” link to view dispute details.

Step 3: Select the “Withdraw Dispute” button. “Withdraw Dispute” page is displayed.

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Figure 196: Physician Review and Dispute Page Withdraw Dispute

Open Payments (Sunshine Act)
Physician: TOM SMITH

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Review and Dispute - TOM SMITH

Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year: 2018, 2017, 2016
 Entity Making Payment: Please Select
 Order By: Please Select

Review and Dispute Status: Initiated, Acknowledged, Resolved No Change, Withdrawn, Resolved
 Record ID: Maximum 38 digits
 Dispute ID: Maximum 38 digits

Payment Category: General Payments, Research Payments, Ownership or Investment Interest
 Affirmed (Yes/No): Yes, No
 Reported As: Recipient, Principal Investigator

Buttons: Search, Clear All

Showing Results for:
[All]

Available for Review and Dispute | Deleted and In Progress Records

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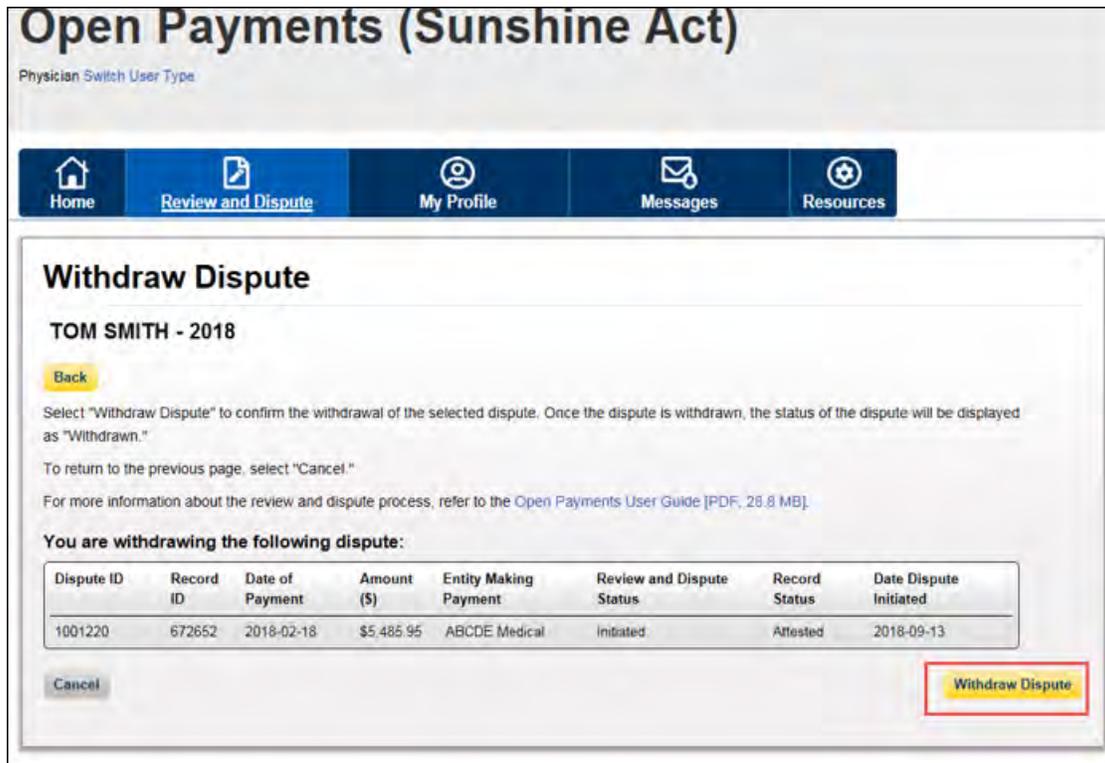
Record ID 672652		Disputed (1)
Entity Making Payment ABCDE Medical	Program Year 2018	Record Status Attested
Record ID 672652	Affirmed No	Payment Category General Payments
Total Payment Amount \$5,485.95	Value of Interest N/A	Delay in Publication of Research Payment Indicator No
Date of Payment 2018-02-18	Principal Investigators Associated N/A	Physician Listed as PI Only N/A
		Affirm Record Dispute Record
* Dispute ID 1001219 (Initiated)		
Dispute Details and Contact Information The information is incorrect		
Initiated on 2018-09-13 by TOM SMITH		
		Withdraw Dispute
Date of Payment 2017-12-31	Principal Investigators Associated No	Physician Listed as PI Only No
		Affirm Record Dispute Record

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Step 4: Review the information on the “Withdraw Dispute” page and select the “Withdraw Dispute” button.

Figure 197: Withdraw Dispute Page



Step 5: A confirmation message is displayed that the dispute has been successfully withdrawn.

Note: Once a dispute has been withdrawn, the “Withdraw Dispute” button will be unavailable for selection.

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Figure 198: Physician Review and Dispute Confirmation Page

Open Payments (Sunshine Act)

Physician Switch User Type

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Review and Dispute -

Confirmation:

- You have successfully withdrawn Dispute ID 1001220. An email has been sent to notify the reporting entity.

USRA

Below is the list of all payment records in which the physician is a covered recipient of principal investigator that are eligible for review and dispute this calendar year.

• [Read More](#)

Physician Records

Program Year:

- 2016
- 2015
- 2014
- 2013

Entity Making Payment:

Please Select

Order By:

Please Select

Review and Dispute Status:

- Initiated
- Acknowledged
- Resolved No Charge
- Withdrawn
- Resolved

Record ID:

Maximum 30 digits

Dispute ID:

Maximum 30 digits

Payment Category:

- General Payments
- Research Payments
- Ownership or Investment Interest

Affirmed (Yes/No):

- Yes
- No

Reported As:

- Recipient
- Principal Investigator

Search Clear All

Showing Results for:

[All]

Available for Review and Dispute
Deleted and In Progress Records

Download Zip File

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Record ID 672652
Disputed (2)

Entity Making Payment	ABCDE Medical	Program Year	2016	Record Status	Attested
Record ID	672652	Affirmed	No	Payment Category	General Payments
Total Payment Amount	\$5,485.95	Value of Interest	N/A	Delay in Publication of Research Payment Indicator	No
Date of Payment	2016-02-18	Principal Investigators Associated	N/A	Physician Listed as PI Only	N/A

Affirm Record Dispute Record

Dispute ID 1001220 (Withdrawn)

Dispute Details and Contact Information

Include review and dispute contact information, such as email address and/or phone number

- Initiated on 2018-09-13 by TOM SMITH
- Withdrawn on 2018-09-13 by TOM SMITH

Withdraw Dispute

Record ID 660194

Entity Making Payment	ABCDE Medical	Program Year	2017	Record Status	Attested
Record ID	660194	Affirmed	No	Payment Category	Research Payments
Total Payment Amount	\$6.15	Value of Interest	N/A	Delay in Publication of Research Payment Indicator	Yes
Date of Payment	2017-12-31	Principal Investigators Associated	No	Physician Listed as PI Only	No

Affirm Record Dispute Record

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Section 4.6: Exporting Data

Users can download records associated with them by selecting the “Download Zip File” link on the “Available for Review and Dispute tab of the “Review and Dispute” page.

Records are exported into a pipe (“|”) delimited CSV format, compressed into a ZIP file. It will contain all data fields displayed in the table and other data elements related to the dispute, including the status of each record’s dispute(s), if applicable.

Downloaded data files cannot exceed the acceptable limit of 20,000 records. If your record volume exceeds the record limit, apply filtering criteria to create smaller subsets of records and download them in multiple files.

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Figure 199: Physician Review and Dispute Page

Open Payments (Sunshine Act)

Physician Switch User Type

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Review and Dispute - TOM SMITH

Below is the list of all payment records in which the physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Read More](#)

Physician Records

Program Year:

2018
2017
2016

Entity Making Payment:

Please Select

Order By:

Please Select

Review and Dispute Status:

Initiated
Acknowledged
Resolved No Change
Withdrawn
Resolved

Record ID:

Maximum 38 digits

Dispute ID:

Maximum 38 digits

Payment Category:

General Payments
 Research Payments
 Ownership or Investment Interest

Affirmed (Yes/No):

Yes
 No

Reported As:

Recipient
 Principal Investigator

[Search](#) [Clear All](#)

Showing Results for:

[All]

Available for Review and Dispute
Deleted and In Progress Records

Showing 10 of 142 Entries
Page 1 of 15
Page 1
Download Zip File

Record ID 672652		Disputed (1)
Entity Making Payment	ABCDE Medical	Program Year
Record ID	672652	Record Status
Total Payment Amount	\$5,485.95	Attested
Date of Payment	2016-02-15	Payment Category
Principal Investigators Associated	N/A	General Payments
Physician Listed as PI Only	N/A	Value of Interest
		N/A
		Delay in Publication of Research Payment Indicator
		No
		Dispute ID 1001219 (Initiated)
Dispute Details and Contact Information		
The information is incorrect		
Initiated on 2016-09-13 by TOM SMITH		

Affirm Record
Dispute Record
Withdraw Dispute

Date of Payment	2017-12-31	Principal Investigators Associated	No	Physician Listed as PI Only	No
------------------------	------------	---	----	------------------------------------	----

Affirm Record
Dispute Record

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Chapter 5: Messages and Utilities

This chapter on Messages and Utilities provides detailed information on how to navigate and use the Message and Utilities Tabs.

This chapter is divided into the following sections:

- **Messages Tab** contains information how to search for system generated email messages received by the covered recipient physician or teaching hospital in the past 12 months.
- **Utilities Tab** contains information on how teaching hospitals can use utilities to look up information in the Open Payments system. This functionality is only accessible by teaching hospital users.

Section 5.1: Messages

As a Covered Recipient user, you have the ability to view system generated messages sent to you within the past 12 months.

A system generated email is an email generated by the Open Payments System in response to any action performed in the Open Payments system. For example, during the registration process, once a physician registers on the CMS Open Payments system, an email is sent out to the physician confirming registration. This is a system generated email that is able to be viewed on the “Messages” tab.

The “Messages” tab is accessible from the CMS Open Payments home screen banner on the CMS Open Payments home page. Once you are redirected to the “Messages” tab from the CMS Open Payments home page, you can view the following searchable fields: (1) Teaching Hospital or Physician Name, (2) Record ID, (3) Payment Category, and (4) Message Category. All of these fields are optional to refine your search.

5.1a: Instructions for searching messages

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “Messages” tab.

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Figure 200: Open Payments Landing Page For Returning Teaching Hospital Users

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home | Review and Dispute | Manage Teaching Hospitals | My Profile | Utilities | **Messages** | Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

Access the Open Payments User Guide [PDF]
Need help with the website? Contact Us by email
Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

Step 2: You will be brought to the "Messages" page.

Step 3: You can search results based on the following search criteria:

- Teaching Hospital or Physician Name
- Record ID (only applicable for Message Category of "Review and Dispute")
- Message Category
- Payment Category (only applicable for Message Category of "Review and Dispute")

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Note: Selecting the “Search” button without selecting any search criteria, the Open Payments application searches on pre-populated value for the “Teaching Hospital or Physician Name” field. Selecting the “Clear All” button will remove all filter selection.

Step 4: Select the “Search” button to initiate the search. After selecting the “Search” button, a data table with the list of messages will display. This list of messages is sorted by newest to oldest. You will see an expandable row section that displays more details about all messages.

Figure 201: Teaching Hospital Users Messages Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Messages

The table below provides information on the system generated email messages received by the covered recipient teaching hospital. Search and filtering tools above the table can customize the view of the messages displayed. For more information on the actions you can take on this page, refer to the [Open Payments User Guide for Covered Recipients \[PDF\]](#).

Teaching Hospital Name: ABCDE Medical Hospital

Record ID: Maximum 38 digits

Payment Category: General Payments

Message Category:
Registration
Review and Dispute
Nomination
Nomination Reminder
User/Nomination Deactivation

Search Clear All

Step 5: Select the expandable arrow next to the message you wish to view. You will see an expandable view of the data pertaining to the message. You will also see a “View Full Message” button to view the full text of the message.

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Figure 202: Teaching Hospital Users Messages Page Search Results

Open Payments (Sunshine Act)

Teaching Hospital [Switch User Type](#)



Messages

The table below provides information on the system generated email messages received by the covered recipient teaching hospital.

Search and filtering tools above the table can customize the view of the messages displayed.

For more information on the actions you can take on this page, refer to the [Open Payments User Guide for Covered Recipients \[PDF\]](#).

Teaching Hospital Name: Record ID: Payment Category:

Message Category:
Registration
Review and Dispute
Nomination
Nomination Reminder
User/Nomination Deactivation

Showing Results for:

Teaching Hospital Name: ABCDE Medical Hospital

Showing 10 of 3 Entries Page 1 of 1

Message Category	Message Subject	Recipient Role(s)	Payment Category	Date/Time Received
<input checked="" type="checkbox"/> Nomination	Open Payments System Notification of Self-Nomination	Authorized Official	N/A	06/14/2019 12:16 PM
This email is to inform you that the individual listed below has requested a user role in your reporting entity in the Open Payments system. - Reporting entity registration ID: 100000100081 - Reporting entity name: ABCDE Medical Hospital - First and last name of the user requesting the role:				
<input type="checkbox"/> Registration	Open Payments - Teaching Hospital Successfully Registered	Authorized Official	N/A	05/16/2019 11:16 AM
<input type="checkbox"/> Nomination	Open Payments System Action Required - Nomination for Teaching Hospital in Open Payments	Authorized Representative, Authorized Official	N/A	05/16/2019 11:16 AM

Showing 10 of 3 Entries Page 1 of 1

Step 6: Select the “View Full Message” to view the text of the message. You will see a pop-up window that displays the text.

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Figure 203: View Full Message Popout

The table below provides information on the system generated email messages received by the covered recipient teaching hospital.

Open Payments System Notification of Self-Nomination

This email is to inform you that the individual listed below has requested a user role in your reporting entity in the Open Payments system.

- Reporting entity registration ID: 100000100081
- Reporting entity name: ABCDE Medical Hospital
- First and last name of the user requesting the role:
- Role(s) requested: Authorized Official

To approve the request, you can go through the following steps in the Open Payments system:

1. Access the CMS Enterprise portal at <https://portal.cms.gov>.
2. Log in by entering your user name and password.
3. Click on "Open Payments."
4. Click on "Manage Roles."
5. Review information, confirm the role of the nominee and continue with approval.

If you have any questions or concerns, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by telephone at 855-326-8366. For more information about the program, visit the Open Payments website at <https://www.cms.gov/openpayments>.

Do not reply to this automatically generated email.

Close

Message Category	Message Subject	Recipient Role(s)	Payment Category	Date/Time Received
▼ Nomination	Open Payments System Notification of Self-Nomination	Authorized Official	N/A	06/14/2019 12:16 PM

Section 5.2: Utilities

Teaching Hospital users have the ability to look up Physician's Open Payments Profile IDs that correspond to the National Provider Identifier for that physician.

5.2b: Instructions for Teaching Hospital Utility

Step 1: Log in to the CMS Enterprise Portal at <https://portal.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the "Utilities" tab.

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Figure 204: Open Payments Landing Page For Returning Teaching Hospital Users

Open Payments (Sunshine Act)

Teaching Hospital Switch User Type

- Home
- Review and Dispute
- Manage Teaching Hospitals
- My Profile
- Utilities**
- Messages
- Resources

Welcome to the Open Payments System

Announcements

Program Year 2018 Review and Dispute Period Open -
The Review and Dispute period is open starting April 1, 2019. During this period, reporting entities may receive disputes from covered recipients and should work with them directly to resolve the disputes. Physicians and teaching hospitals may review data about them and initiate disputes until May 15, 2019. After that, reporting entities have an additional 15-day correction period to make corrections to disputed data as needed. Records with unresolved disputes at the end of the correction period will be identified in the published data as disputed. Changes to records after the correction period will be included in future data publications.

Visit the Open Payments website at <https://www.cms.gov/openpayments> for information. For questions, contact the Open Payments Help Desk by email at openpayments@cms.hhs.gov or by phone at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

You can manage your user profile and perform the actions associated with your profile.

- Access the Open Payments User Guide [PDF]
- Need help with the website? Contact Us by email
- Review the Open Payments Privacy Policy [PDF]

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 0938-1237. The time required to complete this information collection can range from 1 to 30,000 hours per entity depending on the size and type of organization. This information collection may involve registration, data submission, attestation, dispute and dispute resolution processes. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

Step 2: You will be brought to the "Utilities" page.

Step 3: On the Utilities page, download and fill out the "Physician's_Profile_ID_Lookup_Template.csv" via the link on the page to prepare your input file, into a pipe ("|") delimited CSV. The file cannot exceed the acceptable limit of 20,000 rows. The Header in the file has to be valid (includes only PHYSICIAN_NPI) and the file should be virus free. Then select the "NPI Upload" button to navigate to the next page.

Figure 205: Utilities Page

Open Payments (Sunshine Act)
Teaching Hospital [Switch User Type](#)

Home | Review and Dispute | Manage Teaching Hospitals | My Profile | **Utilities** | Messages | Resources

Utilities

Teaching Hospitals can use Utilities to look up information in the Open Payments System.

Physician's Profile ID Lookup Utility

To look up Physician's Open Payments Profile IDs corresponding to the National Provider Identifier for a physician, select the "NPI Upload" button. Ensure to download the "Physician's_Profile_ID_Lookup_Template.csv" to prepare your input file.

NPI Upload

- Access the Open Payments User Guide [PDF]
- Need help with the website? [Contact Us](#) by email
- Review the Open Payments Privacy Policy [PDF]

Step 4: Select the "Choose File" button to select the completed input file.

Step 5: Select the "Upload File" button.

Figure 206: Physician's Profile ID Lookup Utility Page

Open Payments (Sunshine Act)
Teaching Hospital Switch User Type

Home Review and Dispute Manage Teaching Hospitals My Profile Utilities Messages Resources

Physician's Profile ID Lookup Utility

A field with an asterisk (*) is required.

To submit your file(s), follow the steps identified below.

Prior to uploading a file, ensure the following:

1. File(s) is only in .csv format.
2. The Header in the file is valid (Includes Only PHYSICIAN_NPI)
3. File(s) does not contain any Virus.
4. File(s) for upload does not exceed 20,000 rows.

To upload a file, follow the steps identified below.

1. Choose your file from your computer by selecting the browse/choose file.
2. Select the "Upload File" button to start the upload process.

Once the file upload is successfully completed, the file will be available to download as a hyperlink. The download link will be available only during the single session in which the file upload is completed and until the user logs off the session or new file is uploaded while user logged in.

***File:**
Must be a valid .csv file.

Choose File No file chosen

Upload File

Back

Download the Physician's Profile ID Lookup Template
[Physician's_Profile_ID_Lookup_Template.csv](#)

Step 6: You will see the results section that displays a downloadable link to the results at the bottom of the page. Select the results link to view more information about physician's profile ID. The result link is available until you upload another file or log out of the Open Payments system.

Figure 207: Physician's Profile ID Lookup Utility Page Results File

Open Payments (Sunshine Act)

Teaching Hospital [Switch User Type](#)

- Home
- Review and Dispute
- Manage Teaching Hospitals
- My Profile
- Utilities**
- Messages
- Resources

Physician's Profile ID Lookup Utility

A field with an asterisk (*) is required.

To submit your file(s), follow the steps identified below.

Prior to uploading a file, ensure the following:

1. File(s) is only in .csv format.
2. The Header in the file is valid (Includes Only PHYSICIAN_NPI)
3. File(s) does not contain any Virus.
4. File(s) for upload does not exceed 20,000 rows.

To upload a file, follow the steps identified below.

1. Choose your file from your computer by selecting the browse/choose file.
2. Select the "Upload File" button to start the upload process.

Once the file upload is successfully completed, the file will be available to download as a hyperlink. The download link will be available only during the single session in which the file upload is completed and until the user logs off the session or new file is uploaded while user logged in.

***File:**
Must be a valid .csv file.

No file chosen

Physician's Profile ID Result File Download

[Physicians_Profile_ID_Lookup_sample_Result.csv](#) 

Download the Physician's Profile ID Lookup Template

[Physician's_Profile_ID_Lookup_Template.csv](#)

Appendix A: Glossary of Terms for Open Payments

Note: The text of the Open Payments Final Rule (42 C.F.R. § 403.902) is available at <https://www.cms.gov/OpenPayments/Downloads/Affordable-Care-Act-Section-6002-Final-Rule.pdf>.

Applicable Manufacturer:

Applicable manufacturers are entities that operate in the United States and (1) are engaged in the production, preparation, propagation, compounding, or conversion of a covered drug, device, biological, or medical supply, but not if such covered drug, device, biological, or medical supply is solely for use by or within the entity itself or by the entity's own patients (this definition does not include distributors or wholesalers (including, but not limited to, repackagers, re-labelers, and kit assemblers) that do not hold title to any covered drug, device, biological, or medical supply); or (2) are entities under common ownership with an entity described in part (1) of this definition, which provides assistance or support to such entities concerning the production, preparation, propagation, compounding, conversion, marketing, promotion, sale, or distribution of a covered drug, device, biological, or medical supply. (See 42 C.F.R. § 403.902)

Applicable Group Purchasing Organization (GPO):

Applicable group purchasing organizations (GPOs) are entities that operate in the United States and purchase, arrange for, or negotiate the purchase of covered drugs, devices, biologicals, or medical supplies for a group of individuals or entities, but not solely for use by the entity itself. (See 42 C.F.R. § 403.902)

Assistance or Support:

Assistance or support means to provide a service or services needed to produce, prepare, propagate, compound, convert, market, promote, sell, or distribute a covered drug, device, biological, or medical supply. (See 42 C.F.R. § 403.902)

Biologicals:

For the purpose of Open Payments, biologicals are defined as in Section 1927(k)(2)(B) of the Social Security Act, which includes a cross-reference to licensure under Section 351 of the Public Health Service Act ("PHS Act").

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Common Ownership:

Common ownership is when the same individual, individuals, entity, or entities directly or indirectly own five-percent or more of two entities. This includes, but is not limited to, parent corporations, direct and indirect subsidiaries, and brother or sister corporations. (See 42 C.F.R. § 403.902)

Consolidated Report:

A consolidated report is a report filed by an applicable manufacturer, which includes payments or other transfers of value to covered recipients, physician owners or investment interests for the applicable manufacturer filing and applicable manufacturers under common ownership. (See 42 C.F.R. § 403.908(d))

Covered Recipients:

Covered recipients are any physicians (see [Physicians](#) for an extensive explanation of how Open Payments defines this group) who are not employees of the applicable manufacturer that is reporting the payment; or teaching hospitals that receive payment for Medicare direct graduate medical education (GME), inpatient prospective payment system (IPPS) indirect medical education (IME), or psychiatric hospital IME programs during the last calendar year for which such information is available. (See 42 C.F.R. § 403.902)

General Payments:

Payments or other transfers of value not made in connection with a research agreement or research protocol as required in Open Payments.

Non-Covered Recipient Entity:

Non-covered recipient entities are entities that do not meet the Open Payments definition of a covered recipient (see 42 C.F.R. § 403.902). Non-covered recipient entities may include non-teaching hospitals or clinics. A payment or other transfer of value to a non-covered recipient entity is reportable if it is a research payment with at least one associated covered recipient principal investigator.

Non-Covered Recipient Individual:

Non-covered recipient individuals are individuals who do not meet the Open Payments definition of a covered recipient (see 42 C.F.R. § 403.902). Non-covered recipient individuals may include non-physician employees of a teaching hospital or physician-owned practice. A payment or other transfer of value to a non-covered recipient individual is reportable if it is a research payment with at least one associated covered recipient principal investigator.

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Open Payments:

Open Payments is a national transparency program which requires:

- Applicable manufacturers of covered drugs, devices, biologicals, or medical supplies to report information about payments or other transfers of value to physicians and teaching hospitals to CMS every year.
- Applicable manufacturers and applicable group purchasing organizations (GPOs) to report information about ownership and investment interests held by physicians or their immediate family members to CMS every year.
- Applicable GPOs to report information about payments or other transfers of value made to physicians owners and investment interests to CMS every year.

Physicians:

For the purposes of Open Payments, physicians are defined as doctors of medicine or osteopathy practicing medicine or surgery, doctors of dental medicine or dental surgery practicing dentistry, doctors of podiatric medicine, doctors of optometry, or chiropractors, all legally authorized to practice by their state.

Physician Owners or Investors:

Physicians who have an ownership or investment interests in an applicable manufacturer or applicable group purchasing organization. Applicable manufacturers and applicable group purchasing organizations are required to report ownership or investment interests held by a physician or a physician's immediate family member in an applicable manufacturer or applicable group purchasing organization.

Research Payments:

Payments or other transfers of value made in connection with a research agreement or research protocol as required in Open Payments.

Special Characters:

Characters that are neither letters nor numbers. Special characters include punctuation, spaces, and other non-alphanumeric symbols.

Special characters are required in registration fields where appropriate. For example, the "@" symbol and the period are required in email address fields, while dashes are required in telephone number fields.

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Data elements of submitted records may contain only the special characters allowed per the “Submission Data Mapping Document,” which is found on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/About/Resources.html>. Note that there are multiple versions of the Submission Data Mapping Document based on program year. Consult the Submission Data Mapping Document for the program year of your records.

Free text fields allow all special characters on a standard U.S. keyboard, excepting ALT+NUMPAD ASCII Key characters. Below are the special characters allowed in free text fields.

Figure 208: Special Characters Allowed in Free-Text Fields

Special Character	Description
+	Plus sign
&	Ampersand
'	Apostrophe
*	Asterisk
@	At sign
\	Backslash
^	Caret
:	Colon
,	Comma
\$	Dollar sign
Space	Space character
=	Equal
!	Exclamation mark
/	Forward slash
`	Grave accent
>	Greater than
-	Minus sign/hyphen
(Left parenthesis
{	Left curly brackets
[Left square brackets
<	Less than
%	Percent
.	Period
#	Pound
?	Question mark
"	Quotation marks
)	Right parenthesis
}	Right curly brackets
]	Right square brackets
;	Semi-colon

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Special Character	Description
	Pipe
~	Tilde
+	Plus sign

Characters used in foreign languages that are not used in English must be converted to English characters to be acceptable to the Open Payments system. Refer to the conversion table below.

Figure 209: Conversions For Foreign Language Characters

Foreign Character	Convert to English Character
À	A
Á	A
Â	A
Ã	A
Ä	A
Å	A
È	E
É	E
Ê	E
Ë	E
Ì	I
Í	I
Î	I
Ï	I
Ò	O
Ó	O
Ô	O
Õ	O
Ö	O
Ø	O
Ù	U
Ú	U

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Foreign Character	Convert to English Character
Û	U
Ü	U

Teaching Hospital:

Teaching hospitals are hospitals that receive payment for Medicare direct graduate medical education (GME), IPPS indirect medical education (IME), or psychiatric hospital IME programs during the last calendar year for which such information is available. (See 42 C.F.R. § 403.902). The full list of affected teaching hospitals can be found on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/About/Resources.html>.

Disclosure

- **Disclaimer:** The contents of this document do not have the force and effect of law and are not meant to bind the public in any way unless specifically incorporated into a contract. This document is intended only to provide clarity to the public regarding existing requirements under the law.
- **Activities/persons addressed by this document:** Guidance for physicians and teaching hospitals on Open Payments, including how to register, and review, and dispute attributed data.
- **Date of document issuance:** 2/15/2021
- **Replacement / Revision Status:** Revision to previous versions
- **Agency Identifier:** CPI DASG/CPI DTP/DASG/CPI 1067
- **Summary of Document:** A one-stop-shop resource to help physicians and teaching hospitals understand in detail how the Open Payments system works.
- **Citation to statutory provision/regulation applicable to this document:**
 - **Statute citation:** SEC. 1128G. [42 U.S.C. 1320a-7h]
 - **Rule citation:** 42 C.F.R. §403.900-14