ACCESSING THE SUD REPORT IN SMERF



PERM RC FAST FACTS

UNDERSTANDING SAMPLING UNIT DISPOSITION (SUD) REPORTS

States use the State Medicaid Error Rate Finding (SMERF) system to access SUD reports. SUD reports show the findings of the medical, data processing, and eligibility reviews completed by the PERM contractors. SMERF generates SUD reports on the 15th and 30th of each month during an active review cycle. Toward the end of the cycle, the SUD report schedule may change so that SUD reports publish more frequently, e.g., on a weekly or daily basis. When SMERF generates a SUD report, designated state users receive an automated PERM alert email notification.

Three types of SUD reports exist – *Current, Archived*, and *Year-to-Date*. The current and archived SUD reports are not cumulative. Current and archived SUD reports only include recent findings and do not include information from the previous SUD reports. The most recently published SUD report is the *current* SUD report in SMERF. All previously published historical SUD reports can be found in the *archived* SUD reports tab. The *year-to-date* SUD report allows users to view the cumulative information published to the SUD report to date for the review type (i.e., medical, data processing, or eligibility) and reporting year (e.g., RY 2020, RY 2021, etc.).

ACCESSING SUD REPORTS IN SMERF

State users access SUD reports in SMERF by clicking the **Reports** tab, then **State**, then **SUD**, then **Current** or **Archive** on the SMERF main menu. See Figure 1 below.

HOME	MEDICAL RECORDS REQUESTS	ERRORS	REPORTS	ADMIN	CLAIMS	CONTACTS	RECOVERIES	TOOLS
			STATE		SUD		c	CURRENT
State	Medicaid Error Rate Fi	nding (S	SMERF) S	ystem	FEFR	1. A. J.	ŀ	ARCHIVE
- and the second second	rovides the status of reviews and fi ongoing record requests and medio	Cole of the second second	and the second second second		COMPLE	TED VS PENDI	NG	program. State users can IERF to appeal the finding
refer to th	he SMERF State User Guide for more	e information	n.			NING D1		

Figure 1: SMERF Menu

The available SUD reports display as shown below in Figure 2 and Figure 3. If no SUD reports are available, the phrase "No records to display" appears.

Description	View
Current DP Sampling Unit Disposition Report	<u>View</u>
Year-to-Date DP Sampling Unit Disposition Report	<u>View</u>
Medical Review Current Sampling Unit Disposition Rep	orts
Description	View
Current Medical Review Sampling Unit Disposition Report	<u>View</u>
Year-to-Date Medical Review Sampling Unit Disposition Repor FileType	
ligibility Review Current Sampling Unit Disposition Re	ports
Description	View
Current Eligibility Review Sampling Unit Disposition Report	<u>View</u>
Year-to-Date Eligibility Review Sampling Unit Disposition Report FileType	View

Figure 2: Example Current SUD Reports Page

Archived Reports State Name	영말 다 물건이 많다. 아파 가지 않는		
Year Action			
2023 ¥ Find			
Data Processing Archived Sampling Unit Di	sposition Reports FY 2023		
Report Date 🗘	Description	Claim Count	
3/23/2022	DP Sampling Unit Disposition Report	2	D
Columns	₩ 📢 Page 1 of 1 >> > > 10 🗸		View 1 - 1 of 1
Medical Review Archived Sampling Unit Dis	sposition Reports FY 2023		
Report Date≑	Description	Claim Count	
9/28/2022	Medical Review Sampling Unit Disposition Report	3	
9/27/2022	Medical Review Sampling Unit Disposition Report	1	ß
9/27/2022	Medical Review Sampling Unit Disposition Report	3	B
Columns	K ≪ Page 1 of 1 → H 10 ∨		View 1 - 3 of 3
Eligibility Review Archived Sampling Unit D	Disposition Reports FY 2023		State Res States
Report Date≑	Description	Claim Count	
Columns	K ≪ Page 1 of 0 >> N 10 ✓		No records to view

Figure 3: Example Archived SUD Reports Page

Click the **View** link associated with the Current, Archived, or Year-to-Date SUD. The SUD report opens in a new window. To view the claim details for a specific PERM ID, click on the **PERM ID**. Click the

Save icon to open and/or save the report in Microsoft Excel, Microsoft Word, or Adobe PDF. Users may also click the **Export to Excel** button to export the report directly to Excel. See Figure 4 below. Depending on the user's internet browser, either a tab appears in the bottom left corner of the screen that features the report in the format selected or a pop-up message appears at the bottom center of the screen allowing the user to **Open**, **Save**, or **Cancel** the export.

Sampling	y Units Dispositi	ion Report	Excel, Wo	ord, or as a	e Save icon bel PDF document pecific columns y	. Click Export	to Excel to
Export to Exc	of 2 ? 🕨 🕅				g - CONFIDENTIA		
Cut-off Date:		Tocessing S		in Dispo	Sitton Repor	i National	
Results/O	utcome for the st	tate of State N	lame				
Program: I	Medicaid						
Perm ID	State Claim ID	Claim Category St	ate Name	Quarter	Sampling Level	Amount Paid	Source Location

Figure 4: Saving the SUD Report

REVIEWING THE SUD REPORT

States may file a Difference Resolution (DR) if the state disagrees with an error finding and has factually based evidence to support that the payment is accurate. The federal regulation, *42 C.F.R. § 431.998*, provides specific requirements for DRs including:

- The State must file the DR within 25 business days after the review findings are shared with the State (i.e., published on the SUD report).
- *The State must be able to demonstrate all of the following:*
 - Have a factual basis for filing the request.
 - Provide valid evidence directly related to the finding(s) to support the State's position.

Refer to the Fast Facts Flyer Filing a Difference Resolution for information on the DR process.