| CMS Manual System | Department of Health & Human Services (DHHS) | | | | | | |
|---------------------------------------|---|--|--|--|--|--|--|
| Pub 100-04 Medicare Claims Processing | Centers for Medicare & Medicaid Services (CMS) | | | | | | |
| Transmittal 10044 | Date: April 9, 2020 | | | | | | |
| | Change Request 11467 | | | | | | |

Transmittal 4460, dated November 15, 2019, is being rescinded and replaced by Transmittal 10044, dated April 9, 2020, to update the Uniform Resource Locators (URLs) references in Background section. All other information remains the same.

SUBJECT: Claim Status Category and Claim Status Codes Update

I. SUMMARY OF CHANGES: The purpose of this Change Request (CR) is to update, as needed, the Claim Status and Claim Status Category Codes used for the Accredited Standards Committee (ASC) X12 276/277 Health Care Claim Status Request and Response and ASC X12 277 Health Care Claim Acknowledgment transactions. This Recurring Update Notification (RUN) can be found in chapter 31, section 20.7 of Publication (Pub.) 100-04.

EFFECTIVE DATE: April 1, 2020

*Unless otherwise specified, the effective date is the date of service.

IMPLEMENTATION DATE: April 6, 2020

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row*.

| R/N/D | CHAPTER / SECTION / SUBSECTION / TITLE |
|-------|--|
| N/A | N/A |

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

Recurring Update Notification

Attachment - Recurring Update Notification

Pub. 100-04 | Transmittal: 10044 | Date: April 9, 2020 | Change Request: 11467

Transmittal 4460, dated November 15, 2019, is being rescinded and replaced by Transmittal 10044, dated April 9, 2020, to update the Uniform Resource Locators (URLs) references in Background section. All other information remains the same.

SUBJECT: Claim Status Category and Claim Status Codes Update

EFFECTIVE DATE: April 1, 2020

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IMPLEMENTATION DATE: April 6, 2020

I. GENERAL INFORMATION

A. Background: The Health Insurance Portability and Accountability Act of 1996 (HIPAA) requires all covered entities to use only Claim Status Category Codes and Claim Status Codes approved by the National Code Maintenance Committee in the ASC X12 276/277 Health Care Claim Status Request and Response transaction standards adopted under HIPAA for electronically submitting health care claims status requests and responses. These codes explain the status of submitted claim(s). Proprietary codes may not be used in the ASC X12 276/277 transactions to report claim status. This Recurring Update Notification (RUN) can be found in chapter 31, section 20.7 of Pub. 100-04.

The National Code Maintenance Committee meets at the beginning of each ASC X12 trimester meeting (January/February, June, and September/October) and makes decisions about additions, modifications, and retirement of existing codes. The Committee has decided to allow the industry six (6) months for implementation of newly added or changed codes.

The codes sets are available at:

http://www.wpc-edi.com/

Included in the code lists are specific details, including the date when a code was added, changed, or deleted.

All code changes approved during the January/February 2020 committee meeting shall be posted on these sites on or about March 1, 2020.

The CMS will issue RUNs regarding the need for future updates to these codes. When instructed, Medicare contractors must update their claims systems to ensure that the current version of these codes is used in their claim status responses. Contractor and shared systems changes will be made as necessary as part of a routine release to reflect applicable changes such as retirement of previously used codes or newly created codes.

These code changes are to be used in editing of all ASC X12 276 transactions processed on or after the date of implementation and to be reflected in the ASC X12 277 transactions issued on and after the date of implementation of this CR.

CMS' Medicare contractors must comply with the requirements contained in the current standards adopted under HIPAA for electronically submitting certain health care transactions, among them the ASC X12 276/277 Health Care Claim Status Request and Response. These contractors must use valid Claim Status Category Codes and Claim Status Codes when sending ASC X12 277 Health Care Claim Status Responses. They must also use valid Claim Status Category Codes and Claim Status Codes when sending ASC X12 277 Healthcare Claim Acknowledgments. References in this CR to "277 responses" and "claim status responses" encompass both the ASC X12 277 Health Care Claim Status Response and the ASC X12 277 Healthcare

Claim Acknowledgment transactions.

B. Policy: HIPAA; Code of Federal Regulations (CFR) - Title 45 - Subtitle A - Subchapter C - Part 162 - Subpart N - Heath Care Claim Status (162.1401 – 162.1403).

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

| Number | Requirement | Responsibility | | | | | | | | |
|---------|---|----------------|---|-----|-------------------------------|------|-----|-----|-----|-------|
| | | A/B MAC DME | | | DME Shared-System Maintainers | | | | | Other |
| | | A | В | ННН | MAC | FISS | MCS | VMS | CWF | |
| 11467.1 | Contractors and maintainers shall update claim status category and claim status codes that have been modified. | X | X | X | X | X | X | X | | CEDI |
| 11467.2 | Contractors and maintainers shall use the new claim status category and claim status codes as applicable in 277 responses. | X | X | X | X | X | X | X | | CEDI |
| 11467.3 | Contractors and maintainers shall not use claim status category and claim status codes that have been deactivated. | X | X | X | X | X | X | X | | CEDI |
| 11467.4 | Contractors and maintainers shall complete entry of all applicable code text changes, new codes, and terminate use of deactivated codes, by the implementation date of this CR. | X | X | X | X | X | X | X | | CEDI |

III. PROVIDER EDUCATION TABLE

| Number | Requirement | Re | spoi | nsibility | • | |
|---------|---|----|----------|-----------|-----|------|
| | | | A/ M/ | _ | DME | CEDI |
| | | | IVIT | 1C | MAC | |
| | | A | В | ННН | | |
| | | | | | | |
| 11467.5 | MLN Article: CMS will make available an MLN Matters provider education article that will be marketed through the MLN Connects weekly newsletter shortly after the CR is released. MACs shall follow IOM Pub. No. 100-09 Chapter 6, Section 50.2.4.1, instructions for distributing MLN Connects information to providers, posting the article or a direct link to the article on your website, and including the article or a | X | X | X | X | X |

| Number | Requirement | Responsibility | | | | |
|--------|---|----------------|----------|-----|------------|------|
| | | | A/ M/ | _ | DME MAC | CEDI |
| | | A | В | ННН | WILL CO | |
| | direct link to the article in your bulletin or newsletter. You may supplement MLN Matters articles with localized information benefiting your provider community in billing and administering the Medicare program correctly. Subscribe to the "MLN Matters" listserv to get article release notifications, or review them in the MLN Connects weekly newsletter. | | | | | |

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements:

[&]quot;Should" denotes a recommendation.

| X-Ref Requirement Number | Recommendations or other supporting information: |
|--------------------------------|--|
| N/A | N/A |

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Anna Meisheid, 410-786-0588 or Anna.Meisheid@cms.hhs.gov, Mohammad Ullah, 410-786-4143 or Mohammad.Ullah@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 0