CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 12022	Date: May 8, 2023
	Change Request 12991

Transmittal 11864 issued February 16, 2023, is being rescinded and replaced by Transmittal 12022 dated, May 8, 2023, to revise Business Requirements (BRs) 12991.2.1, 12991.3.1, 12991.3.2 and 12991.4.2. This correction also adds BR 12991.9. All other information remains the same.

SUBJECT: User Enhancement Change Request (UECR): Fiscal Intermediary Shared System (FISS) - Automate Inpatient/Skilled Nursing Facility Common Working File (CWF) Alerts Received on the L1001 and L1002 Reports

I. SUMMARY OF CHANGES: The purpose of this Change Request (CR) is to implement a Medicare Administrative Contractor (MAC) request to automate the CWF L1001 and L1002 alerts. Currently, CWF adjusts or cancels the claim in CWF history and notifies the MAC via a report. The MAC manually adjusts/cancels the claim to match the updated record in CWF history.

EFFECTIVE DATE: July 1, 2023

*Unless otherwise specified, the effective date is the date of service.

IMPLEMENTATION DATE: July 3, 2023

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	N/A

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Attachment - One-Time Notification

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SUBJECT: User Enhancement Change Request (UECR): Fiscal Intermediary Shared System (FISS) - Automate Inpatient/Skilled Nursing Facility Common Working File (CWF) Alerts Received on the L1001 and L1002 Reports

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I. GENERAL INFORMATION

A. Background: The L1001 and L1002 CWF alerts were implemented before CMS introduced the current automated Informational Unsolicited Response (IUR) process. The alerts adjust/cancel the claim in CWF history and send a report to the MAC. The MAC manually updates FISS to match CWF. The current process is time consuming for the MACs and the CWF Host and creates a risk of a mismatch between the FISS claims history and CWF. Automating the process will ensure claims in FISS are adjusted appropriately as well as reduce resources required to complete the adjustments.

This UECR will implement the changes required to discontinue the current L1001/L1002 process and replace the alerts with new automated IURs.

B. Policy: This UCR is an enhancement to automate an existing process. There is no policy impact.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Requirement	Responsibility								
		A/B		D					Other
	N	AA(_			
				Е	M	aint	aine	ers	
	A	В	Н		F	M	V	C	
			Н	M	I	C	M	W	
			Н	A	S	S	S	F	
				C	S				
CWF shall discontinue creation of the L1001/L1002								X	CWF Host
reports.									
CWF shall create a new IUR that identifies an								X	
inpatient claim processed with an incorrect deductible									
amount.									
CWF shall return trailer 24 with the IUR.								X	
CWF shall continue to auto-adjust the inpatient claim								X	
in CWF history with auto-adjustment indicator 6.									
	CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR. CWF shall continue to auto-adjust the inpatient claim	CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR. CWF shall continue to auto-adjust the inpatient claim	CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR. CWF shall continue to auto-adjust the inpatient claim	CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR. CWF shall continue to auto-adjust the inpatient claim	A/B MAC M E A B H H M A C CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR. CWF shall continue to auto-adjust the inpatient claim	A/B MAC M E M A B H H M I H A S C S CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR.	A/B MAC Maint A B H F M I C H A S S C S CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall return trailer 24 with the IUR.	A/B MAC M System H Maintaine A B H M I C M H A S S S C S CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall continue to auto-adjust the inpatient claim	A/B MAC M System Maintainers A B H M I C M W H A S S S F CWF shall discontinue creation of the L1001/L1002 reports. CWF shall create a new IUR that identifies an inpatient claim processed with an incorrect deductible amount. CWF shall continue to auto-adjust the inpatient claim X Shared-System Maintainers F M V C F M W W X X X X X X X X X X X X X

Number	nber Requirement Responsibility									
			A/E	3	D M		Sha Sys			Other
					E		aint			
		A	В	H H	M	F I	M C	V M		
				Н	A	S	S	S	F	
12991.2.3	FISS shall accept the new IUR and create an				С	S X				
12//1.2.3	adjustment to the inpatient claim identified by the IUR					71				
	and cycle the adjustment to CWF where the deductible will be evaluated. Trailer 07 will be returned with the									
	CWF response to auto-adjust the deductible amount.									
12991.2.3	FISS shall create the adjustment with a blank tape-to-					X				
.1	tape flag to process the adjustment to CWF.									
12991.3	CWF shall create a new IUR to identify the incorrect inpatient claim or claims in history that need to be								X	
	canceled due to over utilization of benefits.									
12991.3.1	CWF shall return trailer 24 with the IUR for claims.								X	
12991.3.2	CWF shall continue to auto-adjust the inpatient claim(s) in CWF history.								X	
12991.3.3	FISS shall accept the new IUR and create a cancel for the claim identified in the IUR.					X				
12991.3.3	FISS shall suspend the cancel to a manual location for MAC verification before the cancel is released to process.					X				
12991.3.3	MACs shall validate the cancel, and make any required changes to ensure correct processing, prior to releasing the cancel from the manual location.	X								
12991.4	CWF shall create NEW IURs to identify the inpatient								X	
	claims in history that are auto canceled due to the XREF Merge process that are currently communicated									
	to the MACs with messages P01, IP02, IP03, IP04,									
	IP05, IP06, IP07, IP08, IP09, IP10 and IP11 on the L1002 reports. CWF shall determine if overutilization									
	has occurred from the claim with the inactive HIC based on the rebuilding of the benefit periods.									
12991.4.1	CWF shall continue to auto-adjust the inpatient claim in CWF history with auto-adjustment indicator 6.								X	
										1

Number	Requirement	Responsibility								
			A/B MA(C	D M E	,	Sys aint	aine		Other
		A	В	H H H	M A C	F I S S	M C S	V M S		
12991.4.2	CWF shall return trailer 24 with the IURs.								X	
12991.4.3	FISS shall accept the IUR and create a cancel for the inpatient claim identified in the IUR.					X				
12991.4.3 .1	FISS shall suspend the cancel to a manual location for MAC verification before the cancel is released to process.					X				
12991.4.3	MACs shall validate the cancel, and make any required changes to ensure correct processing, prior to releasing the cancel from the manual location.	X		X						
12991.5	CWF shall create NEW IURs to identify the hospice claims in history that are auto canceled due to the XREF Merge process.								X	
12991.5.1	CWF shall continue to auto-adjust the hospice claim in CWF history.								X	
12991.5.2	CWF shall return trailer and 24 with the IURs.								X	
12991.5.3	FISS shall accept the IUR and create a cancel for the hospice claim identified in the IUR.					X				
12991.5.3 .1	FISS shall suspend the cancel to a manual location for MAC verification before the cancel is released to process.					X				
12991.5.3 .2	MACs shall validate the cancel, and make any required changes to ensure correct processing, prior to releasing the cancel from the manual location.	X		X						
12991.6	CWF shall provide the values for the new IUR indicators, error and disposition codes to FISS within 10 business days after issuance of this CR.								X	
12991.7	FISS and CWF shall perform integrated testing to validate correct processing of the IURs.					X			X	

Number	Requirement	Re	Responsibility							
			A/B		D	\$	Sha	red-		Other
		N	MAC		M	_				
					Е	Maintainers		ers		
		A	В	Н	3.5	F	M			
				Н	M	I	C	M		
				Н	A	S	S	S	F	
					С	S				
12991.8	Contractors shall attend up to 3 meetings to identify	X				X			X	,
	testing difficulties and work toward solutions. The									MIST
	first meeting shall be held approximately 2 weeks									
	prior to the commencement of BETA testing.									
12991.9	CWF shall stop sending trailer 15 or trailer 20 for								X	
	Inpatient claims.									

III. PROVIDER EDUCATION TABLE

Number	Requirement	Re	spo	nsib	ility	
			A/B		D	С
		ľ	MAC	C	M	Е
					Е	D
		A	В	Н		I
				Н	M	
				Н	Α	
					С	
	None					

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

[&]quot;Should" denotes a recommendation.

X-Ref	Recommendations or other supporting information:
Requirement	
Number	

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Rita Hazlip, Rita.Hazlip@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

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ATTACHMENTS: 0