

Section 1011

Ask the Contractor Teleconference

May 21, 2014
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Topics



- Who is Novitas?
- What is Section 1011
- Use of the Provider Payment Determination (PPD) Form to Determine Patient Eligibility
- Tips for Completing the PPD Form
- How to Contact Section 1011

Who is Novitas?



- Novitas Solutions, Inc. (Novitas) serves as an administrative services processing company for government-sponsored health care programs
- Novitas is currently the JL and JH Medicare Administrative Contractor (MAC) for the Centers for Medicare and Medicaid Services (CMS)
- Novitas also holds other government contracts, including the CMS contract for the Section 1011 Program

What is Section 1011?



- Section 1011 gets its name from part of the Medicare Prescription Drug, Improvement and Modernization Act of 2003 (Pub. L. 108-173) (MMA), which included Section 1011, Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens.
- The MMA requires the Secretary of Health and Human Services to directly pay eligible hospitals, physicians, and ambulance providers (including the Indian Health Service and Indian tribal organizations) for their otherwise unreimbursed costs of providing emergency medical services to undocumented aliens.

What Kind of Care is Covered by Section 1011?



- Emergency care for undocumented aliens is required by Section 1867 (the Emergency Medical Treatment and Labor Act, or EMTALA) of the Social Security Act and includes related hospital inpatient, outpatient, and ambulance services furnished to undocumented aliens, aliens paroled into the United States at a United States port of entry for the purpose of receiving such services, and Mexican citizens permitted temporary entry to the United States with a laser visa.

Who Can Request Payment?



- Section 1011 payments are made quarterly to reimburse eligible enrolled providers for emergency services rendered to undocumented aliens under the EMTALA rules as long as their state's funding remains.
- Eligible provider types include hospitals, physicians, physician groups, and ambulance providers. Only eligible providers can request payment from Section 1011.

Patient Eligibility for Section 1011 Services



- Since patients are undocumented aliens, there is no beneficiary file for the Section 1011 Program
- Patient eligibility is therefore demonstrated by the use of a special form called the Provider Payment Determination Form (CMS-10130A)
- A PPD form should be kept on file for all undocumented alien encounters where emergency medical services will be billed to Section 1011

Provider Payment Determination Form



- Providers must supply the information requested on the Provider Payment Determination (PPD) Form (CMS-10130A), or other equivalent form prepared by the provider, to allow a determination of a patient's eligibility for services that may be reimbursed by the Section 1011 program.
- The PPD Form can be found on the CMS website
 - <http://www.cms.gov/Medicare/CMS-Forms/CMS-Forms/downloads/cms10130a.pdf>

Use of the PPD Form



- While a completed PPD form should be part of the Medical Record for every Section 1011 patient, providers are not required to attach a PPD form to each payment request.
- If a Section 1011 payment is randomly chosen for either a Compliance Review or a Medical Record Review, you will receive a letter, and the completed PPD form must be sent in with the response.

PPD Completion Tips



- A block at the very top of page one informs the reader that failing to fill out a Section 1011 PPD form completely will result in a denial of your Payment Request. Many of the forms received by Novitas are missing information or are not signed and dated. We urge you to use these step-by-step tips so that your form will be complete.

Medical Record Number



- **Step 1:** The “Patient's Hospital Medical Record Number” must be reported as it appears on the medical record of the patient for whom you are submitting the payment request and should also match what was reported on the Section 1011 payment request. Since there is no unique patient identifier in the Section 1011 program, this number will be an important point of reference as we review your patient information and determine if a payment request can be paid.
- **Common Errors:** The number is either incomplete or missing or does not match the number on the payment request or on an attached medical record.

Place of Patient's Birth



- **Step 2:** “Patient’s Place of Birth” requires that you report the country of birth; the city may be reported if known.
- **Common Errors:** The box is left blank; the response is “Unknown”; a US Territory is reported.

Declared Undocumented



- **Step 3:** A provider should not ask a patient if s/he is undocumented. However, if the patient informs you that s/he is undocumented, check the box above Question 1 and then turn the form over, sign and date on page 2 and provide the name of the hospital where care was given.
- **Common Error:** The box is checked, but other information is provided that contradicts the undocumented status.

Medicaid Enrollment?



- **Step 4:** If the box above is not checked, Question 1 must be answered. If the answer is “Yes”, turn the form over, sign and date page 2, indicate the name of the hospital where emergency care was given and return the form. If the answer is “No”, a reason must be given in the blank provided on the form.
- **Common Errors:** No reason is given for answering “no” ; the words ‘not eligible’ are written with no other reason given. Providers answer this question when it is not needed.

Mexican Citizen or Parolee?



- **Step 5:** Question 2 must be completed unless the box above Question 1 was checked or the patient is Medicaid eligible.
 - If “Yes” is chosen, the supporting documentation (a copy of the patient’s Form DSP-150 or I-94) must be attached to the form. If “No” is checked, proceed to Question 3.
 - If the patient declines to answer or there is no available documentation, check third box and go to Question 3.
- **Common Errors:** No box is checked when the question is required; “Yes” is chosen but no documentation is provided. “No” is checked but Question 3 is skipped.

Question 3 - Verification



- **Step 6:** In Question 3, if the first box is checked indicating documentation presented by the patient is being used to demonstrate the foreign place of birth or nationality, a copy of the document(s) must be attached to the PPD form.
 - If the second box is checked, the provider must maintain evidence on file that the SSN was investigated.
 - If block 3 is chosen for Question 3, enter the Agency Name and Agent Name/ID in the space provided.
- **Common Errors:** Question 3 is skipped when verification is needed; no documents are attached; the Agency or Name/ID of Agent are not reported

Page 2 – Signature, Date and Hospital Name



- **Step 7:** There are 3 parts to this last step
 - Signature of the provider representative
 - Date the PPD Form was completed
 - Name of the Hospital Providing Emergency Care
- **Common Errors:** The form is not signed; the date is missing or not consistent with dates of treatment; the name of the hospital is missing

PPD is Key!



- Remember, the PPD form is the key to demonstrating the patient is an undocumented alien so that services rendered in an emergency situation can be reimbursed to enrolled healthcare providers, ambulances and facilities.
- Please double check your PPD form is complete before sending to Section 1011 to avoid delays in payment or loss of reimbursement.

Contacting Section 1011



- If you have questions about completing a PPD form, please contact a Section 1011 Customer Service Specialist by calling:
 - **1-866-860-1011**
- Specialists are available to answer your Section 1011 questions Monday through Friday from 8:00 AM to 4:30 PM Eastern Time

Visit the Section 1011 Website for Information



- This presentation, along with many more details about the Section 1011 Program, can be found on our website at:
 - <http://www.novitas-solutions.com/webcenter/spaces/Section1011>
- Ask us a question anytime online; look under 'Customer Service', then 'Contact Us' for an online form. You will receive an email response from Customer Service.