



Timeline of Key Statutes and Regulations

Year	Date	Law or Reg	Topic of Law/Reg	Description
1996	Aug 21	Law	HIPAA, Health Insurance Portability and Accountability Act	<p>The law requires HHS to adopt national standards and code sets for electronic transactions. The provisions become known as Administrative Simplification.</p> <p>HIPAA adds a new Part C – Administrative Simplification to the Social Security Act of 1935.</p>
2000	Aug 17	Reg	Standards and Code Sets for Electronic Transactions and DSMO Process	<p>HHS adopts code sets (ICD-9, CPT-4, National Drug Codes, Code on Dental Procedures and Nomenclature, and HCPCS) and standards for electronic transactions: ASC X12 Version 4010 and NCPDP Version 5.1.</p> <p>HHS publishes a regulation outlining the process for standards development organizations to collaborate on the review of proposed modifications to standards and code sets, including the execution of a Memorandum of Understanding on which HHS is a signatory. The mandatory collaboration is called the Designated Standards Maintenance Organization (DSMO).</p> <p>Adoption of the standards and code sets is required by Oct 16, 2002, for all HIPAA-covered entities, except small health plans, which were required to comply on Oct 16, 2003.</p>
2001	Dec 27	Law	ASCA, Administrative Simplification Compliance Act	Congress requires electronic submission of Medicare claims.
2002	May 31	Reg	Employer Identification Number (EIN)	HHS adopts standard for Employer Identifier Standard (EIN) which becomes mandatory for use on July 30, 2002.
2003	Feb 20	Reg	Transaction Standards and Code Sets	HHS adopts modified specifications for 1) transaction standards, and 2) how HIPAA-covered entities use standards, effective Mar 24, 2003.
2003	Aug 15	Reg	Electronic Submission of Medicare Claims	HHS publishes regulation implementing ASCA , requiring electronic submission of Medicare claims, effective Oct 16, 2003.



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2004	Jan 23	Reg	National Provider Identifier (NPI)	HHS publishes regulation adopting the National Provider Identifier (NPI) under HIPAA , effective May 23, 2007, except for small health plans, which had until May 23, 2008, to comply.
2006	Feb 16	Reg	Enforcement of Administrative Simplification	HHS extends civil monetary penalties for privacy violations to apply to all Administrative Simplification violations, effective Mar 16, 2006.
2009	Jan 16	Reg	Version 5010/D.0 Final Rule	HHS adopts updated versions of the standards: ASC X12 Version 5010, NCPDP D.0, and NCPDP 3.0 and requires adoption by Jan 1, 2012.
2009	Jan 16	Reg	ICD-10 Final Rule	HHS requires HIPAA-covered entities to transition from ICD-9 to ICD-10 codes for medical diagnosis and inpatient hospital procedures on Oct 1, 2013.
2009	Feb 17	Law	Health Information Technology and Economic and Clinical Health (HITECH) Act and Civil Penalties	Part of the American Reinvestment and Recovery Act , HITECH adjusts civil monetary penalties for HIPAA violations, including Administrative Simplification.
2009	Oct 30	Reg	Enforcement and Civil Penalties	HHS releases interim final rule on civil monetary penalties effective Nov 30, 2009.
2010	Mar 23	Law	ACA, Patient Protection and Affordable Care Act	Congress expands on HIPAA to mandate operating rules for transactions, standards for electronic funds transfer (EFT) and claims attachments, adoption of the unique health plan identifier (HPID) as required in the 1996 law, health plan certification of compliance, and HHS outreach to advisory bodies for input on potential improvements to Administrative Simplification. ACA also requires the ICD-9-CM Coordination and Maintenance Committee to solicit input on and revise ICD-9 to ICD-10 crosswalk posted on CMS website.
2011	Jul 8	Reg	Operating Rules for Eligibility for a Health Plan and Claim Status	HHS adopts operating rules for eligibility and claim status transactions effective Jan 1, 2013.
2011	Dec 7	Reg	ICD-10 Medical Loss Ratio Update	HHS updates medical loss ratio requirements under ACA to help payers cover costs of ICD-10 transition.



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2012	Jan 10	Reg	Standards for Electronic Funds Transfer (EFT) and Electronic Remittance Advice (ERA)	HHS publishes interim final rule for EFT standard, then announced, on Jul 10, 2012, that the Jan 10 standards rule is final.
2012	Aug 10	Reg	Operating Rules for EFT and ERA	HHS adopts operating rules for EFT/ERA, effective Jan 1, 2014.
2012	Sept 5	Reg	HPID Standard and ICD-10 Compliance Delay to 2014	<p>HHS adopts an HPID standard and sets a Nov 5, 2014, compliance date, while giving small plans until Nov 1, 2015, to comply.</p> <p>Effective Oct 31, 2014, HHS announces a delay until further notice in HPID enforcement.</p> <ul style="list-style-type: none"> On Sept 23, 2014, NCVHS recommends HHS issue a rule that exempts the need to use an HPID in HIPAA transactions HHS is currently reviewing public comments received in response to a May 29, 2015, request for information; the comment period closed Jul 28, 2015 <p>HHS also delays ICD-10 compliance date from Oct 1, 2013, to Oct 1, 2014, to allow providers more time to prepare.</p>
2013	Mar 26	Reg	Administrative Simplification Regulations Consolidated (Unofficial)	<p>HHS Office for Civil Rights (OCR) publishes unofficial combined version of Administrative Simplification regulations, updated through Mar 26, 2013.</p> <ul style="list-style-type: none"> OCR enforces privacy and security regulations on behalf of HHS CMS Office of Enterprise Information, National Standards Group, enforces all other Administrative Simplification regulations on behalf of HHS
2014	Jan 2	Reg	Certification of Compliance for Health Plans	<p>HHS publishes a Notice of Proposed Rule Making (NPRM) for Certification of Compliance.</p> <p>HHS is currently developing a second proposed rule that would revise the initial proposed provisions in response to public feedback received through the rulemaking process.</p>



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2014	Apr 1	Law	PAMA, Protecting Access to Medicare Act	Congress prohibits HHS from requiring ICD-10 compliance before Oct 1, 2015.
2014	Aug 4	Reg	ICD-10 Compliance Delay to 2015	HHS implements PAMA, changing ICD-10 compliance date from Oct 1, 2014, to Oct 1, 2015.