

ATTACHMENT III: SAMPLE 2007 RECORD OF PLAN-TO-PLAN RECONCILIATION PAYMENTS

Scenario- H0003’s P2P Payable Report and P2P Receivable Report for contract year 2007 are as follows:

Contracts Owed	Reports 43 (As of Month, As of Year)	Contracts Owed	Reports 41 (As of Month, As of Year)
H0001	October 2007: \$200 November 2007: \$300	H0001	December 2007: -\$500
H0002	January 2008: \$250 February 2008: \$450 March 2008: \$300	H0002	April 2008: -\$300
H0004	January 2008: \$400	H0004	

H0003’s completed 2007 Record of Plan-to-Plan Reconciliation Payments **would** look like this:

RECORD OF PLAN-TO-PLAN RECONCILIATION PAYMENTS					
Contract Number: H0003					
Contract Year: 2007					
Payments as of Date: 8/29/2008					
CONTRACT ID PAID	ANNUAL AMOUNT OWED	AMOUNT PAID	REPORT 43 MONTH & YEAR	REPORT 41 MONTH & YEAR	NOTES
H0001	\$1,000	\$1,000	October 2007, November 2007	December 2007	
H0002	\$1,300	\$1,300	January 2008-March 2008	April 2008	
H0004	\$400	\$0.00			Unable to get in touch with P2P contact due to contract termination. CMS Account manager aware of issue. Attempting to find updated contact for this Contract. \$400 was found on Report 43 for January 2007

H0003’s completed 2007 Record of Plan-to-Plan Reconciliation Payments should **not** look like this:

RECORD OF PLAN-TO-PLAN

RECONCILIATION PAYMENTS

Contract Number: H0003
Contract Year: 2006
Payments as of Date: 8/29/2008

CONTRACT ID PAID	ANNUAL AMOUNT OWED	AMOUNT PAID	REPORT 43 MONTH & YEAR	REPORT 41 MONTH & YEAR	NOTES
H0001	\$1,000	\$1,000			Payment made to H0001 at the request of that Part D Sponsor.
H0002	\$1,300	\$1,300	January 2007-March 2007, April 2008		Will send out payment within the next 30 days.
H0004	\$400	\$0.00	January 2008		Unable to get in touch with P2P contact due to contract termination. CMS Account manager aware of issue. Attempting to find updated contact for this Contract. \$400 was found on Report 43 for January 2007

Explanation of errors:

Contract Year should be 2007, not 2006

H0001: Payments should not be made to another contract based upon the contract's request. Payments should be made based upon the monthly P2P reports. The Contract should list the reports that were used to determine the amount due to the other contract.

H0002: The April 2008 Report 41 is listed under the column for "Report 43 Month & Year". The notes column indicates that the actual payments were not made within 30 days; therefore, the amount paid column should show \$0.00. Once the payment is actually made to the other contract, you must submit an updated attestation and spreadsheet showing that the payment was actually made.

H0004: The "Report 43 Month & Year" column should not list any reports. Only list reports showing amounts actually paid by the contract. If a payment was not made, do not list the P2P report.