

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850



**CENTER FOR DRUG and HEALTH PLAN CHOICE**

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TO: All Part D Sponsors

FROM: Thomas Hutchinson, Director, Medicare Plan Payment Group

SUBJECT: Incoming File from CMS: Part D Risk Scores as Calculated with the Revised 2011 Model

DATE: February 26, 2010

On Monday, March 1, 2010, CMS will push out to all standalone PDPs a beneficiary-level file that provides Part D risk scores calculated under the revised 2011 RxHCC risk adjustment model. The file will also provide each enrollees' Part D risk score as calculated under the current RxHCC risk adjustment model, so that you have a comparison.

This memo is to inform you that there may be potential action that is needed for you to accept this new file. Another memo with the file naming convention is being sent to your IT staff via the MMA Help Desk.

The file name will be constructed as follows:

Gentran: P.Rxxxxx.PDPNEWRS.Dyymmdd.Thhmsst.pn  
C:D mainframe: zzzzzzz.Rxxxxx.PDPNEWRS.Dyymmdd.Thhmsst  
C:D non-mainframe: [directory]Rxxxxx.PDPNEWRS.Dyymmdd.Thhmsst

**KEY**

xxxxx = 5 character plan contract #  
yymmdd = two digit year, month, day  
hhmsst = hour/minute/second/tenths of second  
pn = process number  
zzzzzzz = Plan-provided high level qualifier, default is EFTO for production; EFTT for test  
[directory] = optional directory specified from non-mainframe C:D clients, default is EFTO. for production; EFTT. for test

If you have any questions, please contact the MMA Help Desk via email at MMAHELP@cms.hhs.gov or via phone at 1-800-927-8069, and ask for someone on the Connectivity Team.

Below is the file layout. The beneficiaries in the file are those who were enrolled in your plan as of July 2009 and who had 12 months of diagnostic data from FFS during the data collection year. If any of your July 2009 enrollees were enrolled in an MA plan during the data collection year, they have been excluded from this file. The 2009 risk scores calculated using the current Part D model have been normalized by the enrollee-based normalization factor of 1.146. The risk scores calculated under the revised Part D model have a 2009 denominator and have not normalized by 1.0.

#	Field Name	Length	Position	Description
1	PDP Contract Id	5	1-5	PDP Contract Id
2	PDP Plan Id	3	6-8	PDP Plan Id
3	RRB HIC	12	9-20	Railroad Board HIC
4	Current Score (v 1.0)	8	21-28	Current model (v 1.0) Rx Risk Score, adjusted for Low Income or Long Term Institutional Multipliers
5	Score (v 2.0)	8	29-36	v 2.0 Rx Risk Score
6	New Enrollee Flag	1	37	New Enrollee Flag Values: 1 = Less than 12 months of Part B enrollment in 2008 0 = 12 months of Part B enrollment in 2008
7	LI/LTI Multiplier ( <i>used with current model</i> )	4	38-41	Low Income or Long Term Institutional Multiplier Values: 1.0 (non LI/LTI beneficiary) 1.05 1.08 1.21
8	LI/LTI Status	3	41-43	Low Income or Long Term Institutional status Values: Blank = Non LI/LTI beneficiary LI = Low Income LTI = Long Term Institutional
9	Rx Risk Score Model used for v 2.0 Rx Risk Score	1	44	v 2.0 Rx Risk Score Model Values: 1 = New Enrollee Institutional 2 = New Enrollee Low Income 3 = New Enrollee Non-Low Income 4 = Continuous Enrollment Institutional 5 = Continuous Enrollment Low Income Aged 6 = Continuous Enrollment Non-Low Income Aged 7 = Continuous Enrollment Low Income Non-Aged 8 = Continuous Enrollment Non-Low Income Non-Aged