

**Attachment A – Contract Year 2011 Guidance for Medicare Advantage and Medicare Advantage Prescription Drug Plan Renewals**

	<b>Activity</b>	<b>Guidelines</b>	<b>Renewal Effectuation Method</b>	<b>Systems Enrollment Activities</b>	<b>Enrollment Procedures</b>	<b>Beneficiary Notifications</b>
1	New Plan (PBP) Added	An MAO creates a new plan benefit package (PBP).	<p><b>HPMS Plan Crosswalk Definition:</b> A new plan added for 2011 that is not linked to a 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> New Plan</p>	The MAO must submit enrollment transactions for 2011.	New enrollees must complete an enrollment request.	None
2	Renewal Plan	An MAO continues to offer a CY 2010 MA PBP in CY 2011 and retains all of the same service area. <b>The same PBP ID number must be retained</b> in order for all current enrollees to remain in the same MA PBP in CY 2011.	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and retains all of its plan service area from 2010. The 2011 plan must retain the same plan ID as the 2010 plan</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan</p>	<p><b>The renewal PBP ID must remain the same</b> so that current enrollees will remain in the same PBP ID.</p> <p>The MAO <b>does not</b> submit enrollment transactions for current enrollees.</p>	<p>No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment requests.</p>	Current enrollees are sent a standard ANOC.
3	Consolidated Renewal Plan	An MAO <i>combines one or more whole MA PBPs</i> of the same type offered in CY 2010 into a single renewal PBP so that all current enrollees in combined PBP are offered the same benefits in CY 2011.  The MAO must designate	<p><b>HPMS Plan Crosswalk Definition:</b> One or more 2010 plans that consolidate into one 2011 plan. The 2011 plan ID must be the same as one of the consolidating 2010 plan IDs.</p> <p><b>HPMS Plan Crosswalk</b></p>	<p><b>The MAO’s designated renewal PBP ID must remain the same</b> so that CMS can consolidate enrollees into the designated renewal PBP ID in CMS systems.</p> <p>The MAO <b>does not</b></p>	<p>No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete</p>	Current enrollees are sent a standard ANOC.

	Activity	Guidelines	Renewal Effectuation Method	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
		<p>which of the renewal PBP IDs will be retained in CY 2011 after consolidation. CMS will not allow for consolidations across contracts (with limited exceptions for some renewal options, as described elsewhere in this guidance). Only whole PBPs may be consolidated; a CY 2010 PBP may not be split among different PBPs in CY 2011.</p> <p><b>Note:</b> If an MAO reduces a service area when consolidating PBP, it must follow the rules for a renewal plan with SAR described elsewhere in this guidance.</p>	<p><b>Designation:</b> Consolidated Renewal Plan</p>	<p>submit enrollment transactions for current enrollees. The MAO may have to submit 4Rx data for individuals whose PBP number changed.</p>	<p>enrollment request.</p>	
4	Renewal Plan with an SAE	<p><b>This option is available to local MA Plans only.</b> An MAO continues to offer a CY 2010 local MA PBP in CY 2011 and retains all of the same PBP service area, but also adds one or more new service areas. <b>The same PBP ID number must be retained</b> in order for all current enrollees to remain in the same MA</p>	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and retains all of its plan service area from 2010, but also adds one or more new counties. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b></p>	<p><b>The renewal PBP ID must remain the same</b> so that current enrollees in the remaining in the service area will remain in the same PBP ID.</p> <p>The MAO <b>does not</b> submit enrollment transactions for current 2010</p>	<p>No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment request.</p>	<p>Current enrollees are sent a standard ANOC.</p>

	Activity	Guidelines	Renewal Effectuation Method	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
		PBP in CY 2011.	<p>Renewal Plan with an SAE</p> <p><b>Note:</b> If the 2011 plan has both an SAE and a SAR, the plan must be renewed as a renewal plan with a SAR.</p>	enrollees. The MAO submits enrollment transactions for new enrollees.		
5a	Renewal Plan with a SAR and no other MA options available	<p><b>This option is available to local MA plans only.</b> An MAO reduces the service area of a CY 2010 MA PBP and the reduced service area is not contained in another MA PBP offered by the same organization or any other MAO.</p> <p>The MAO <b>may offer</b> the option to individuals in the reduced portion of the service area for CY 2011 to enroll in its remaining PBP if no other MA plans are available (see 42 CFR 422.74(b)(3)(ii)).</p> <p><b>Note:</b> One renewal plan with a SAR may have counties that should follow the guidance provided in 5a, and other counties in the SAR that should follow the</p>	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and only retains a portion of its plan service area. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with a SAR</p> <p><b>Note:</b> If the 2011 plan has both an SAE and a SAR, the plan must be renewed as a renewal plan with a SAR</p>	<p>The MAO <b>must submit disenrollment transactions</b> for individuals residing in the reduced portion of the service area for whom it does not collect an enrollment request.</p> <p>The MAO does not submit enrollment transactions for current enrollees in the renewal portion of the service area.</p>	Enrollees impacted by the SAR need to complete a enrollment request if the MAO offers the option of continued enrollment (see 42 CFR 422.74(b)(3)(ii)).	<p>The MAO sends a termination notice to current enrollees in the reduced service area that includes notification of SEP and guaranteed issue Medigap rights. The MAO may also provide affected enrollees additional information about the option to remain enrolled in the plan if the MAO elects to offer enrollment to enrollees in the reduced portion of the service area.</p> <p>Current enrollees in the renewal portion of the service area receive the standard ANOC.</p>

	Activity	Guidelines	Renewal Effectuation Method	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
		guidance provided under 5b (i.e., the guidance provided in 5a and 5b may both apply to a single plan).				
5b	Renewal Plan with a SAR when the MAO will offer another PBP in the reduced portion of the service area	<p><b>This option is available to local MA plans only.</b> An MAO reduces the service area of a CY 2010 MA PBP and the reduced service area is part of a new or renewal PBP offered by that MAO in 2011.</p> <p>The MAO <b>may market</b> to enrollees in the reduced service area any other PBP offered in the reduced service area for CY 2011. Affected enrollees who elect to enroll in another MA plan offered in the reduced service area must submit an enrollment request.</p> <p><b>Note:</b> One renewal plan with a SAR may have counties that should follow the guidance provided in 5a and other counties in the SAR that should follow the guidance provided under 5b (i.e., the guidance</p>	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and only retains a portion of its plan service area. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with a SAR</p> <p><b>Note:</b> If the 2011 plan has both an SAE and a SAR, the plan must be renewed as a renewal plan with a SAR.</p>	<p>The MAO <b>must submit transactions to disenroll individuals</b> residing in the reduced portion of the service area.</p> <p>The MAO submits enrollment transactions to enroll beneficiaries who have requested enrollment in other PBP offered in the reduced service area.</p>	Enrollees impacted by the SAR <b>need to complete enrollment requests</b> if they elect to enroll in another PBP (plan) in the same organization or a different MA plan.	<p>The MAO sends a termination notice to current enrollees in the reduced portion of the service area that includes notification of SEP and guaranteed issue Medigap rights. The MAO may also provide additional information including instructions on how to complete an enrollment request to switch to another PBP offered by the same organization.</p> <p>Current enrollees in the renewal portion of the service area receive the standard ANOC.</p>

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		provided in 5a and 5b may both apply to a single plan).				
6	Terminated Plan (Non-Renewal)	An MAO terminates the offering of a CY 2010 PBP.	<p><b>HPMS Plan Crosswalk Definition:</b> A 2010 plan that is no longer offered in 2011.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Terminated Plan.</p>	The MAO <b>does not</b> submit disenrollment transactions. If the terminated enrollee elects to enroll in another MA plan with the same or any other MAO, that organization <b>must submit enrollment transactions</b> to enroll the beneficiary.	Terminated enrollees must complete an enrollment request if they choose to enroll in another PBP, even in the same organization.	Terminated enrollees are sent a termination notice that includes notification of SEP and guaranteed issue Medigap rights.
7a	Non-network PFFS plan transitioning to a partial network PFFS plan.	<b>For PFFS only:</b> An MAO <b>consolidates</b> one or more CY 2010 non-network PFFS PBPs into a single new or renewing CY 2011 partial PFFS PBP under a separate contract held by the <u>same</u> legal entity. Only consolidation of whole PBPs is allowed under this option; PBPs may not be split.	<p><b>Exceptions Renewal Request:</b> Organizations cannot complete this transition via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS staff, who will complete the transition on behalf of the organization.</p> <p><b>HPMS Plan Crosswalk Designation:</b> The non-network plan being transitioned must be marked as a terminated plan in the</p>	<p>HPMS will record the consolidation of one or more whole PBPs. The MAO <b>does not</b> submit enrollment transactions for current enrollees.</p> <p>MAOs may need to submit updated 4RX data for enrollees affected by the consolidation.</p>	<p>No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment request.</p>	Current enrollees are sent a standard ANOC.

	Activity	Guidelines	Renewal Effectuation Method	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
			<p>HPMS Plan Crosswalk.</p> <p>The 2011 partial network plan must be active and contain the applicable service area from the terminated plan being renewed.</p>			
7b.	Some counties of a non-network PFFS plan transitioning to a partial network PFFS plan.	<p><b>For PFFS only:</b> For the counties in the 2010 non-network PFFS PBP that will remain non-network, the MAO must follow the rules for a renewal plan with SAR described elsewhere in this guidance.</p> <p>For current enrollees residing in the counties in the 2010 non-network PFFS PBP that will be consolidated into a single new or renewing partial network PBP under a separate contract held by the <u>same</u> legal entity, the MAO must submit enrollment transactions.</p>	<p><b>Exceptions Crosswalk Request:</b></p> <p>Organizations cannot complete the transition of current enrollees to the partial network PFFS plan via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS. If approved, the MAO will be permitted to submit enrollment transactions.</p> <p><b>HPMS Plan Crosswalk Definition:</b> A 2011 non-network plan that links to a 2010 non-network plan and only retains the available non-network counties in its plan service area. The 2011 plan must retain the same plan ID as the 2010 plan.</p>	<p>The MAO <b>must</b> submit enrollment transactions to transition current enrollees to the new or renewing partial network PBP under a separate contract held by the same legal entity.</p> <p>For current enrollees that remain in the renewed non-network PFFS plan, the MAO does not submit enrollment transactions.</p>	<p>No enrollment request is required for current enrollees.</p> <p>New enrollees must complete enrollment requests.</p>	Current enrollees are sent a standard ANOC.

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			<b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with a SAR			
8a.	Non-network PFFS plan transitioning to a full network PFFS plan.	<b>For PFFS only:</b> An MAO consolidates one or more whole CY 2010 non-network PFFS PBPs into a single new or renewing CY 2011 full network PFFS PBP under a separate contract held by the <u>same</u> legal entity. Under this option, only consolidation of whole PBPs is allowed; PBPs may not be split.	<b>Exceptions Crosswalk Request:</b> Organizations cannot complete this transition via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS staff, who will complete the transition on behalf of the organization.  <b>HPMS Plan Crosswalk Designation:</b> The non-network plan being transitioned must be marked as a terminated plan in the HPMS Plan Crosswalk.  The 2011 full network plan must be active and contain the applicable service area from the terminated plan being transitioned.	HPMS will record the consolidation of one or more whole PBPs. The MAO <b>does not</b> submit enrollment transactions for current enrollees.  MAOs may need to submit updated 4RX data for enrollees affected by the consolidation.	No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.  New enrollees must complete enrollment requests.	Current enrollees are sent a standard ANOC.
8b.	Some counties of a non-network PFFS plan transitioning to a full network PFFS plan.	<b>For PFFS only:</b> For the counties in the 2010 non-network PFFS PBP that will remain non-network, the MAO must follow the	<b>Exceptions Crosswalk Request:</b> Organizations cannot complete the transition of current enrollees to	The MAO <b>must</b> submit enrollment transactions to transition current enrollees to the new	No enrollment request is required for current enrollees.	Current enrollees are sent a standard ANOC.

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		<p>rules for a renewal plan with SAR described elsewhere in this guidance.</p> <p>For current enrollees residing in the counties in the 2010 non-network PFFS PBP that will be consolidated into a single new or renewing full network PBP under a separate contract held by the <u>same</u> legal entity, the MAO must submit enrollment transactions.</p>	<p>the full network PFFS plan via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS. If approved, the MAO will be permitted to submit enrollment transactions.</p> <p><b>HPMS Plan Crosswalk Definition:</b> A 2011 non-network plan that links to a 2010 non-network plan and only retains the available non-network counties in its plan service area. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with a SAR</p>	<p>or renewing full network PBP under a separate contract held by the same legal entity.</p> <p>For current enrollees that remain in the renewed non-network PFFS plan the MAO does not submit enrollment transactions.</p>	<p>New enrollees must complete enrollment requests.</p>	
9a	Partial network PFFS plan transitioning to a full network PFFS plan.	<p><b>For PFFS only:</b> An MAO consolidates one or more CY 2010 partial network PFFS PBPs into a single new or renewing CY 2011 full network PFFS PBP under a separate contract held by the <u>same</u> legal entity. Only consolidation of</p>	<p><b>Exceptions Renewal Request:</b> Organizations cannot complete this transition via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS staff, who will complete the</p>	<p>HPMS will record the consolidation of one or more whole PBPs. The MAO <b>does not</b> submit enrollment transactions for current enrollees.</p> <p>MAOs may need to</p>	<p>No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees</p>	<p>Current enrollees are sent a standard ANOC.</p>

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		whole PBPs is allowed; PBPs may not be split.	<p>transition on behalf of the organization.</p> <p><b>HPMS Plan Crosswalk Designation:</b> The partial network plan being transitioned must be marked as a terminated plan in the HPMS Plan Crosswalk.</p> <p>The 2011 full network plan must be active and contain the applicable service area from the terminated plan being transitioned.</p>	submit updated 4RX data for enrollees affected by the consolidation, as applicable.	must complete enrollment requests.	
9b.	Some counties of a partial PFFS plan transitioning to a full network PFFS plan.	<p><b>For PFFS only:</b> For the counties in the 2010 partial network PFFS PBP that will remain partial, the MAO must follow the rules for a renewal plan with SAR described elsewhere in this guidance.</p> <p>For current enrollees residing in the counties in the 2010 partial network PFFS PBP that will be consolidated into a single new or renewing full network PBP under a separate contract held by the <u>same</u> legal entity, the</p>	<p><b>Exceptions Crosswalk Request:</b> Organizations cannot complete the transition of current enrollees to the full network PFFS plan via the HPMS Plan Crosswalk. Organizations must submit an exceptions request to CMS. If approved, the MAO will be permitted to submit enrollment transactions.</p> <p><b>HPMS Plan Crosswalk Definition:</b> A 2011 partial network plan that links to a 2010</p>	<p>The MAO <b>must</b> submit enrollment transactions to transition current enrollees to the new or renewing full network PBP under a separate contract held by the same legal entity.</p> <p>For current enrollees that remain in the renewed partial-network PFFS plan the MAO does not submit enrollment transactions.</p>	<p>No enrollment request is required for current enrollees.</p> <p>New enrollees must complete enrollment requests.</p>	Current enrollees are sent a standard ANOC.

	<b>Activity</b>	<b>Guidelines</b>	<b>Renewal Effectuation Method</b>	<b>Systems Enrollment Activities</b>	<b>Enrollment Procedures</b>	<b>Beneficiary Notifications</b>
		MAO must submit enrollment transactions.	partial network plan and only retains the available partial network counties in its plan service area. The 2011 plan must retain the same plan ID as the 2010 plan.  <b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with a SAR.			
10a.	Non-Renewing D-SNP PBP with no State contract that converts to a different D-SNP designation and a State contract	<b>For D-SNPs only:</b> An MAO offering a CY 2010 D-SNP PBP with no State contract that has converted to a different D-SNP type for CY 2011.	<b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and retains all of its plan service area from 2010. The 2011 plan must retain the same plan ID as the 2010 plan  <b>HPMS Plan Crosswalk Designation:</b> Renewal Plan	The MAO does not send enrollment transactions for current enrollees who will remain enrolled in the 2011 renewal PBP.  The MAO submits disenrollment transactions for current enrollees who are ineligible for the renewing D-SNP.	No enrollment request is required for current enrollees who are eligible to remain enrolled in the renewal PBP in 2011.  New enrollees must complete enrollment requests.	Current enrollees eligible to remain enrolled in the renewal plan receive a standard ANOC. The MAO also sends an additional CMS model notice to eligible enrollees remaining enrolled in the renewal plan advising of enrollment in the plan, and including notification of SEP and, if appropriate, guaranteed issue Medigap rights  Ineligible current enrollees who are disenrolled will receive termination notice, which will convey SEP and, if appropriate, guaranteed issue Medigap rights.

	<b>Activity</b>	<b>Guidelines</b>	<b>Renewal Effectuation Method</b>	<b>Systems Enrollment Activities</b>	<b>Enrollment Procedures</b>	<b>Beneficiary Notifications</b>
10b.	Non-renewing D-SNP with no State contract consolidating with a D-SNP with a State contract	<b>For D-SNPs only:</b> An MAO offering a CY 2010 D-SNP PBP with no State contract may consolidate eligible enrollees to a CY 2011 D-SNP, offered under the same contract, that has a contract with the State.	<p><b>HPMS Plan Crosswalk Definition:</b> Two or more whole 2010 D-SNP plans (PBPs) that consolidate into one 2011 plan. The 2011 plan ID must be D-SNP with the state contract.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Consolidated Renewal Plan</p>	<p>The MAO does not send enrollment transactions for current enrollees who will remain enrolled in the 2011 PBP.</p> <p>Plans must submit disenrollment transactions for current enrollees who are ineligible for the renewal PBP.</p>	<p>No enrollment request is required for current eligible enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment requests.</p>	<p>Current enrollees transitioned to the renewal plan receive a standard ANOC. The MAO also sends an additional CMS model notice to eligible enrollees being transitioned from the consolidated renewal D-SNP to the renewal plan advising of enrollment in the plan, and including notification of SEP and, if appropriate, guaranteed issue Medigap rights</p> <p>Ineligible current enrollees who are disenrolled will receive termination notice, which will convey SEP and, if appropriate, guaranteed issue Medigap rights.</p>
11.	Renewing D-SNPs that creates new Medicaid subset D-SNP	<b>For D-SNPs only:</b> An MAO renewing a D-SNP plan for 2011 and also creating a new Medicaid subset D-SNP for 2011. A subset of current enrollees under the renewing D-SNP is eligible to be enrolled in	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 D-SNP that links to a 2010 D-SNP and retains all of its plan service area from 2010. The 2011 plan must retain the same plan ID as the 2010 plan.</p>	<b>The renewal PBP ID must remain the same</b> so that the HPMS Plan Crosswalk will indicate that beneficiaries remain in the same PBP ID.	No enrollment request is required for current enrollees to remain enrolled in the renewal PBP in 2011.	Current enrollees transitioned to the renewal plan receive a standard ANOC. If the MAO chooses to enroll eligible enrollees in the new Medicaid subset PBP, these transitioned enrollees

	<b>Activity</b>	<b>Guidelines</b>	<b>Renewal Effectuation Method</b>	<b>Systems Enrollment Activities</b>	<b>Enrollment Procedures</b>	<b>Beneficiary Notifications</b>
		the new Medicaid subset D-SNP. The organization must submit enrollment transactions to move the eligible D-SNP enrollees into the new Medicaid subset D-SNP.	<p>In addition, a new Medicaid Subset plan is added for 2011 that is not linked to a 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b>  Renewal Plan  Renewal Plan (renewing D-SNP designation)</p> <p>AND</p> <p>New Plan (new Medicaid Subset D-SNP designation)</p>	<p>The MAO <b>must</b> submit enrollment transactions to transition eligible current enrollees into the new Medicaid subset D-SNP.</p> <p>Individual enrollees not transitioned by the submission of enrollment transactions will remain enrolled in the renewing PBP.</p>	New enrollees must complete enrollment request.	receive a standard ANOC. The MAO also sends an additional CMS model notice to eligible enrollees being transitioned to the renewal plan advising of enrollment in the plan, SEP, and, if appropriate, guaranteed issue Medigap rights.
12.	Renewing SNP with ineligible, or “disproportionate share,” enrollees.	<b>For D-SNPs only:</b> An MAO renewing a SNP that includes a subset of current enrollees who do not meet the eligibility criteria for enrollment in the SNP (“disproportionate share” enrollees or enrollees affected by change in scope of C-SNP).	<p><b>HPMS Plan Crosswalk Definition:</b>  A 2011 plan that links to a 2010 plan and retains all of its plan service area from 2010. The 2011 plan must retain the same plan ID as the 2010 plan</p> <p><b>HPMS Plan Crosswalk Designation:</b>  Renewal Plan</p>	<p>The MAO does not send enrollment transactions for current enrollees who meet the SNP eligibility criteria for enrollment and will remain enrolled in the 2011 PBP.</p> <p>Plans must submit disenrollment transactions for current enrollees who do not meet the eligibility criteria for enrollment in the SNP.</p>	<p>No enrollment request is required for enrollees eligible to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment requests.</p>	<p>Enrollees who remain eligible for the renewing plan receive a standard ANOC.</p> <p>Ineligible enrollees are sent a CMS approved disenrollment notice no later than October 1, 2010.</p>



## Attachment B – Contract Year 2011 Guidance for Prescription Drug Plan Renewals

	Activity	Guidelines	HPMS Plan Crosswalk	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
1	New Plan (PBP) Added	PDP sponsor creates a new PBP.	<p><b>HPMS Plan Crosswalk Definition:</b> A new plan added for 2011 that is not linked to a 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> New Plan</p>	The PDP sponsor must submit enrollment transactions.	New enrollees must complete an enrollment request.	None.
2	Renewal Plan	A PDP sponsor continues to offer a CY 2010 PBP in CY 2011. <b>The same PBP ID number must be retained</b> in order for all current enrollees to remain in the same PBP in CY 2011.	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 plan that links to a 2010 plan and retains all of its plan service area from 2010. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan</p>	<p><b>The renewal PBP ID must remain the same</b> so that current enrollees will remain in the same PBP ID.</p> <p>The PBP sponsor <b>does not</b> submit enrollment transactions for current enrollees.</p>	<p>No enrollment request for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment request.</p>	Current enrollees are sent a standard ANOC.
3	Consolidated Renewal Plan	A PDP sponsor combines two or more PBPs offered in CY 2010 into a single renewal PBP for CY 2011. The PDP sponsor must designate which of the renewal PBP IDs will be retained in CY 2011 after consolidation.  When a PDP sponsor combines an enhanced PBP with a basic PBP, the HPMS crosswalk only	<p><b>HPMS Plan Crosswalk Definition:</b> Two or more 2010 plans that consolidate into one 2011 plan. The 2011 plan ID must be the same as one of the consolidating 2010 plan IDs.</p> <p><b>HPMS Plan Crosswalk Designation:</b></p>	<p><b>The PDP sponsor’s designated renewal PBP ID must remain the same</b> so that CMS can consolidate current enrollees into the designated renewal PBP ID.</p> <p>The PDP sponsor <b>does not</b> submit</p>	No enrollment request for current enrollees to remain enrolled in the renewal PBP in 2011.	Current enrollees are sent a standard ANOC.

	Activity	Guidelines	HPMS Plan Crosswalk	Systems Enrollment Activities	Enrollment Procedures	Beneficiary Notifications
		allows a crosswalk to a consolidated PBP that offers a basic benefit design.	Consolidated Renewal Plan	enrollment transactions for current enrollees. Sponsors may need to submit updated 4RX data for enrollees affected by the consolidation.		
4	Renewal Plan with an SAE (applicable only to employer/union group waiver plans)	A PDP sponsor continues to offer an 800 series CY 2010 prescription drug PBP in CY 2011 and expands its EGWP service area to include additional regions. <b>The PDP sponsor must retain the same PBP ID number</b> in order for all current enrollees to remain in the same PBP in CY 2011.	<p><b>HPMS Plan Crosswalk Definition:</b> A 2011 800-series plan that links to a 2010 800-series plan and retains all of its plan service area from 2010, but also adds one or more new regions. The 2011 plan must retain the same plan ID as the 2010 plan.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Renewal Plan with an SAE</p>	<p><b>The renewal PBP ID must remain the same</b> so that current enrollees in the current service area will remain in the same PBP ID.</p> <p>The PDP sponsor does not submit enrollment transaction for current enrollees.</p>	No enrollment request for current enrollees to remain enrolled in the renewal PBP in 2011. New enrollees must complete enrollment request.	Current enrollees are sent a standard ANOC.
5	Terminated Plan (Non-Renewal)	A PDP sponsor terminated the offering of a 2010 PBP.	<p><b>HPMS Plan Crosswalk Definition:</b> A 2010 plan that is no longer offered in 2011.</p> <p><b>HPMS Plan Crosswalk Designation:</b> Terminated Plan</p>	<p>The PDP sponsor does not submit disenrollment transactions.</p> <p>If the terminated enrollee elects to enroll in another PBP with the same or another PDP sponsor or MAO, the enrolling PDP</p>	Terminated enrollees must complete an enrollment request if they choose to enroll in another PBP, even a PBP offered by the same PDP sponsor.	Terminated enrollees are sent a CMS model termination notice including SEP information and receive a written description of options for obtaining prescription drug coverage in the service area.

	<b>Activity</b>	<b>Guidelines</b>	<b>HPMS Plan Crosswalk</b>	<b>Systems Enrollment Activities</b>	<b>Enrollment Procedures</b>	<b>Beneficiary Notifications</b>
				sponsor or organization <b>must submit enrollment transactions</b> to enroll the terminated enrollees.		
6	Consolidated Plans across Contracts under the Same Parent Organization	A parent organization combines two or more whole PBPs under different contracts (the contracts may be the same legal entity or represent different legal entities) as a result of a merger, acquisition, or novation. A PDP sponsor cannot complete this renewal option in the HPMS Plan Crosswalk.	<p><b>Exceptions Crosswalk Request:</b> Sponsors cannot complete this crosswalk via the HPMS crosswalk. Sponsors must submit an exceptions request to CMS, which will complete the crosswalk on behalf of the sponsor</p> <p><b>HPMS Plan Crosswalk Designation:</b> The plan being crosswalked must be marked as a terminated plan in the HPMS crosswalk.</p> <p>The remaining 2011 plan must be active and contain the applicable service area from the terminated plan being crosswalked.</p>	<p>PDP sponsors cannot complete this renewal option in the HPMS Plan Crosswalk. CMS will effectuate this renewal option and HPMS will record the consolidation of one or more whole PBPs. The PDP sponsor <b>does not</b> submit enrollment transactions for current enrollees.</p> <p>Sponsors may need to submit updated 4RX data for enrollees affected by the consolidation.</p>	<p>No enrollment election for current enrollees to remain enrolled in the renewal PBP in 2011.</p> <p>New enrollees must complete enrollment request.</p>	Current enrollees are sent a special notice (based on a model CMS will provide) along with a standard ANOC.