

## **PRTF** **Continued Efforts over the Past Years**

### **Psychiatric Residential Treatment Facilities (PRTF)**

The regulation defines a PRTF as “a facility other than a hospital, that provides psychiatric services as described in 42 CFR, Section 441, subpart D, to individuals under age 21, in an inpatient setting.” The rule also establishes one Condition of Participation (CoP) for the use of restraint and seclusion that PRTFs must meet in order to continue to provide Medicaid inpatient psychiatric services to patients under 21.

The PRTF general requirements and the CoP specify requirements for treatment team and person-centered active treatment plan; and requirements to protect the residents against the improper use of restraint or seclusion that include, but are not limited to: parental/guardian notification when a restraint or seclusion is used; reporting of deaths and serious occurrences; requirements for licensed practitioner’s order for the use of restraint or seclusion; requirements for staff education and training on the use of emergency safety intervention; and requirements for monitoring a resident during and immediately after the use of restraint or seclusion.

The CoP requires that restraint or seclusion be used only during emergency safety situations (ESI), and requires each facility that provides services to individuals under 21 under a Medicaid provider agreement, through an attestation in writing to the State Medicaid Agency at initial certification, that the facility is in compliance with the requirements set forth in the rule.

SAs are to assure that surveys are conducted in 20% of the PRTFs in the State annually to validate the accuracy of the attestations and to investigate complaints. States should assume surveys will be conducted in 20% of the PRTFs annually at as a tier 2 and ensure that PRTF recertification surveys are conducted at least every five years for each certified PRTF. Note: State survey costs (Federal funds) for this activity are provided through mandatory Medicaid funds. States should enter all PRTF attestations received from the State Medicaid Agency into the ASPEN system upon receipt.

Also see <https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/CertificationandCompliance/PRTFs.html>