

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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**CENTER FOR MEDICARE
MEDICARE PLAN PAYMENT GROUP**

TO: All Medicare Advantage Organizations (MAOs), PACE Organizations, Cost Plans, and certain Demonstrations

FROM: Cheri Rice, Director
Medicare Plan Payment Group

SUBJECT: MAO-004 Report – Encounter Data Diagnoses Eligible for Risk Adjustment

DATE: November 20, 2014

With the 2015 payment year, CMS will use diagnoses submitted on encounter data as one source of diagnoses to calculate risk scores. CMS has developed a draft layout for the report that we will use to inform plan sponsors (Medicare Advantage Organizations, PACE Organizations, entities under contract to offer cost plans, and certain demonstration projects) of the diagnoses they have submitted to the Encounter Data System that are eligible for risk adjustment. That is, the report contains the diagnoses that meet the risk adjustment rules. The purpose of this memo is to solicit feedback on the draft layout for this report (the MAO-004 report). The layout is attached to this memo. Please provide any feedback you have by Friday, December 19, 2014.

The filtering algorithm, which we will release for comment at a later date, will apply the already-established rules regarding which diagnoses can be used for risk adjustment purposes. CMS will not be mapping these diagnoses to any risk adjustment models as part of this report; MAOs can do this mapping by using the model software available on the CMS Risk Adjustment website.

Additional information:

- **File structure:** The MAO-004 report will be a fixed-length flat file.
- **Timing:** We plan to distribute the MAO-004 report on a quarterly basis.
- **Deadline for Submitting Diagnoses on Encounter Data:** We will extract diagnoses from encounter data that are submitted as of the RAPS deadline.
- **Inclusion in 2015 risk scores:** We will incorporate encounter data as a source of diagnosis data for the final 2015 risk score run. We will calculate final 2015 risk scores using diagnoses from FFS claims, RAPS data, and encounter data; all sources will be considered equally (that is, there will be no weighting of diagnoses based on the source of the data for final 2015 risk scores).

Reminders and how to comment:

The encounter data mailbox (encounterdata@cms.hhs.gov) is a resource for MA organizations and PACE organizations. Please do not direct providers to the mailbox. Please submit any comments on the report layout to encounterdata@cms.hhs.gov and specify “MAO-004 comments” in the subject line.

APPENDIX
MAO-004 Report: Encounter Data Diagnoses Eligible for Risk Adjustment
Draft Layout

Position Numbering will be updated when the contents of the report are finalized.

HEADER RECORD (There is only one header record per file.)				
Position(s)	Item	Notes	Length	Format
1	Record Type	0=Header	1	Numeric, no commas and/or decimals.
2	Delimiter		1	Uses the * character value
3-9	Report ID	Value is "MAO-004"	7	Alpha Numeric
10	Delimiter		1	Uses the * character value
11-18	Report Date	Date of report creation	8	Numeric, format CCYYMMDD
19	Delimiter		1	Uses the * character value
20-72	Report Description	Value is "Encounter Data Diagnoses Eligible for Risk Adjustment"	53	Alpha Numeric, left justify, blank fill
73	Delimiter		1	Uses the * character value
74-103	Submission Interchange Number	Interchange Sender ID (ISA06) + Interchange Control Number (ISA13) + Interchange Date (ISA09)	30	Alpha Numeric
104	Delimiter		1	Uses the * character value
105-108	Submission File Type	Value is "PROD," for production	4	Alpha Numeric
109	Delimiter		1	Uses the * character value
110-259	Filler		150	Spaces
DETAIL RECORD				
Position(s)	Item	Notes	Length	Format
1	Record Type	1=Detail	1	Numeric, no commas and/or decimals
2	Delimiter		1	Uses the * character value
3-9	Report ID	Value is "MAO-004"	7	Alpha Numeric
10	Delimiter		1	Uses the * character value
11-15	Medicare Advantage Contract ID	Medicare Contract ID assigned to the submitting contract	5	Alpha Numeric
16	Delimiter		1	Uses the * character value
17-60	Encounter ICN	Encounter Data System (EDS) Internal Control Number. In encounter data, only 13 spaces represent the ICN; however, there are 44 spaces on the records to allow enhancement of the ICN.	44	Numeric
61	Delimiter		1	Uses the * character value
62-69	Plan Submission Date	Identifies MAO data submission date	8	Numeric, format CCYYMMDD
70	Delimiter		1	Uses the * character value
71-78	Processing Date	Identifies Encounter Data Processing System (EDPS) processing date	8	Numeric, format CCYYMMDD
79	Delimiter		1	Uses the * character value
80-91	Beneficiary HICN	Beneficiary Health Insurance Claim Number	12	Alpha Numeric

92	Delimiter		1	Uses the * character value
93-100	"From" Date of Service	The start date for a provided service.	8	Numeric, format CCYYMMDD
101	Delimiter		1	
102-109	"Through" Date of Service	The end date for a provided service.	8	Numeric, format CCYYMMDD
110	Delimiter		1	Uses the * character value
111-118	Diagnosis Code	ICD-9 codes will be accepted prior to the ICD-10 implementation date. Only ICD-10 codes will be accepted starting with the ICD-10 implementation date.	8	Alpha Numeric, with decimal
119	Delimiter		1	Uses the * character value
120-219	Diagnosis Code	Additional Diagnoses – up to 12 for Professional and 25 for Institutional	200	Alphanumeric, with decimal
220-269	Filler		50	Spaces
TRAILER (TOTALS) RECORD				
Position(s)	Item	Notes	Length	Format
1	Record Type	9=Trailer	1	Numeric, no commas and/or decimals
2	Delimiter		1	Uses the * character value
3-9	Report ID	Value is "MAO-004"	7	Alpha Numeric
10	Delimiter		1	Uses the * character value
11-18	Total Number of Records	Count of records on this report	8	Numeric, no commas and/or decimals
19	Delimiter		1	Uses the * character value
20-238	Filler		219	Spaces