



**Medicare Modernization Act (MMA)  
Customer Support for Medicare Modernization (CSMM)  
Help Desk General FAQ Sheet # 506**

**Updated 05/30/2006**

**Revised/New/Future Transaction Reply Codes**

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**Revised/New/Future Transaction Reply Codes**

1. **What is the new description for Transaction Reply Code 008 – Not Enrolled; Beneficiary Not Found?**

The new description for TRC 008 is:

A disenrollment or correction transaction attempted to process. The transaction was rejected because the claim number was not found in the CMS System. The transaction should be resubmitted with a valid claim number.

When a plan submits a transaction that will update the information on a beneficiary who is already enrolled in an MCO, the system retrieves the existing beneficiary information. Examples of this occur when a plan attempts to update a beneficiary's status (01 transaction), change the beneficiary's plan information (72 transaction), change their PBP (71 transaction) or disenroll them (51 transaction). When the original enrollment record cannot be found, TRC 008 is returned. If a plan receives this TRC, CMS does not recognize the beneficiary as being enrolled with the plan. The plan should check their records.

This TRC also applies to demonstration factor updates, applying payment factors or ending enrollment periods.

2. **When will Transaction Reply code 174 be returned?**

The 72 transaction is used to change the beneficiary's plan information. It allows plans to change Segment IDs, Premium Withholding Option, Premium and coverage information. TRC 174 (Transaction Accepted, Transaction 72 submitted with no change fields populated) will be returned when a 72 transaction is processed and accepted with none of the change fields populated. No action is required of the plan.

3. **What is Transaction Reply Code 179– Transaction Accepted; No change to the premium record?**

TRC 179 is similar to TRC 174 (see previous question). When a plan uses a 72 transaction to attempt to change one of the beneficiary's values, but the value submitted in the change field is the same as what is currently on the CMS database, TRC 179 (Transaction Accepted; No change to the premium record) is returned. No action is required of the plan.

#### 4. What happened to Transaction Reply Codes 175 –178?

These codes are related to the Late Enrollment Penalty (LEP). Further details will be forthcoming in a future FAQ and Enrollment System Update document.

#### Transmission Status Message File

#### 5. What is the Transmission Status Message File and how do I use it?

For each enrollment file submitted, a Plan will receive a Transmission Status Message file. The Status Message file contains the following elements for each received transaction file:

- Explanatory text message summarizing any related error condition
- Submitter ID of the user who transmitted the file
- Batch ID assigned by the CMS System
- Date and Time Stamps of transaction file received at CMS
- Counts of the transaction file contents:
  - Records received in the transaction file
  - Number of Type 01 transactions
  - Number of Type 51 transactions
  - Number of Type 61 transactions
  - Number of Type 71 transactions
  - Number of Type 72 transactions
  - Number of Type Unknown transactions (sum count of all other transaction codes)

The status message will always include the unique batch ID except when the transaction file does not contain a header record. In this case, the status message file will not contain the batch ID or the transaction counts, since there is no place to store these data.

Plans should use this new file to verify receipt of their enrollment submissions at CMS and to review and verify header information (for current and retro files) as well as transaction counts. **It is important to note that this is the only notification that a Plan will receive if the header record is not correct and the file will not be processed.**

This change was effective for enrollment files submitted since the May cutoff (4/13/06).

#### For further information:

- The *Plan Communications User Guide (PCUG)* – Can be downloaded from the [mmahelp.cms.hhs.gov](http://mmahelp.cms.hhs.gov) website.
- The CSMM Support Desk can be reached at 1-800-927-8069 or [mmahelp@cms.hhs.gov](mailto:mmahelp@cms.hhs.gov). The CSMM Support Desk is available Monday through Friday, 6 a.m. – 9 p.m., EST.