



OFFICE OF INFORMATION SERVICES

DATE: June 16, 2006

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration Organizations Systems Staff

FROM: Henry Chao, /s/
MA/Part D Implementation Manager

SUBJECT: Special TRR Communication – Week-at-a-Glance June 19th through June 24th

In an effort to assist Plans with the scheduling and management of Special TRRs, CMS is beginning a new process to proactively communicate information on special TRRs the week prior to their receipt by the Plan. Target dates for the Special TRRs are provided, however Plans should be aware that system resources and other factors may alter this schedule. The MMAHelp Desk will communicate any changes to the schedule that occur after this memo is published to Plans.

The following describes the Special TRRs that CMS is preparing to send to Plans during the week of June 19, 2006. The focus of these TRRs is described below. Only Plans with transactions/beneficiaries that are impacted by the specific conditions of each cleanup will receive a file.

Monday, June 19, 2006

Special Cleanup TRR

This TRR will address a number of conditions, some of which have been the subject of the regular Monday “cleanup” TRRs in the past. This TRR will follow normal naming conventions: e.g. P#MMA.@BGD5050.PLNxxxxx.YM200606.D19.TRWEEKD

1. Locked Beneficiaries – transactions receiving TRC165, because of beneficiary records being locked by CMS, were reprocessed. Plans should see transactions on the TRR with an accepted or rejected status with a legitimate TRC. Plans should not receive TRC165.
2. Blank TRC codes – transactions receiving blank TRCs because of beneficiary records being locked were reprocessed.
 - a) For beneficiaries where no subsequent transactions have been processed, Plans should see transactions on the TRR with an accepted or rejected status with a legitimate TRC.

- b) For beneficiaries with subsequent transactions, the reprocessing resulted in TRC199, which will be sent to the Plans on June 20th.

Tuesday, June 20, 2006

Special Reject TRR

This TRR will follow normal naming conventions:

e.g. P#MMA.@BGD5050.PLNxxxxx.YM200606.D20.TRWEEKD

As has been the process in the past, CMS will produce a Special Reject TRR as referred to above. Some transactions that were pended in the system are for beneficiaries who have since had other actions performed on their records. These prior transactions cannot be released back into the system and will be rejected back to plans with a TRC199 - Rejected, Returned to Plan for additional research. After examining these returned transactions, if a plan believes the transaction should be re-run, the plan should re-submit the transaction. This may require use of the retro process.

Wednesday, June 21, 2006

Special TRR – TRC 165s for MCO Correction and Plan Change Transactions

In a continuing effort to address Plan transactions that received TRC165 - system delay in processing the transaction - CMS is preparing a Special TRR that focuses on the reprocessing of Plan Change transactions (Transaction Type 72) and MCO Corrections (Transaction Type 01) that have received this response.

This TRR will follow normal naming conventions:

e.g. P#MMA.@BGD5050.PLNxxxxx.YM200606.D21.TRWEEKD

As a result of the reprocessing, Plans will receive replies for 72 and 01 transactions with legitimate acceptance and rejection Transaction Reply Codes (TRCs). For 01 transactions, some examples of legitimate TRCs would be TRC 075 – Institutional Status Set or TRC 077 – Medicaid Status Set; for 72 transactions, some legitimate TRCs would be TRC 140 – Segment Change Accepted or TRC 139 – EGHP Flag Change Accepted. In addition, for 72 transactions submitted with premium withhold information that does not represent a change to what CMS has on file, Plans will receive a TRC 179 - No Change to Premium Record. Plans will not receive TRC165.

Please note – CMS recently implemented a policy whereby payment withhold requests, for more than three months of retroactive payments, will be rejected and not sent to SSA; these beneficiaries will remain in a Direct Bill status. Given this direction, any 72 transactions in this cleanup that represent a premium withhold request more than 3 months old, will be rejected with TRC 144 (Premium Withhold Change Requested by SSA) and the beneficiary will remain in direct bill status. Plans are advised to work with

the beneficiary to determine the appropriate withhold option and submit a new 72 transaction for future payment.

Thursday, June 22, 2006

Special TRR – Premium Withhold Options Set Back to Original Status

On May 15th, CMS produced a Special TRR (identified as P#MMA.@BGD5050.PLNxxxxx.YM0605A.D15.TRWEEKD) that set the premium withhold option to ‘direct bill’ for beneficiaries who may have had a payment discrepancy sometime between January and May 2006. In some cases, this action was appropriate; for others it was not. Plans were instructed to disregard this TRR.

This Special TRR will notify the Plan of the Premium Withhold status of these beneficiaries as they exist on the CMS and SSA databases. Plans will see the beneficiaries who were on the original May 15th TRR set to reflect an SSA Withhold status. The TRR will contain transaction replies with TRC 179 (No Change to Premium Record); the effective date will be populated with the date the beneficiary was set to SSA Withhold status and the transaction will reflect a payment withhold value of ‘S’.

This TRR will follow normal naming conventions:
e.g. P#MMA.@BGD5050.PLNxxxxx.YM200606.D22.TRWEEKD

There are some transactions from this population that are still in process between CMS and SSA. Those may result in a beneficiary being switched back to direct bill status. In the event that this occurs, Plans will be notified via a future special TRR.

Please direct questions or concerns to the MMA Help Desk at mmahelp@cms.hhs.gov or 1-800-927-8069.