

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



CENTER FOR MEDICARE

TO: Medicare Advantage Quality Contacts and Medicare Compliance Officers

FROM: Cynthia G. Tudor, Ph.D., Director, Medicare Drug Benefit and C & D Data Group

SUBJECT: HEDIS 2011 Patient-level Data submission

DATE: February 8, 2011

Medicare managed care contracts that are required to report HEDIS 2011 summary level data for the 2010 measurement year must also provide the patient-level data used to calculate the summary level data for each Medicare Advantage contract. Summary and patient-level data are due on June 30, 2011. Submission of the patient-level HEDIS data is not required for the SNP-specific measures.

The Centers for Medicare & Medicaid Services (CMS) has placed updated versions of the following documents at <http://www.cms.hhs.gov/MCRAdvPartDENrolData/> in the downloads section.

- 2011 Patient-level file specifications (dated, January 11, 2011)
- 2011 Patient-level data submission instructions (dated, January 18, 2011)

CMS is also posting the following document to assist you in the creation of your 2011 patient-level file.

- Crosswalk document showing changes from the 2010 to 2011 patient-level file specifications (January 11, 2011)

Please use the 2011 documents for the creation of the 2011 patient-level files. Be sure to deliver the updated versions of these documents to your NCQA-certified HEDIS Compliance Auditor and any third-party vendor submitting data on your behalf.

Patient-Level HEDIS submission information

You will be submitting your 2011 patient-level HEDIS data using the secure CMS Enterprise FTP client system that you currently use to submit other beneficiary specific information to CMS. You will use your existing GENTRAN or Connect:Direct account to upload your patient-level data files using the file naming conventions that are specified in the 2011 patient-level submission instructions. If you utilize the services of a third party vendor to submit information

to GENTRAN or Connect:Direct, please notify them that you are required to submit HEDIS patient-level data so that, if you desire, they can submit the file you create. In the event a data file does not pass validation, please inform your vendor that they will also need to submit any corrected data files as necessary.

CMS' contractor, Health Care Dynamics International (HCDI), will access your patient-level data through the same secure system, and will perform validations of your data. For those who have been designated as a "Point of Contact" (POC), a password will be issued to allow you to view the status of your data file(s) via the HEDIS Patient-Level Web Portal at <http://mapld.hcdi.com>. HCDI is performing this activity under contract to CMS, and is accountable for adhering to Federal laws and regulations regarding security and confidentiality of personally identifiable information and protected health information.

For questions about the 2011 patient-level file specifications and submission process, please contact MA_Patient_Data@hcdi.com. For questions about HEDIS summary-level data submission, please contact your HEDIS account manager at NCQA.

Please note the following:

- As has always been the case, it is important that your patient-level data match your summary-level data when aggregated at the contract level.
- The three-digit Plan-ID number field corresponds to the plan benefit package (PBP) number that the beneficiary is enrolled in/assigned as of the end (12/31/2010) of the measurement year.
- The one-digit SNP enrollee type field for the beneficiary corresponds to the SNP type of the PBP that the beneficiary is enrolled in at the end of the measurement year. If the enrollee is not in a SNP PBP, the appropriate code for this field is '0'. For more information about the three SNP types, you may consult the following website: <http://www.cms.hhs.gov/SpecialNeedsPlans/>.
- New measure for HEDIS 2011 is Plan All-Cause Readmissions. CMS will follow the specifications for this first year measure as described in Volume 2 of the HEDIS 2011 Technical Specifications.

CMS is currently seeking volunteers for testing the 2011 patient-level submission process and would welcome your participation. Beginning April 1, 2011 through April 30, 2011, plans or third-party vendors may submit test data files to CMS via Gentran or Connect:Direct. This testing period is being offered to plans that would like to verify their Gentran or Connect:Direct connection and to find programmer or logic errors prior to the official submission period. For more information about providing a test submission, please read the "Patient-Level Data Submission Overview" section of the Submission Instructions or contact MA_Patient_Data@hcdi.com.

For questions about this memo, please contact Barbara Crawley at 410-786-6590 or Barbara.Crawley@cms.hhs.gov.