DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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Baltimore, Maryland 21244-1850

CENTER FOR MEDICARE

Date: April 24, 2013

To: All MA-PD plans, 1876 Cost Plans, PACE organizations, and PDPs

From: Cheri Rice, Director
Medicare Plan Payment Group

Subject: Incoming File from CMS: Updated beneficiary-level file to support 2014 Part D bids

CMS has pushed out to all MA-PD plans, 1876 Cost Plans, PACE organizations, and PDPs an updated beneficiary-level file that your actuaries will be using to develop 2014 Part D bids. The updated file includes additional new enrollee risk score fields to differentiate between ESRD and non-ESRD new enrollee scores. For each of the 2012 and 2014 models, we are providing six fields with new enrollee risk scores: low income, non-low income, and long term institutional new enrollee risk scores, each with and without ESRD. All other information remains the same. This memo is to inform you that there may be potential action that is needed for you to accept this new file. Please also note that updated contract-PBP level risk score data will be posted on HPMS in the coming week.

The file name will be constructed as follows:

GENTRAN: P.Rxxxxx.PRTD2014.Dyymmdd.Thhmmsst.pn
C:D mainframe: zzzzzzzz.Rxxxxx.PRTD2014.Dyymmdd.Thhmmsst
C:D non-mainframe: [directory]Rxxxxx.PRTD2014.Dyymmdd.Thhmmsst

KEY
xxxxx = 5 character plan contract #
yymmdd = two digit year, month, day
hhmmsst = hour/minute/second/tenths of second
pn = process number
zzzzzzzz = Plan-provided high level qualifier, default is EFTO for production; EFTT for test
[directory] = optional directory specified from non-mainframe C:D clients, default is EFTO. for production; EFTT. for test

If you have any questions regarding the retrieval of this file, please contact the MAPD Help Desk via email at MAPDHELP@cms.hhs.gov or via phone at 1-800-927-8069. If you have questions about the content of the file, please contact Gift Tee at Gift.Tee@cms.hhs.gov or (410) 786-9316, or Monica Reed at Monica.Reed@cms.hhs.gov or (410) 786-9212.

Below is the file layout with technical notes.

Tech Notes –
Please note the following:

1. The file includes beneficiaries who were in your contract for at least one month in 2012.

2. The risk scores provided in this file are <u>not</u> normalized and your projected 2014 risk score will need to take into account the appropriate normalization factor, per the 2014 Bid Instructions. The 2014 Part D normalization factor is 1.030.

3. The estimated 2012 risk score takes into account retroactive (1) enrollment and disenrollment, (2) long term institutional status, and (3) low income status that have occurred since the 2012 payments were initially made. The diagnosis data used for the risk score estimates are from calendar year 2011 dates of service (i.e., the data collection period) based on: 1) plan diagnosis data submitted through January 31, 2013 and 2) Medicare FFS diagnosis data through January 2013.

4. Final 2012 risk scores will use the same runout for plan-reported diagnoses; approximately one more month of FFS diagnostic runout; as well as any additional status changes that occur before the final risk score run (e.g., low income, long term institutional, disability, ESRD).

5. The 2012 Part D risk scores were calculated using both the RxHCC risk adjustment model that was used in 2012 payment and the RxHCC risk adjustment model that will be used in 2014 payment. The coefficients for the 2012 model are published in the 2012 *Rate Announcement*, published on April 4, 2011. The coefficients for the 2014 model are published in the 2014 *Rate Announcement*, published on April 1, 2013.

File layout & data dictionary – Part D risk scores

Field #	Field Name	Len	Pos	Description	Explanation
1	CAN/BIC	12	1-12	Beneficiary ID	A beneficiary is on the file as long as they were in the Part D contract for at least one month in 2012.
2-13	Contract ID	5 X 12	13-72	Contract ID. Array of 12 monthly fields.	Contract ID is populated for those months in the payment year for which the beneficiary was enrolled in the contract. For each month that this field is populated, the other applicable monthly fields are appropriately populated for the beneficiary. If this field is blank, then the beneficiary was not enrolled in the contract for the month in question.

14-25	Plan ID	3 X 12	73-108	Plan ID. Array of 12 monthly fields.	PBP in which the beneficiary is enrolled for the month.
26-37	Segment ID	3 X 12	109-144	Segment ID. Array of 12 monthly fields.	Segment in which the beneficiary is enrolled for the month. *MA-PDs only.*
38	Part D new enrollee non-LI/non-ESRD risk score, 2014 model	7.4	145-151	Beneficiary's 2012 Part D new enrollee non-LI/non-ESRD risk score, 2014 RxHCC model.	
39	Part D new enrollee LI/ non-ESRD risk score, 2014 model	7.4	152-158	Beneficiary's 2012 Part D new enrollee LI/non-ESRD risk score, 2014 RxHCC model.	
40	Part D new enrollee LTI/ non-ESRD risk score, 2014 model	7.4	159-165	Beneficiary's 2012 Part D new enrollee LTI/non-ESRD risk score, 2014 RxHCC model.	
41	Part D new enrollee non-LI/ESRD risk score, 2014 model	7.4	166-172	Beneficiary's 2012 Part D new enrollee non-LI/ESRD risk score, 2014 RxHCC model.	
42	Part D new enrollee LI/ESRD risk score, 2014 model	7.4	173-179	Beneficiary's 2012 Part D new enrollee LI/ESRD risk score, 2014 RxHCC model.	
43	Part D new enrollee LTI/ ESRD risk score, 2014 model	7.4	180-186	Beneficiary's 2012 Part D new enrollee LTI/ESRD risk score, 2014 RxHCC model.	
44	Part D LTI risk score, 2014 model	7.4	187-193	Beneficiary's 2012 Part D full risk LTI risk score, 2014 RxHCC model.	
45	Part D LI aged risk score, 2014 model	7.4	194-200	Beneficiary's 2012 Part D full risk aged/LI risk score, 2014 RxHCC model.	
46	Part D non-LI aged risk score, 2014 model	7.4	201-207	Beneficiary's 2012 Part D full risk aged/non-LI risk score, 2014 RxHCC model.	
47	Part D LI disabled risk score, 2014 model	7.4	208-214	Beneficiary's 2012 Part D full risk disabled/LI risk score, 2014 RxHCC model.	
48	Part D non-LI disabled risk score, 2014 model	7.4	215-221	Beneficiary's 2012 Part D full risk disabled/non-LI risk score, 2014 RxHCC model.	
49	Part D new enrollee non-	7.4	222-228	Beneficiary's 2012 Part D new enrollee non-LI/non-ESRD risk	

	LI/non-ESRD risk score, 2012 model			score, 2012 RxHCC model.	
50	Part D new enrollee LI/non-ESRD risk score, 2012 model	7.4	229-235	Beneficiary's 2012 Part D new enrollee LI/non-ESRD risk score, 2012 RxHCC model.	
51	Part D new enrollee LTI/ non-ESRD risk score, 2012 model	7.4	236-242	Beneficiary's 2012 Part D new enrollee LTI/non-ESRD risk score, 2012 RxHCC model.	
52	Part D new enrollee non-LI/ESRD risk score, 2012 model	7.4	243-249	Beneficiary's 2012 Part D new enrollee non-LI/ESRD risk score, 2012 RxHCC model.	
53	Part D new enrollee LI/ESRD risk score, 2012 model	7.4	250-256	Beneficiary's 2012 Part D new enrollee LI/ESRD risk score, 2012 RxHCC model.	
54	Part D new enrollee LTI/ESRD risk score, 2012 model	7.4	257-263	Beneficiary's 2012 Part D new enrollee LTI/ESRD risk score, 2012 RxHCC model.	
55	Part D LTI risk score, 2012 model	7.4	264-270	Beneficiary's 2012 Part D full risk LTI risk score, 2012 RxHCC model.	
56	Part D LI aged risk score, 2012model	7.4	271-277	Beneficiary's 2012 Part D full risk aged/LI risk score, 2012 RxHCC model.	
57	Part D non-LI aged risk score, 2012 model	7.4	278-284	Beneficiary's 2012 Part D full risk aged/non-LI risk score, 2012 RxHCC model.	
58	Part D LI disabled risk score, 2012 model	7.4	285-291	Beneficiary's 2012 Part D full risk disabled/LI risk score, 2012 RxHCC model.	
59	Part D non-LI disabled risk score, 2012 model	7.4	292-298	Beneficiary's 2012 Part D full risk disabled/non-LI risk score, 2012 RxHCC model.	
60-71	Part D – Beneficiary status	1 X 12	299-310	Array of 12monthly flags. Part D Beneficiary status codes are as follows: 1 = New Enrollee Institutional 2 = New Enrollee Low Income	

				3 = New Enrollee Non-Low Income 4 = Continuous Enrollment Institutional 5 = Continuous Enrollment Low Income Aged 6 = Continuous Enrollment Non-Low Income Aged 7 = Continuous Enrollment Low Income Disabled 8 = Continuous Enrollment Non-Low Income Disabled	
72-83	Part D – ESRD status	1 X 12	311-322	Array of 12 monthly flags. Part D ESRD status codes are as follows: 1 = Not ESRD 2 = ESRD	There is an ESRD add-on in the new enrollee risk scores in the risk adjustment model. These flags are for MA-PDs and PDPs to use in assessing the new enrollee risk score.