DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850

CENTER FOR MEDICARE

DATE: November 2, 2020

TO: All Medicare Advantage Organizations, PACE Organizations, Medicare-
              Medicaid Plans, Section 1833 Cost Contractors and Section 1876 Cost
              Contractors, and Demonstrations

FROM: Jennifer Shapiro, Director, Medicare Plan Payment Group

SUBJECT: RAPS Update: Distribution of Corrected 408, 409, & 410 Edit Code Reports

This memo is a follow-up to the October 2, 2020 HPMS memo titled, "Risk Adjustment
Processing System (RAPS) and Risk Adjustment System (RAS) Updates."

Today, CMS issued the Corrected 408, 409, & 410 Edit Code Reports through the same data
transfer protocols used for transmission of all the RAPS transaction reports. As described in the
previous memo, these newly issued reports replace the previously issued reports in their entirety
(i.e., they include the 408 and 409 corrections, which remain unchanged from the previous
reports, in addition to the updated corrections for the 410 edit). Please disregard the previously
issued reports. Please see the Appendix below for additional information on the reports and the
layout.

If you require the file IDs associated with the RAPS submissions or have additional questions,
please contact RiskAdjustmentOperations@cms.hhs.gov with the subject heading, "RAPS
Update: Distribution of Corrected 408, 409, & 410 Edit Code Reports."

Thank you.

APPENDIX

Reports Reflecting Impacted Diagnoses
- Reports will be delivered through the same data transfer protocols used for transmission of all the RAPS transaction reports.
- The reports will use the format of the RAPS Daily Transaction Error Report[1] but will be renamed as the Corrected 408, 409, & 410 Edit Code Report in the Header segment.
- The filenames for these reports will be the same as those used for the Daily Transaction Error Reports.
- The addendum below presents information on how the RAPS Daily Transaction Error Report data fields will be populated for the purpose of presenting the diagnoses that were affected by this issue and were subsequently deleted.
- Changes to the content of the header and sub-header data fields have been made to reflect the purpose of the Corrected 408, 409, & 410 Edit Code Report. These are shown in the addendum below.
- At the report detail line level, only the following data fields will be populated on the Corrected 408, 409, & 410 Edit Code Report Detail Line: Sequence Number (SEQ), Beneficiary ID (BENE ID), Provider Type (PRVD TYPE), From Date (FROM DATE), Thru Date (THRU DATE), Diagnosis Code (DGNS CODE), Diagnosis Error Code (DGNS ERR1), Submission Date (SUBMITTED DATE).
- The CORRECTED MBI data field will be renamed SUBMITTED DATE and be repurposed to hold the submission date of the diagnosis code.
- The beneficiary ID will be the latest MBI that existed at the time of submission (it could be the one submitted on the record originally or a corrected MBI populated by the RAPS system during processing).
- If a diagnosis code triggered more than one of the three edits (408, 409, and 410), only the first of the edits will be reported in the Diagnosis Error Code (DGNS ERR1) field.
- MAOs should use the information in the Thru Date (THRU DATE) field to determine the service year of the diagnosis.

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[1] Additional information on the RAPS Daily Transaction Error Report can be found in the March 2017 User Group Slides.

Addendum 1: Report Layout for Corrected 408,409, & 410 Edit Code Report

Report Header 1

Field Name	Production Transaction Error Report	Corrected 408, 409 & 410 Edit Code Report
Report ID	RAPS002	RAPS408
ICD Version ID	**ICD10**	**ICD10**
Application Name	RISK ADJUSTMENT PROCESSING SYSTEM	RISK ADJUSTMENT PROCESSING SYSTEM
Page Number	Report page number	Report page number

Report Header 2

Field Name	Production Transaction Error Report	Corrected 408, 409 & 410 Edit Code Report
Run Date	RAPS Processing Date	Date of Report Generation
Report Name	TRANSACTION ERROR REPORT	CORRECTED 408, 409 & 410 EDIT CODE REPORT
Trans Date	From AAA	20100102

Report Sub Header 1

Field Name	Production Transaction Error Report	Corrected 408, 409 & 410 Edit Code Report
Submitter ID	From AAA	The Submitter ID of the bene 408 409 & 410 edit error
File ID	From AAA	Blank
Plan ID	From BBB	The Submitted Plan Number of the bene 408 409 & 410 edit error
Batch Number	From BBB	0000000

Report Sub Header
2 & 3

Field Name	Production Transaction Error Report	Corrected 408, 409 & 410 Edit Code Report
Last field sub header	CORRECTED MBI	SUBMITTED DATE

Report Detail Line

Field Name	Production Transaction Error Report	Corrected 408, 409 & 410 Edit Code Report
SEQ	From CCC record	Start with 0000001 on report break
SEQ ERR	From RAPS	Blank
PATIENT CONTROL ID	From CCC record	Blank
BENE ID	From CCC record	Bene MBI
BENE ERR	From RAPS	Blank
DOB	From CCC record	Blank
DOB ERR	From RAPS	Blank
PRVD TYPE	From CCC record	Provided
FROM DATE	From CCC record	Provided
THRU DATE	From CCC record	Provided
DEL IND	From CCC record	Blank
DGNS CODE	From CCC record	Provided
DGNS ERR1	From RAPS	Provided (408, 409 or 410)
DGNS ERR2	From RAPS	Blank
MAEA CD	From CCC record	Blank
MAEA ERR	From RAPS	Blank
SUBMITTED DATE*	From RAPS	Submitted date

* renamed from CORRECTED MBI as noted under Report Subheader 2 & 3 table for the Corrected 408, 409, & 410 Edit Code Report