

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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CENTER FOR MEDICARE

DATE: November 16, 2020

TO: All Medicare Advantage Organizations, PACE Organizations, Medicare-Medicaid Plans, Section 1833 Cost Contractors and Section 1876 Cost Contractors, and Demonstrations

FROM: Jennifer Shapiro, Director, Medicare Plan Payment Group

SUBJECT: Distribution Update: Re-issuing of Historical MAO-004 Reports in the New Version Phase IV Version 0 (4.0) format

This memo is an update to the July 21, 2020 HPMS memo titled, “Updated Version of MAO-004 Reports (Phase IV Version 0) and Re-issuing of Historical MAO-004 Reports in the New Version,” and provides more information on the distribution of the Phase 4.0 MAO-004 reports.

CMS will start issuing Phase 4.0 MAO-004 reports for encounter data records with dates of service on or after January 2014 through October 2020, submitted on or after January 1, 2014, by the week of November 23, 2020. Due to this impending release, the September 2020 Phase III Version 3 Report, will be the last Phase III Version 3 report that MAOs will receive. Note that in November 2020, MAOs will receive a Phase IV Version 0 October 2020 report. The Phase IV Version 0 report will replace the Phase III Version 3 report going forward.

Similar to previous MAO-004 report releases, separate reports will be sent for each month that encounter data records with 2014 - 2020 dates of service were submitted. For example, CMS will send a report with eligible diagnoses from encounter data submitted in January 2014, and another report with eligible diagnoses from encounters submitted in February 2014, etc. File names will differ by the timestamp portion of the file naming convention. MAOs can use the Header Field # 7 (Report Date) to determine the submission month and year of the MAO-004 report. MAOs can expect to receive a maximum of 82 files depending on the months that the organization submitted accepted Medicare Advantage (MA) encounter data.

The Phase IV Version 0 MAO-004 File Layout, information on accessing the reports, and further technical details can be found in the July 21, 2020 HPMS Memo, “Updated Version of MAO-004 Reports (Phase IV Version 0) and re-issuing of historical MAO-004 reports in the new version.”

Please email RiskAdjustmentOperations@cms.hhs.gov with the subject heading, “Distribution Update: Re-issuing of Historical MAO-004 Reports in the New Version” for questions related to this memo.