

Read Me File for Shared Savings Program Performance Years (PY) 2022 Report Templates

INFORMATIONAL REPORTS

ASSIGNMENT LIST REPORT (ALR) TEMPLATES

Assignment List Report CSV Files: Report packages include a standalone, plain text CSV file for each table included in the Assignment List Report (ALR). To assist with data analysis, a data dictionary Excel workbook (XLSX) file is included in the report package, providing a description of the ALR CSV file variables, variable formats, and allowable values. ACOs also receive an Excel file outlining the parameters used in beneficiary assignment.

File	Description	Table added in response to COVID-19 PHE?
ALR Data Dictionary (Excel file named "ALRDataDictionary")	The workbook provides, on separate tabs, a data dictionary for each ALR CSV file.	No
ALR Parameters (Excel file named "ALRParameters")	The file contains the parameters used in determining the ACO's assigned beneficiary list and calculating all associated information.	No
ALR, Table 1-1 (CSV files named "ALRPrelimProspTable1" or "ALRProspectiveTable1")	Template used to show the ACO's assigned beneficiary list. There are two templates: one for ACOs under preliminary prospective assignment, and one for ACOs under prospective assignment.	No
ALR, Table 1-2 (CSV file named "ALRTable2")	Template used to show the ACO's assigned beneficiaries and the number of primary care services at the ACO participant taxpayer identification number (TIN) level.	No

File	Description	Table added in response to COVID-19 PHE?
ALR, Table 1-3 (CSV file named "ALRTable3")	Template used to show the Certification Number (CCN) (by number of primary care services) for each of the ACO's assigned beneficiaries who had primary care services at an ACO participant CCN.	No
ALR, Table 1-4 (CSV file named "ALRTable4")	Template used to show the top participant TIN-individual National Provider Identifier (NPI) combinations (by number of primary care services) for each of the ACO's assigned beneficiaries who had primary care services at an ACO participant TIN.	No
ALR, Table 1-5 (CSV file named "ALRTable5")	Template used to show a beneficiary turnover analysis and is only provided with quarterly report packages for ACOs under preliminary prospective assignment.	No
ALR, Table 1-6 (CSV file named "ALRTable6")	Template used to show the beneficiaries assignable to the ACO and beneficiaries who selected a primary care clinician at the ACO and were prospectively voluntarily aligned. This Table is only provided for ACOs under preliminary prospective assignment.	No
ALR, Table 1-7 (CSV file named "ALRTable7")	Template used to show the ACO's assigned beneficiaries who received a COVID-19 diagnosis at an inpatient or outpatient setting and identifies episodes of care for treatment of COVID-19 and calendar months associated with an episode of care for treatment of COVID-19. Available only to ACOs who had at least one assigned beneficiary with a COVID-19 diagnosis at an inpatient or outpatient setting.	Yes

ASSIGNMENT SUMMARY REPORT (ASR) TEMPLATES

File	Description	Table added in response to COVID-19 PHE?
Assignment Summary Report Template for ACOs Under Preliminary Prospective Assignment with Retrospective Reconciliation (Excel file named "ASR_PrelimProspective")	Template used for the Assignment Summary Report (ASR) for ACOs under preliminary prospective assignment which provides aggregate data about the characteristics of the ACO's assigned population.	Tables 2-5A, 2-7 and 2-8 are tables added due to the COVID PHE
Assignment Summary Report Template for ACOs Under Prospective Assignment (Excel file named "ASR_Prospective")	Template used for the ASR for ACOs under prospective assignment which provides aggregate data about the characteristics of the ACO's assigned population, and reflects prospectively assigned beneficiaries excluded before or during the quarterly or annual performance year report period.	Tables 2-5A, 2-7 and 2-8 are tables added due to the COVID PHE

AGGREGATE EXPENDITURE AND UTILIZATION REPORT (EXPU) TEMPLATES

File	Description	Table added in response to COVID-19 PHE?
Aggregate Expenditure and Utilization Report for ACOs Under Preliminary Prospective Assignment with Retrospective Reconciliation (Excel file named "EXPU_PrelimProspective")	Template used for the Expenditure and Utilization (EXPU) report for ACOs under preliminary prospective assignment which provides aggregate cost and utilization data on the ACO's assigned population. Tables 2 and 2A are available only in quarterly reports. Tables 4 and 4A are available only in annual reports.	Tables 1A, 2A and 4A are tables added due to the COVID PHE
Aggregate Expenditure and Utilization Report for ACOs Under Prospective Assignment (Excel file named "EXPU_Prospective")	Template used for EXPU reports for ACOs under prospective assignment which provides aggregate cost and utilization data on the ACO's assigned population. Tables 2 and 2A are available only in quarterly reports. Tables 4 and 4A are available only in annual reports.	Tables 1A, 2A and 4A are tables added due to the COVID PHE

BENEFICIARY EXPENDITURE AND UTILIZATION REPORT (BEUR) TEMPLATES

Beneficiary Expenditure and Utilization Report: Report packages include a standalone, plain text CSV file for the Beneficiary Expenditure Utilization Report (BEUR). To assist with data analysis, a data dictionary Excel file is included in the report package, providing a description of the BEUR CSV file variables, variable formats, and allowable values. ACOs also receive an Excel file outlining the parameters used in beneficiary assignment.

File	Description	Table added in response to COVID-19 PHE?
BEUR Data Dictionary (Excel file named "BEURDataDictionary")	The Excel file provides a data dictionary which describes the data elements in the BEUR CSV file.	No
BEUR Parameters (Excel file named "BEURParameters")	The Excel file contains the parameters used in calculating all information contained in the BEUR.	No
BEUR File (CSV file named "BEURTemplate")	Template used to show the beneficiary-level expenditures and utilization data on the ACO's assigned population.	No

HISTORICAL BENCHMARK REPORTS

ADJUSTED HISTORICAL BENCHMARK REPORT TEMPLATES

During the Performance Year ACOs receive either a Preliminary and Final Historical Benchmark Report or an Adjusted Historical Benchmark Report, depending on the start date of the ACO's agreement period. The footnotes in the reports describe how the historical benchmark reports differ. The layouts of the tables included in all of the historical benchmark reports are the same, please reference the Adjusted Historical Benchmark Report Template provided below for additional information.

File	Description	Table added in response to COVID-19 PHE?
Adjusted Historical Benchmark Report for ACOs that entered an Agreement Period beginning July 1, 2019, and January 1, 2020 (Excel file named "PY22AdjHBBlednd")	Template used for the adjusted Historical Benchmark report for ACOs that entered an agreement period beginning July 1, 2019, and January 1, 2020. The report includes the Historical Benchmark determination and tables for regional and national inputs.	No