



FEDERAL FISCAL YEAR 2009
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)



State of North Dakota

PROGRAM CHARACTERISTICS		
Medicaid Enrollment:	Fee-for-service recipients:	43,901
	Comprehensive managed care:	37
	Primary care case management:	51,216
	Other:	0
	Total:	95,154
Organizational structure for Medicaid Integrity activities:	Hybrid Model	
Activities that the State includes under the scope of Medicaid Integrity:	Audits, Investigations, SURS/Data Mining, Provider Enrollment, Provider Education/Communications	
Medicaid Integrity activities that the State contracts out:	SURS/Data Mining	
Estimate of expenditures (\$) for Medicaid Integrity activities:	Other: Not Reported	
		\$305,800.00

PLANNING				
Staffing				
Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:	Audits:	Filled:	0.7	
		Vacant:	0	
	Investigations:	Filled:	0	
		Vacant:	0	
	SURS/Data Mining:	Filled:	1.3	
		Vacant:	0	
	Provider Enrollment	Filled:	1	
		Vacant:	0	
	Provider Education/Communications:	Filled:	0	
		Vacant:	0	
	Other: Not Reported	Filled:	0.3	
		Vacant:	0	
	Strategic Planning			
	State has a documented strategic plan to address Medicaid Integrity:	For its Fee-For-Service program(s)?:		Yes
For its managed care program(s)?:		Not Applicable		

PREVENTION	
Total number of participating Medicaid providers:	20,086
Number of providers applied for enrollment in Medicaid:	1,739
Number of providers denied enrollment in Medicaid:	103
Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), GSA's Excluded Parties List System, National Practitioners Data Bank, Criminal background investigations, Credentialing, Check if provider has another provider number under which the provider made inappropriate payments
	Other: District Court Website, ND Sex Offender Registry and MEDD File.
State maintains its own list of providers who have been involuntarily dis-enrolled:	Yes

DETECTION		
State typically extrapolates overpayments:		No
Total number of provider audits conducted:	Desk Audits	
	State staff:	259
	Contractor staff:	0
	Field Audits	
	State staff:	24
	Contractor staff:	0
	Provider Self-Audits	
	State staff:	0
	Contractor staff:	0
	Combination Desk/Field audits	
	State staff:	0
	Contractor staff:	0
	Cost report Audits	
	State staff:	38
Contractor staff:	0	
Total		
	State staff:	321
Contractor staff:	0	
Overpayments (\$) identified as a result of provider audits:	Desk Audits:	\$95,143.12
	Field Audits:	\$506.28
	Provider Self-Audits:	\$0.00
	Combination Desk/Field Audits:	\$0.00
	Cost Report Audits:	\$4,096,684.00
	Total:	\$4,192,333.40

INVESTIGATION AND RECOVERY	
Referrals to Law Enforcement	
Number of referrals accepted by the MFCU:	N/A
Number of referrals made to the MFCU:	N/A
Provider Suspensions & Sanctions	
State imposes <u>provider payment suspensions</u> due to inappropriate or fraudulent activities:	Yes
State imposes <u>provider sanctions</u> due to inappropriate or fraudulent activities:	Yes
Cost Avoidance	
State calculates the dollars cost avoided from terminating providers:	No
State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:	No
State calculates cost avoidance dollars due to changes in payment systems:	No
State measures cost avoidance dollars due to policy changes:	Yes
Recoveries	
Total recoveries (\$) from provider audits:	Desk Audits: \$63,475.74
	Field Audits: \$506.28
	Provider self-audits: \$0.00
	Combination desk/field audits: \$0.00
	Cost report audits: \$3,516,408.00
	Total: \$3,580,390.02
Total dollars recovered from ALL Medicaid Integrity activities	\$4,210,752.69