



**FEDERAL FISCAL YEAR 2009  
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)**



**State of Minnesota**

PROGRAM CHARACTERISTICS	
<b>Medicaid Enrollment:</b>	Fee-for-service recipients: 265,324 Comprehensive managed care: 438,093 Primary care case management: Not Reported Other: Not Reported Total: 703,417
<b>Organizational structure for Medicaid Integrity activities:</b>	Hybrid Model
<b>Activities that the State includes under the scope of Medicaid Integrity:</b>	Audits, Investigations, SURS/Data Mining, Provider Enrollment, Provider Education/Communications, Managed care oversight Other: Prior authorizations
<b>Medicaid Integrity activities that the State contracts out:</b>	Other: Prior authorizations
<b>Estimate of expenditures (\$) for Medicaid Integrity activities:</b>	\$6,620,300.00

PLANNING	
<b>Staffing</b>	
<b>Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:</b>	<b>Audits:</b> Filled: 7 Vacant: Not Reported <b>Investigations:</b> Filled: 16 Vacant: Not Reported <b>SURS/Data Mining:</b> Filled: 1 Vacant: 1 <b>Provider Enrollment</b> Filled: 17 Vacant: Not Reported <b>Provider Education/Communications:</b> Filled: 11 Vacant: Not Reported <b>Other:</b> Not Reported Filled: Not Reported Vacant: Not Reported
<b>Strategic Planning</b>	
<b>State has a documented strategic plan to address Medicaid Integrity:</b>	For its Fee-For-Service program(s)?: <b>No</b> For its managed care program(s)?: <b>No</b>

PREVENTION	
<b>Total number of participating Medicaid providers:</b>	115,670
<b>Number of providers applied for enrollment in Medicaid:</b>	33,371
<b>Number of providers denied enrollment in Medicaid:</b>	677
<b>Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:</b>	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), Criminal background investigations, Check if provider has another provider number under which the provider made inappropriate payments Other: NPPES Website
<b>State maintains its own list of providers who have been involuntarily dis-enrolled:</b>	No

DETECTION	
<b>State typically extrapolates overpayments:</b>	No
<b>Total number of provider audits conducted:</b>	<b>Desk Audits</b> State staff: 381 Contractor staff: Not Reported <b>Field Audits</b> State staff: 57 Contractor staff: Not Reported <b>Provider Self-Audits</b> State staff: Not Reported Contractor staff: Not Reported <b>Combination Desk/Field audits</b> State staff: 865 Contractor staff: Not Reported <b>Cost report Audits</b> State staff: 162 Contractor staff: Not Reported <b>Total</b> State staff: 1,465 Contractor staff: 0
<b>Overpayments (\$) identified as a result of provider audits:</b>	<b>Desk Audits:</b> \$280,500.00 <b>Field Audits:</b> \$92,000.00 <b>Provider Self-Audits:</b> Not Reported <b>Combination Desk/Field Audits:</b> \$4,013,000.00 <b>Cost Report Audits:</b> \$942,000.00 <b>Total:</b> \$5,327,500.00

INVESTIGATION AND RECOVERY	
<b>Referrals to Law Enforcement</b>	
<b>Number of referrals accepted by the MFCU:</b>	35
<b>Number of referrals made to the MFCU:</b>	55
<b>Provider Suspensions &amp; Sanctions</b>	
<b>State imposes provider payment suspensions due to inappropriate or fraudulent activities:</b>	Yes
<b>State imposes provider sanctions due to inappropriate or fraudulent activities:</b>	Yes
<b>Cost Avoidance</b>	
<b>State calculates the dollars cost avoided from terminating providers:</b>	No
<b>State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:</b>	No
<b>State calculates cost avoidance dollars due to changes in payment systems:</b>	No
<b>State measures cost avoidance dollars due to policy changes:</b>	No
<b>Recoveries</b>	
<b>Total recoveries (\$) from provider audits:</b>	<b>Desk Audits:</b> \$280,500.00 <b>Field Audits:</b> \$92,000.00 <b>Provider self-audits:</b> Not Reported <b>Combination desk/field audits:</b> \$4,013,000.00 <b>Cost report audits:</b> \$942,000.00 <b>Total:</b> \$5,327,500.00
<b>Total dollars recovered from ALL Medicaid Integrity activities</b>	\$6,345,700.00