



**FEDERAL FISCAL YEAR 2009  
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)**



**State of Hawaii**

PROGRAM CHARACTERISTICS	
<b>Medicaid Enrollment:</b>	Fee-for-service recipients: 2,373
	Comprehensive managed care: 248,984
	Primary care case management: 0
	Other: 0
	Total: 251,357
<b>Organizational structure for Medicaid Integrity activities:</b>	Hybrid Model
<b>Activities that the State includes under the scope of Medicaid Integrity:</b>	Audits, Investigations, SURS/Data Mining, Provider Education/Communications, Managed care oversight
	Other: Not Reported
<b>Medicaid Integrity activities that the State contracts out:</b>	Audits, Provider Education/Communications
	Other: Not Reported
<b>Estimate of expenditures (\$) for Medicaid Integrity activities:</b>	\$2,124,924.00

PLANNING	
Staffing	
<b>Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:</b>	
<b>Audits:</b>	
Filled:	0
Vacant:	0
<b>Investigations:</b>	
Filled:	0
Vacant:	1
<b>SURS/Data Mining:</b>	
Filled:	2
Vacant:	0
<b>Provider Enrollment</b>	
Filled:	2
Vacant:	3
<b>Provider Education/Communications:</b>	
Filled:	Not Reported
Vacant:	Not Reported
<b>Other:</b> Not Reported	
Filled:	7
Vacant:	29
Strategic Planning	
<b>State has a documented strategic plan to address Medicaid Integrity:</b>	
For its Fee-For-Service program(s)?:	Yes
For its managed care program(s)?:	No

PREVENTION	
<b>Total number of participating Medicaid providers:</b>	6,143
<b>Number of providers applied for enrollment in Medicaid:</b>	402
<b>Number of providers denied enrollment in Medicaid:</b>	0
<b>Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:</b>	In-state licensing board, , HHS OIG's List of Excluded Individuals and Entities (LEIE), National Practitioners Data Bank, Criminal background investigations, Credentialing Other: Not Reported
<b>State maintains its own list of providers who have been involuntarily dis-enrolled:</b>	No

DETECTION	
<b>State typically extrapolates overpayments:</b>	No
<b>Total number of provider audits conducted:</b>	
<b>Desk Audits</b>	
State staff:	13
Contractor staff:	0
<b>Field Audits</b>	
State staff:	0
Contractor staff:	0
<b>Provider Self-Audits</b>	
State staff:	0
Contractor staff:	0
<b>Combination Desk/Field audits</b>	
State staff:	0
Contractor staff:	0
<b>Cost report Audits</b>	
State staff:	0
Contractor staff:	65
<b>Total</b>	
State staff:	13
Contractor staff:	65
<b>Overpayments (\$) identified as a result of provider audits:</b>	
<b>Desk Audits:</b>	\$0.00
<b>Field Audits:</b>	\$0.00
<b>Provider Self-Audits:</b>	Not Reported
<b>Combination Desk/Field Audits:</b>	Not Reported
<b>Cost Report Audits:</b>	\$286,434.00
<b>Total:</b>	\$286,434.00

INVESTIGATION AND RECOVERY	
Referrals to Law Enforcement	
<b>Number of referrals accepted by the MFCU:</b>	3
<b>Number of referrals made to the MFCU:</b>	3
Provider Suspensions & Sanctions	
<b>State imposes <u>provider payment suspensions</u> due to inappropriate or fraudulent activities:</b>	Yes
<b>State imposes <u>provider sanctions</u> due to inappropriate or fraudulent activities:</b>	Yes
Cost Avoidance	
<b>State calculates the dollars cost avoided from terminating providers:</b>	No
<b>State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:</b>	No
<b>State calculates cost avoidance dollars due to changes in payment systems:</b>	No
<b>State measures cost avoidance dollars due to policy changes:</b>	No
Recoveries	
<b>Total recoveries (\$) from provider audits:</b>	
<b>Desk Audits:</b>	\$1,079,853.44
<b>Field Audits:</b>	Not Reported
<b>Provider self-audits:</b>	Not Reported
<b>Combination desk/field audits:</b>	Not Reported
<b>Cost report audits:</b>	\$286,434.00
<b>Total:</b>	\$1,366,287.44
<b>Total dollars recovered from ALL Medicaid Integrity activities</b>	\$1,366,287.44