



FEDERAL FISCAL YEAR 2009  
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)



State of Connecticut

PROGRAM CHARACTERISTICS	
<b>Medicaid Enrollment:</b>	Fee-for-service recipients: 116,488 Comprehensive managed care: 357,376 Primary care case management: Not Reported Other: Not Reported Total: 473,864
<b>Organizational structure for Medicaid Integrity activities:</b>	Distinct Program Integrity Model
<b>Activities that the State includes under the scope of Medicaid Integrity:</b>	Audits, Investigations, SURS/Data Mining, Provider Enrollment, Provider Education/Communications, Managed care oversight Other: Not Reported
<b>Medicaid Integrity activities that the State contracts out:</b>	Audits, Provider Enrollment, Provider Education/Communications, Managed care oversight Other: Not Reported
<b>Estimate of expenditures (\$) for Medicaid Integrity activities:</b>	\$6,150,000.00

PLANNING	
<b>Staffing</b>	
<b>Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:</b>	<b>Audits:</b> Filled: 16 Vacant: 4 <b>Investigations:</b> Filled: 3 Vacant: 0 <b>SURS/Data Mining:</b> Filled: 2 Vacant: 0 <b>Provider Enrollment</b> Filled: 1 Vacant: 0 <b>Provider Education/Communications:</b> Filled: 1 Vacant: 0 <b>Other: Not Reported</b> Filled: 0 Vacant: 0
<b>Strategic Planning</b>	
<b>State has a documented strategic plan to address Medicaid Integrity:</b>	For its Fee-For-Service program(s)?: <b>No</b> For its managed care program(s)?: <b>No</b>

PREVENTION	
<b>Total number of participating Medicaid providers:</b>	8,816
<b>Number of providers applied for enrollment in Medicaid:</b>	2,198
<b>Number of providers denied enrollment in Medicaid:</b>	1,103
<b>Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:</b>	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), Choice Point or Lexis-Nexis reviews, On-site visits, Check if provider has another provider number under which the provider made inappropriate payments Other: Not Reported
<b>State maintains its own list of providers who have been involuntarily dis-enrolled:</b>	Yes

DETECTION	
<b>State typically extrapolates overpayments:</b>	Yes
<b>Total number of provider audits conducted:</b>	<b>Desk Audits</b> State staff: 51 Contractor staff: 0 <b>Field Audits</b> State staff: 110 Contractor staff: 0 <b>Provider Self-Audits</b> State staff: 0 Contractor staff: 0 <b>Combination Desk/Field audits</b> State staff: 0 Contractor staff: 0 <b>Cost report Audits</b> State staff: 0 Contractor staff: 75 <b>Total</b> State staff: 161 Contractor staff: 75
<b>Overpayments (\$) identified as a result of provider audits:</b>	<b>Desk Audits:</b> \$505,059.00 <b>Field Audits:</b> \$10,603,102.00 <b>Provider Self-Audits:</b> \$0.00 <b>Combination Desk/Field Audits:</b> \$0.00 <b>Cost Report Audits:</b> \$2,000,000.00 <b>Total:</b> \$13,108,161.00

INVESTIGATION AND RECOVERY	
<b>Referrals to Law Enforcement</b>	
<b>Number of referrals accepted by the MFCU:</b>	8
<b>Number of referrals made to the MFCU:</b>	8
<b>Provider Suspensions &amp; Sanctions</b>	
<b>State imposes provider payment suspensions due to inappropriate or fraudulent activities:</b>	Yes
<b>State imposes provider sanctions due to inappropriate or fraudulent activities:</b>	Yes
<b>Cost Avoidance</b>	
<b>State calculates the dollars cost avoided from terminating providers:</b>	No
<b>State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:</b>	No
<b>State calculates cost avoidance dollars due to changes in payment systems:</b>	No
<b>State measures cost avoidance dollars due to policy changes:</b>	No
<b>Recoveries</b>	
<b>Total recoveries (\$) from provider audits:</b>	<b>Desk Audits:</b> \$505,059.00 <b>Field Audits:</b> \$10,603,102.00 <b>Provider self-audits:</b> \$0.00 <b>Combination desk/field audits:</b> \$11,108,161.00 <b>Cost report audits:</b> \$2,000,000.00 <b>Total:</b> \$24,216,322.00
<b>Total dollars recovered from ALL Medicaid Integrity activities</b>	\$39,000,000.00