



FEDERAL FISCAL YEAR 2009  
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)



State of Arkansas

PROGRAM CHARACTERISTICS		
Medicaid Enrollment:	Fee-for-service recipients:	777,169
	Comprehensive managed care:	0
	Primary care case management:	0
	Other:	Not Reported
	Total:	777,169
Organizational structure for Medicaid Integrity activities:	Distinct Program Integrity Model	
Activities that the State includes under the scope of Medicaid Integrity:	Audits, Investigations, SURS/Data Mining	
	Other: review enrollment packets that have background issues	
Medicaid Integrity activities that the State contracts out:	Provider Enrollment, Provider Education/Communications	
	Other: Not Reported	
Estimate of expenditures (\$) for Medicaid Integrity activities:	\$1,327,342.49	

PLANNING		
Staffing		
Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:	<b>Audits:</b>	
	Filled:	25
	Vacant:	3
	<b>Investigations:</b>	
	Filled:	Not Reported
	Vacant:	Not Reported
	<b>SURS/Data Mining:</b>	
	Filled:	2
	Vacant:	0
	<b>Provider Enrollment</b>	
	Filled:	Not Reported
	Vacant:	Not Reported
	<b>Provider Education/Communications:</b>	
	Filled:	Not Reported
	Vacant:	Not Reported
	<b>Other:</b> Not Reported	
	Filled:	2
	Vacant:	0
Strategic Planning		
State has a documented strategic plan to address Medicaid Integrity:	For its Fee-For-Service program(s)?:	Yes
	For its managed care program(s)?:	Not Applicable

PREVENTION		
Total number of participating Medicaid providers:		16,298
Number of providers applied for enrollment in Medicaid:		9,443
Number of providers denied enrollment in Medicaid:		3,486
Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), Choice Point or Lexis-Nexis reviews, Criminal background investigations, Credentialing, Check if provider has another provider number under which the provider made inappropriate payments	
	Other: Not Reported	
State maintains its own list of providers who have been involuntarily dis-enrolled:		Yes

DETECTION		
State typically extrapolates overpayments:		No
Total number of provider audits conducted:	<b>Desk Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Field Audits</b>	
	State staff:	148
	Contractor staff:	Not Reported
	<b>Provider Self-Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Combination Desk/Field audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Cost report Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Total</b>	
	State staff:	148
	Contractor staff:	0
Overpayments (\$) identified as a result of provider audits:	<b>Desk Audits:</b>	
	Not Reported	
	<b>Field Audits:</b>	
	\$3,847,328.00	
	<b>Provider Self-Audits:</b>	
	Not Reported	
	<b>Combination Desk/Field Audits:</b>	
	Not Reported	
	<b>Cost Report Audits:</b>	
	Not Reported	
	<b>Total:</b>	
	\$3,847,328.00	

INVESTIGATION AND RECOVERY		
Referrals to Law Enforcement		
Number of referrals accepted by the MFCU:		1
Number of referrals made to the MFCU:		3
Provider Suspensions & Sanctions		
State imposes <u>provider payment suspensions</u> due to inappropriate or fraudulent activities:		Yes
State imposes <u>provider sanctions</u> due to inappropriate or fraudulent activities:		Not Reported
Cost Avoidance		
State calculates the dollars cost avoided from terminating providers:		Not Reported
State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:		No
State calculates cost avoidance dollars due to changes in payment systems:		No
State measures cost avoidance dollars due to policy changes:		No
Recoveries		
Total recoveries (\$) from provider audits:	Desk Audits:	Not Reported
	Field Audits:	\$579,751.00
	Provider self-audits:	Not Reported
	Combination desk/field audits:	Not Reported
	Cost report audits:	Not Reported
	Total:	\$579,751.00
Total dollars recovered from ALL Medicaid Integrity activities		\$6,906,884.00