



**FEDERAL FISCAL YEAR 2009  
STATE PROGRAM INTEGRITY ASSESSMENT (SPIA)**



**State of Arizona**

PROGRAM CHARACTERISTICS		
<b>Medicaid Enrollment:</b>	Fee-for-service recipients:	166,273
	Comprehensive managed care:	1,142,713
	Primary care case management:	Not Reported
	Other:	49,502
	Total:	1,358,488
<b>Organizational structure for Medicaid Integrity activities:</b>	Hybrid Model	
<b>Activities that the State includes under the scope of Medicaid Integrity:</b>	Audits, Investigations, SURS/Data Mining, Provider Enrollment, Provider Education/Communications Other: Data mining is divided up among AHCCCS Divisions and is not called SURS	
<b>Medicaid Integrity activities that the State contracts out:</b>	None	
	Other: None	
<b>Estimate of expenditures (\$) for Medicaid Integrity activities:</b>		\$2,488,304.00

PLANNING		
<b>Staffing</b>		
<b>Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:</b>	<b>Audits:</b>	
	Filled:	3
	Vacant:	0
	<b>Investigations:</b>	
	Filled:	57
	Vacant:	0
	<b>SURS/Data Mining:</b>	
	Filled:	0
	Vacant:	0
	<b>Provider Enrollment</b>	
	Filled:	15
	Vacant:	0
	<b>Provider Education/Communications:</b>	
	Filled:	0
	Vacant:	0
	<b>Other:</b> Not Reported	
	Filled:	Not Reported
	Vacant:	Not Reported
<b>Strategic Planning</b>		
<b>State has a documented strategic plan to address Medicaid Integrity:</b>	For its Fee-For-Service program(s)?:	<b>Yes</b>
	For its managed care program(s)?:	<b>Yes</b>

PREVENTION		
<b>Total number of participating Medicaid providers:</b>		<b>53,424</b>
<b>Number of providers applied for enrollment in Medicaid:</b>		This data is not tracked
<b>Number of providers denied enrollment in Medicaid:</b>	Providers are denied enrollment for multiple reasons. There is not a mechanism in place to systematically sort and track the total numbers.	
<b>Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:</b>	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), Credentialing, Check if provider has another provider number under which the provider made inappropriate payments Other: Credentialing is done at the managed care level	
<b>State maintains its own list of providers who have been involuntarily dis-enrolled:</b>		No

DETECTION		
<b>State typically extrapolates overpayments:</b>		Yes
<b>Total number of provider audits conducted:</b>	<b>Desk Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Field Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Provider Self-Audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Combination Desk/Field audits</b>	
	State staff:	Not Reported
	Contractor staff:	Not Reported
	<b>Cost report Audits</b>	
	State staff:	1
	Contractor staff:	Not Reported
	<b>Total</b>	
	State staff:	1
	Contractor staff:	0
<b>Overpayments (\$) identified as a result of provider audits:</b>	<b>Desk Audits:</b>	Not Reported
	<b>Field Audits:</b>	Not Reported
	<b>Provider Self-Audits:</b>	\$1.00
	<b>Combination Desk/Field Audits:</b>	Not Reported
	<b>Cost Report Audits:</b>	Not Reported
	<b>Total:</b>	\$1.00

INVESTIGATION AND RECOVERY		
<b>Referrals to Law Enforcement</b>		
<b>Number of referrals accepted by the MFCU:</b>		15
<b>Number of referrals made to the MFCU:</b>		22
<b>Provider Suspensions &amp; Sanctions</b>		
<b>State imposes <u>provider payment suspensions</u> due to inappropriate or fraudulent activities:</b>		Yes
<b>State imposes <u>provider sanctions</u> due to inappropriate or fraudulent activities:</b>		Yes
<b>Cost Avoidance</b>		
<b>State calculates the dollars cost avoided from terminating providers:</b>		No
<b>State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:</b>		No
<b>State calculates cost avoidance dollars due to changes in payment systems:</b>		No
<b>State measures cost avoidance dollars due to policy changes:</b>		No
<b>Recoveries</b>		
<b>Total recoveries (\$) from provider audits:</b>	<b>Desk Audits:</b>	Not Reported
	<b>Field Audits:</b>	Not Reported
	<b>Provider self-audits:</b>	Not Reported
	<b>Combination desk/field audits:</b>	\$1.00
	<b>Cost report audits:</b>	Not Reported
	<b>Total:</b>	\$1.00
<b>Total dollars recovered from ALL Medicaid Integrity activities</b>		Not Reported