

Appendix M  
Estimated Impact of Hospital Outpatient  
Access on Therapy Caps by Gender:  
CY 2002

## Key to Appendix M:

The tables in Appendix M estimate the impact the hospital outpatient therapy exception may have had on beneficiary likelihood to surpass the financial limitations had they been enforced in CY 2002.

**The first column** in each table represents the gender group for the particular type of cap (PT, OT, SLP separate or PT/SLP combined).

**The “Total Part B enrollees” column** identifies the entire population of Beneficiaries during CY 2002 that were enrolled in Part B for at least one month.

**The “Beneficiaries receiving (therapy) services” column** represents the total number of beneficiaries within each subgroup that received the particular outpatient therapy service in CY 2002.

**The “Percent of beneficiaries receiving (therapy) services” column** represents the proportion of all Part B enrollees within each gender group that accessed outpatient therapy services in CY 2002.

**The “Beneficiaries surpassing (therapy) cap threshold” column** represents the estimated number of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002.

**The “Percentage of patients surpassing (therapy) cap threshold” column** represents the estimated percentage of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002 (“Percentage of patients surpassing (therapy) cap threshold” = “Beneficiaries surpassing (therapy) cap threshold” / “Beneficiaries receiving (therapy) services”).

**The “Hospital not accessed” row** represents the beneficiaries that received outpatient therapy services during CY 2002 but could not, or chose not to receive any of these services from a hospital outpatient provider. Since none of the therapy furnished to these individuals was from a hospital outpatient provider, all incurred expenses were debited against the applicable cap estimate.

**The “Hospital accessed” row** represents beneficiaries that received at least one outpatient therapy treatment from an outpatient hospital provider during CY 2002. The only incurred expenses debited against the applicable cap estimate were for services furnished by an outpatient therapy provider that was not identified as a hospital<sup>1</sup>.

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<sup>1</sup> This debiting process is consistent with Common Working File (CWF) financial limitation tracking procedures described in the Medicare Claims Processing Manual (Pub. 104), Ch. 5, Section 10.2 *The Financial Limitation*.

**The “% Not using hospital” row** represents the distribution of beneficiaries that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002. In addition, this row identifies the distribution of beneficiaries surpassing the cap thresholds that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002.

**Appendix M-Table 1 Estimated Impact of Hospital Outpatient Access on PT Cap by Gender: CY 2002**

Gender group	Total Part B enrollees	Beneficiaries receiving PT services	Percent of beneficiaries receiving PT	Beneficiaries surpassing PT cap threshold	Percent of patients surpassing PT cap threshold
<b>Total</b>	<b>40,108,890</b>	<b>3,296,407</b>	<b>8.2%</b>	<b>463,893</b>	<b>14.1%</b>
Hospital not accessed		2,087,979		435,280	20.8%
Hospital accessed		1,208,428		28,613	2.4%
% Not using hospital		63.3%		93.8%	
<b>Female</b>	<b>22,879,381</b>	<b>2,183,319</b>	<b>9.5%</b>	<b>312,048</b>	<b>14.3%</b>
Hospital not accessed		1,396,872		292,906	21.0%
Hospital accessed		786,447		19,142	2.4%
% Not using hospital		64.0%		93.9%	
<b>Male</b>	<b>17,229,509</b>	<b>1,113,084</b>	<b>6.5%</b>	<b>151,844</b>	<b>13.6%</b>
Hospital not accessed		691,103		142,373	20.6%
Hospital accessed		421,981		9,471	2.2%
% Not using hospital		62.1%		93.8%	

**Appendix M-Table 2 Estimated Impact of Hospital Outpatient Access on OT Cap by Gender: CY 2002**

Gender group	Total Part B enrollees	Beneficiaries receiving OT services	Percent of beneficiaries receiving OT	Beneficiaries surpassing OT cap threshold	Percent of patients surpassing OT cap threshold
<b>Total</b>	<b>40,108,890</b>	<b>745,240</b>	<b>1.9%</b>	<b>129,509</b>	<b>17.4%</b>
Hospital not accessed		499,837		126,488	25.3%
Hospital accessed		245,403		3,021	1.2%
% Not using hospital		67.1%		97.7%	
<b>Female</b>	<b>22,879,381</b>	<b>510,081</b>	<b>2.2%</b>	<b>91,681</b>	<b>18.0%</b>
Hospital not accessed		355,376		89,594	25.2%
Hospital accessed		154,705		2,087	1.3%
% Not using hospital		69.7%		97.7%	
<b>Male</b>	<b>17,229,509</b>	<b>235,156</b>	<b>1.4%</b>	<b>37,826</b>	<b>16.1%</b>
Hospital not accessed		144,458		36,892	25.5%
Hospital accessed		90,698		934	1.0%
% Not using hospital		61.4%		97.5%	

**Appendix M-Table 3 Estimated Impact of Hospital Outpatient Access on SLP Cap by Gender: CY 2002**

Gender group	Total Part B enrollees	Beneficiaries receiving SLP services	Percent of beneficiaries receiving SLP	Beneficiaries surpassing SLP cap threshold	Percent of patients surpassing SLP cap threshold
<b>Total</b>	<b>40,108,890</b>	<b>367,783</b>	<b>0.9%</b>	<b>41,765</b>	<b>11.4%</b>
Hospital not accessed		220,152		37,116	16.9%
Hospital accessed		147,631		4,649	3.1%
% Not using hospital		59.9%		88.9%	
<b>Female</b>	<b>22,879,381</b>	<b>231,647</b>	<b>1.0%</b>	<b>27,547</b>	<b>11.9%</b>
Hospital not accessed		152,658		24,882	16.3%
Hospital accessed		78,989		2,665	3.4%
% Not using hospital		65.9%		90.3%	
<b>Male</b>	<b>17,229,509</b>	<b>136,135</b>	<b>0.8%</b>	<b>14,217</b>	<b>10.4%</b>
Hospital not accessed		67,493		12,233	18.1%
Hospital accessed		68,642		1,984	2.9%
% Not using hospital		49.6%		86.0%	

**Appendix M-Table 4 Estimated Impact of Hospital Outpatient Access on PT/SLP Cap by Gender: CY 2002**

Gender group	Total Part B enrollees	Beneficiaries receiving PT/SLP services	Percent of beneficiaries receiving PT/SLP	Beneficiaries surpassing PT/SLP cap threshold	Percent of patients surpassing PT/SLP cap threshold
<b>Total</b>	<b>40,108,890</b>	<b>3,503,136</b>	<b>8.7%</b>	<b>508,686</b>	<b>14.5%</b>
Hospital not accessed		2,187,838		469,850	21.5%
Hospital accessed		1,315,298		38,836	3.0%
% Not using hospital		62.5%		92.4%	
<b>Female</b>	<b>22,879,381</b>	<b>2,314,385</b>	<b>10.1%</b>	<b>342,293</b>	<b>14.8%</b>
Hospital not accessed		1,470,354		317,328	21.6%
Hospital accessed		844,031		24,965	3.0%
% Not using hospital		63.5%		92.7%	
<b>Male</b>	<b>17,229,509</b>	<b>1,188,747</b>	<b>6.9%</b>	<b>166,392</b>	<b>14.0%</b>
Hospital not accessed		717,480		152,521	21.3%
Hospital accessed		471,267		13,871	2.9%
% Not using hospital		60.4%		91.7%	