

Appendix L
Estimated Impact of Hospital Outpatient
Access on Therapy Caps by Age:
CY 2002

Key to Appendix L:

The tables in Appendix L estimate the impact the hospital outpatient therapy exception may have had on beneficiary likelihood to surpass the financial limitations had they been enforced in CY 2002.

The first column in each table represents the age group for the particular type of cap (PT, OT, SLP separate or PT/SLP combined).

The “Total Part B enrollees” column identifies the entire population of Beneficiaries during CY 2002 that were enrolled in Part B for at least one month.

The “Beneficiaries receiving (therapy) services” column represents the total number of beneficiaries within each subgroup that received the particular outpatient therapy service in CY 2002.

The “Percent of beneficiaries receiving (therapy) services” column represents the proportion of all Part B enrollees within each age group that accessed outpatient therapy services in CY 2002.

The “Beneficiaries surpassing (therapy) cap threshold” column represents the estimated number of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002.

The “Percentage of patients surpassing (therapy) cap threshold” column represents the estimated percentage of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002 (“Percentage of patients surpassing (therapy) cap threshold” = “Beneficiaries surpassing (therapy) cap threshold” / “Beneficiaries receiving (therapy) services”).

The “Hospital not accessed” row represents the beneficiaries that received outpatient therapy services during CY 2002 but could not, or chose not to receive any of these services from a hospital outpatient provider. Since none of the therapy furnished to these individuals was from a hospital outpatient provider, all incurred expenses were debited against the applicable cap estimate.

The “Hospital accessed” row represents beneficiaries that received at least one outpatient therapy treatment from an outpatient hospital provider during CY 2002. The only incurred expenses debited against the applicable cap estimate were for services furnished by an outpatient therapy provider that was not identified as a hospital¹.

¹ This debiting process is consistent with Common Working File (CWF) financial limitation tracking procedures described in the Medicare Claims Processing Manual (Pub. 104), Ch. 5, Section 10.2 *The Financial Limitation*.

The “% Not using hospital” row represents the distribution of beneficiaries that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002. In addition, this row identifies the distribution of beneficiaries surpassing the cap thresholds that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002.

Appendix L-Table 1 Estimated Impact of Hospital Outpatient Access on PT Cap by Age: CY 2002

Age group	Total Part B enrollees	Beneficiaries receiving PT services	Percent of beneficiaries receiving PT	Beneficiaries surpassing PT cap threshold	Percent of patients surpassing PT cap threshold
Total	40,108,890	3,296,407	8.2%	463,893	14.1%
Hospital not accessed		2,087,979		435,280	20.8%
Hospital accessed		1,208,428		28,613	2.4%
% Not using hospital		63.3%		93.8%	
< 65	7,158,874	430,966	6.0%	45,218	10.5%
Hospital not accessed		232,389		41,885	18.0%
Hospital accessed		198,577		3,333	1.7%
% Not using hospital		53.9%		92.6%	
65-69	8,526,811	597,350	7.0%	74,284	12.4%
Hospital not accessed		365,251		69,147	18.9%
Hospital accessed		232,099		5,137	2.2%
% Not using hospital		61.1%		93.1%	
70-74	8,195,060	656,053	8.0%	87,105	13.3%
Hospital not accessed		401,979		80,941	20.1%
Hospital accessed		254,074		6,164	2.4%
% Not using hospital		61.3%		92.9%	
75-79	7,063,391	641,837	9.1%	90,216	14.1%
Hospital not accessed		399,741		84,264	21.1%
Hospital accessed		242,096		5,952	2.5%
% Not using hospital		62.3%		93.4%	
80-84	4,978,182	495,284	9.9%	76,546	15.5%
Hospital not accessed		326,001		72,187	22.1%
Hospital accessed		169,283		4,359	2.6%
% Not using hospital		65.8%		94.3%	
85-89	2,744,436	300,384	10.9%	54,865	18.3%
Hospital not accessed		218,762		52,425	24.0%
Hospital accessed		81,622		2,440	3.0%
% Not using hospital		72.8%		95.6%	
90+	1,442,136	174,533	12.1%	35,659	20.4%
Hospital not accessed		143,856		34,431	23.9%
Hospital accessed		30,677		1,228	4.0%
% Not using hospital		82.4%		96.6%	

Appendix L-Table 2 Estimated Impact of Hospital Outpatient Access on OT Cap by Age: CY 2002

Age group	Total Part B enrollees	Beneficiaries receiving OT services	Percent of beneficiaries receiving OT	Beneficiaries surpassing OT cap threshold	Percent of patients surpassing OT cap threshold
Total	40,108,890	745,240	1.9%	129,509	17.4%
Hospital not accessed		499,837		126,488	25.3%
Hospital accessed		245,403		3,021	1.2%
% Not using hospital		67.1%		97.7%	
< 65	7,158,874	85,795	1.2%	11,184	13.0%
Hospital not accessed		40,506		10,877	26.9%
Hospital accessed		45,289		307	0.7%
% Not using hospital		47.2%		97.3%	
65-69	8,526,811	82,326	1.0%	10,506	12.8%
Hospital not accessed		39,440		10,129	25.7%
Hospital accessed		42,886		377	0.9%
% Not using hospital		47.9%		96.4%	
70-74	8,195,060	103,382	1.3%	15,062	14.6%
Hospital not accessed		55,102		14,576	26.5%
Hospital accessed		48,280		486	1.0%
% Not using hospital		53.3%		96.8%	
75-79	7,063,391	126,700	1.8%	21,244	16.8%
Hospital not accessed		79,047		20,616	26.1%
Hospital accessed		47,653		628	1.3%
% Not using hospital		62.4%		97.0%	
80-84	4,978,182	134,940	2.7%	26,535	19.7%
Hospital not accessed		100,169		25,957	25.9%
Hospital accessed		34,771		578	1.7%
% Not using hospital		74.2%		97.8%	
85-89	2,744,436	116,649	4.3%	24,940	21.4%
Hospital not accessed		98,079		24,537	25.0%
Hospital accessed		18,570		403	2.2%
% Not using hospital		84.1%		98.4%	
90+	1,442,136	95,448	6.6%	20,038	21.0%
Hospital not accessed		87,494		19,796	22.6%
Hospital accessed		7,954		242	3.0%
% Not using hospital		91.7%		98.8%	

Appendix L-Table 3 Estimated Impact of Hospital Outpatient Access on SLP Cap by Age: CY 2002

Age group	Total Part B enrollees	Beneficiaries receiving SLP services	Percent of beneficiaries receiving SLP	Beneficiaries surpassing SLP cap threshold	Percent of patients surpassing SLP cap threshold
Total	40,108,890	367,783	0.9%	41,765	11.4%
Hospital not accessed		220,152		37,116	16.9%
Hospital accessed		147,631		4,649	3.1%
% Not using hospital		59.9%		88.9%	
< 65	7,158,874	32,658	0.5%	3,208	9.8%
Hospital not accessed		12,676		2,877	22.7%
Hospital accessed		19,982		331	1.7%
% Not using hospital		38.8%		89.7%	
65-69	8,526,811	29,147	0.3%	2,574	8.8%
Hospital not accessed		9,886		2,224	22.5%
Hospital accessed		19,261		350	1.8%
% Not using hospital		33.9%		86.4%	
70-74	8,195,060	42,697	0.5%	4,173	9.8%
Hospital not accessed		17,702		3,634	20.5%
Hospital accessed		24,995		539	2.2%
% Not using hospital		41.5%		87.1%	
75-79	7,063,391	60,341	0.9%	6,686	11.1%
Hospital not accessed		31,067		5,806	18.7%
Hospital accessed		29,274		880	3.0%
% Not using hospital		51.5%		86.8%	
80-84	4,978,182	71,961	1.4%	8,735	12.1%
Hospital not accessed		46,023		7,721	16.8%
Hospital accessed		25,938		1,014	3.9%
% Not using hospital		64.0%		88.4%	
85-89	2,744,436	67,799	2.5%	8,718	12.9%
Hospital not accessed		50,260		7,864	15.6%
Hospital accessed		17,539		854	4.9%
% Not using hospital		74.1%		90.2%	
90+	1,442,136	63,180	4.4%	7,671	12.1%
Hospital not accessed		52,538		6,990	13.3%
Hospital accessed		10,642		681	6.4%
% Not using hospital		83.2%		91.1%	

Appendix L-Table 4 Estimated Impact of Hospital Outpatient Access on PT/SLP Cap by Age: CY 2002

Age group	Total Part B enrollees	Beneficiaries receiving PT/SLP services	Percent of beneficiaries receiving PT/SLP	Beneficiaries surpassing PT/SLP cap threshold	Percent of patients surpassing PT/SLP cap threshold
Total	40,108,890	3,503,136	8.7%	508,686	14.5%
Hospital not accessed		2,187,838		469,850	21.5%
Hospital accessed		1,315,298		38,836	3.0%
% Not using hospital		62.5%		92.4%	
< 65	7,158,874	450,099	6.3%	48,182	10.7%
Hospital not accessed		237,298		44,014	18.5%
Hospital accessed		212,801		4,168	2.0%
% Not using hospital		52.7%		91.3%	
65-69	8,526,811	613,068	7.2%	76,700	12.5%
Hospital not accessed		368,103		70,758	19.2%
Hospital accessed		244,965		5,942	2.4%
% Not using hospital		60.0%		92.3%	
70-74	8,195,060	679,338	8.3%	91,077	13.4%
Hospital not accessed		408,084		83,600	20.5%
Hospital accessed		271,254		7,477	2.8%
% Not using hospital		60.1%		91.8%	
75-79	7,063,391	674,409	9.5%	97,120	14.4%
Hospital not accessed		411,785		89,270	21.7%
Hospital accessed		262,624		7,850	3.0%
% Not using hospital		61.1%		91.9%	
80-84	4,978,182	534,769	10.7%	86,050	16.1%
Hospital not accessed		346,260		79,484	23.0%
Hospital accessed		188,509		6,566	3.5%
% Not using hospital		64.7%		92.4%	
85-89	2,744,436	338,465	12.3%	64,640	19.1%
Hospital not accessed		242,949		60,362	24.8%
Hospital accessed		95,516		4,278	4.5%
% Not using hospital		71.8%		93.4%	
90+	1,442,136	212,988	14.8%	44,917	21.1%
Hospital not accessed		173,359		42,362	24.4%
Hospital accessed		39,629		2,555	6.4%
% Not using hospital		81.4%		94.3%	