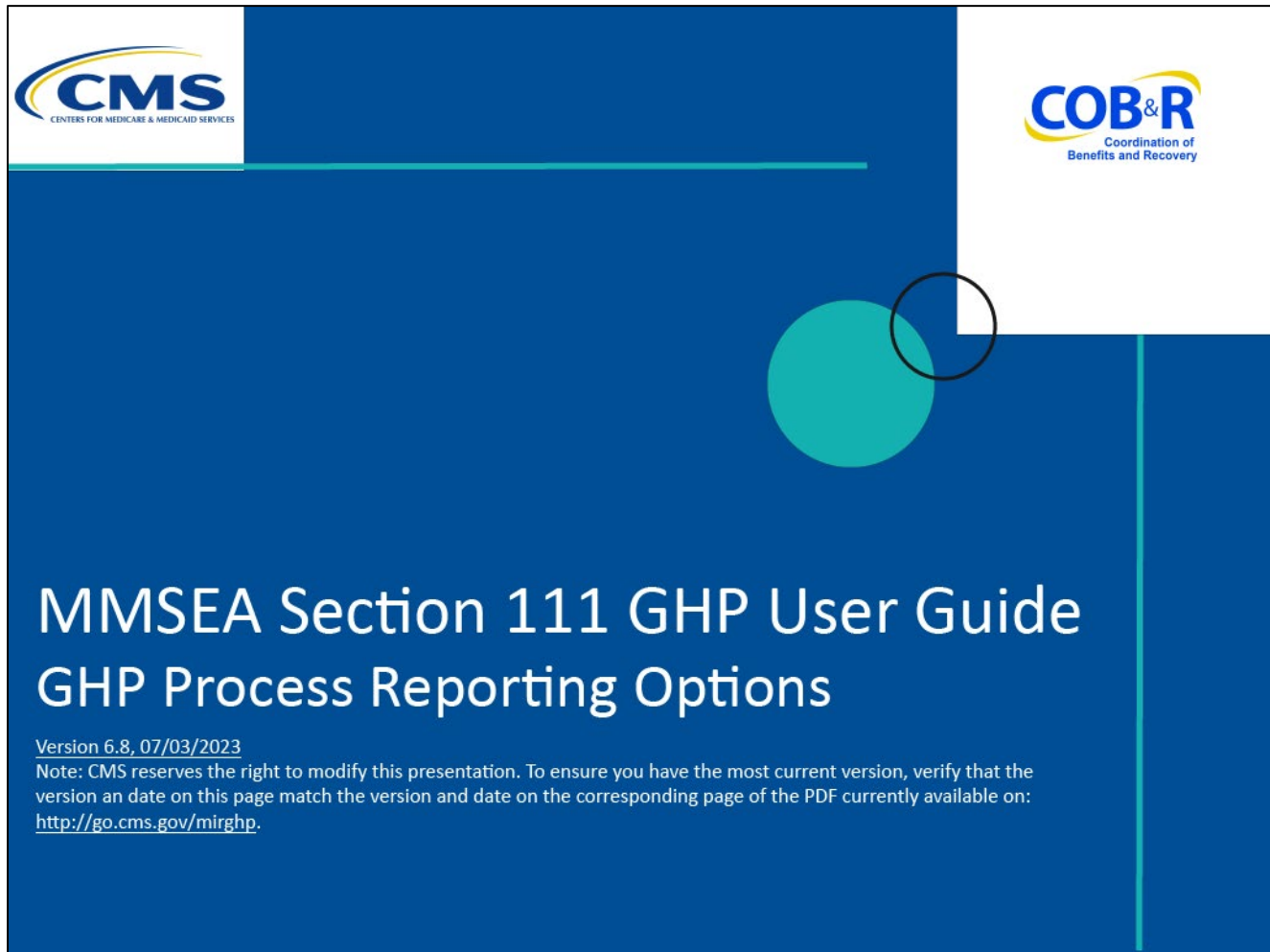


## GHP Process Reporting

### Slide 1 - of 18 - GHP Process Reporting



**CMS**  
CENTERS FOR MEDICARE & MEDICAID SERVICES

**COB&R**  
Coordination of  
Benefits and Recovery

# MMSEA Section 111 GHP User Guide GHP Process Reporting Options

Version 6.8, 07/03/2023  
Note: CMS reserves the right to modify this presentation. To ensure you have the most current version, verify that the version and date on this page match the version and date on the corresponding page of the PDF currently available on:  
<http://go.cms.gov/mirghp>.

#### Slide notes

Welcome to the Group Health Plan (GHP) Process Reporting Options course.

## Slide 2 - of 18 - Disclaimer

## Disclaimer

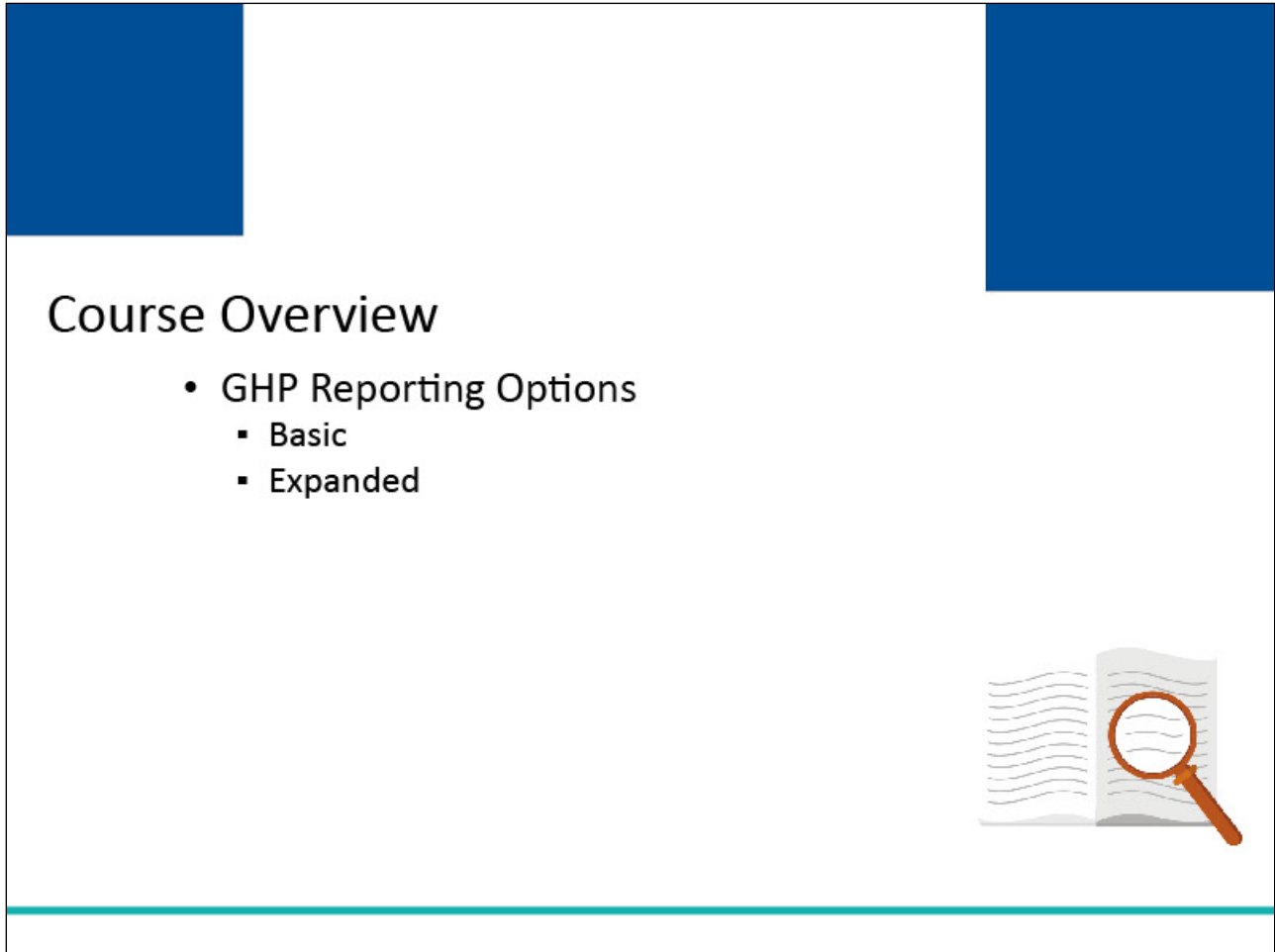
While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare and Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: <https://go.cms.gov/mirghp>.

### Slide notes

While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare and Medicaid Services

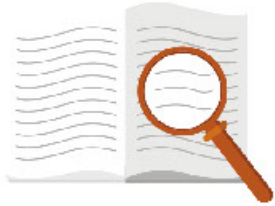
(CMS) instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: [CMS GHP Website](https://go.cms.gov/mirghp).

**Slide 3 - of 18 - Course Overview**



## Course Overview

- GHP Reporting Options
  - Basic
  - Expanded



**Slide notes**

This learning module explains the data reporting options, Basic and Expanded, which are available to Responsible Reporting Entities to assist them in complying with Section 111. It includes file types and descriptions required for each reporting option.

**Slide 4 - of 18 - GHP Reporting Options**

## GHP Reporting Options

- **Basic Reporting Option**
  - Minimum compliance requirements (i.e. GHP coverage information only)
- **Expanded Reporting Option**
  - Minimum compliance requirements
  - Plus prescription drug coverage information



### Slide notes

Section 111 requires GHP Responsible Reporting Entities (RREs) to provide CMS with information regarding hospital and medical coverage supplied to Medicare beneficiaries.

If the beneficiary expands or reduces their insurance coverage, first terminate the record by providing an end date and then send an add record with the updated coverage.

Section 111 also contains provisions for CMS to share Medicare Part A (hospital) entitlement, Part B (medical) coverage, and Part C (Medicare Advantage) information with the RRE.

RRE may choose one of two reporting options, Basic or Expanded, to comply with Section 111 requirements.

The Basic Reporting Option reflects the minimum requirements the RRE must adhere to in order to comply with Section 111.

If the RRE chooses the Basic Option, they will be required to provide GHP coverage information only and CMS will share information regarding Medicare Parts A, B, and C.

The Expanded Reporting Option includes the minimum requirements for Section 111 plus the exchange of prescription drug coverage information.

The Expanded Reporting Option will be used by RRE that wish to share prescription drug coverage information with CMS.

The RRE will use the file formats available for Section 111 data exchange to include information on prescription drug coverage that is either primary or secondary to Medicare Part D.

**Slide 5 - of 18 - Basic Reporting Option**

The slide features a white background with two blue rectangular accents in the top corners. The title 'Basic Reporting Option' is centered at the top. Below it, a light blue box contains the word 'Includes:'. Underneath, a grey box lists five items: 'MSP Input File' (with a sub-bullet for 'Primary medical and hospital coverage'), 'TIN Reference File', 'TIN Reference Response File', 'Optional Query Only Input File', and 'Corresponding response files'. A second light blue box states that the BCRC provides entitlement/enrollment information for Medicare Parts A, B, and C. A final grey box explains that GHP RREs reporting primary prescription drug coverage using the Basic reporting option will now receive Medicare Part D enrollment information on their response files.

## Basic Reporting Option

**Includes:**

- MSP Input File
  - Primary medical and hospital coverage
- TIN Reference File
- TIN Reference Response File
- Optional Query Only Input File
- Corresponding response files

**The BCRC provides entitlement/enrollment information for Medicare Parts A, B and C**

- GHP RREs who report primary prescription drug coverage using the Basic reporting option will now receive Medicare Part D enrollment information on their response files.

**Slide notes**

The Basic Reporting Option includes submission of the Medicare Secondary Payer (MSP) Input File for primary medical and hospital coverage of Active Covered Individuals, the TIN (Tax Identification Number) Reference File,

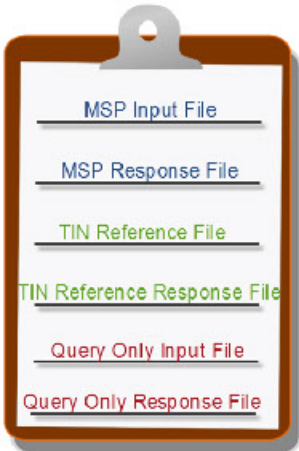
TIN Reference Response File and, optionally, the Query Only Input File, in the form of an ANSI 270/271 Entitlement Query File, along with the corresponding response files.

The Query Only Input File will be used by the GHP to request Medicare coverage information on their retirees. The Benefits Coordination & Recovery Center (BCRC) will only provide entitlement/enrollment information for Medicare Parts A, B, and C with this option.

Additionally, GHP RREs who report primary prescription drug coverage using the Basic reporting option will now receive Medicare Part D enrollment information on their response files

Slide 6 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
<p><b>MSP Input File</b></p> 	<ul style="list-style-type: none"> <li>• From the RRE to the BCRC</li> <li>• Report information regarding Active Covered Individuals whose GHP coverage may be primary to Medicare</li> </ul>

**Slide notes**

A brief description of the files used in the Basic Reporting Option is as follows. The exact file formats and record layouts are provided in the appendices of the GHP User Guide.

**MSP Input File:** This is the data set transmitted from an MMSEA Section 111 Responsible Reporting Entity to the BCRC that is used to report information regarding Active Covered Individuals whose GHP coverage may be primary to Medicare.

**Note:** Section 111 RREs who receive RX 07 error codes when submitting drug records for beneficiaries who have not yet enrolled in a Medicare Part D plan can resubmit records that received this error on your first file submission of the next calendar year or monitor the individual’s Medicare Part D enrollment status by logging in to the Section 111 COBSW portal and using the Beneficiary Lookup Tool.

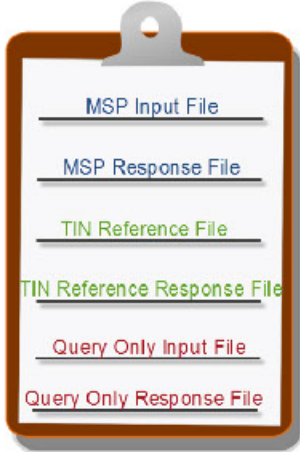
Please make note that there are Special Enrollment Periods (SEPs) that can make a Medicare beneficiary qualify for Part D. It is the RRE’s responsibility to ensure correct reporting.

To provide more accurate direction to submitters, instead of receiving the RX 07 error code (Beneficiary does not have Part D enrollment), Disposition Code 51 will be returned for those records where the submitted individual is not entitled to Medicare Part D.

Slide 7 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
MSP Response File	<ul style="list-style-type: none"><li>• From the BCRC to the RRE</li><li>• Respond with corrections and/or changes to MSP Input File</li></ul>



The image shows a clipboard with a list of file types. The items on the clipboard are: MSP Input File (blue), MSP Response File (blue), TIN Reference File (green), TIN Reference Response File (green), Query Only Input File (red), and Query Only Response File (red).

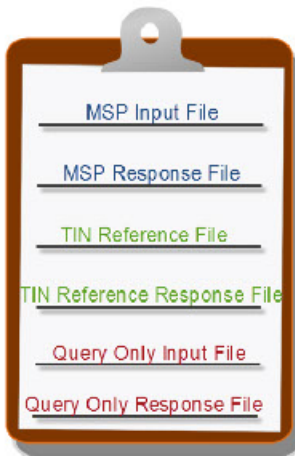
**Slide notes**

This is the dataset transmitted from the BCRC to the Responsible Reporting Entity after the information supplied in the Responsible Reporting Entity’s MSP Input File has been processed.



Slide 8 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
<p>TIN Reference File</p> 	<ul style="list-style-type: none"> <li>• From the RRE to the BCRC</li> <li>• Provide RRE’s Federal TIN and associated mailing address</li> <li>• Submit with the MSP Input File or as a separate file</li> </ul>

**Slide notes**

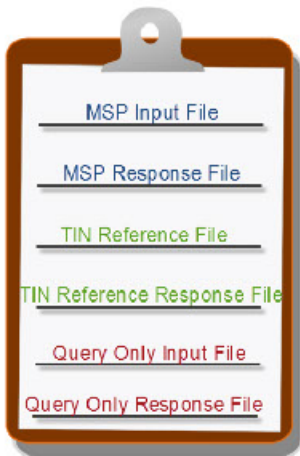
The TIN Reference File consists of a listing of each business entity’s Federal Tax Identification Number (TIN) and the business mailing address that is linked to that particular TIN.

This file can be submitted along with the MSP Input File in one physical file transmission or as a separate file.

Slide 9 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
TIN Reference Response File	<ul style="list-style-type: none"><li>From the BCRC to the RRE<ul style="list-style-type: none"><li>After information supplied in TIN Reference File has been processed</li></ul></li></ul>



The image shows a clipboard with a white sheet of paper. On the paper, there is a list of six file types, each on a separate line with a horizontal line underneath. The text is color-coded: 'MSP Input File' and 'MSP Response File' are in blue; 'TIN Reference File' and 'TIN Reference Response File' are in green; and 'Query Only Input File' and 'Query Only Response File' are in red.

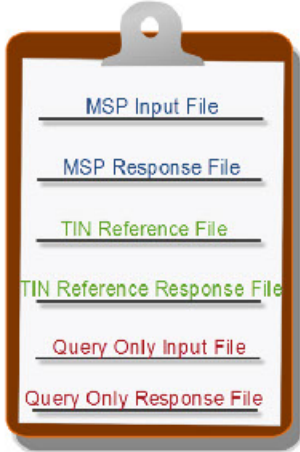
**Slide notes**

This is the data set transmitted from the BCRC to the RRE after the information supplied in the RRE's TIN Reference File has been processed.

Slide 10 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
Query Only Input File	<ul style="list-style-type: none"><li>• From the RRE to the BCRC</li><li>• Request Medicare Part A entitlement and Parts B and C enrollment information of potential Medicare beneficiaries</li></ul>



The image shows a clipboard with a list of file types. The items on the clipboard are: MSP Input File (blue), MSP Response File (blue), TIN Reference File (green), TIN Reference Response File (green), Query Only Input File (red), and Query Only Response File (red).

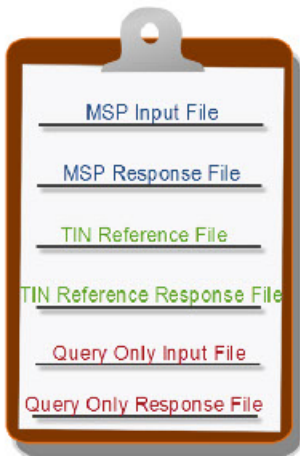
**Slide notes**

This is a query file used to obtain Medicare Part A entitlement and Parts B and C enrollment information of potential Medicare beneficiaries.

Slide 11 - of 18 - Basic Reporting Option Files

## Basic Reporting Option Files

File Type	Description
Query Only Response File	<ul style="list-style-type: none"><li>• From the BCRC to the RRE</li><li>• Return Parts A, B and C coverage information for individuals identified as Medicare beneficiaries</li><li>• As of July 2019, GHP RREs who report primary prescription drug coverage using the Basic reporting option will now receive Medicare Part D enrollment information on their response files.</li></ul>



**Slide notes**

After the BCRC has processed the Query Only Input File, it will return the Query Only Response File with Medicare Parts A, B, and C coverage information for individuals identified as Medicare beneficiaries.

GHP RREs who report primary prescription drug coverage using the Basic reporting option will now receive Medicare Part D enrollment information on their response files.

**Slide 12 - of 18 - Expanded Reporting**

## Expanded Reporting

- Includes:
  - MSP Input File
    - Primary medical, hospital and prescription drug coverage
  - TIN Reference File
  - TIN Reference Response File
  - Optional Query Only Input File
  - Non-MSP Input File
    - RDS and supplemental prescription drug coverage records
  - Corresponding response files
- The BCRC provides entitlement/enrollment information for Medicare Parts A, B, C and D.
- Must provide drug coverage on a regular basis

**Slide notes**

The Expanded Reporting option includes the MSP Input File for primary medical, hospital, and prescription drug coverage for Active Covered Individuals, the TIN Reference File, TIN Reference Response File, the optional Query Only Input File, and the Non-MSP Input File.

The Non-MSP Input File is used to report information regarding the prescription drug insurance coverage information of your Inactive Covered Individuals.

This File can also be used to query CMS about potential beneficiary Medicare Parts A, B, C, and D coverage.

Additionally, the Non-MSP Input File may be used as a way to submit retiree files to the Retiree Drug Subsidy (RDS) Center on behalf of Plan Sponsors claiming the Retiree Drug Subsidy. The Expanded Reporting Option will also include corresponding response files.

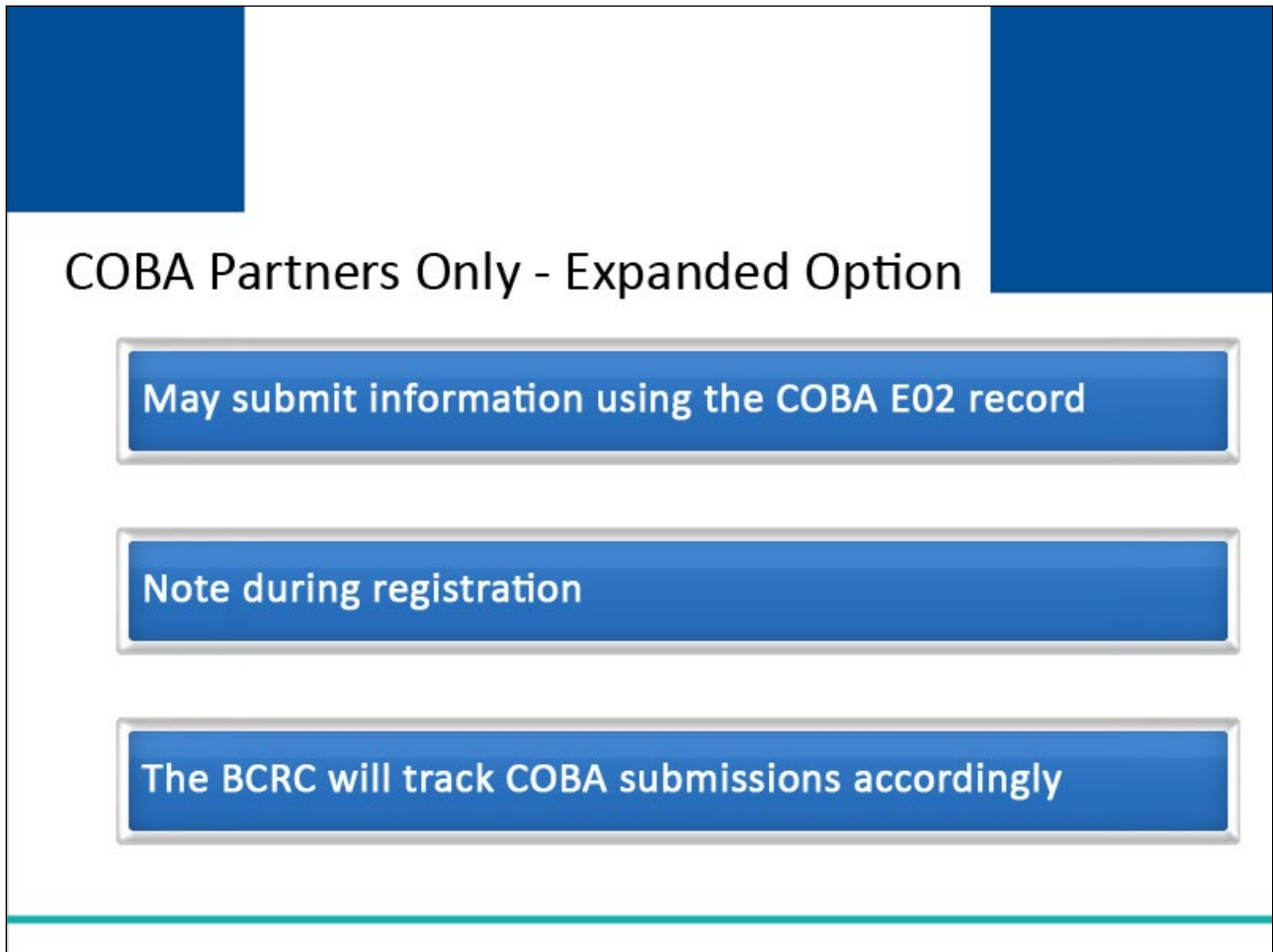
The BCRC will provide entitlement/enrollment information for Medicare Parts A, B, C, and D with this option.

If you choose the Expanded Reporting Option, you must provide CMS with information about drug coverage for Medicare beneficiaries on a regular basis in the form of primary drug coverage on the MSP Input File, supplemental drug coverage records, or RDS retiree file records on the Non-MSP Input File.

To ensure that a drug record is not created with a missing or invalid insurer name when an RDS record is received through a VDSA, a valid insurer name is now required when the submitted Action Type is “S”

Additionally, Effective July 2023, for Section 111, GHP RREs that offer primary prescription drug coverage and opt-in to receive unsolicited alerts from the S111 portal will now receive information for drug coverage types U, V, W, X, Y, Z, 4, 5, or 6 in the Unsolicited MSP Response File Detail Record.

Slide 13 - of 18 - COBA Partners Only - Expanded Option



The slide features a white background with two blue rectangular accents in the top corners. The main title is centered at the top. Below it are three blue callout boxes with white text, each with a thin grey border and a slight drop shadow. A thin teal horizontal line is at the bottom of the slide area.

## COBA Partners Only - Expanded Option

May submit information using the COBA E02 record

Note during registration

The BCRC will track COBA submissions accordingly

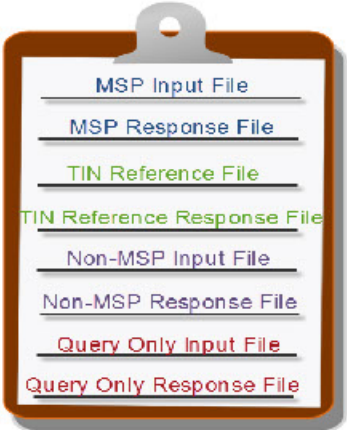
**Slide notes**

If you currently maintain a Coordination of Benefits Agreement (COBA) with CMS for the purposes of crossing over claims paid by Medicare for secondary payment by your plan, then you may submit supplemental prescription drug information using the COBA E02 records and still remain compliant with the requirements of the Section 111 Expanded Reporting Option.

If you choose this reporting option, please note this during the Section 111 registration process. The BCRC will track your COBA submissions accordingly.

Slide 14 - of 18 - Expanded Reporting Option Files

## Expanded Reporting Option Files

File Type	Description
<p style="text-align: center; font-weight: bold;">Non-MSP Input File</p> 	<ul style="list-style-type: none"> <li>• From the RRE to the BCRC</li>   <li>• Report information regarding the drug insurance coverage information of Inactive Covered Individuals</li> </ul>

**Slide notes**

The following section explains the Expanded Reporting Option in further detail. In addition to the MSP Input File, MSP Response File, TIN Reference File,

TIN Reference Response File, Query Only Input File and Query Only Response File included in the Basic Reporting Option, the Expanded Reporting Option includes the Non-MSP Input File and Non-MSP Response File.

The exact file formats and record layouts are provided in the appendices of the GHP User Guide.

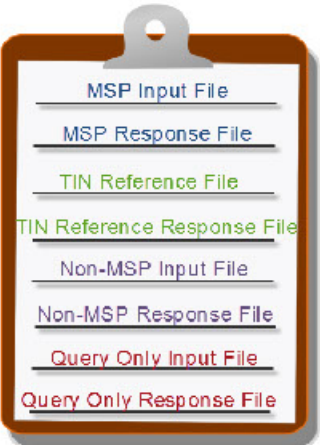
**Non-MSP Input File:** This is the data set transmitted from a Responsible Reporting Entity to the BCRC that is used to report information regarding the drug insurance coverage information of Inactive (e.g. not employed, retired) Covered Individuals.



Slide 15 - of 18 - Expanded Reporting Option Files

## Expanded Reporting Option Files

File Type	Description
Non-MSP Response File	<ul style="list-style-type: none"><li>• From the BCRC to the RRE</li><li>• Information processed regarding Inactive Covered Individuals</li></ul>

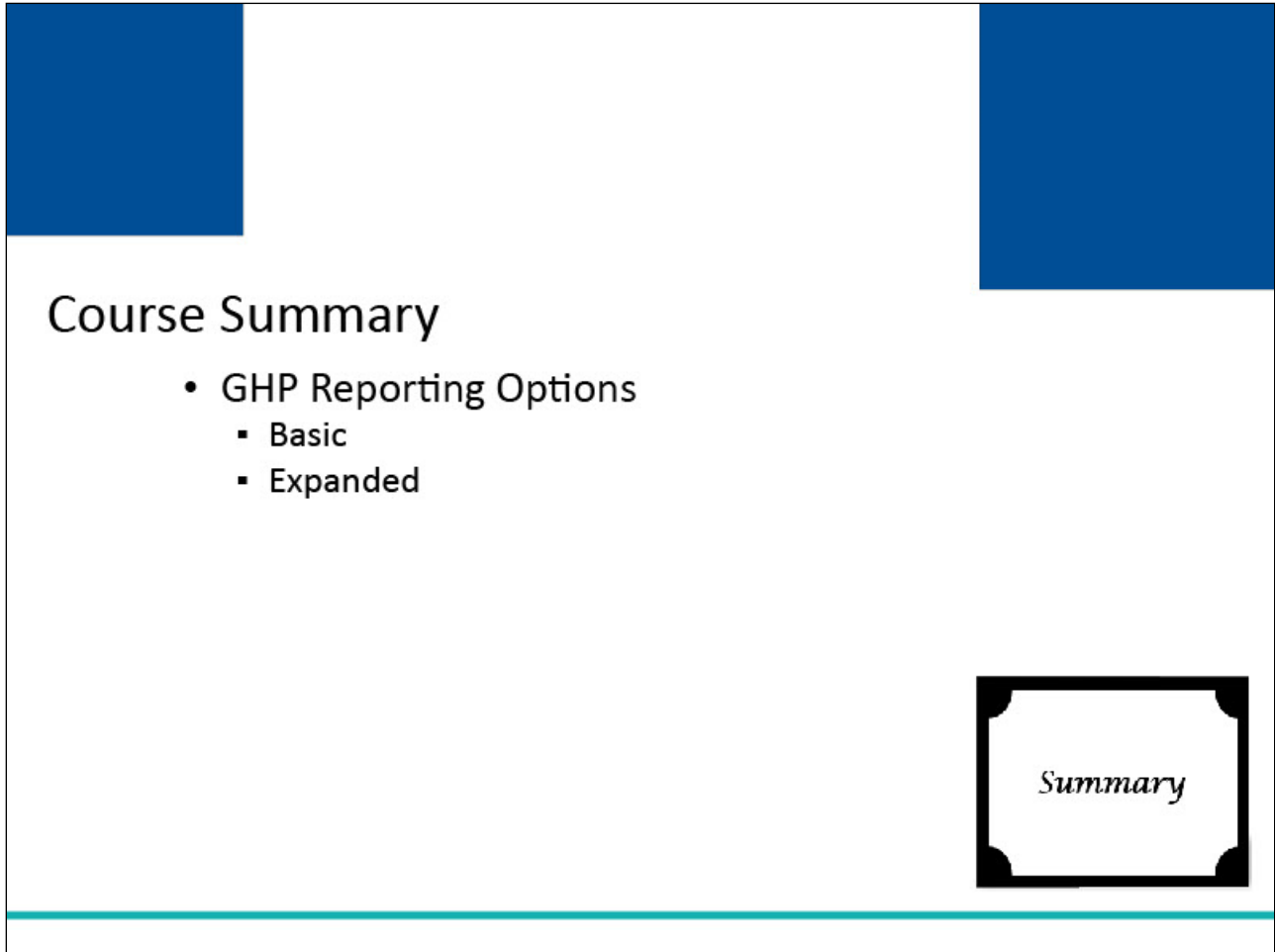


The clipboard icon displays a list of file types with the following text: MSP Input File, MSP Response File, TIN Reference File, TIN Reference Response File, Non-MSP Input File, Non-MSP Response File, Query Only Input File, and Query Only Response File.

**Slide notes**


This is the dataset transmitted from the BCRC to the Responsible Reporting Entity after the information supplied in the Non-MSP Input File has been processed.

Slide 16 - of 18 - Course Summary



## Course Summary



- GHP Reporting Options
  - Basic
  - Expanded



*Summary*

**Slide notes**

This learning module explains the data reporting options, Basic and Expanded, which are available to Responsible Reporting Entities to assist them in complying with Section 111. It includes file types and descriptions required for each reporting option.

**Slide 17 - of 18 - Conclusion**

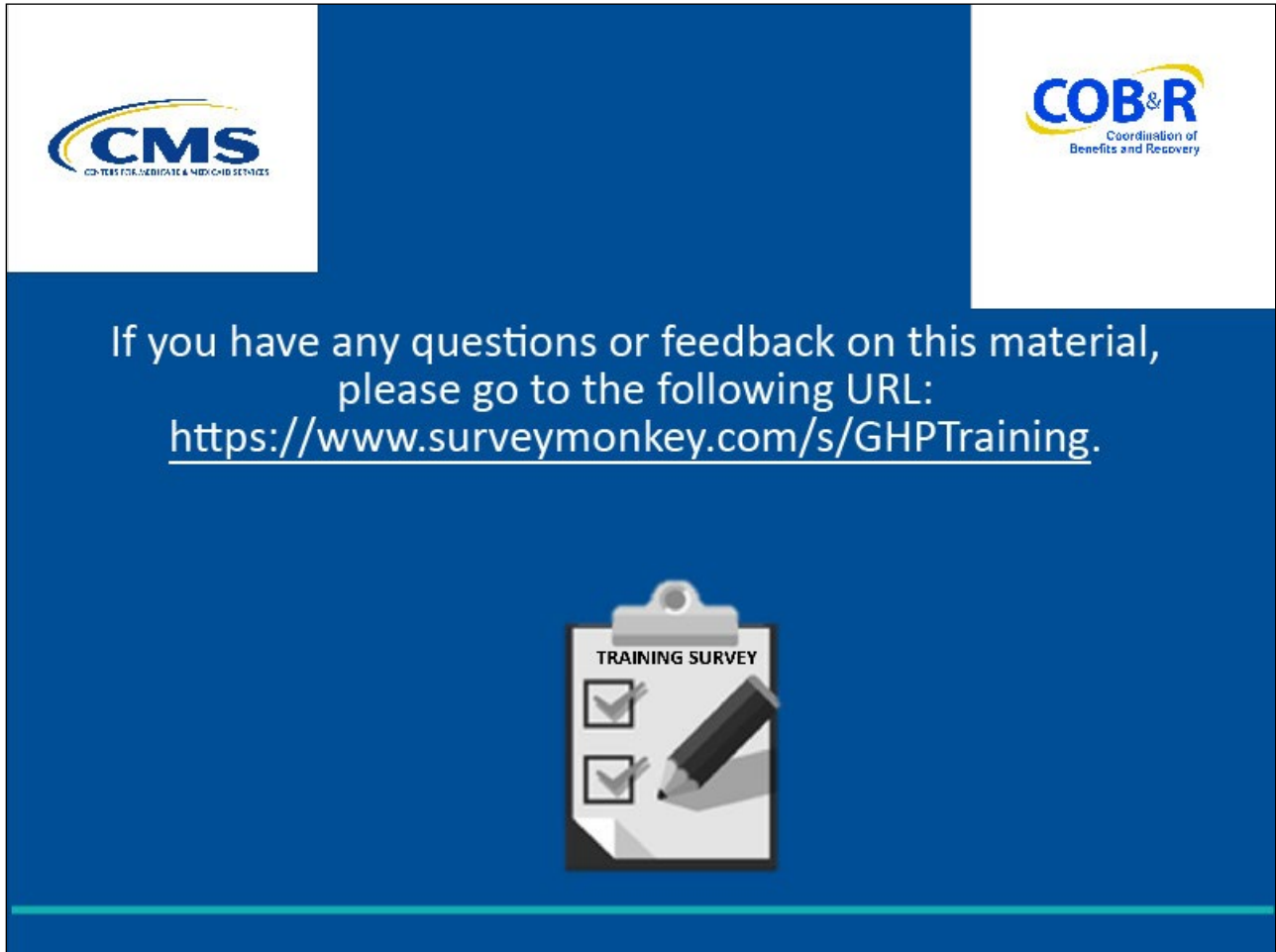
You have completed the GHP Process Reporting Options course. Information in this course can be referenced by using the GHP User Guide's table of contents and any subsequent alerts. These documents are available for download at the following link:  
<https://go.cms.gov/mirghp>.

**Slide notes**

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Slide 18 - of 18 - Survey



The slide features a dark blue background. In the top left corner is the CMS logo (Centers for Medicare & Medicaid Services). In the top right corner is the COB&R logo (Coordination of Benefits and Recovery). The central text reads: "If you have any questions or feedback on this material, please go to the following URL: <https://www.surveymonkey.com/s/GHPTraining>." Below the text is an icon of a clipboard with a checklist titled "TRAINING SURVEY" and a pencil.

Slide notes

If you have any questions or feedback on this material, please go to the following URL: [Training Survey](#).