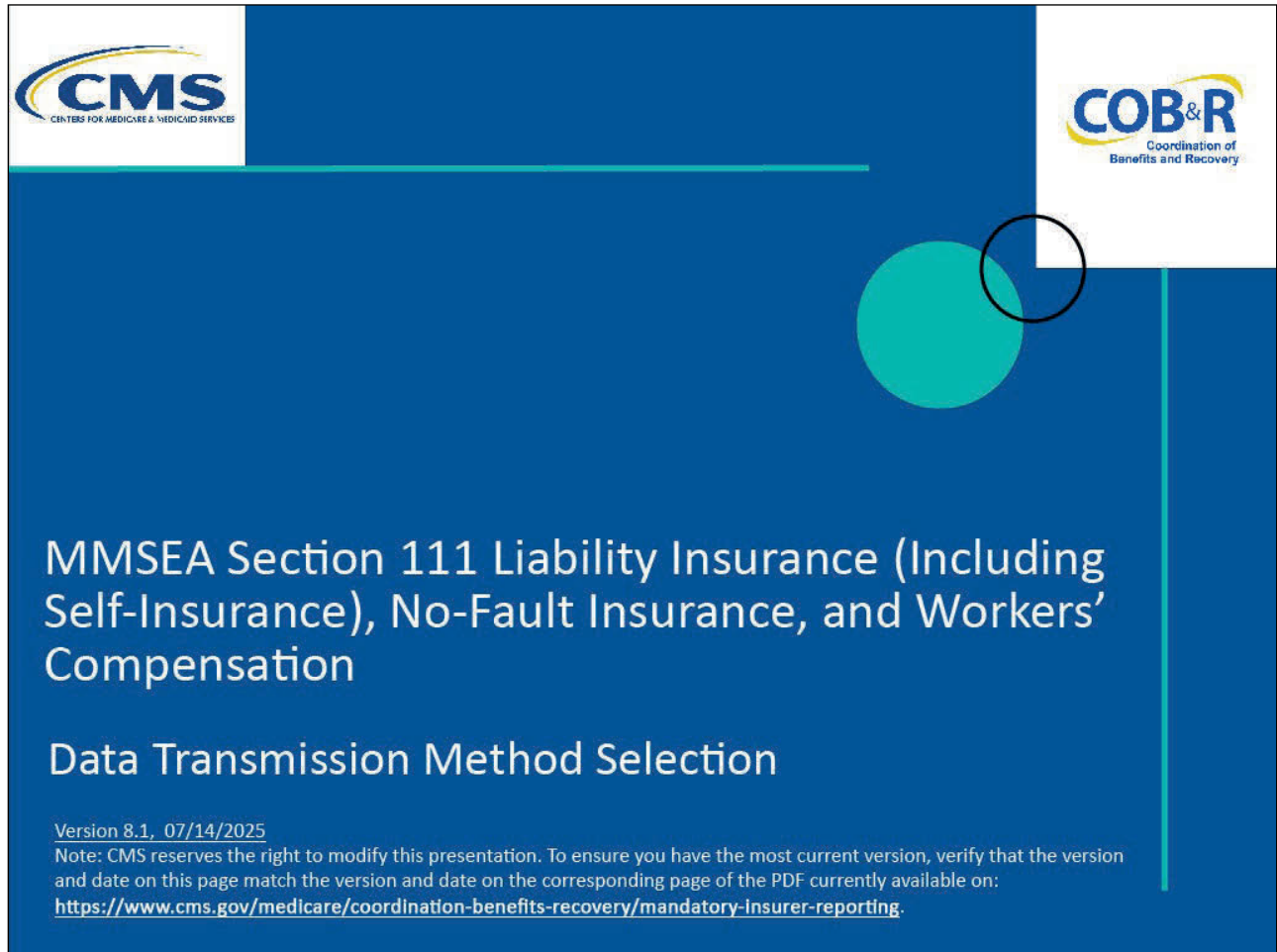


Data Transmission Method Selection

Slide 1 of 19 - Data Transmission Method Selection



CMS
CENTERS FOR MEDICARE & MEDICAID SERVICES

COB&R
Coordination of
Benefits and Recovery

MMSEA Section 111 Liability Insurance (Including Self-Insurance), No-Fault Insurance, and Workers' Compensation

Data Transmission Method Selection

Version 8.1, 07/14/2025

Note: CMS reserves the right to modify this presentation. To ensure you have the most current version, verify that the version and date on this page match the version and date on the corresponding page of the PDF currently available on:
<https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting>.

Slide notes

Welcome to the Data Transmission Method Selection course.

Note: This module only applies to Responsible Reporting Entities (RREs) that will be submitting Section 111 claim information via an electronic file submission as well as those RREs that will be submitting this information via Direct Data Entry (DDE).

Slide 2 of 19 - Disclaimer

Disclaimer

While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare & Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found under the *Reference Materials* menu at the following link:
<https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting>.

Slide notes

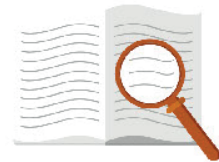
While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare & Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation.

All affected entities are responsible for following the instructions found at the following link:
[Mandatory Insurer Reporting \(NGHP\) | CMS](#).

Slide 3 of 19 - Course Overview

Course Overview

- Data Transmission Methods
- Items to consider when making your selection
- Profile Report
- How to change your data transmission method

**Slide notes**

This course will provide a high-level overview of the data transmission methods available for Section 111, and items to consider when making your selection. It reviews the profile report and explains how to change your data transmission method after registration.

For more in-depth information on a specific file transmission method, please view the course related to the specific data transmission method in which you are interested.

NOTE: Liability insurance (including self-insurance), no-fault insurance and Workers' Compensation are sometimes collectively referred to as "non-group health plan" or "NGHP." The term NGHP will be used in this CBT for ease of reference.

Slide 4 of 13 - PAID Act

PAID Act

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past 3 years.

This information will be provided both online and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.

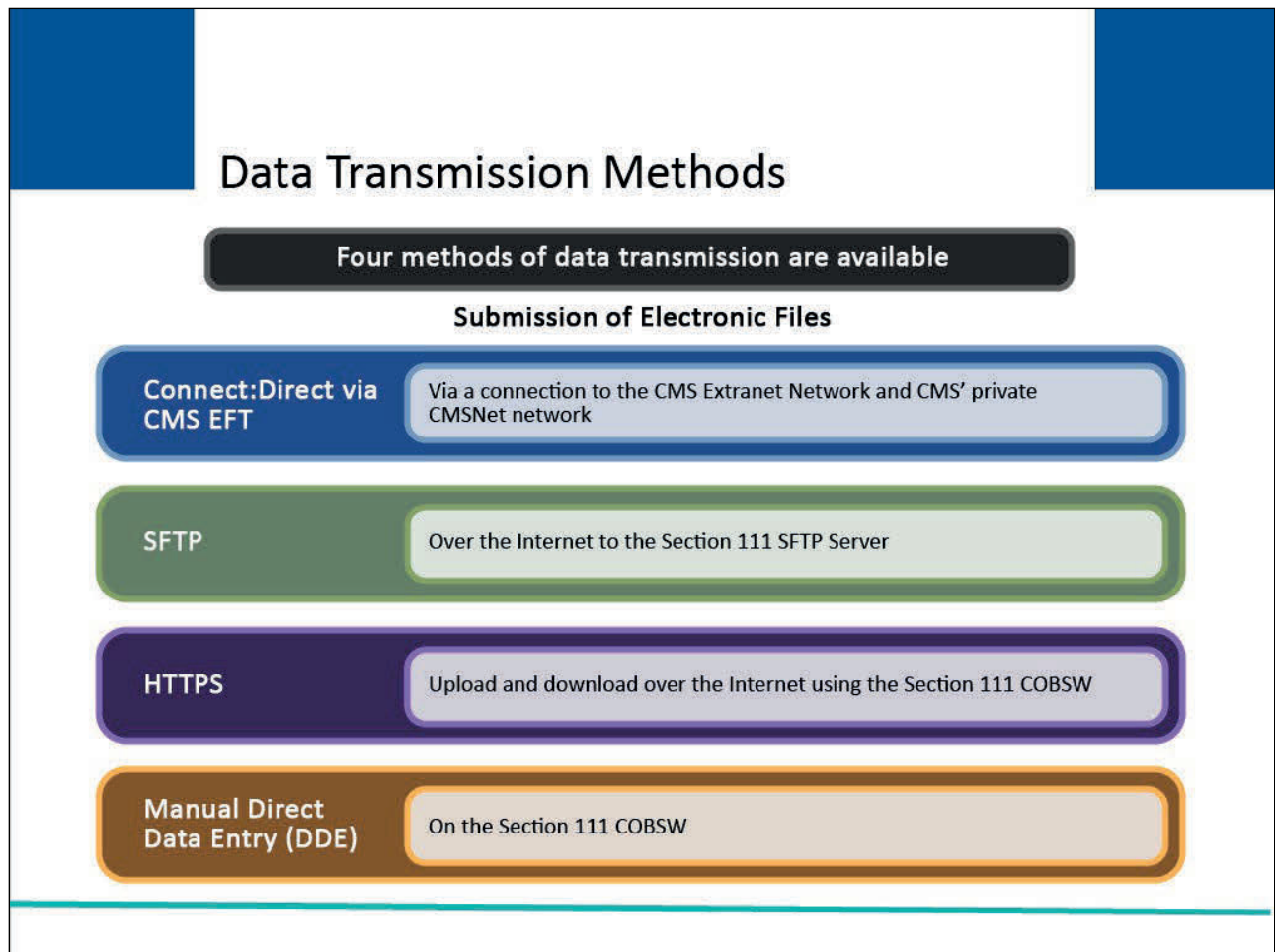
**Slide notes**

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past three years.

This information will be provided both online and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.

Note: To support the PAID Act, the Query Response File will be updated to include Contract Number, Contract Name, Plan Number, Coordination of Benefits (COB) Address, and Entitlement Dates for the last three years (up to 12 instances) of Part C and Part D coverage. The updates will also include the most recent Part A and Part B entitlement dates.

Slide 5 of 19 - Data Transmission Methods

**Slide notes**

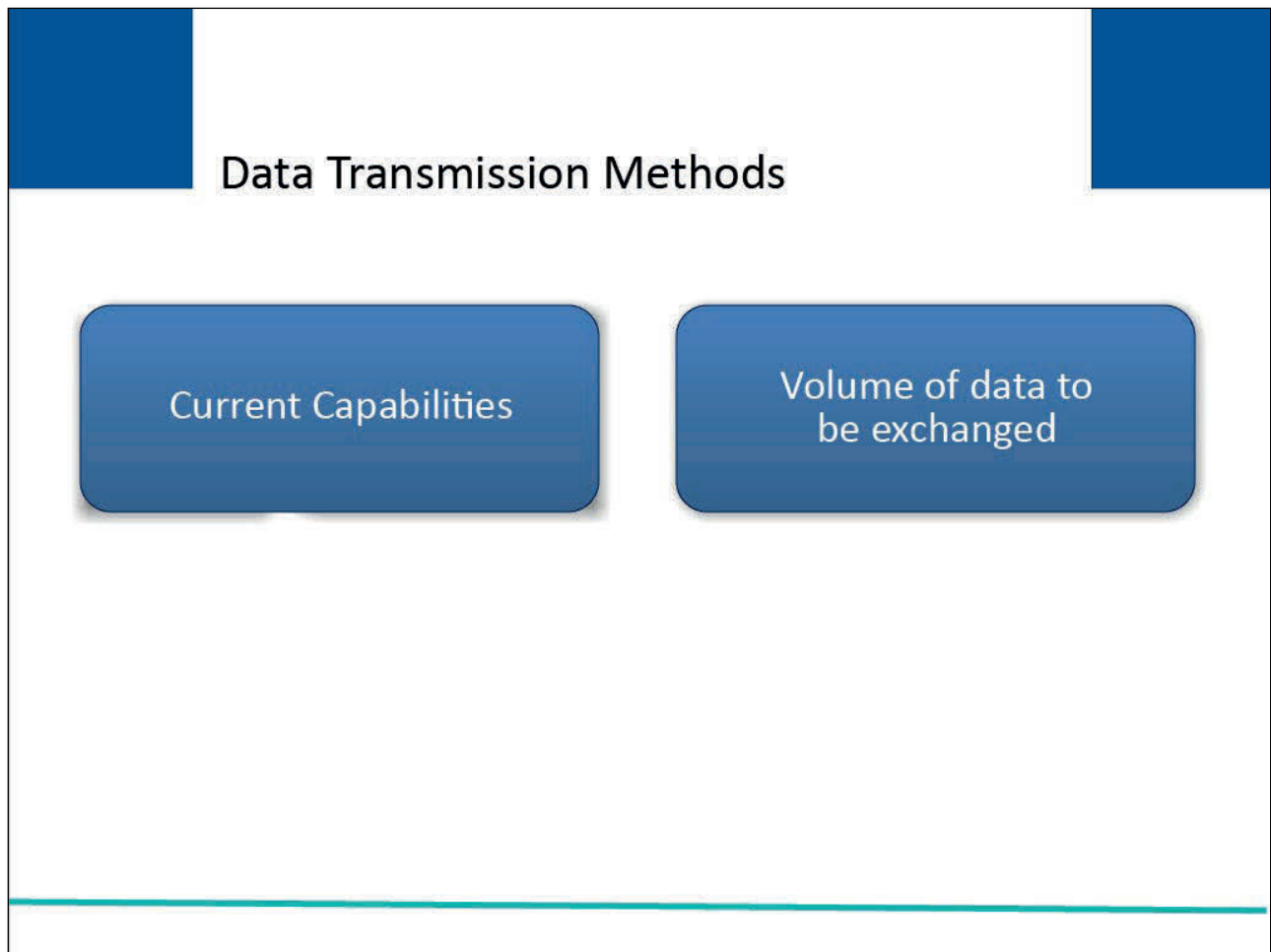
There are four separate methods of data transmission that RREs may utilize.

Three involve the submission of electronic files:

- Connect:Direct via CMS EFT [formerly known as Network Data Mover (NDM)] via a connection to the CMS Extranet Network and CMS' private CMSNet network hosted by Verizon Business Network Services,
- Secure File Transfer Protocol (SFTP) over the Internet to the Section 111 SFTP Server, and
- Hypertext Transfer Protocol over Secure Socket Layer (HTTPS) file upload and download over the Internet using the Section 111 Coordination of Benefits Secure Website (COBSW).

The fourth method is a manual direct data entry (DDE) process on the Section 111 COBSW.

Slide 6 of 19 - Data Transmission Methods



Slide notes

Your choice of data transmission methods is dependent on your current capabilities and the volume of data to be exchanged.

Slide 7 of 19 - Data Transmission Methods

Data Transmission Methods

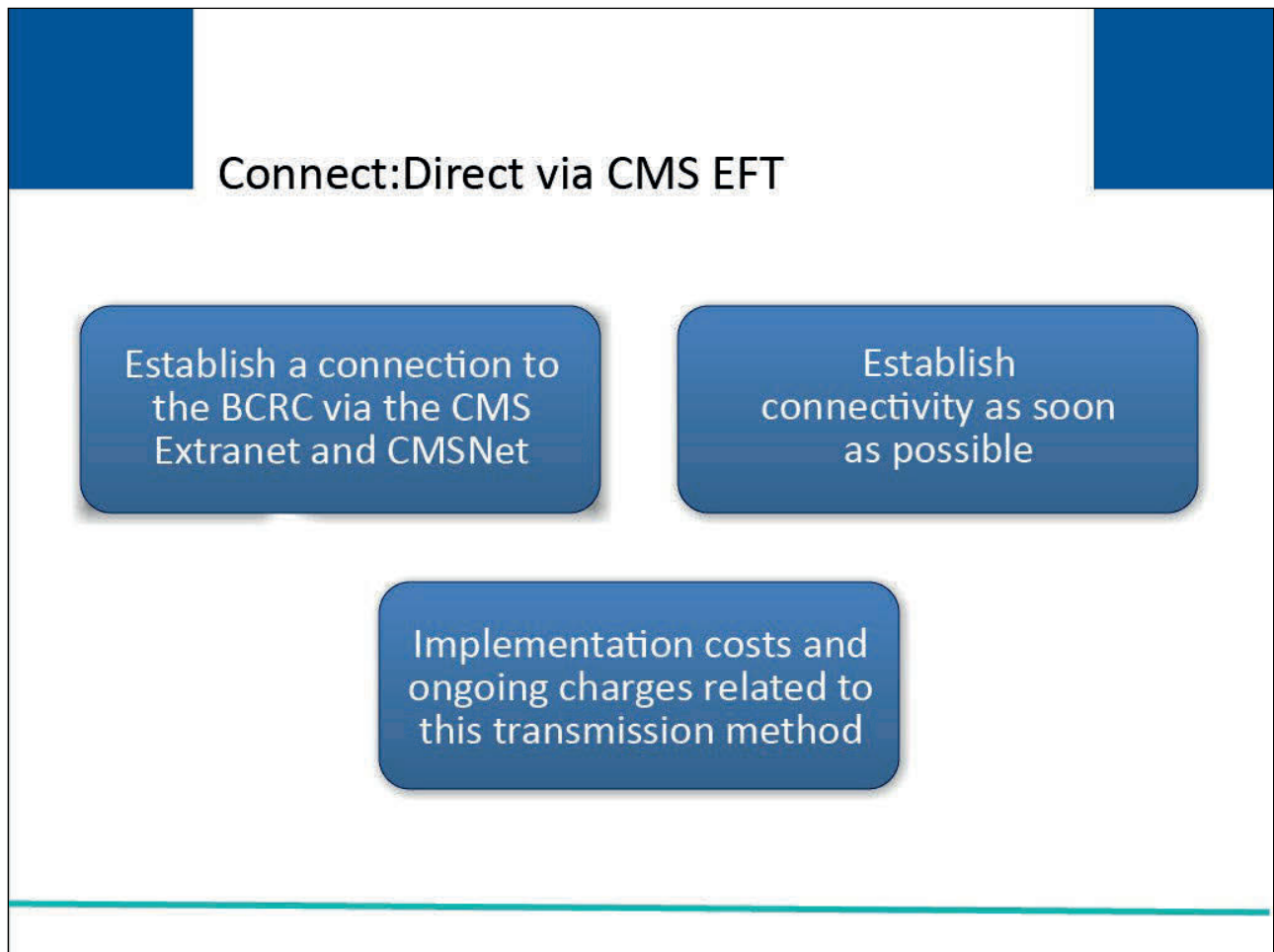
- Large files (i.e., 24,000 records or more)
 - May select Connect:Direct or SFTP
- Small Files (i.e., 24,000 records or less)
 - May select HTTPS
- 500 or fewer NGHP claim reports per year
 - May select DDE

Slide notes

If you expect to be transmitting files with more than 24,000 records in one file submission on a regular basis, it is suggested that you use either the Connect:Direct via CMS EFT or SFTP methods.

If you expect to be transmitting files with less than 24,000 records in one file submission on a regular basis, you may select HTTPS.

If you expect to submit 500 or fewer NGHP claim reports per year, you may select DDE.

Slide 8 of 19 - Connect:Direct via CMS EFT**Slide notes**

In order to begin the data exchange process with the Benefits Coordination & Recovery Center (BCRC) using Connect:Direct via CMS EFT, RREs or their agents must establish a connection to the BCRC via the CMS Extranet and CMSNet.

You must contact your Electronic Data Interchange (EDI) Representative for assistance in establishing this connectivity. You are encouraged to do this as soon as possible since this setup can take a significant amount of time.

There are implementation costs and ongoing charges related to this transmission method. You are responsible for any associated costs related to the data exchange protocol you choose.

Slide 9 of 19 - SFTP

SFTP

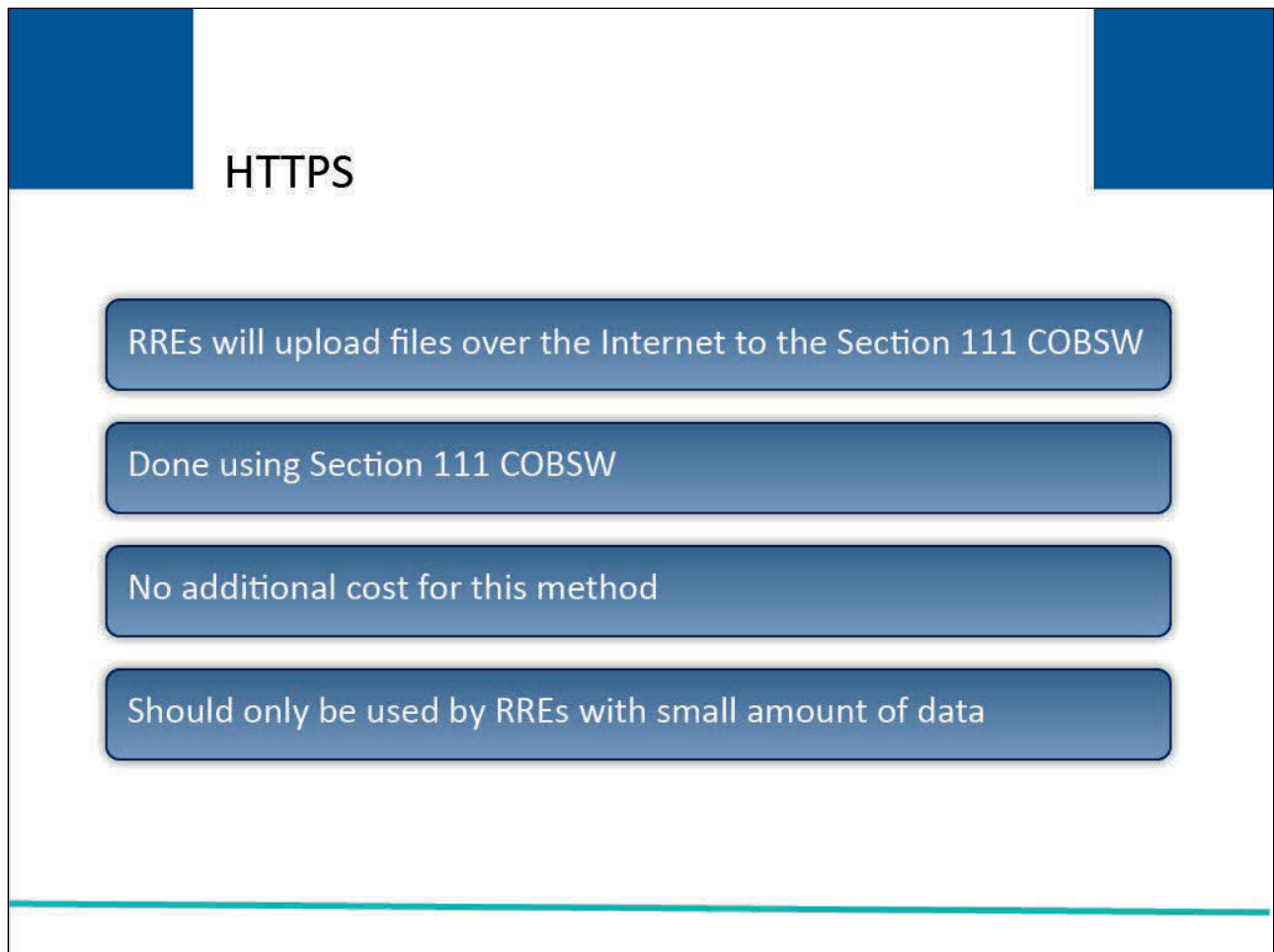
- Standards-based protocol that encrypts both commands and data
- Files are uploaded and downloaded to the Section 111 SFTP server maintained by the BCRC
- Requires purchase of SFTP client software, or development of other software which are SSH v2 capable
- Transmit files using Section 111 COBSW directories (mailboxes)
 - Separate directories are setup for each RRE ID

Slide notes

SFTP is a standards-based protocol that encrypts both commands and data in order to secure data that is transmitted over the Internet. Files are uploaded and downloaded to the Section 111 SFTP server maintained by the BCRC.

SFTP requires either purchase of SFTP client software or development of other software (e.g., command line interface) which are SSH v2 capable.

RREs who select the SFTP method will transmit files over the Internet to and from the BCRC using directories (mailboxes) created on the Section 111 SFTP server. Separate directories are setup for each 9-digit RRE ID.

Slide 10 of 19 - HTTPSThe slide features a white background with a blue header bar at the top. The word "HTTPS" is centered in the header. Below the header, there are four blue rounded rectangular boxes stacked vertically, each containing a line of text. A thin blue horizontal line is at the bottom of the slide content area.

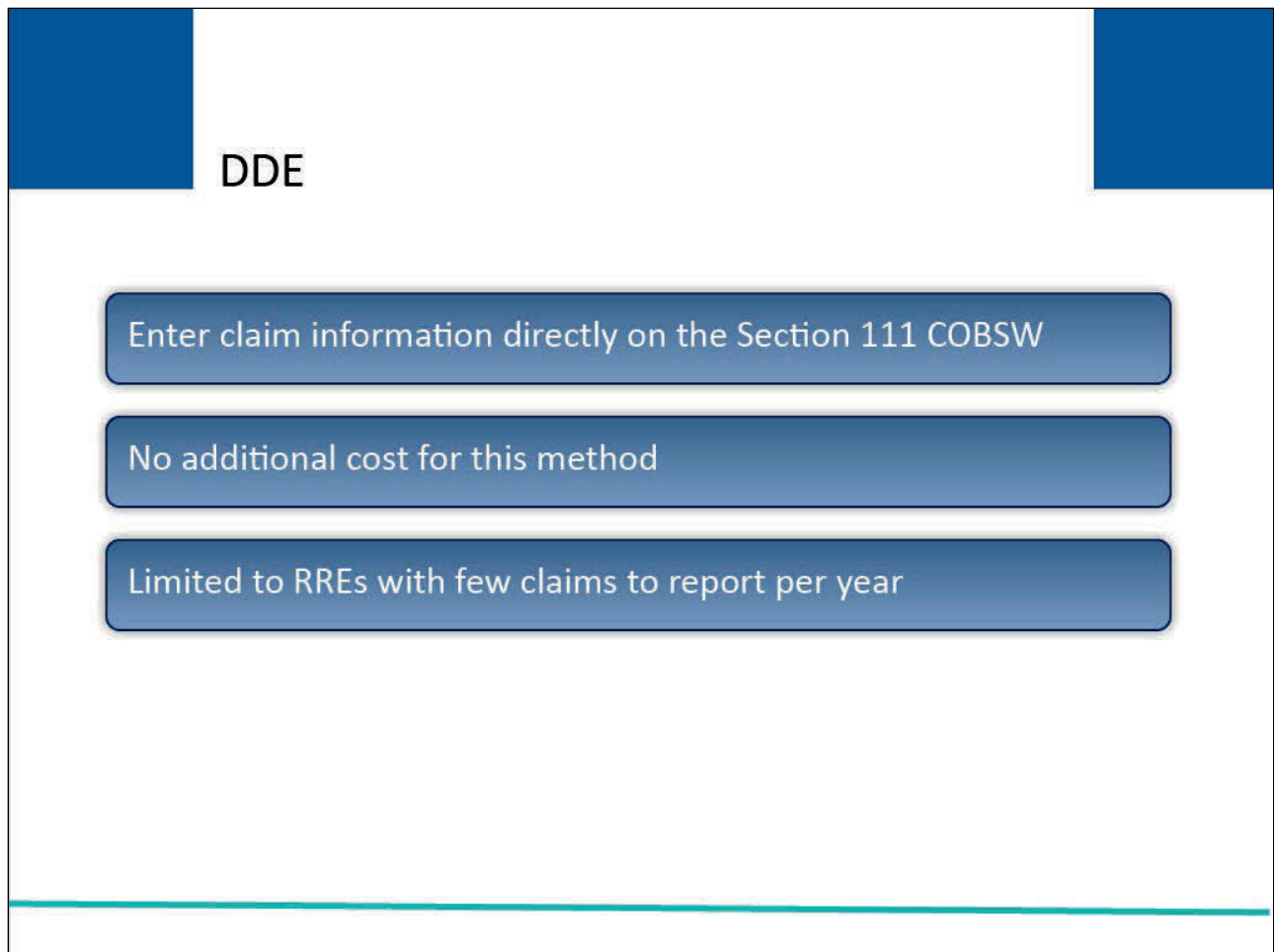
HTTPS

- RREs will upload files over the Internet to the Section 111 COBSW
- Done using Section 111 COBSW
- No additional cost for this method
- Should only be used by RREs with small amount of data

Slide notes

RREs who choose to transmit files using HTTPS, will upload their files over the Internet to the Section 111 COBSW. This is done using the Section 111 COBSW application interface.

There is no additional cost associated with using this method as long as a standard Internet browser is used. However, because this method requires a user to stay logged in to the Section 111 COBSW with an active session for the entire upload/download session, use of HTTPS is only recommended for entities with a relatively small amount of data to submit (i.e., less than 24,000 records on a regular basis).

Slide 11 of 19 - DDE

The slide features a white background with a blue header bar at the top. The title 'DDE' is centered in the header. Below the header, there are three blue rounded rectangular boxes stacked vertically, each containing a line of text. A thin blue horizontal line is positioned near the bottom of the slide content area.

DDE

- Enter claim information directly on the Section 111 COBSW
- No additional cost for this method
- Limited to RREs with few claims to report per year

Slide notes

RREs that choose to transmit files using DDE, will enter claim information directly on the Section 111 COBSW instead of transmitting an electronic file.

There is no additional cost associated with using this method as long as a standard Internet browser is used. DDE is limited to RREs with few claims to report per year.

Slide 12 of 19 - HTTPS and DDE

HTTPS and DDE

- Login to the Section 111 COBSW and use the application interface to
 - Upload and download files (HTTPS submitters)
 - Submit claim information (DDE submitters)

**Slide notes**

Section 111 COBSW users associated with the RRE's account will login to the [Section 111 COBSW](#) and use the application interface to upload and download files (HTTPS submitters) or submit claim information (DDE submitters).

Slide 13 of 19 - Data Transmission Method Selection

Data Transmission Method Selection	
Connect:Direct via CMS EFT	<ul style="list-style-type: none">• RREs with technical capabilities to work with CMSNet• Would like to automate the process• Transmit large files
SFTP	<ul style="list-style-type: none">• RREs who have their own SFTP software, or are willing to create their own software, or are willing to use SSH v2 capable software• Would like to automate the process• Transmit large files
HTTPS	<ul style="list-style-type: none">• Easiest to use• Transmit smaller files• Someone will physically login to perform upload/download
DDE	<ul style="list-style-type: none">• Only available for RREs that expect to submit 500 or fewer claim reports per year• Requires manual entry of claim information into Section 111 COBSW

Slide notes

When making the decision on which electronic data transmission method you should choose, here are a few things to consider:

Connect:Direct via CMS EFT is ideal for those RREs who have the technical capabilities to work with a CMSNet connection and are willing to pay to support that connection.

This is a good option for those RREs who would like to automate the upload/download process and will be transmitting large files (over 24,000 records) on a regular basis.

SFTP is ideal for those RREs who have a working knowledge of SFTP; either have their own SFTP software, are willing to create their own software; or are willing to obtain and use a software that is SSH v2 capable.

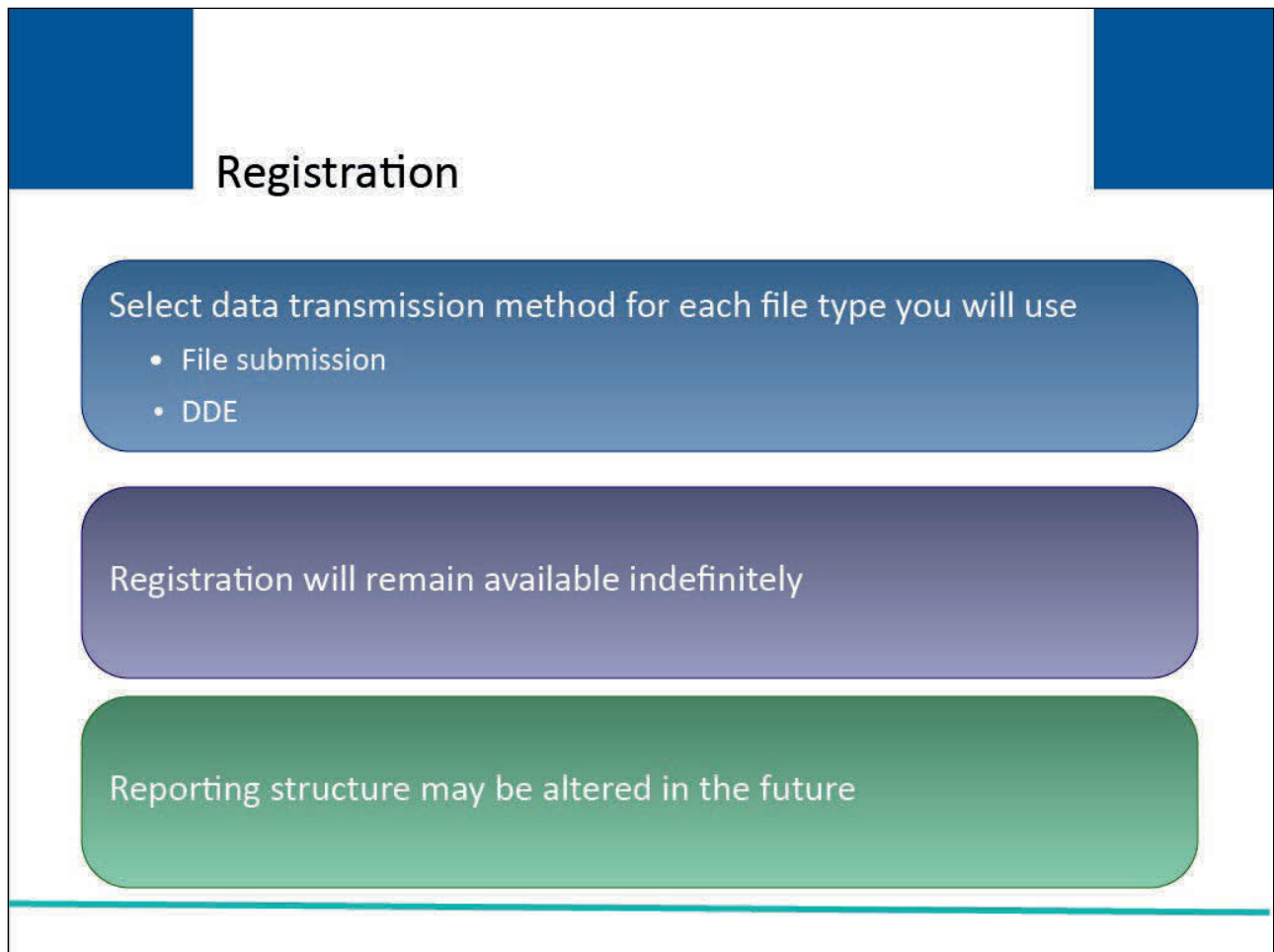
This is a good choice for those RREs who would like to automate the upload/download process and will be transmitting large files (over 24,000 records) on a regular basis.

HTTPS is ideal for the novice computer user because this is the easiest file transmission method to use.

This methodology is ideal for those RREs who will not be sending big files on a regular basis and are willing to have someone login to the Section 111 COBSW to upload/download files and monitor the progress during the file transmission.

DDE is only available for those RREs that expect to submit 500 or fewer claim reports per year.

For this option, someone will have to login to the Section 111 COBSW and manually enter the claim information.

Slide 14 of 19 - RegistrationThe slide features a white background with a blue header bar at the top. The title 'Registration' is centered in the header. Below the header, there are three rounded rectangular boxes stacked vertically. The first box is blue and contains the text 'Select data transmission method for each file type you will use' followed by a bulleted list with 'File submission' and 'DDE'. The second box is purple and contains the text 'Registration will remain available indefinitely'. The third box is green and contains the text 'Reporting structure may be altered in the future'.

Registration

Select data transmission method for each file type you will use

- File submission
- DDE

Registration will remain available indefinitely

Reporting structure may be altered in the future

Slide notes

As part of your registration on the Section 111 COBSW, you will select the data transmission method you will use (i.e., file submission or DDE). If you choose file submission to transmit your claim information, you will select the file transmission method for each file type you will use (i.e., Claim Input or Query Input). Please note, for DDE, this step is not applicable.

The registration process will remain available indefinitely for existing and new RREs. You may alter your reporting structure subsequently if needed.

You may request one or more additional RRE IDs in the future if changes in your business operations require changes in your data reporting requirements.

If you register and obtain an RRE ID that you later determine you will not need or no longer use, contact your EDI Representative to have it deactivated.

Because file types have been restricted for uploads, RREs using the HTTPS file transmission method can only upload files with the file extension of .txt. Any other file type will generate an Invalid File error message.

Slide 15 of 19 - Data Transmission Selection

Data Transmission Selection - Profile Report

Section 111 Mandatory Reporting
Profile Report

Reported ID: ***** Date: 02/02/2011
Profile Change: 12/06/2009

LIA Representative: FIRST LAST
Email: EMAIL@EXAMPLE.COM Phone: (xxx) xxx-xxxx

Company Information:

TIR: *****

Name: ***** Phone: (xxx) xxx-xxxx
Address: *****

Registration Type: Non-DRP
Live Business: ☒ R: ☒ Drug ☒ Medical ☒ Hospital

Authorized Representative:

Name: FIRST LAST Phone: (xxx) xxx-xxxx
Title: Account Manager
Address: *****

Email: EMAIL@EXAMPLE.COM
Account Manager/Technical Contact

Name: FIRST LAST Phone: (xxx) xxx-xxxx
Title: Account Manager
Address: *****

Email: EMAIL@EXAMPLE.COM
Submission Agent (if applicable)

Comments:
Name:
Address:

- Profile report includes:
 - Registration and account setup summary information
 - Data file transmission information
 - RRE ID (used on all Section 111 files)
 - EDI Representative contact information
 - Assigned production live date and ongoing quarterly file submission timeframes

Slide notes

Once the information you supplied during the New Registration and Account Setup (NGHP) on the Section 111 COBSW has been processed by the BCRC, your Authorized Representative will receive a profile report via email.

A sample section of a profile report is illustrated on the slide. Your profile report will include:

- registration and account setup summary information;
- data file transmission information;
- RRE ID (which is to be included on all files transmitted to the BCRC);
- EDI Representative contact information; and,
- for those RREs that selected a file submission method, the profile report will also include the RRE assigned production live date and ongoing quarterly file submission timeframe for the Claim Input File.

Slide 16 of 19 - Changing RRE Information

Changing RRE Information

- RREs set up with a file transmission method and originally indicated that they had fewer than 500 annual expected claims
 - May change to DDE
 - RRE's Account Manager can make this change on the Section 111 COBSW
- All other changes to an RRE's file submission must be requested through their EDI Representative
- Please see the *Switching to or from DDE CBT*

Slide notes

RREs that are set up with a file transmission method and originally indicated during their Account Setup that they had fewer than 500 annual expected claims, may change from their current file transmission format to DDE.

Note: DDE RREs accessing certain pages in the COBSW Section 111 will not see the "Transaction Remaining" field as the lookup is not limited for those RREs.

The RRE's Account Manager can make this change on the Section 111 COBSW without EDI Representative assistance. All other changes to an RRE's file submission method must be requested through their EDI Representative.

For more information on how to change your file submission to DDE, please see the [Switching To or From DDE](#) CBT.



Slide 17 of 19 - Course Summary

Course Summary

- Data Transmission Methods
- Items to consider when making your selection
- Profile Report
- How to change your data transmission method

**Slide notes**

This course provided a high-level overview of the data transmission methods available for Section 111, and items to consider when making your selection. It reviewed the profile report and explained how to change your data transmission method after registration.

Slide 18 of 19 - Conclusion



You have completed the Data Transmission Method Selection course. Information in this presentation can be referenced by using the NGHP User Guide's table of contents and any subsequent alerts. This document is available for download at the following link:
[https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting.](https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting)

Slide notes

You have completed the Data Transmission Method Selection course. Information in this presentation can be referenced by using the NGHP User Guide's table of contents.

This document is available for download at the following link: [Mandatory Insurer Reporting \(NGHP\) | CMS.](#)

Slide 19 of 19 - NGHP Training Survey



If you have any questions or feedback on this material,
please go to the following URL:
<https://www.surveymonkey.com/s/NGHPTraining>.

Slide notes

If you have any questions or feedback on this material, please go to the following URL: [NGHP Training Survey](https://www.surveymonkey.com/s/NGHPTraining).