

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



CENTER FOR MEDICARE

TO: All Part D Plan Sponsors

FROM: Cynthia Tudor, Ph.D., Director, Medicare Drug Benefit and C & D Data Group

RE: CY 2013 Formulary Submission Information

DATE: March 9, 2012

The following information is provided to assist Part D sponsors with the submission of CY 2013 formularies.

CY 2013 Formulary Training Webinar

This training includes a field by field review of the CY 2013 formulary file record layout, the Health Plan Management System (HPMS) formulary submission module, and key points relating to the CMS formulary review process. It also includes layouts and detailed instructions for submitting supplemental formulary files. Highlights of changes to the formulary submission and review process include:

- Changes in prior authorization (PA) and step therapy (ST) record file layouts.
- Changes in the submission of the formulary tier information.
- Changes to transition policy attestations.
- Addition of pharmacy & therapeutics committee attestations.
- Changes in the supplemental file submissions.
- Dates relating to the CY 2013 formulary submission process.

The CY 2013 Formulary Self-Paced On-line Training, an eLearning product, will be available online beginning March 19, 2012 at the following link:

https://webinar.cms.hhs.gov/e39303730/event/event_info.html.

The CY 2013 Formulary Self-Paced On-line Training will be presented in the same format utilized for the CY 2012 Formulary Training. You will need Adobe Flash Player ® on your computer. The program is best viewed with the 'Display Properties' on your computer set to a 'Screen Resolution of 1280 by 1024 pixels'. The above link redirects you to a site that will load the presentation onto your screen; the audio and video will begin automatically.

The program requires that the content be fully loaded before the lesson will start to play. Please do not press any keys but wait until the file starts to play. Should the program not load properly, close your browser and try again. Once the program begins to play, you will notice control buttons at the bottom of your screen which will allow you to control the presentation and view it at your own pace.

A handbook which includes a hard copy of the entire online training presentation is available for viewing and printing from the following link: <https://webinar.cms.hhs.gov/p66366436/> You may use it to make notes while viewing the presentation. This handbook is a job aid and may also be used to assist you during the HPMS submission process.

Should you experience technical difficulties with the webinar, please contact Martha Edmonds at 410-786-3183.

Formulary Submission Training Question and Answer User Call

Questions pertaining to the CY 2013 Formulary Training Webinar should be emailed to PartDformularies@cms.hhs.gov by March 27, 2012. Please include in the subject line "Questions for the CY 2013 Formulary and Supplemental File Training." The April 4, 2012 Part C & D User Call will be dedicated to answering submitted questions, as well as a live question and answer session, if time permits. Dial-in information and materials will be distributed by CMS' Part C & D User Call contractor, Management Solutions Consulting Group (MSCG), prior to the call. If you are not already registered with MSCG, register at <http://mscginc.com/registration>. A valid CMS contract number is required.

Formulary Reference File

The CY 2013 Formulary Reference File will be posted in the CY 2012 HPMS formulary submission module on or about March 15, 2012 and in the CY 2013 formulary submission module upon its release.

Technical Manual

The CY 2013 HPMS Formulary Submission Module & Reports Technical User Manual will be posted on the In the News Section of the HPMS Homepage on or about March 19, 2012.

Compliance Officer Training

The April 18, 2012 Part C & D User Call will be dedicated to reviewing the role and responsibility of Medicare compliance officers in ensuring compliance with CMS Part D formulary and benefits requirements. Similar to last year's training CMS will highlight specific areas of compliance officer oversight in addition to HPMS formulary and benefit reporting tools. Following the presentation there will be a brief question and answer period.

Calendar of Events

Date	Event	Additional Information
On or about March 15, 2012	Posting of CY 2013 Formulary Reference File	HPMS formulary submission module
Week of March 19, 2012	CY 2013 Formulary Training Webinar	Available online (see Memo for links)
On or about March 19, 2012	Posting of HPMS Formulary Submission Module & Reports Technical User Manual	In the News section of the HPMS Home page
March 26, 2012	CY 2013 initial formulary submission window opens	HPMS formulary submission module
March 27, 2012	Deadline for submission of questions pertaining to CY 2013 Formulary Training Webinar	Direct questions to the PartDFormularies@cms.hhs.gov mailbox. Include in the subject line "Questions for the CY 2013 Formulary and Supplemental File Training"
April 4, 2012	Question and Answer User Call following formulary training webinar	CMS Part C & D User Call Group. If not already registered, register at www.mscginc.com/registration . A valid CMS contract number is required.
April 16, 2012	CY 2013 initial formulary submission window closes 11:59 PM EDT	HPMS formulary submission module
April 18, 2012	Compliance Officer Training	CMS Part C & D User Call Group. If not already registered, register at www.mscginc.com/registration . A valid CMS contract number is required.
June 4 – June 8, 2012	Supplemental file submission window	HPMS formulary submission module