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LONG-TERM CARE HOSPITAL (LTCH) CONTINUITY ASSESSMENT RECORD & EVALUATION (CARE) DATA SET - Version 4.00 PATIENT ASSESSMENT FORM - PLANNED DISCHARGE

| Section A | Administrative Information |
|--|--|
| A0050. Type of Record | |
| Enter Code <div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | <ol style="list-style-type: none"> 1. Add new assessment/record 2. Modify existing record 3. Inactivate existing record |
| A0100. Facility Provider Numbers. Enter Code in boxes provided. | |
| | <p>A. National Provider Identifier (NPI):</p> <p>B. CMS Certification Number (CCN):</p> <p>C. State Medicaid Provider Number:</p> |
| A0200. Type of Provider | |
| Enter Code <div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | <ol style="list-style-type: none"> 3. Long-Term Care Hospital |
| A0210. Assessment Reference Date | |
| | <p>Observation end date:</p> <p style="text-align: center;">— —</p> <p style="text-align: center;">Month Day Year</p> |
| A0220. Admission Date | |
| | <p style="text-align: center;">— —</p> <p style="text-align: center;">Month Day Year</p> |
| A0250. Reason for Assessment | |
| Enter Code <div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | <ol style="list-style-type: none"> 01. Admission 10. Planned discharge 11. Unplanned discharge 12. Expired |
| A0270. Discharge Date | |
| | <p style="text-align: center;">— —</p> <p style="text-align: center;">Month Day Year</p> |

| | | |
|--|---|------|
| Patient | Identifier | Date |
| <div><div>Section A</div><div>Administrative Information</div></div> | | |
| Patient Demographic Information | | |
| A0500. Legal Name of Patient | | |
| | <div><div>A. First name:</div><div>B. Middle initial:</div><div>C. Last name:</div><div>D. Suffix:</div></div> | |
| A0600. Social Security and Medicare Numbers | | |
| | <div><div>A. Social Security Number:<div></div></div><div>B. Medicare number (or comparable railroad insurance number):</div></div> | |

| | | | |
|---|---|-----------------------------------|--|
| Section A | | Administrative Information | |
| A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient | | | |
| | | | |
| A0800. Gender | | | |
| Enter Code | <div> <div>1. Male</div> <div>2. Female</div> </div> | | |
| | | | |
| A0900. Birth Date | | | |
| | <div> <div>—</div> <div>—</div> <div></div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div> | | |
| A1000. Race/Ethnicity | | | |
| <div> <div>↓</div> <div>Check all that apply</div> </div> | | | |
| <input type="checkbox"/> | A. American Indian or Alaska Native | | |
| <input type="checkbox"/> | B. Asian | | |
| <input type="checkbox"/> | C. Black or African American | | |
| <input type="checkbox"/> | D. Hispanic or Latino | | |
| <input type="checkbox"/> | E. Native Hawaiian or Other Pacific Islander | | |
| <input type="checkbox"/> | F. White | | |
| A1400. Payer Information | | | |
| <div> <div>↓</div> <div>Check all that apply</div> </div> | | | |
| <input type="checkbox"/> | A. Medicare (traditional fee-for-service) | | |
| <input type="checkbox"/> | B. Medicare (managed care/Part C/Medicare Advantage) | | |
| <input type="checkbox"/> | C. Medicaid (traditional fee-for-service) | | |
| <input type="checkbox"/> | D. Medicaid (managed care) | | |
| <input type="checkbox"/> | E. Workers' compensation | | |
| <input type="checkbox"/> | F. Title programs (e.g., Title III, V, or XX) | | |
| <input type="checkbox"/> | G. Other government (e.g., TRICARE, VA, etc.) | | |
| <input type="checkbox"/> | H. Private insurance/Medigap | | |
| <input type="checkbox"/> | I. Private managed care | | |
| <input type="checkbox"/> | J. Self-pay | | |
| <input type="checkbox"/> | K. No payer source | | |
| <input type="checkbox"/> | X. Unknown | | |
| <input type="checkbox"/> | Y. Other | | |

Section A

Administrative Information

A2110. Discharge Location

| | |
|------------|--|
| Enter Code | 01. Community residential setting (e.g., private home/apt., board/care, assisted living, group home, adult foster care) |
| | 02. Long-term care facility |
| | 03. Skilled nursing facility (SNF) |
| | 04. Hospital emergency department |
| | 05. Short-stay acute hospital (IPPS) |
| | 06. Long-term care hospital (LTCH) |
| | 07. Inpatient rehabilitation facility or unit (IRF) |
| | 08. Psychiatric hospital or unit |
| | 09. Intellectually Disabled/Developmentally Disabled (ID/DD) facility |
| | 10. Hospice |
| | 12. Discharged Against Medical Advice |
| | 98. Other |

| | |
|------------------|------------------------------------|
| Section B | Hearing, Speech, and Vision |
|------------------|------------------------------------|

B0100. Comatose

| | |
|--|--|
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | Persistent vegetative state/no discernible consciousness 0. No → <i>Continue to BB0700, Expression of Ideas and Wants</i> 1. Yes → <i>Skip to GG0130, Self-Care</i> |
|--|--|

BB0700. Expression of Ideas and Wants (3-day assessment period)

| | |
|--|--|
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | Expression of ideas and wants (consider both verbal and non-verbal expression and excluding language barriers) 4. Expresses complex messages without difficulty and with speech that is clear and easy to understand 3. Exhibits some difficulty with expressing needs and ideas (e.g., some words or finishing thoughts) or speech is not clear 2. Frequently exhibits difficulty with expressing needs and ideas 1. Rarely/Never expresses self or speech is very difficult to understand |
|--|--|

BB0800. Understanding Verbal and Non-Verbal Content (3-day assessment period)

| | |
|--|---|
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | Understanding Verbal and Non-Verbal Content (with hearing aid or device, if used, and excluding language barriers) 4. Understands: Clear comprehension without cues or repetitions 3. Usually Understands: Understands most conversations, but misses some part/intent of message. Requires cues at times to understand 2. Sometimes Understands: Understands only basic conversations or simple, direct phrases. Frequently requires cues to understand 1. Rarely/Never Understands |
|--|---|

| Section C | | Cognitive Patterns | |
|--|----------------------------------|---|--|
| C1610. Signs and Symptoms of Delirium (from CAM©) Confusion Assessment Method (CAM©) Shortened Version Worksheet (3-day assessment period) | | | |
| CODING: 0. No 1. Yes | <div>↓ Enter Code in Boxes</div> | | |
| | <div></div> | Acute Onset and Fluctuating Course A. Is there evidence of an acute change in mental status from the patient's baseline? B. Did the (abnormal) behavior fluctuate during the day, that is, tend to come and go or increase and decrease in severity? | |
| | <div></div> | Inattention C. Did the patient have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said? | |
| | <div></div> | Disorganized Thinking D. Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject? | |
| | <div></div> <div></div> | Altered Level of Consciousness E. Overall, how would you rate the patient's level of consciousness? E1. Alert (Normal) E2. Vigilant (hyperalert) or Lethargic (drowsy, easily aroused) or Stupor (difficult to arouse) or Coma (unarousable) | |

Adapted with permission from: Inouye SK et al, Clarifying confusion: The Confusion Assessment Method. A new method for detection of delirium. *Annals of Internal Medicine*. 1990; 113: 941-948. Confusion Assessment Method: Training Manual and Coding Guide, Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without permission.

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| Section GG | Functional Abilities and Goals |
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| GG0130. Self-Care (3-day assessment period) |
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Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

| | |
|---|--|
| 3. Discharge Performance | |
|---|--|

↓ Enter Codes in Boxes

| | |
|--|--|
| | A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the patient. |
| | B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| | C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment. |
| | D. Wash upper body: The ability to wash, rinse, and dry the face, hands, chest, and arms while sitting in a chair or bed. |

Section GG**Functional Abilities and Goals****GG0170. Mobility** (3-day assessment period)

Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

07. **Patient refused**
09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
88. **Not attempted due to medical condition or safety concerns**

**3.
Discharge
Performance**

↓ Enter Codes in Boxes

| | |
|----------------------|---|
| <input type="text"/> | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed. |
| <input type="text"/> | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. |
| <input type="text"/> | C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support. |
| <input type="text"/> | D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed. |
| <input type="text"/> | E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair). |
| <input type="text"/> | F. Toilet transfer: The ability to get on and off a toilet or commode. |
| <input type="text"/> | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. <i>If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170Q3, Does the patient use a wheelchair and/or scooter?</i> |
| <input type="text"/> | J. Walk 50 feet with two turns: Once standing, the ability to walk 50 feet and make two turns. |

Section GG

Functional Abilities and Goals

GG0170. Mobility (3-day assessment period) - Continued

Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3. Discharge Performance

↓ Enter Codes in Boxes

| | |
|----------------------|---|
| <input type="text"/> | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space. |
| <input type="text"/> | Q3. Does the patient use a wheelchair and/or scooter? 0. No → Skip to H0350, Bladder Continence 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns |
| <input type="text"/> | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. |
| <input type="text"/> | RR3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized |
| <input type="text"/> | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. |
| <input type="text"/> | SS3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized |

Section H

Bladder and Bowel

H0350. Bladder Continence (3-day assessment period)

Enter Code

Bladder continence - Select the one category that best describes the patient.

0. **Always continent** (no documented incontinence)
1. **Stress incontinence only**
2. **Incontinent less than daily** (e.g., once or twice during the 3-day assessment period)
3. **Incontinent daily** (at least once a day)
4. **Always incontinent**
5. **No urine output** (e.g., renal failure)
9. **Not applicable** (e.g., indwelling catheter)

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| Section J | Health Conditions |
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| J1800. Any Falls Since Admission |
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| Enter Code <div></div> | Has the patient had any falls since admission? 0. No → <i>Skip to M0210, Unhealed Pressure Ulcers/Injuries</i> 1. Yes → <i>Continue to J1900, Number of Falls Since Admission</i> |
|---------------------------|--|

| |
|---|
| J1900. Number of Falls Since Admission |
|---|

| | | |
|---|--|--|
| Coding: 0. None 1. One 2. Two or more | <div>↓</div> Enter Codes in Boxes | |
| | <div></div> | A. No injury: No evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the patient; no change in the patient's behavior is noted after the fall |
| | <div></div> | B. Injury (except major): Skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the patient to complain of pain |
| | <div></div> | C. Major injury: Bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma |

Section M

Skin Conditions

Report based on highest stage of existing ulcers/injuries at their worst; do not "reverse" stage.

M0210. Unhealed Pressure Ulcers/Injuries

| | |
|------------------------------------|---|
| Enter Code <input type="text"/> | Does this patient have one or more unhealed pressure ulcers/injuries? 0. No → <i>Skip to N2005, Medication Intervention</i> 1. Yes → <i>Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage</i> |
|------------------------------------|---|

M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

| | |
|--------------------------------------|---|
| Enter Number <input type="text"/> | A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues 1. Number of Stage 1 pressure injuries |
| Enter Number <input type="text"/> | B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister 1. Number of Stage 2 pressure ulcers - If 0 → <i>Skip to M0300C, Stage 3</i> |
| Enter Number <input type="text"/> | 2. Number of <u>these</u> Stage 2 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number <input type="text"/> | C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling 1. Number of Stage 3 pressure ulcers - If 0 → <i>Skip to M0300D, Stage 4</i> |
| Enter Number <input type="text"/> | 2. Number of <u>these</u> Stage 3 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number <input type="text"/> | D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling 1. Number of Stage 4 pressure ulcers - If 0 → <i>Skip to M0300E, Unstageable - Non-removable dressing/device</i> |
| Enter Number <input type="text"/> | 2. Number of <u>these</u> Stage 4 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number <input type="text"/> | E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device 1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device - If 0 → <i>Skip to M0300F, Unstageable - Slough and/or eschar</i> |
| Enter Number <input type="text"/> | 2. Number of <u>these</u> unstageable pressure ulcers/injuries that were present upon admission - enter how many were noted at the time of admission |
| Enter Number <input type="text"/> | F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar 1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar If 0 → <i>Skip to M0300G, Unstageable - Deep tissue injury</i> |
| Enter Number <input type="text"/> | 2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission |

M0300 continued on next page

| | | | |
|--|--|---|--|
| Section M | | Skin Conditions | |
| M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage - Continued | | | |
| Enter Number | | G. Unstageable - Deep tissue injury 1. Number of unstageable pressure injuries presenting as deep tissue injury - If 0 → <i>Skip to N2005, Medication Intervention</i> 2. Number of <u>these</u> unstageable pressure injuries that were present upon admission - enter how many were noted at the time of admission | |
| Enter Number | | | |

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| Section N | Medications |
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| N2005. Medication Intervention |
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| Enter Code <div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | <p>Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission?</p> <ul style="list-style-type: none"> 0. No 1. Yes 9. NA - There were no potential clinically significant medication issues identified since admission or patient is not taking any medications |
|--|--|

| | |
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| Section O | Special Treatments, Procedures, and Programs |
| O0200. Ventilator Liberation Rate | |
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | A. Invasive Mechanical Ventilator: Liberation Status at Discharge 0. Not fully liberated at discharge (i.e., patient required partial or full invasive mechanical ventilation support within 2 calendar days prior to discharge) 1. Fully liberated at discharge (i.e., patient did not require any invasive mechanical ventilation support for at least 2 consecutive calendar days immediately prior to discharge) 9. NA (code only if the patient was non-weaning or not ventilated on admission [O0150A=2 or 0 on Admission Assessment]) |
| O0250. Influenza Vaccine - Refer to current version of LTCH Quality Reporting Program Manual for current influenza season and reporting period. | |
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | A. Did the patient receive the influenza vaccine in this facility for this year's influenza vaccination season? 0. No → Skip to O0250C, If influenza vaccine not received, state reason 1. Yes → Continue to O0250B, Date influenza vaccine received |
| | B. Date influenza vaccine received → Complete date and skip to Z0400, Signature of Persons Completing the Assessment <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> — Month </div> <div style="text-align: center;"> — Day </div> <div style="text-align: center;"> — Year </div> </div> |
| Enter Code <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | C. If influenza vaccine not received, state reason: 1. Patient not in this facility during this year's influenza vaccination season 2. Received outside of this facility 3. Not eligible - medical contraindication 4. Offered and declined 5. Not offered 6. Inability to obtain influenza vaccine due to a declared shortage 9. None of the above |

Section Z Assessment Administration

Z0400. Signature of Persons Completing the Assessment

I certify that the accompanying information accurately reflects patient assessment information for this patient and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that submitting false information may subject my organization to a 2% reduction in the Fiscal Year payment determination. I also certify that I am authorized to submit this information by this facility on its behalf.

| Signature | Title | Sections | Date Section Completed |
|-----------|-------|----------|------------------------|
| A. | | | |
| B. | | | |
| C. | | | |
| D. | | | |
| E. | | | |
| F. | | | |
| G. | | | |
| H. | | | |
| I. | | | |
| J. | | | |
| K. | | | |
| L. | | | |

Z0500. Signature of Person Verifying Assessment Completion

A. Signature:

B. LTCH CARE Data Set Completion Date:

— —
Month Day Year