

APPENDIX G

STATUTORY AND REGULATORY REQUIREMENTS FOR LONG-TERM CARE FACILITIES RESIDENT ASSESSMENT AND CARE PLANNING

APPENDIX G

The following table displays the statutory requirements and the Federal regulations related to the Resident Assessment Instrument (RAI), the Minimum Data Set (MDS) and care planning for Medicare or Medicaid certified long-term care facilities.

Section 1819 of the Social Security Act is the Federal law regarding the requirements for skilled nursing facilities (SNFs) participating in the Medicare program. Section 1919 of the Social Security Act is the Federal law regarding the requirements for nursing facilities (NFs) participating in the Medical Assistance program.

Part 483 of Title 42 of the code of Federal Regulations (CFR) are the requirements for Long-Term Care Facilities (SNFs and NFs). "F" tags are Centers for Medicare and Medicaid Services (CMS) data tags assigned to each of the requirements in 42 CFR 483.

Requirement Area	Statutory Requirement (Medicare)	Statutory Requirement (Medicaid)	Federal Regulation/ CMS "F" Tag
Specification of MDS Core Elements	1819 (f)(6)(A)	1919 (f)(6)(A)	
Designation of RAI Instruments	1819 (f)(6)(B)	1919 (f)(6)(B)	
Services to be Provided in Accordance with Plan of Care	1819 (b)(2)	1919 (b)(2)	42 CFR 483.20 (d) (1-3) F 279, F 280, F 281
Requirement for Resident Assessments	1819 (b)(3)(A)	1919 (b)(3)(A)	42 CFR 483.20 (a-b) F 271, F 272
Certification of Resident Assessment i. Completion and Signature(s) ii. Penalty for Falsification iii. Use of Independent Assessors	1819 (b)(3)(B)	1919 (b)(3)(B)	42 CFR 483.20 (c) (1-2) F 278 42 CFR 483.20 (c)(3) F 278 42 CFR 483.20 (c)(4) F 278
Frequency of Assessments	1819 (b)(3)(C)	1919 (b)(3)(C)	42 CFR 483.20 (b) (4-5) F 273, F 274, F 275, F 276
Use of Assessments	1819 (b)(3)(D)	1919 (b)(3)(D)	42 CFR 483.20 (c)(6) (Refer to F 279)

Requirement Area	Statutory Requirement (Medicare)	Statutory Requirement (Medicaid)	Federal Regulation/ CMS "F" Tag
Coordination with State-Required Preadmission Screening Program	1819 (b)(3)(E)	1919 (b)(3)(E)	42 CFR 483.20 (c)(7) F 277
State Specification of Resident Assessment Instrument	1819 (e)(5)	1919 (e)(5)	
Clinical Record Requirements for Resident Assessment and Plan of Care	1819 (b)(6)(C)	1919 (b)(6)(C)	42 CFR 483.75 (n)(6) F 516