

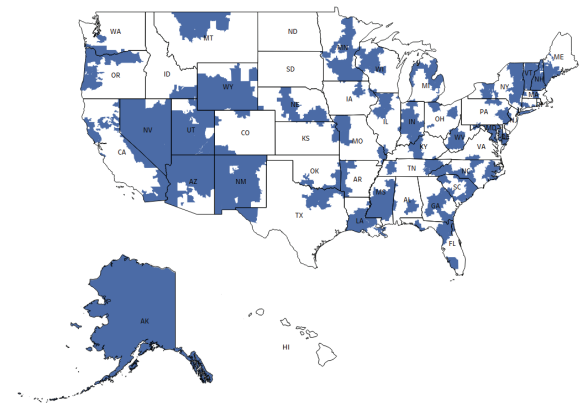
Measurement Year 1 (January 1, 2021–December 31, 2021)

Performance Payment Adjustment Period 1 (July 1, 2022–December 31, 2022)

MODEL OVERVIEW

- The model is intended to **encourage greater use of home dialysis and kidney transplants** for Medicare beneficiaries with ESRD, while reducing Medicare expenditures and preserving or enhancing the quality of care furnished to beneficiaries with ESRD.
- The ETC Model, which **began on January 1, 2021**, is a mandatory payment model.
- **ETC Participants** are all ESRD facilities and Managing Clinicians in Selected Geographic Areas.
- Selected Geographic Areas are all **Hospital Referral Regions (HRRs)** for which at least 20 percent of the component zip codes are located in Maryland, and a random sample of 30 percent of HRRs, stratified by Census-defined regions (Northeast, South, Midwest, and West). CMS excludes all U.S. Territories from the Selected Geographic Areas.
- **ETC Participants receive:**
 - **Home Dialysis Payment Adjustment (HDP):** A positive adjustment on home dialysis claims during the first 3 years of the Model.
 - **Performance Payment Adjustment (PPA):** A positive or negative adjustment based on the rates of home dialysis and transplant (transplant waitlisting or living donor transplant) in a Measurement Year (MY). Adjustment made to the adjusted ESRD Prospective Payment System (PPS) per treatment base rate under the ESRD PPS for selected ESRD facilities and to the Monthly Capitation Payment (MCP) for selected Managing Clinicians.

Selected ETC HRRs



MY1 ETC PARTICIPANTS



2,425
ESRD facilities



96,760
Beneficiaries attributed
to ESRD facilities



3,056
Managing Clinicians

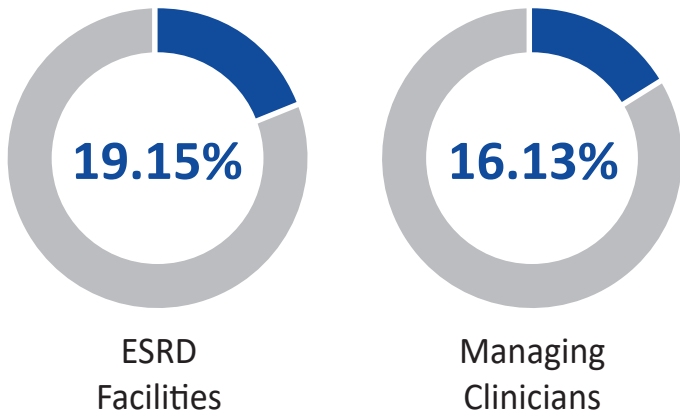


97,875
Beneficiaries attributed
to Managing Clinicians

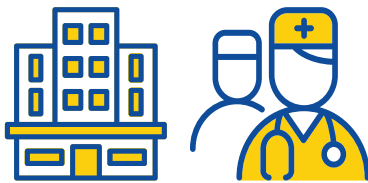
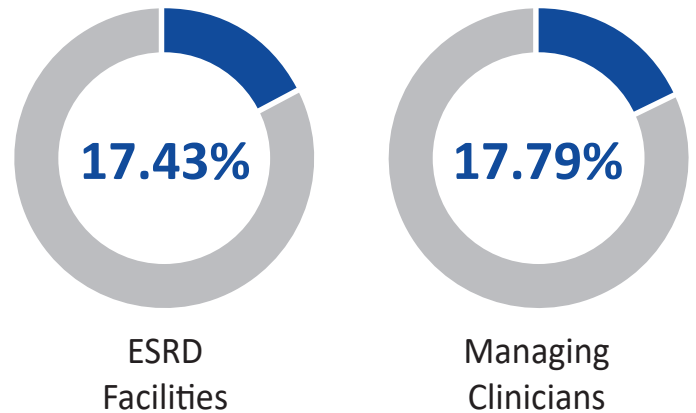
MY1 PERFORMANCE

Average Home Dialysis Rate and Transplant Rate among ETC Participants in MY1

Average Home Dialysis Rate



Average Transplant Rate



PERFORMANCE IN MY1 VS. ACHIEVEMENT BENCHMARKS

(Benchmark Year 1: July 1, 2019–June 30, 2020)

- **65% of ESRD facilities** and **80% of Managing Clinicians** had higher home dialysis rates than the 50th percentile achievement benchmark home dialysis rates of 12.60 and 8.46.
- **44% of ESRD facilities** and **51% of Managing Clinicians** had higher transplant rates than the 50th percentile achievement benchmark transplant rates of 18.28 and 18.76.



PERFORMANCE OVER TIME

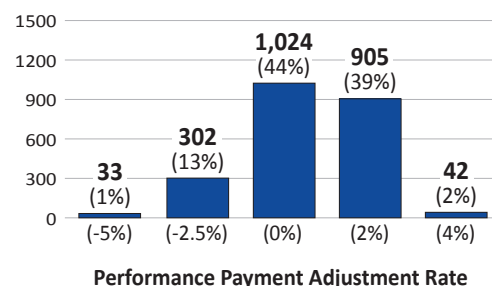
(from BY1 to MY1)

- **81% of ESRD facilities** and **72% of Managing Clinicians** improved their home dialysis rate.
- **56% of ESRD facilities** and **57% of Managing Clinicians** improved their transplant rate.

MY1 PERFORMANCE PAYMENT ADJUSTMENT

41% of ESRD facilities and **56% of Managing Clinicians** received a positive PPA.

PPA for ESRD Facilities



PPA for Managing Clinicians

