

CMS Guidance Document	Department of Health & Human Services (DHHS)
Pub. 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Executive Guidance Number 0097	Date: October 17, 2007
Planned Web Site Address http://www.cms.hhs.gov/manuals/	Release Planned:10/31/07

PROGRAM AREA: HIGLAS/NPI

SUBJECT: Healthcare Integrated General Ledger Accounting System (HIGLAS) Changes for Implementation of Stage 3 of National Provider Identifier (NPI)

APPLIES TO: Contractors

I. SUMMARY OF DOCUMENT: In the October 2006 Quarterly release, the format of the HIGLAS 837, 810, 810 H, 811 CR, 811 VMP, and 835 interfaces between HIGLAS and the Fiscal Intermediary Standard System (FISS) and Multi-Carrier System (MCS) shared systems were changed to include data elements for the NPI and the NPI qualifier. The release also included requirements for the submission of the NPI and the NPI Qualifier by FISS and MCS on the HIGLAS 274 interface to HIGLAS.

Stage 3 of NPI requires that all electronic transactions covered by HIPAA are to contain an NPI. Therefore, with implementation of this change request, the FISS and MCS shared systems will begin to send NPIs on claim, invoice, and payment confirmation transactions to HIGLAS. HIGLAS will return the NPIs to the shared systems with the payment and void data.

II. CHANGES IN POLICY INSTRUCTIONS: (If not applicable, indicate N/A)

STATUS: R=REVISED, N=NEW, D=DELETED.

Status	CHAPTER/SECTION/SUBSECTION/TITLE
N/A	

III. CLEARANCES:

Clearance & Point of Contact (POC)	Name/Telephone/Component
Senior Official Clearance	Tim Hill (410) 786-5446 OFM
Agency POC	Maureen Hoppa (410) 786-6958 OFM/HIGLAS

IV. TYPE (Check appropriate boxes for type of guidance)

	Audit Guide
X	Change Request
	HPMS
	Joint Signature Memorandum/Technical Director Letter
	Manual Transmittal/Non-Change Request
	State Medicaid Director Letters
	Other

V. STATUTORY OR REGULATORY AUTHORITY: Health Insurance Portability & Accountability Act of 1996/45 CFR Part 162, CMS-0045-F

Attachment – One-Time Notification

Pub. 100-20	Transmittal:	Date:	Change Request: 5590
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SUBJECT: Healthcare Integrated General Ledger Accounting System (HIGLAS) Changes for Implementation of Stage 3 of National Provider Identifier (NPI)

Effective Date: April 1, 2008

Implementation Date: April 7, 2008

I. GENERAL INFORMATION

A. Background: In the October 2006 Quarterly release, the format of the HIGLAS 837, 810, 810 H, 811 CR, 811 VMP, and 835 interfaces between HIGLAS and the Fiscal Intermediary Standard System (FISS) and Multi-Carrier System (MCS) shared systems were changed to include data elements for the NPI and the NPI qualifier. The release also included requirements for the submission of the NPI and the NPI Qualifier by FISS and MCS on the HIGLAS 274 interface to HIGLAS.

Stage 3 of NPI requires that all electronic transactions covered by HIPAA are to contain an NPI. Therefore, with implementation of this change request, the FISS and MCS shared systems will begin to send NPIs on claim, invoice, and payment confirmation transactions to HIGLAS. HIGLAS will return the NPIs to the shared systems with the payment and void data.

As it is possible that a single NPI will have multiple Provider legacy numbers (Provider Identification Number (PIN) and Online Survey Certification And Reporting (OSCAR)) associated with it, there will be situations in which HIGLAS may be sending to the shared systems multiple payments transactions in the HIGLAS 835 for the same NPI. Each payment will have the same NPI but a different Provider legacy number associated with it.

It is also possible that a Provider legacy number will have multiple NPIs associated with it. Therefore, situations may exist in which HIGLAS will be sending to the shared systems multiple payments transactions in the HIGLAS 835 for the same Provider legacy number. Each payment will have the same Provider legacy number but a different NPI associated with it.

HIGLAS will continue to send and receive the Provider legacy numbers in its interfaces with the FISS and MCS shared systems.

B. Policy: The Health Insurance Portability and Accountability Act of 1996 (HIPAA) requires that the Secretary of the Department of Health and Human Services adopt standards providing for a standard unique health identifier for each health care provider for use in the healthcare system and to specify the purpose for which the identifiers may be used. The National Provider Identifier (NPI) final rule published on January 23, 2004, establishes this standard for a unique health identifier, announces the adoption of the National Provider Identifier (NPI) as that standard, and establishes implementation specifications for obtaining and using the NPI (45 CFR Part 162, CMS-0045-F). All entities covered under HIPAA must comply with the requirements of the NPI final rule no later than May 23, 2007.

II. BUSINESS REQUIREMENTS TABLE

Use "Shall" to denote a mandatory requirement

Number	Requirement	Responsibility (place an "X" in each applicable column)										
		A / B M A C	D M M A C	F I M A C	C A R R I E R	D M R R C	R H I	Shared-System Maintainers				OTH ER
								F I S S	M C S	V M S	C W F	
5590.1	GENERAL There are numerous situations in which a valid NPI is not available. Therefore, the NPI and NPI qualifier segments in the HIGLAS interfaces shall not be mandatory segments for HIGLAS and the shared systems.			X	X			X	X			higlas
5590.1.1	If the shared systems do not send an NPI to HIGLAS in the HIGLAS 837 claim, 810, and 810 H interfaces, HIGLAS shall not return an NPI with the payment information on the HIGLAS 835 to the shared systems.			X	X			X	X			higlas
5590.2	The shared systems shall not send Placeholder NPIs to HIGLAS in any of the HIGLAS interfaces as placeholders do not have a financial value to an accounting system.							X	X			higlas
5590.3	HIGLAS will continue to send the Provider legacy numbers in HIGLAS interfaces to the FISS and MCS shared systems.							X	X			higlas
5590.4	The FISS and MCS shared systems shall continue to send the provider legacy numbers in the HIGLAS interfaces to HIGLAS.							X	X			higlas
5590.5	HIGLAS 837 Claim & HIGLAS 810 Invoices HIGLAS shall reject a HIGLAS 837 Claim, 810 Invoice, or 810 H Invoice transaction from the shared systems with error code of 14175 on the 824 S if the NPI sent on these transactions is not found on the HIGLAS customer and supplier databases.							X	X			higlas
5590.5.1	If an NPI is sent to HIGLAS on the HIGLAS 837, the shared systems shall send the value of XX in the 2010 AA REF 01 National Provider Identifier Qualifier data element.							X	X			higlas
5590.5.2	If an NPI is sent to HIGLAS on the HIGLAS 837, the shared systems shall send the NPI in the 2010 AA REF 02 National Provider Identifier data element.							X	X			higlas
5590.5.3	If the shared systems send an NPI to HIGLAS on							X	X			higlas

Number	Requirement	Responsibility (place an "X" in each applicable column)											
		A / B M A C	D M E M A C	F I I E R	C A R R I E R	D M R C	R H I	Shared-System Maintainers				OTH ER	
								F I S S	M C S	V M S	C W F		
	the HIGLAS 837 without a valid NPI qualifier, HIGLAS shall reject the claim transaction with an error code of 10176 on the HIGLAS 824 S.												
5590.5.4	If the shared systems send the NPI Qualifier to HIGLAS on the HIGLAS 837 without a valid NPI, HIGLAS shall reject the claim transaction with an error code of 10400 on the HIGLAS 824S.							X	X				higlas
5590.5.5	If an NPI is sent to HIGLAS on the HIGLAS 810 or 810H, the shared systems shall send the NPI in the BIG 04 NPI data element.							X	X				higlas
5590.5.6	The shared systems shall not send an NPI Qualifier to HIGLAS on the HIGLAS 810 and 810H interfaces as that data element was not created for these interfaces.							X	X				higlas
5590.6	HIGLAS 811 CR If an NPI is sent to HIGLAS on the HIGLAS 811 CR, the shared systems shall send the value of XX in the REF 01 Detail Loop National Provider Identifier Qualifier data element.							X	X				higlas
5590.6.1	If an NPI is sent to HIGLAS on the HIGLAS 811 CR, the shared systems shall send the NPI in the REF 02 Detail Loop National Provider Identifier data element.							X	X				higlas
5590.6.2	If the shared systems send an NPI to HIGLAS on the HIGLAS 811 CR without a valid NPI qualifier, HIGLAS shall reject the transaction with an error code of 10300 on the HIGLAS 824 S.							X	X				higlas
5590.6.3	If the shared systems send the NPI Qualifier to HIGLAS on the HIGLAS 811 CR without a valid NPI, HIGLAS shall reject the transaction with an error code of 10400 on the HIGLAS 824 S.							X	X				higlas
5590.6.4	If an NPI sent for payment confirmation by the shared systems on the HIGLAS 811CR, does not match the NPI sent to the shared systems for the payment on the HIGLAS 835, HIGLAS shall reject the invoice transaction with an error code of 15275 on the HIGLAS 824 S.							X	X				higlas
5590.7	HIGLAS 835							X	X				higlas

Number	Requirement	Responsibility (place an "X" in each applicable column)											
		A / B M A C	D M E M A C	F I 	C A R R I E R	D M R C	R H I	Shared-System Maintainers				OTH ER	
								F I S S	M C S	V M S	C W F		
	If an NPI is sent to the shared systems on the HIGLAS 835, HIGLAS shall send the value of XX in the 1000B REF 01 National Provider Identifier Qualifier data element.												
5590.7.1	If an NPI is sent to the shared systems on the HIGLAS 835, HIGLAS shall send the NPI in the 1000B REF 02 National Provider Identifier data element.							X	X				higlas
5590.7.2	If HIGLAS sends an NPI to the shared systems on the HIGLAS 835 without a valid NPI qualifier, the shared systems shall reject the transaction set with an error code of 10176 on the HIGLAS 824 S and false confirm the payment.							X	X				higlas
5590.7.3	If HIGLAS sends an NPI Qualifier to the shared systems on the HIGLAS 835 without a valid NPI, the shared systems shall reject the transaction set with an error code of 10400 on the HIGLAS 824 S and false confirm the payment.							X	X				higlas
5590.7.4	If HIGLAS sends an NPI to the shared systems on the HIGLAS 835 for payment and it does not match the NPI the shared systems had submitted on the HIGLAS invoice or claim interfaces, the shared systems shall reject the transaction set with an error code of 18175 on the HIGLAS 824 S and false confirm the payment.							X	X				higlas
5590.8	HIGLAS 811 VMP If an NPI, associated with a voided or manual payment, is sent to the shared systems on the HIGLAS 811 VMP, HIGLAS shall send XX in the REF 01 Detail Loop National Provider Identifier Qualifier data element.							X	X				higlas
5590.8.1	If an NPI, associated with a voided or manual payment, is sent to the shared systems on the HIGLAS 811 VMP, HIGLAS shall return the NPI in the REF 02 Detail Loop National Provider Identifier data element.							X	X				higlas
5590.8.2	If HIGLAS sends an NPI to the shared systems on the HIGLAS 811 VMP without a valid NPI qualifier, the shared systems shall reject the							X	X				higlas

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A / B M A C	D M M A C	F I M A C	C A R R I E R	D M R R C	R H H I	Shared-System Maintainers			
							F I S S	M C S	V M S	C W F	
	transaction with a record level error code of 10176 on the HIGLAS 824 S.										
5590.8.3	If HIGLAS sends an NPI Qualifier to the shared systems on the HIGLAS 811 VMP without a valid NPI, the shared systems shall reject the transaction with a record level error code of 10400 on the HIGLAS 824 S.							X	X		higlas

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A / B M A C	D M M A C	F I M A C	C A R R I E R	D M R R C	R H H I	Shared-System Maintainers			
							F I S S	M C S	V M S	C W F	
	None.										

IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the box below:

Use "Should" to denote a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
5590.5	Error Code: 14175 Error Description: Invalid NPI for the Provider This is a new HIGLAS record level error code.
5590.5.3 5590.7.2 5590.8.2	Error Code: 10176 – Record Level Rejection Error Description: FFR structural record level error This is an existing HIGLAS error code.
5590.5.4 5590.6.3 5590.7.3	Error Code: 10400 Error Description: Required Field Blank This is an existing HIGLAS error code.

X-Ref Requirement Number	Recommendations or other supporting information:
5590.8.3	
5590.6.2	Error Code: 10300 – File Level Rejection Error Description: Invalid File Format This is an existing HIGLAS error code.
5590.6.4	Error Code: 15275 Error Description: 811 NPI information does not match 835 NPI information This is a new HIGLAS file level error code.
5590.7.4	Error Code: 18175 Error Description: NPI of 835 does not match NPI of 837 This is a new HIGLAS record level error code.

B. For all other recommendations and supporting information, use this space:

V. CONTACTS

Pre-Implementation Contact(s): Maureen Hoppa at maureen.hoppa@cms.hhs.gov 410 786-6958

Post-Implementation Contact(s): Maureen Hoppa at maureen.hoppa@cms.hhs.gov 410 786-6958

VI. FUNDING

A. For Fiscal Intermediaries, Carriers, and the Durable Medical Equipment Regional Carrier (DMERC), use only one of the following statements:

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

B. For Medicare Administrative Contractors (MACs): N/A