CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 2304	Date: May 10, 2019
	Change Request 11256

SUBJECT: Automatic Transmission of the Prepayment File to the Recovery Audit Contractor (RAC) Data Warehouse (DW)

I. SUMMARY OF CHANGES: This CR eliminates the responsibility of the Medicare Admnistrative Contracts (MACs) to upload the prepayment file daily to the Recovery Audit Contractor Data Warehouse (RACDW).

EFFECTIVE DATE: October 1, 2019

*Unless otherwise specified, the effective date is the date of service.

IMPLEMENTATION DATE: October 7, 2019

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row*.

R/N/D CHAPTER / SECTION / SUBSECTION / TITLE

N/A N/A

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Attachment - One-Time Notification

Pub. 100-20	Transmittal: 2304	Date: May 10, 2019	Change Request: 11256
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SUBJECT: Automatic Transmission of the Prepayment File to the Recovery Audit Contractor (RAC) Data Warehouse (DW)

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I. GENERAL INFORMATION

- **A. Background:** Medicare review contractors conduct prepayment review on Medicare providers by requesting and reviewing additional documentation to determine whether Medicare coverage criteria are met for certain claims. When this occurs, it is brought to the attention of the CMS. Thus, CMS needs to have awareness of all prepayment reviews being conducted. CR 8175, transmittal 1186, created a flat file that could be uploaded to the Recovery Audit Contractor Data Warehouse (RACDW) so that prepayment review information would be readily available to CMS. CRs 10414, 10460, and 10461 updated the frequency of the flat file to daily rather than monthly, and the format of the flat file was updated to capture additional data elements. This CR will eliminate the responsibility of the MAC to upload the prepayment review file to the CMS RACDW, rather it will be an automated transfer via Enterprise File Transfer (EFT).
- **B.** Policy: Medical review authorities can be found in Section 1893 of the Social Security Act.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility								
		A/B		D	Shared-				Other	
		N	MAC		M	System				
		E				Maintainers			ers	
		A	В	Н		F	M	V	C	
				Н	M	I	C	M	W	
				Н	A	S	S	S	F	
					C	S				
11256.1	The contractor shall run the jobs established under CRs 10414, 10460, and 10461 on the Medicare Administrative Contractor's (MAC's) business days and transmit to the RACDW via CMS EFT.									VDC
11256.2	The contractor shall participate in a conference call, to be scheduled by CMS, with the VDCs, CMS EFT, and the RACDW contractor to establish and agree upon the Naming Conventions for both Test and Production files, as well as the number of files to be received by the RACDW, prior to the implementation date of this									VDC

Number	Requirement	Responsibility								
			A/B MA(3	D M E	,	Sys	red- stem taine	1	Other
		A	В	H H H		F I S S	M C S	V M S		
	CR. NOTE: Naming Conventions will comply with all EFT standards and allow the RACDW to identify the source system, the file type, the transmission date, and the MAC jurisdiction.									
11256.2.1	The contractor shall send the points of contact (POCs) to participate in this conference call to Ashley.Badami@cms.hhs.gov, Stephanie.Dukes@cms.hhs.gov, and Tony.Olivis@cms.hhs.gov									VDC
11256.3	The contractor shall work with the RACDW Team and CMS to complete the EFT partner server questionnaire, firewall routing request forms, and any additional documentation or requests in order to establish connectivity and successful data flow.									VDC
11256.3.1	The contractor shall, upon completion of the questionnaire and forms, submit to EFT and CMS with a carbon copy to Stephanie.Dukes@cms.hhs.gov and Tony.Olivis@cms.hhs.gov.									VDC
11256.4	The contractor shall test connectivity in a test environment, as soon as possible but, no less than 8 weeks prior to the implementation of this CR. NOTE: Testing shall be ongoing until successful.									VDC
11256.4.1	The contractor shall be available for conference calls and to work with CMS and the RACDW for troubleshooting if files don't arrive as expected.									VDC
11256.5	The contractor shall test connectivity in a production environment, as soon as possible but, no less than 4 weeks prior to the implementation of this CR. NOTE: Testing shall be ongoing until successful.									VDC
11256.5.1	The contractor shall be available for conference calls and to work with CMS and the RACDW for troubleshooting if files don't arrive as expected.									VDC

Number	Requirement	Responsibility									
		A/B									Other
		E MAC			-						
		A	В	Н		F	M	V	С		
				Н	M A	_	C				
				Н	C	S S	S	S	F		
11256.6	The contractor shall no longer be required to manually upload the file to the RACDW, once the RACDW is able to automatically, successfully receive the files.	X	X	X	X						
11256.6.1	The contractor shall maintain the ability to manually upload the file if needed, in the event CMS EFT is unavailable.	X	X	X	X						
11256.7	The contractor shall review response files and make data changes, as needed, to correct any validation errors received.	X	X	X	X						
11256.7.1	The contractor shall ensure the MAC "Lead User" is correctly assigned, as they will receive the response files daily and be responsible for reviewing and correcting any errors.	X	X	X	X						
11256.8	The contractor shall not be required to test this CR.									STC	

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibili				lity		
			A/B		D	С		
		1	MA(\mathbf{C}	M	Е		
					Е	D		
		Α	В	Н		I		
				Н	M			
				Н	A			
					C			
	None							

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

[&]quot;Should" denotes a recommendation.

X-Ref	Recommendations or other supporting information:
Requirement	
Number	

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Ashley Badami, 410-786-0828 or Ashley.Badami@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

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