

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-08 Medicare Program Integrity</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 866</b>	<b>Date: February 22, 2019</b>
	<b>Change Request 11058</b>

**SUBJECT: Update to Chapter 4, Section 4.11 in Publication (Pub.) 100-08**

**I. SUMMARY OF CHANGES:** The purpose of this Change Request (CR) is to remove Section 4.11 in Pub. 100-08 related to the Fraud Investigations Database (FID).

**EFFECTIVE DATE: March 25, 2019**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: March 25, 2019**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
D	4/4.11/FID Entries
D	4/4.11/4.11.1/Background
D	4/4.11/4.11.1/4.11.1.1/Information not Captured in the FID
D	4/4.11/4.11.1/4.11.1.2/Entering OIG Immediate Advisements into the FID
D	4/4.11/4.11.1/4.11.1.3/Documentation of Identity Theft and Compromised HICNs in the FID
D	4/4.11/4.11.2/Investigation, Case, Payment Suspension Entries, and Requests for Information Entries
D	4/4.11/4.11.2/4.11.2.1/Initial Entry Requirements for Investigations
D	4/4.11/4.11.2/4.11.2.2/Initial Entry Requirements for Cases Referred to Law Enforcement
D	4/4.11/4.11.2/4.11.2.3/Initial Entry Requirements for DMEPOS Payment Suspensions
D	4/4.11/4.11.2/4.11.2.3/4.11.2.3.1/Initial Entry Requirement for Non-DMEPOS Payment Suspensions
D	4/4.11/4.11.2/4.11.2.3/4.11.2.3.2/Initial Entry Requirements for Requests for Information and Requests for Assistance
D	4/4.11/4.11.2/4.11.2.4/Update Requirements for Investigations
D	4/4.11/4.11.2/4.11.2.5/Update Requirements for Cases
D	4/4.11/4.11.2/4.11.2.6/Update Requirements for National DMEPOS Payment Suspensions
D	4/4.11/4.11.2/4.11.2.6/4.11.2.6.1/Update Requirements for Non-DMEPOS Payment Suspensions
D	4/4.11/4.11.2/4.11.2.6/4.11.2.6.2/Update Requirements for Requests for Information and Requests for Assistance
D	4/4.11/4.11.2/4.11.2.7/OIG Non-Response to or Declination of Case Referral
D	4/4.11/4.11.2/4.11.2.8/Closing Investigations
D	4/4.11/4.11.2/4.11.2.9/Closing Cases Referred to Law Enforcement
D	4/4.11/4.11.2/4.11.2.10/Removing Payment Suspensions
D	4/4.11/4.11.2/4.11.2.10/4.11.2.10.1/Closing Requests for Information and Requests for Assistance
D	4/4.11/4.11.2/4.11.2.11/Duplicate Entries
D	4/4.11/4.11.2/4.11.2.12/Deleting Investigations, Cases, or Suspensions
D	4/4.11/4.11.3/Operational Issues
D	4/4.11/4.11.3/4.11.3.1/Access

<b>R/N/D</b>	<b>CHAPTER / SECTION / SUBSECTION / TITLE</b>
D	4/4.11/4.11.3/4.11.3.2/The FID Testing Group
D	4/4.11/4.11.3/4.11.3.3/UPIC FID CSA
D	4/4.11/4.11.3/4.11.3.4/The Fraud Investigation Database Mailbox

### **III. FUNDING:**

#### **For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

### **IV. ATTACHMENTS:**

**Business Requirements**

**Manual Instruction**

# Attachment - Business Requirements

<b>Pub. 100-08</b>	<b>Transmittal: 866</b>	<b>Date: February 22, 2019</b>	<b>Change Request: 11058</b>
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**SUBJECT: Update to Chapter 4, Section 4.11 in Publication (Pub.) 100-08**

**EFFECTIVE DATE: March 25, 2019**

*\*Unless otherwise specified, the effective date is the date of service.*

**IMPLEMENTATION DATE: March 25, 2019**

**I. GENERAL INFORMATION**

**A. Background:** The CMS is making revisions to Chapter 4, Section 4.11 of Pub. 100-08 based on the requirement to discontinue use of the Fraud Investigation Database (FID).

**B. Policy:** This CR does not involve any legislative or regulatory policies.

**II. BUSINESS REQUIREMENTS TABLE**

*"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.*

Number	Requirement	Responsibility								
		A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
11058.1	The UPIC shall discontinue following the guidance as referenced in Chapter 4, Section 4.11 in Pub. 100-08.									UPICs

**III. PROVIDER EDUCATION TABLE**

Number	Requirement	Responsibility				
		A/B MAC			DME MAC	CEDI
		A	B	HHH		
	None					

**IV. SUPPORTING INFORMATION**

**Section A: Recommendations and supporting information associated with listed requirements: N/A**

*"Should" denotes a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:
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**Section B: All other recommendations and supporting information: N/A**

## **V. CONTACTS**

**Pre-Implementation Contact(s):** Jesse Havens, 410-786-6566 or [jesse.havens@cms.hhs.gov](mailto:jesse.havens@cms.hhs.gov)

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

## **VI. FUNDING**

### **Section A: For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**ATTACHMENTS: 0**

# Medicare Program Integrity Manual

## Chapter 4 - Program Integrity

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