CMS Manual System

Pub 100-08 Medicare Program Integrity

Transmittal 129

Date: NOVEMBER 4, 2005

CHANGE REQUEST 4166

SUBJECT: Replacing the Use of Unique Physician Identification Numbers (UPINs) With the National Provider Identifiers (NPIs)

I. SUMMARY OF CHANGES: All shared system maintainers are requested to perform requisite system analysis to identify all situational uses of UPINs in the claims processing systems with the understanding that as of May 23, 2007, no new UPINs will be assigned, the UPIN Registry will be eliminated and UPINs will no longer be required in FFS Claims processing. Upon completion of the analysis each shared system maintainer shall provide recommendations for coding changes which will be required to replace UPINs with NPI in their respective systems. Hours must be reserved in the April 2006 release for each shared system maintainer and the Common Working File maintainer to conduct this work.

NEW/REVISED MATERIAL
EFFECTIVE DATE: April 1, 2006
IMPLEMENTATION DATE: April 3, 2006

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R = REVISED, N = NEW, D = DELETED – *Only Per Row*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:
No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2006 operating budgets.

IV. ATTACHMENTS:
One-Time Notification
Unless otherwise specified, the effective date is the date of service.

Attachment – One-Time Notification

Pub. 100-08	Transmittal: 129	Date: November 4, 2005	Change Request 4166

SUBJECT: Replacing the Use of Unique Physician Identification Numbers (UPINs) With National Provider Identifiers (NPIs)

I.   GENERAL INFORMATION

A.   Background: All shared system maintainers are requested to conduct analysis regarding the impact of replacing UPINs with NPIs in their respective systems. Areas to be considered include, but are not limited to: the Medicare Exclusion Data Base; Common Working File; claims-in- process; payments; contractor internal screens; system and processes, audit and reimbursement reports and recovery; medical and utilization review; duplicate checking and use by downstream users such as payment safeguard contractor/shared system maintainers and researchers. Hours must be reserved in the April 2006 release for each shared system maintainer and the Common Working File maintainer to continue the planning and analysis work.

B.   Policy: The administrative simplification provisions of the Health Insurance Portability and Accountability Act (HIPAA) of 1996 (P.L. 104-191) require the Secretary of Health and Human Services to adopt a national standard identifier for covered health care providers for use in the health care system. CMS published a Final Rule on January 23, 2004 that announced the NPI as the standard identifier.

Section 1833(q) of the Social Security Act requires that all physicians that meet the §1861(r) definition of a physician must have a UPIN and all claims for services ordered or referred by one of these physicians include the names and UPINs of the ordering/referring physician.

II.   BUSINESS REQUIREMENTS

"Shall" denotes a mandatory requirement
"Should" denotes an optional requirement

Requirement Number	Requirements	Responsibility ("X" indicates the columns that apply)								
						Shared System Maintainers				Other
		FI	RHHI	Carrier	DMERC	FISS	MCS	VMS	CWF	

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						Shared System Maintainers				Other
		FI	RHHI	Carrier	DMERC	F I SS	MCS	VMS	CWF	
4166.1	Shared system maintainers shall reserve hours in the April 2006 release period to conduct analysis to identify all situational uses of the UPIN in claims processing, determine the scope of impact and identify all required system changes in anticipation that as of May 23, 2007 NPI(s) rather than UPIN(s) will be required in FSS claims processing.					X	X	X	X	
4166.2	Situational uses of the UPIN to be considered in the analysis include, but are not limited to: Medicare Exclusion Database Use of Temporary Number UPIN(s) Use of Surrogate UPIN(s) Identify the ordering and referring provider Claims-in-Process on May 23, 2007 Dummy UPIN(s) Transition of CWF Consistency and Duplication Efforts Report Generated in the SSM Data Displayed on Screen Use of Identifier by medical review UR and Duplicate Processing.					X	X	X	X	
4166.3	Shared system maintainers shall identify all current "downstream users (internal and external) of FFS claims data (which includes the UPIN) and determine the impact to the users of replacing UPINs with the NPI.					X	X	X	X	
4166.4	Shared system maintainers shall provide a written analysis document clearly defining the system changes that will have to be coded in the July 2006 release to replace UPINs with NPIs in a timely manner. This due 2/3/2006					X	X	X	X	

III. PROVIDER EDUCATION

Requirement Number	Requirements	FI	RHHI	Carrier	DMERC	FISS	MCS	VMS	CWF	Other
						Shared System Maintainers				
	None									

IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A.   Other Instructions: N/A

X-Ref Requirement #	Instructions

B.   Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C.   Interfaces: N/A

D.   Contractor/Shared System Maintainers Financial Reporting /Workload Impact: N/A

E.   Dependencies: N/A

F.   Testing Considerations: N/A

IV. SCHEDULE, CONTACTS, AND FUNDING

Effective Date*: April 1, 2006 **Implementation Date: April 3, 2006** **Pre-Implementation Contact(s):** James Bossenmeyer (410) 786-9317 Gerald Wright (410) 786-5798 **Post-Implementation Contact(s):** James Bossenmeyer (410) 786-9317 Gerald Wright (410) 786-5798	**No additional funding will be provided by CMS: contractor/shared system maintainers activities shall be carried out within their FY 2006 current operating budgets.**

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