CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-08 Medicare Program Integrity	**Centers for Medicare & Medicaid Services (CMS)**
Transmittal 164	**Date: SEPTEMBER 29, 2006**
	Change Request 5282

SUBJECT: Update the Common Working File (CWF) Duplicate Edits, Utilization Error Codes, Paid Claims History List (PCHL) and Part B Claims (HUBC) Screens to Use National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs).

I. SUMMARY OF CHANGES: This instruction requests CWF to modify existing duplicate edits, the paid claims history list, update utilization error codes, Part B history and claims screens, NPI UPIN field to the claim detail, and add two additional modifier fields. This instruction is effective with process date January 2, 2007.

NEW/REVISED MATERIAL
EFFECTIVE DATE: JANUARY 1, 2007
IMPLEMENTATION DATE: JANUARY 2, 2007

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

One-Time Notification

*Unless otherwise specified, the effective date is the date of service.

Attachment – One-Time Notification

Pub. 100-08	Transmittal: 164	Date: September 29, 2006	Change Request 5282

SUBJECT: Update the Common Working File (CWF) Duplicate Edits, Utilization Error Codes, Paid Claims History List (PCHL) and Part B Claims (HUBC) Screens to Use National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs).

I.   GENERAL INFORMATION

A.   Background: This instruction uses the data analysis developed from Pub. 100-08, Transmittal 129, Change Request 4166, dated November 4, 2005, to establish the business requirements that will be used to implement CWF system changes to replace UPINs with NPIs effective May 23, 2007. CR 4166 instructed shared system maintainers to conduct analysis regarding the impact of replacing UPINs with NPIs in their respective systems and identify situational and downstream users.

This instruction requests CWF to modifying existing duplicate edits that read the Paid Claim History list, create new consistency edits, alert codes, A/B error codes and utilization error codes to read the NPI UPIN. To modify the PCHL for the following: to add the NPI UPIN field to the claim detail, and to add two additional modifier fields. This instruction is effective with process date January 2, 2007.

This instruction also requests CWF to address changes to modify Trailers '06', '08', '16' and '39'.

NOTE: Additional modifications will be made to PCHL to carry the 3rd and 4th modifier to validate editing in CWF for Global Surgery and duplicates.

B.   Policy: The administrative simplification provisions of the Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) requires the Secretary of Health and Human Services to adopt a national standard identifier for covered health care providers for use in national health care systems. CMS published a Final Rule on January 23, 2004, that announced the NPI as the standard identifier.

II. BUSINESS REQUIREMENTS
"Shall" denotes a mandatory requirement

Requirement Number	Requirements	FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other
						FISS	MCS	VMS	CWF	
5282.1	CWF shall modify utilization error code 5700 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.2	CWF shall modify utilization error code 5701 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.3	CWF shall modify utilization error code 5702 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.4	CWF shall modify utilization error code 5703 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.									
5282.5	CWF shall modify utilization error code 5704 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.6	CWF shall modify utilization error code 5705 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.7	CWF shall modify utilization error code BD01 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.8	CWF shall modify utilization error code BD02 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	
5282.9	CWF shall modify utilization error code BD03 to read the third and fourth modifier fields when setting the edit against HUBC claims posted to the PTBH screen on the PCHL.								X	

Requirement Number	Requirements	FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other
						FISS	MCS	VMS	CWF	
5282.10	CWF shall create a new consistency error code 9206 that will set when the HUIP, HUOP, HUHH or HUHC record is billed and an Attending Physician is required, but neither the Legacy UPIN nor the NPI UPIN is submitted. The new edit will replace 9201 for NPI/UPIN.	X	x			x			x	
5282.11	CWF shall create a new consistency error code 9306 that will set when an HUIP record with a TOB 11x is billed and there is a principal/surgical procedure code and an Operating Physician UPIN is required, but neither the Legacy nor the NPI UPIN is submitted. The new edit will replace 9300 for NPI/UPIN.	X				x			x	
5282.11.1	CWF shall create a new consistency error code 9306 that will set when an HUOP, HUHH, HUHC record is submitted with a TOB 13x or 83x, principal diagnosis is not equal to V641, V642 or V643 and an Operating Physician UPIN is required, but neither the Legacy nor the NPI UPIN is submitted. The new edit will replace 9300 for NPI/UPIN.	X	x			x			x	
5282.12	CWF shall create a new consistency error code 05x6 that will set when an HUBC record is submitted with a Type of Service 3, 4 or 5 and a header Referring UPIN is required, but neither the Legacy nor the NPI UPIN is submitted. Created a new error code The new edit will replace 05x4 for NPI/UPIN.			X			X		x	

Requirement Number	Requirements	Responsibility ("X" indicates the columns that apply)								
						Shared System Maintainers				Other
		FI	RHHI	Carrier	DMERC	FISS	MCS	VMS	CWF	
5282.13	CWF shall create a new consistency error code 05x7 that will set when an HUDC record is submitted with a HCPCS code in Category 62 and an Ordering Physician UPIN is required, but neither the Legacy nor the NPI UPIN is submitted for a specific detail line. The new edit will replace 05x5 for NPI/UPIN.				X			X	X	
5282.13.1	CWF shall create a new consistency error code 05x7 that will set when an HUBC record is submitted with a HCPCS code in Category 62 and an Ordering Physician UPIN is required, but neither the Legacy nor the NPI UPIN is submitted for a specific detail line. The new edit will replace 05x5 for NPI/UPIN.			X			X		X	
5282.14	CWF shall create a new consistency error code C036 that will set when an HUCM record is submitted and the Ordering Physician UPIN is required, but neither the Legacy nor the NPI UPIN is submitted. The new edit will replace C030 for NPI/UPIN.				X			X	X	
5282.15	CWF shall create a new alert code 76x6 that will set when an HUBC record is submitted that contains the same Intermediary/Carrier HIC number and posted to the PCHL is an HUBC record with the same detail rendering Legacy or NPI UPIN as the Legacy or NPI UPIN on the incoming record. . Other matching criteria in old edit (76x3) will remain. The new edit will parallel with edit 76x3 when NPI	X				X	X		X	
5282.16	CWF shall make changes to allow the NPI UPIN to post to the detail of claims on the Paid Claims History list.	X		X		X	X		X	

Requirement Number	Requirements	FI	RHHI	Carrier	DMERC	FISS	MCS	VMS	CWF	Other
						Shared System Maintainers				Other
5282.17	CWF shall create a new utilization error code 5709 that will set when the detail Legacy or NPI UPIN is the same for a HCPCS code in Category 13 as a posted claim with the same HCPCS code within 90 days. The new edit will parallel with edit 5703 when NPI.			X			X		X	
5282.18	CWF shall create a new utilization error code 5710 that will set when the detail Legacy or NPI UPIN is the same for a HCPCS code in Category 13 as a posted claim with a different HCPCS code within 90 days. The new edit will parallel with edit 5704 when NPI.			X			X		X	
5282.19	CWF shall create a new A/B Crossover error code 7300 to set when the Legacy UPIN or NPI UPIN on an incoming HUHC record is the same as the Legacy UPIN or NPI UPIN on a posted HUBC record. The new edit will parallel with edit 7277 when NPI.	X		X		X	X		X	
5282.20	CWF shall create a new A/B Crossover error code 7300 to set when the Legacy UPIN or NPI UPIN on an incoming HUBC record is the same as the Legacy UPIN or NPI UPIN on a posted HUHC record. The new edit will parallel with edit 7277 when NPI.	X		X		X	X		X	
5282.21	CWF shall modify Trailers 6, 8, 16, 33 and 39 to return the NPI for the UPIN data.	X	X	X	X	X	X	X	X	
5282.22	CWF shall ensure error 8 and 39 to return the detail that cause the reject.								X	

III. PROVIDER EDUCATION

Requirement Number	Requirements	Responsibility ("X" indicates the columns that apply)								
						Shared System Maintainers				Other
		FI	RHHI	Carrier	DMERC	F I SS	MCS	VMS	CWF	
	None									

IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A.   Other Instructions: N/A

X-Ref Requirement #	Instructions

B.   Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C.   Interfaces: N/A

D.   Contractor/Shared System Maintainers Financial Reporting /Workload Impact: N/A

E.   Dependencies: N/A

F.   Testing Considerations: N/A

IV. SCHEDULE, CONTACTS, AND FUNDING

Effective Date*: January 1, 2007 **Implementation Date: January 2, 2007** **Pre-Implementation Contact(s):** Gerald Wright (410) 786-5798 **Post-Implementation Contact(s):** Gerald Wright (410) 786-5798	**No additional funding will be provided by CMS: contractor/shared system maintainers activities shall be carried out within their FY 2007 current operating budgets.**

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