CMS Manual System Pub. 100-07 State Operations Provider Certification

Department of Health & Human Services (DHHS) Centers for Medicare & Medicaid Services (CMS)

Transmittal- 164

Date: November 4, 2016

SUBJECT: Revisions to the State Operations Manual (SOM) Chapter 2

I. SUMMARY OF CHANGES: This transmittal includes revisions to SOM Chapter 2, Sections 2779A and 2779A1. The revisions reflect the addition of new CMS Certification Numbers (CCN) State Codes. Simultaneous changes are being made to the CMS electronic payment systems

NEW/REVISED MATERIAL - EFFECTIVE DATE: November 4, 2016 IMPLEMENTATION DATE: November 4, 2016

Disclaimer for manual changes only: The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (*N/A if manual not updated.*) (R = REVISED, N = NEW, D = DELETED) – (*Only One Per Row.*)

R/N/D	CHAPTER/SECTION/SUBSECTION/TITLE
R	2/2779A/Numbering System for CMS Certification Numbers (CCN)
R	2/2779A1/CCN for Medicare Providers

III. FUNDING: No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2016 operating budgets.

IV. ATTACHMENTS:

	Business Requirements
Χ	Manual Instruction
	Confidential Requirements
	One-Time Notification
	Recurring Update Notification

*Unless otherwise specified, the effective date is the date of service.

2779A - Numbering System for CMS Certification Numbers (CCN)

(Rev.164, Issued: 11-04-16, Effective: 11-04-16, Implementation: 11-04-16)

Effective in 2007, the CCN replaced the term Medicare Provider Number, Medicare Identification Number or OSCAR Number. The CCN is used to verify Medicare/Medicaid certification for survey and certification, assessment-related activities and communications. Additionally, CMS data systems use the CCN to identify each individual provider or supplier that has or currently does participate in Medicare and/or Medicaid. The RO, not the SA or MAC, assigns the CCN and maintains adequate controls.

2779A1 – CCN for Medicare Providers

Rev.164, Issued: 11- 04-16, Effective: 11- 04- 16, Implementation: 11-04-16)

The CCN for providers and suppliers paid under Medicare Part A have 6 digits. The first 2 digits identify the State in which the provider is located. The last 4 digits identify the type of facility.

Following is a list of all State Codes:

Alaska 02 New Jersey $31, 83$ Arizona $03, 00$ New Mexico $32, 96$ Arkansas $04, 89$ New York $33, 57$ California $05, 55, 75, 92$ North Carolina $34, 86$ Colorado $06, 91$ North Dakota 35 Connecticut $07, 81$ Ohio $36, 72$ Delaware 08 Oklahoma $37, 90$ District of Columbia 09 Oregon $38, 93$ Florida $10, 68, 69$ Pennsylvania $39, 73$
Arkansas $04, 89$ New York $33, 57$ California $05, 55, 75, 92$ North Carolina $34, 86$ Colorado $06, 91$ North Dakota 35 Connecticut $07, 81$ Ohio $36, 72$ Delaware 08 Oklahoma $37, 90$ District of Columbia 09 Oregon $38, 93$
California05, 55, 75, 92North Carolina34, 86Colorado06, 91North Dakota35Connecticut07, 81Ohio36, 72Delaware08Oklahoma37, 90District of Columbia09Oregon38, 93
Colorado06, 91North Dakota35Connecticut07, 81Ohio36, 72Delaware08Oklahoma37, 90District of Columbia09Oregon38, 93
Colorado06, 91North Dakota35Connecticut07, 81Ohio36, 72Delaware08Oklahoma37, 90District of Columbia09Oregon38, 93
Delaware08Oklahoma37, 90District of Columbia09Oregon38, 93
District of Columbia 09 Oregon 38, 93
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Florida 10, 68, 69 Pennsylvania 39, 73
Georgia 11, 85 Puerto Rico 40, 84
Hawaii 12 Rhode Island 41
Idaho 13, 54 South Carolina 42, 87
Illinois 14, 78 South Dakota 43
Indiana 15 Tennessee 44, 88
Iowa 16, 76 Texas 45, 67, 74, 97
Kansas 17, 70 Utah 46
Kentucky 18 Vermont 47
Louisiana19, 71, 95Virgin Islands48
Maine 20 Virginia 49
Maryland 21, 80 Washington 50, 94
Massachusetts22, 82West Virginia51, 58
Michigan 23 Wisconsin 52
Minnesota 24, 77 Wyoming 53
Mississippi 25 Canada 56
Missouri 26, 79 Mexico 59
Montana 27 American Samoa 64
Nebraska 28 Guam 65
Nevada29Commonwealth of the66

Northern Marianas Islands Foreign Countries 99 (exceptions: Canada and Mexico)

New State codes must <u>only</u> be used when all of the existing assigned numbers have been assigned within each of the CCN ranges available for a facility type.

Assign the last 4 digits, which identify the facility type, sequentially from within the appropriate CCN range. In a State that has more than one State code and/or a facility type that has more than one CCN range available, ensure that all the numbers available in one CCN range have been assigned before implementing the use of a new State code or numbers in a new CCN range.

For example: You are assigning a CCN to a new Community Mental Health Center (CMHC) in Florida. Florida has three State Codes: 10, 68 and 69 and three number ranges for CMHCs: 1400-1499, 4600-4799, and 4900-4999. You have been using State Code 68 and the CCN range 4900-4999 for CMHC in Florida, and you last assigned 4999 in that State to a CMHC. Given this information, consider the following scenarios:

- Scenario 1. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were assigned. It is determined that all those numbers were previously assigned in Florida. Therefore, look to CMHC CCN range 1400-1499 as those numbers have not been assigned in Florida; assign 681400 as the CCN for the new CMHC that is being processed.
- Scenario 2. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that some, but not all, of the numbers in that CCN range were assigned. The last number in that range that was assigned was 4701. Assign 684702 as the CCN for the new CMHC that is being processed.
- Scenario 3. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that all the numbers in that range were previously assigned in Florida. It is then determined after a review of the CCN records that all of the numbers in CCN range 1400-1499 were previously assigned in Florida. Look to see if all three CMHC CCN ranges for State Code 10 have been assigned. It is determined that all three CCN ranges have been previously assigned. Then look to State Code 69. You find that no CCN for CMHC in Florida has been assigned under State Code 69. The new CCN that will be assigned to the new Florida CMHC will be 691400 because is the first CCN range under State Code 69 listed for CMHCs. However, if it is determined that one of the CMHC CCN ranges under State Code 69 has been previously assigned, begin with the next available number in that CCN range.

NOTE: Once the remaining pool of two digit State numeric codes are exhausted, CMS will implement a two digit alpha-numeric code system for State Codes. For example: A0, A1, A2,... B0, B1, B2,..., Z8, and finally Z9). This numbering system will provide a pool of 260 new State Codes for future use.

0001-0879	Short-term (General and Specialty) Hospitals
0880-0899	Reserved for hospitals participating in ORD demonstration project
0900-0999	Multiple Hospital Component in a Medical Complex (Numbers Retired)
1000-1199	Federally Qualified Health Centers (also CCN range 1800-1989)
1200-1224	Alcohol/Drug Hospitals (Numbers Retired)
1225-1299	Medical Assistance Facilities
1300-1399	Critical Access Hospitals
1400-1499	Continuation of Community Mental Health Centers (also CCN ranges 4600-4799 and 4900-4999)
1500-1799	Hospices
1800-1989	Federally Qualified Health Centers (also CCN range 1000-1199)
1990-1999 2000-2299	Religious Non-medical Health Care Institutions (formerly Christian Science Sanatoria (Hospital Services) Long-Term Care Hospitals (Excluded from IPPS)
2300-2499	Hospital-based Renal Dialysis Facilities
2500-2899	Independent Renal Dialysis Facilities
2900-2999	Independent Special Purpose Renal Dialysis Facility <u>1/</u>
3000-3024	Formerly Tuberculosis Hospitals (Numbers Retired)
3025-3099	Rehabilitation Hospitals (Excluded from IPPS)
3100-3199	Home Health Agencies (also CCN ranges 7000-8499 and 9000-9799)
3200-3299	Continuation of Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 4500-4599 and 4800-4899) Children's Hagnitale (England data INDES)
3300-3399	Children's Hospitals (Excluded from IPPS)
3400-3499	Continuation of Rural Health Clinics (Provider-based) (also CCN ranges 3975-3999 and 8500-8899)
3500-3699	Hospital-based Satellite Renal Dialysis Facilities
3700-3799	Hospital-based Special Purpose Renal Dialysis Facility 1/
3800-3974	Rural Health Clinics (Free-standing) (also CCN range 8900-8999)
3975-3999	Rural Health Clinics (Provider-based) (also CCN ranges 3400-3499 and 8500-8899)
4000-4499	Psychiatric Hospitals (Excluded from IPPS)
4500-4599	Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 3200-3299 and 4800-4899)

Use the following CCN ranges for the facility types indicated:

4600-4799	Community Mental Health Centers (also CCN ranges 1400-1499 and 4900-4999)
4800-4899	Continuation of Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 3200-3299 and 4500-4599)
4900-4999	Continuation of Community Mental Health Centers (also CCN ranges 1400-1499 and 4600-4799)
5000-6499	Skilled Nursing Facilities
6500-6989	Outpatient Physical Therapy Services
6990-6999	Numbers Reserved (formerly Christian Science Sanatoria (Skilled Nursing Services)
7000-8499	Continuation of Home Health Agencies (also CCN ranges 3100-3199 and 9000-9799)
8500-8899	Continuation of Rural Health Clinics (Provider-based) (also CCN ranges 3400-3499 and 3975-3999)
8900-8999	Continuation of Rural Health Clinics (Free-standing) (also CCN range 3800-3974)
9000-9799	Continuation of Home Health Agencies (also CCN ranges 3100-3199 and 7000-8499)
9800-9899	Transplant Centers
9900-9999	Reserved for Future Use
1/	Special Purpose Renal Dialysis Facilities (SPRDFs – these facilities will be assigned the same CCN whenever they are recertified)
NOTE Do	ligious Nonmodical Health Care Institutions (PNHCI) are not certified by

NOTE: Religious Nonmedical Health Care Institutions (RNHCI) are not certified by SAs. The CCN for RNHCIs are assigned by the Boston CMS RO.

EXCEPTION - Organ procurement organizations (OPOs) are assigned a 6-digit alphanumeric CCN. The first 2 digits identify the State Code. The third digit is the alpha character "P." The remaining 3 digits are the unique facility identifier.