| CMS Manual System                     | Department of Health &<br>Human Services (DHHS)   |
|---------------------------------------|---|
| Pub 100-04 Medicare Claims Processing | Centers for Medicare &<br>Medicaid Services (CMS) |
| Transmittal 3532                      | Date: May 27, 2016                                |
|                                       | <b>Change Request 9677</b>                        |

SUBJECT: Annual Update of the International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM)

**I. SUMMARY OF CHANGES:** This instruction provides the Medicare contractors with the annual ICD-10-CM updates. This Recurring Update Notification applies to Chapter 23, Section 10.

### **EFFECTIVE DATE: October 1, 2016**

\*Unless otherwise specified, the effective date is the date of service.

**IMPLEMENTATION DATE: October 3, 2016** 

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

| R/N/D | CHAPTER / SECTION / SUBSECTION / TITLE |  |
|-------|--|--|
| N/A   | N/A                                    |  |

#### III. FUNDING:

#### **For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

#### **IV. ATTACHMENTS:**

**Recurring Update Notification** 

# **Attachment - Recurring Update Notification**

SUBJECT: Annual Update of the International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM)

**EFFECTIVE DATE: October 1, 2016** 

\*Unless otherwise specified, the effective date is the date of service.

**IMPLEMENTATION DATE: October 3, 2016** 

# I. GENERAL INFORMATION

**A. Background:** The ICD-10-CM codes are updated as stated in Pub. 100-04, chapter 23, section 10. The CMS will provide full file replacements to Medicare contractors annually.

**B. Policy:** The updated diagnosis codes are effective for dates of service/discharges on and after October 1.

# II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

| Number | Requirement  | Responsibility |   |             |             |                  |             |             |   |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |
|--------|--|----------------|---|-------------|-------------|------------------|-------------|-------------|---|---|--|---|--|--|---|--|---|--|---|--|----------|--|--|--|-------|
|        |  | A/B<br>MAC     |   |             |             |                  |             |             |   | * |  | • |  |  | * |  | * |  | * |  | M System |  |  |  | Other |
|        |  | A              | В | H<br>H<br>H | M<br>A<br>C | F<br>I<br>S<br>S | M<br>C<br>S | V<br>M<br>S | _ |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |
| 9677.1 | Contractors shall be aware that CMS will announce the availability of the annual ICD-10 diagnosis file replacement, via email, approximately six weeks prior to the beginning of the fiscal year.  |                | X |             | X           |                  |             |             |   |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |
| 9677.2 | Contractors shall download the ICD-10 files from the CMS Data Center.  |                | X |             | X           |                  |             |             |   |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |
| 9677.3 | Contractors shall note that the ICD-10 file name format will be as follows:  Diagnosis Code file:  MU00.ICD10.PARTB.DIAGNOS.Vxxxxxx  NOTE: In the version number "Vxxxxxx", "xxxxxx" represents the date CMS uploaded the file to the mainframe. |                | X |             | X           |                  |             |             |   |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |
| 9677.4 | Contractors shall notify Brian Reitz by email at Brian.Reitz@cms.hhs.gov, if the upload date (the last 6 digits of the version number) does not correspond to the current fiscal year or if there are any issues with                            |                | X |             | X           |                  |             |             |   |   |  |   |  |  |   |  |   |  |   |  |          |  |  |  |       |

| Number | Requirement   | Responsibility |     |     |   |             |   |   |      |      |  |       |
|--------|---|----------------|-----|-----|---|-------------|---|---|------|------|--|-------|
|        |   | A              |     | A/B |   | A/B         |   |   | Shar | red- |  | Other |
|        |   | N              | MAC |     | M | System      |   |   |      |      |  |       |
|        |   |                |     |     | Е | Maintainers |   |   | ers  |      |  |       |
|        |   | A              | В   | Н   |   | F           | M | V | C    |      |  |       |
|        |   |                |     | Н   | M | Ι           | C | M | W    |      |  |       |
|        |   |                |     | Н   | A | S           | S | S | F    |      |  |       |
|        |   |                |     |     | C | S           |   |   |      |      |  |       |
|        | loading the file.                                       |                |     |     |   |             |   |   |      |      |  |       |
|        |   |                |     |     |   |             |   |   |      |      |  |       |
| 9677.5 | Contractors shall evaluate all local edits that contain |                | X   |     | X |             |   |   |      |      |  |       |
|        | ICD-10-CM codes, update, and test as needed.            |                |     |     |   |             |   |   |      |      |  |       |
|        |   |                |     |     |   |             |   |   |      |      |  |       |

#### III. PROVIDER EDUCATION TABLE

| Number | Requirement | Re | Responsibility |             |             |        |
|--------|-------------|----|----------------|-------------|-------------|--------|
|        |             |    | A/B<br>MA(     |             | D<br>M      | C<br>E |
|        |             |    | VIZIC          |             | E           | D      |
|        |             | A  | В              | H<br>H<br>H | M<br>A<br>C | Ι      |
|        | None        |    |                |             |             |        |

## IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

<sup>&</sup>quot;Should" denotes a recommendation.

| X-Ref       | Recommendations or other supporting information: N/A |
|-------------|--|
| Requirement |  |
| Number      |  |

Section B: All other recommendations and supporting information: N/A

#### V. CONTACTS

**Pre-Implementation Contact(s):** Brian Reitz, 410-786-5001 or Brian.Reitz@cms.hhs.gov

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

# VI. FUNDING

# **Section A: For Medicare Administrative Contractors (MACs):**

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

# **ATTACHMENTS: 0**