

OMB #
Expires:

SP ID #: _____

SP NAME: _____

INTERVIEWER NAME: _____

INTERVIEWER ID: _____

FACILITY ID #: _____

START TIME: _____ am/pm

DEPARTMENT OF HEALTH AND HUMAN SERVICES

HEALTH CARE FINANCE ADMINISTRATION

MEDICARE CURRENT BENEFICIARY SURVEY

FACILITY COMPONENT

BACKGROUND

ROUNDS 18 AND 19

ASSURANCE OF CONFIDENTIALITY

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BACKGROUND QUESTIONNAIRE (BQ)

GENERAL INSTRUCTION: REF DATE = FAD

BOX BQ1	If first time through BQ for this respondent, continue; else, go to BQ1PRE2.
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FACR.BQ1LONG

CTRL/E OK
BACKGROUND

BQ1PRE1

The following questions are about {SP's} background including {her/his} life-time use of long-term care, demographics, and {her/his} immediate family. In answering some of these questions, you might find it useful to refer to various records. Some of these questions refer to specific points in time while others are more general in nature. I'd like to begin by asking a few questions about prior use of long-term care.

PRESS ENTER TO CONTINUE.

BOX BQ2	Go to BQ1.
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BQ1PRE2

The following questions are about {SP's} background.

PRESS ENTER TO CONTINUE.

BQ1

The first question is about the time before {SP} was a resident of {FACILITY/ELIGIBLE UNIT} {on or about {REF DATE}}. Before that, had {she/he} ever been in a nursing home, residential care facility including personal care home, or some other kind of long-term care facility?

YES	1	
NO	0	(BQ9PRE)
DK	-8	(BQ9PRE)
RF	-7	(BQ9PRE)

BACK.BLTCEVR

BQ2

What type of facility was that?

PROBE: Which of these was the first one?

NURSING HOME	1
PERSONAL CARE HOME/RESIDENTIAL CARE FACILITY ..	2
OTHER LONG-TERM CARE FACILITY	3

PRESS F1 FOR DEFINITIONS.

BACK.BLTCTYP

BQ3

When was the first time that {SP} ever entered {a nursing home {,}/a residential care facility including a personal care home {or}/some other kind of long-term care facility}?

MONTH () YEAR 19()

DK	-8
RF	-7

BACK.BLTCMM .BLTCYY

BOX BQ3

If DK to YR, go to BQ4.
If YR = RF, go to BQ5.
If FLU YR = REF DATE YR, go to BQ9PRE, otherwise go to BQ5.

BQ4

About how long ago was it? Would you say...

about 1 year,	1
about 2 years,	2
about 5 years, or	3
10 or more years?	4

BACK.BLTCYAGO

BQ5

How many different nursing homes, personal care homes, or other long-term care facilities had {SP} been in prior to {FACILITY/ELIGIBLE UNIT} {in which {he/she} was a resident on or about {REF DATE}}?

NUMBER OF LTC FACILITIES

BACK.BLTCTNUM

BQ6

Between {her/his} first use of long-term care {in {FLU YR}} and when {she/he} entered a nursing home or personal care home {on or about {REF DATE}}, how much of that time did {SP} spend in a nursing home, personal care home, or other long-term care facility? Would you say ...

all,	1	(BQ9PRE)
more than half, or	2	
half or less than half?	3	(BQ8)
DK	-8	(BQ9PRE)
RF	-7	(BQ9PRE)

BACK.BLTCTIME

BQ7

Would you say more or less than three-fourths of {her/his} time?

MORE	1	(BQ9PRE)
LESS	2	(BQ9PRE)
DK	-8	(BQ9PRE)
RF	-7	(BQ9PRE)

BACK.BLTCT75P

BQ8

Would you say more or less than one-fourth of {her/his} time?

MORE	1
LESS	2

BACK.BLTCT25P

BQ9PRE

CTRL/E OK

DEMOGRAPHICS

The next few questions are about {SP's} {level of education,} race, ethnicity, and military service.

PRESS ENTER TO CONTINUE.

BOX BQ4	If HA51 of Health Status is -8 (DK), -7 (RF), or -1, go to BQ9; else go to BQ10.
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BQ9

As far as you know, what {is/was} the highest level of schooling {SP} completed?

IF DK, USE CATEGORIES AS PROBES.

NO FORMAL SCHOOLING	1
ELEMENTARY (1ST-8TH GRADES)	2
SOME HIGH SCHOOL (9TH-12TH GRADES)	3
COMPLETED HIGH SCHOOL, NO COLLEGE	4
TECHNICAL OR TRADE SCHOOL	5
SOME COLLEGE	6
COLLEGE GRADUATE	7
GRADUATE DEGREE	8
DK	-8
RF	-7

BACK.BEDULEV

BQ10

Which of the following best describes {her/his} racial background? {Is/Was} {SP}...

American Indian,	1
Alaskan Native,	2
Asian or Pacific Islander,	3
black, or	4
white?	5
OTHER (SPECIFY:_____)	91

BACK.BRACE .BRACEOS

PERM.BQRETFLG

BQ11

{Is/Was} {SP} of Hispanic descent?

YES	1
NO	0

BACK.BHISPAN

BOX BQ5	If SP < 15 years old, go to BQ18PRE; else, continue.
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BQ12

Did {SP} ever serve on active duty in the Armed Forces?

YES	1	
NO	0	(BQ12B)
DK	-8	(BQ12B)
RF	-7	(BQ12B)

BACK.BEVERAF

BQ12A

Looking at this card, which time periods best describe when {SP} served in the Armed Forces?
SELECT ALL THAT APPLY.

SHOW CARD BQ1

VIETNAM ERA (Aug. 1964 - May 1975)
KOREAN CONFLICT (June 1950 - Jan. 1955)
WORLD WAR II (Sept. 1940 - July 1947)
WORLD WAR I (1917 - 1918)
PEACE TIME ONLY (ALL OTHER TIMES)
DON'T KNOW

BACK.BVIETNAM .BKOREA .BWWARII .BWWARI .BALLOTHR

BQ12B

Was {SP} ever an active member of a National Guard or military reserve unit of the United States?

YES	1	
NO	0	(BOX BQ5A)
DK	-8	(BOX BQ5A)
RF	-7	(BOX BQ5A)

BACK.BEVERNG

BQ12C

Was all of {SP's} active duty related to National Guard or military reserve training?

YES	1 (BQ12D)
NO	0 (BQ12D)

BACK.BNGDUTY

BOX
BQ5A

If BQ12 = 1, continue; else, go to BQ13PRE.

BQ12D

Does {SP} have a disability related to (his/her) service in the Armed Forces of the United States?

YES	1	
NO	0	(BQ13PRE)
DK	-8	(BQ13PRE)
RF	-7	(BQ13PRE)

BACK.BVADISAB

BQ12E

What is {SP's} current VA disability rating?

RATING = _____ %

BACK.BVARATE

BQ13PRE

CTRL/E OK

IMMEDIATE FAMILY

Next I am going to ask you some questions about {SP's} marital status and immediate family.

PRESS ENTER TO CONTINUE.

BOX BQ5B	If CFC or SSM2, go to BQ14. Else, go to BQ13.
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BQ13

On January 1, {DOI YEAR}, was {SP} married, widowed, divorced, separated, or never married?

MARRIED	1	(BQ15)
WIDOWED	2	
DIVORCED	3	
SEPARATED	4	
NEVER MARRIED	5	(BQ18PRE)
DK	-8	
RF	-7	

BACK.BMRJAN

BQ14

When {SP} was admitted to {FACILITY/ELIGIBLE UNIT} on {FAD}, was {he/she} married, widowed, divorced, separated, or never married?

MARRIED	1	
WIDOWED	2	(BQ18PRE)
DIVORCED	3	(BQ18PRE)
SEPARATED	4	(BQ18PRE)
NEVER MARRIED	5	(BQ18PRE)
DK	-8	(BQ18PRE)
RF	-7	(BQ18PRE)

BACK.BMRKFAD

PERM.BQRETFLG

BQ15

Please look at this card and tell me where {SP's} {husband/wife} lives now.

SHOW
CARD
BQ2

IN THIS FACILITY	1
OTHER NURSING HOME/REHAB CENTER	2
PERSONAL CARE HOME/RESIDENTIAL CARE FACILITY ..	3
CCRC/RETIREMENT HOME/CENTER	4
HOSPITAL	5
PRIVATE HOME OR APARTMENT	6
SPOUSE DECEASED	7
OTHER LTC FACILITY	8
OTHER (SPECIFY: _____)	91

BACK.BHWLIVES .BHWLIVOS

BOX BQ7

If BQ15 = 1 and FACILITY has more than one part, go to BQ15a; else if BQ15 = 6, go to BQ16; otherwise go to BOX BQ9.

BQ15a

In which part of {LARGER FACILITY} does {he/she} live?

PROBE: Is it [READ NAMES FROM PLACE ROSTER]?

USE ARROW KEYS. TO SELECT/DESELECT, PRESS ENTER.
TO EXIT, PRESS ESC.

BACK.XBQPLACE

BOX BQ8

Go to BOX BQ9.

BQ16

Who owns that home or apartment, {SP} and/or {her/his} spouse, a relative, or does someone else, such as a landlord, own it?

SP/SPOUSE OWNS HOME/APARTMENT	1
RELATIVE OWNS HOME/APARTMENT	2
SOMEONE ELSE OWNS HOME/APARTMENT	3

BACK.BOWNWHO

BOX BQ9	If BQ15 = "7" go to BQ18PRE; else go to BQ17.
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BQ17

In general, compared to other people of {her/his} age would you say {SP's} {husband's/wife's} health is excellent, very good, good, fair, or poor?

- EXCELLENT 1
- VERY GOOD 2
- GOOD 3
- FAIR 4
- POOR 5

BACK.BHWHLTH

BQ18PRE

CTRL/E OK

The next few questions are about {SP's} immediate family.

PRESS ENTER TO CONTINUE.

BOX BQ10	If SP < 15 years old, go to BQ20; else, continue.
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BQ18-21

How many {VARIABLE TEXT} altogether does {SP} have, including any who may live far away?

BQ18

Living daughters? ()

PRESS F1 FOR DEFINITION OF DAUGHTER.

BACK.BTOTLDAU

BQ19

Living sons? ()

PRESS F1 FOR DEFINITION OF SON.

BACK.BTOTLSON

BQ20

Living sisters? ()

PRESS F1 FOR DEFINITION OF SISTER.

BACK.BTOTLSIS

BQ21

Living brothers? ()

PRESS F1 FOR DEFINITION OF BROTHER.

BACK.BTOTLBRO

BOX
BQ11

Check SP date of birth or age from Residence History.
If SP AGE \geq 65, go to BQ24; else go to BQ22-23.

BQ22-23
SAMPLE LAYOUT

BQ22-23

Is {SP's} {VARIABLE TEXT} still living?

BQ22

Mother?

YES = 1, NO = 0
()

BQ23

Father?

()

PRESS F1 FOR DEFINITIONS OF MOTHER AND FATHER.

BACK.BLIVMOTH
BACK.BLIVFATH

BQ24

In studies like this, people are sometimes grouped together according to income.

Looking at this card, please tell me what is the total yearly income for {SP} {and (his/her) spouse} received from jobs, businesses, interest, Social Security, Railroad Retirement, Supplemental Security Income (SSI), pensions, and any other sources of income, before taxes or any deductions.



AMOUNT \$ _____ (BQEND)
 DK (BQ25)
 RF (BQ25)

BACK.BINCOAMT

BQ25

Is it less than \$25,000?

YES 1
 NO 0 (BQ27)
 DK -8 (BQEND)
 REFUSED -7 (BQEND)

BACK.BLESS25

BQ26

Would you say it is...

Less than \$5,000; 1
 \$5,000 to \$9,999; 2
 \$10,000 to \$14,999; 3
 \$15,000 to \$19,999; or 4 } (BQEND)
 \$20,000 to \$24,999?
 DK -8
 REFUSED -7

BACK.BHOWLESS

BQ27

Would you say it is...

\$25,000 to \$29,999; 1
 \$30,000 to \$34,999; 2
 \$35,000 to \$39,999; 3
 \$40,000 to \$44,999; 4
 \$45,000 to \$49,999; or 5
 \$50,000 or more? 6

BACK.BHOWMORE

BQEND

YOU HAVE COMPLETED THE BACKGROUND SECTION FOR THIS SP.

PRESS ENTER TO RETURN TO NAVIGATION SCREEN.