

Resource Handout

Medicaid Medical Record Documentation Resource Handout

December 2015

This resource handout contains a list of resources useful to health care professionals with regard to Medicaid documentation and billing rules. This is not intended to be a complete list.

Centers for Medicare & Medicaid Services

- Centers for Medicare & Medicaid Services. Overview. Payment Error Rate Measurement (PERM). <https://www.cms.gov/perm/>
- Centers for Medicare & Medicaid Services. The State Medicaid Manual. <https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Paper-Based-Manuals-Items/CMS021927.html>
- Centers for Medicare & Medicaid Services. The State Medicaid Manual. Outpatient Psychiatric Services. 4221D. Documentation. <https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Paper-Based-Manuals-Items/CMS021927.html>
- Centers for Medicare & Medicaid Services. (2014, November). Evaluation and Management Services Guide (p. 3). https://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNProducts/downloads/eval_mgmt_serv_guide-ICN006764.pdf
- Centers for Medicare & Medicaid Services. CMS ICD-10. <https://www.cms.gov/Medicare/Coding/ICD10/>
- Program Integrity: Electronic Health Records Toolkit. <https://www.cms.gov/Medicare-Medicaid-Coordination/Fraud-Prevention/Medicaid-Integrity-Education/electronic-health-records.html>

Code of Federal Regulations

- State Fiscal Administration, 42 C.F.R. § 433.32(b). <http://www.ecfr.gov/cgi-bin/text-idx?SID=0510e9c6ac72dcfad686a9acc44378fe&node=42:4.0.1.1.4.1.21.5&rgn=div8>
- Sufficiency of Amount, Duration, and Scope, 42 C.F.R. § 440.230(d). <http://www.ecfr.gov/cgi-bin/text-idx?SID=bb6449533203aeff6024d38cde9f49c6&node=42:4.0.1.1.9.2.112.5&rgn=div8>



- Utilization Control: Hospitals. Certification and Recertification of Need for Inpatient Care, Subpart C. 42 C.F.R. § 456.60(a). http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=323fbb9d009e0f8ac4b53d47d9126745&mc=true&n=pt42.4.456&r=PART&ty=HTML%23se42.4.456_160#se42.4.456_160
- Post-Payment Review Process, 42 C.F.R. § 456.23. http://www.ecfr.gov/cgi-bin/text-idx?SID=0ea3f974b7a2e0114150299c0418791e&mc=true&node=se42.4.456_123&rgn=div8
- Basic Hospital Functions, Subpart C. 42 C.F.R. § 482.24. http://www.ecfr.gov/cgi-bin/text-idx?SID=ecb700eb90201cd166ca88713ac5fb54&mc=true&node=se42.5.482_124&rgn=div8

Social Security Act

- Social Security Act § 1902(a)(27)(A) and § 1902(a)(30)(A). https://www.ssa.gov/OP_Home/ssact/title19/1902.htm
- Social Security Act § 1902(a)(68). State Plans for Medical Assistance. https://www.ssa.gov/OP_Home/ssact/title19/1902.htm
- Social Security Act § 1848(a)(7). Payment for Physicians' Services. https://www.ssa.gov/OP_Home/ssact/title18/1848.htm
- Social Security Act § 1128A(a)(1)(C). Civil Monetary Penalties. https://www.ssa.gov/OP_Home/ssact/title11/1128A.htm
- Social Security Act § 1128J(d)(1–2). https://www.ssa.gov/OP_Home/ssact/title11/1128J.htm

U.S. Department of Health and Human Services, Office of Inspector General

- A Roadmap for New Physicians: Avoiding Medicare and Medicaid Fraud and Abuse (p. 12). https://oig.hhs.gov/compliance/physician-education/roadmap_web_version.pdf
- Transcript for Audio Podcast: Importance of Documentation. <https://oig.hhs.gov/newsroom/podcasts/2011/heat/heat09-trans.asp>
- Not All Recommended Fraud Safeguards Have Been Implemented in Hospital EHR Technology. <https://oig.hhs.gov/oei/reports/oei-01-11-00570.pdf>

Self-Audit Tool Sources

- Magellan Behavioral Health, Inc. Magellan National Provider Handbook and Appendices. (2015). <https://www.magellanprovider.com/news-publications/handbooks.aspx>
- Blue Cross Blue Shield Medical Record Audit Tool. <http://www.southshorehospital.org/workfiles/SSPHO//BCBS%20Medical%20Record%20Audit%20Tool.pdf>
- Link Form Template. Medical Records Audit Tool Template. http://www.linkformtemplate.com/detail_form_template/m/e/Medical_records_audit_tool_free_ebook_downloads_322751.html
- Ophthalmic Mutual Insurance Company. Medical Record Audit Form. <http://www.omic.com/medical-record-audit-form/>

Other Sources

- U.S. Government Accountability Office. (2011, March 9). Testimony. Medicare and Medicaid Fraud, Waste, and Abuse. Effective Implementation of Recent Laws and Agency Actions Could Help Reduce Improper Payments. [Highlights page, left column, para. 2]. <http://www.gao.gov/new.items/d11409t.pdf>
- Improper Payments Elimination and Recovery Act. (2010, July 22). Pub. L. 111-204, 124 Stat. 2227 (f)(1&2). http://www.whitehouse.gov/sites/default/files/omb/financial/_improper/PL_111-204.pdf
- Magellan Behavioral Health, Inc. Medical Necessity Criteria Guidelines 2015. <http://www.magellanprovider.com/media/1771/mnc.pdf>
- American Medical Association. ICD-10-CM 2016: The Complete Official Draft Code Set.
- American Medical Association. CPT 2016 Professional Edition.

To see the electronic version of this resource handout and the other products included in the “Documentation Matters” Toolkit, visit the Medicaid Program Integrity Education page at <http://www.cms.gov/Medicare-Medicaid-Coordination/Fraud-Prevention/Medicaid-Integrity-Education/edmic-landing.html> on the CMS website.

Follow us on Twitter  [#MedicaidIntegrity](https://twitter.com/MedicaidIntegrity)

Disclaimer

This resource handout was current at the time it was published or uploaded onto the web. Medicaid and Medicare policies change frequently so links to the source documents have been provided within the document for your reference.

This resource handout was prepared as a service to the public and is not intended to grant rights or impose obligations. This resource handout may contain references or links to statutes, regulations, or other policy materials. The information provided is only intended to be a general summary. Use of this material is voluntary. Inclusion of a link does not constitute CMS endorsement of the material. We encourage readers to review the specific statutes, regulations, and other interpretive materials for a full and accurate statement of their contents.

December 2015