# **Risk Adjustment Technical Steps and Risk Factor Specifications**

Effective January 1, 2023 Last Updated: December 23, 2022

# I. Background

The Centers for Medicare & Medicaid Services (CMS) maintains, re-evaluates and implements changes to the OASIS data item set and develops, implements, maintains and re-evaluates quality measures that are reflective of quality of care provided by home health agencies (HHAs) in the home setting. For outcome measures, the re-evaluation process includes evaluating and updating, as needed, the risk adjustment models used to adjust for patient characteristics at admission, to ensure they are adequately robust and allow for valid comparison across providers. OASIS-based home health outcome measures are risk adjusted using OASIS items that are statistically significant and clinically relevant predictors of the outcome.

The updated risk models in this document re-produce the prior risk adjustment models after revising the following risk factors to accommodate changes in OASIS-E:

- Depression Screening (PHQ-2 Score) was replaced with Patient Mood Screening (PHQ-2 to 9)
- Home Care Diagnoses were replaced with CMS-Hierarchical Condition Categories (CMS-HCCs)

All other risk factors remain the same as the January 1, 2021 update. The next major update of the risk adjustment models is planned to take effect in CY 2024. During that update, the risk adjustment models will be based on refreshed data and all risk factors will be re-tested for inclusion.

The latest risk adjustment update is applicable to home health quality episodes with a start of care/resumption care assessment completion date (M0090\_ASMT\_CMPLT\_DT) on or after begin January 1, 2023. The OASIS-based outcome measures for which the updated risk adjustment models apply starting January 1, 2023 are:

- Improvement in Ambulation/Locomotion
- Improvement in Bathing
- Improvement in Bed Transferring
- Improvement in Bowel Incontinence
- Improvement in Confusion Frequency
- Improvement in Dyspnea
- Improvement in Lower Body Dressing
- Improvement in Upper Body Dressing
- Improvement in Management of Oral Medications
- Improvement in Toilet Transferring
- Discharged to Community

<u>Section II</u> describes the technical steps for calculating risk adjusted measures and describes the development of the risk adjustment models. <u>Section III</u> contains the detailed specifications for each of the risk factors used in the risk adjustment models.

<u>Appendix Table 1</u> and <u>Appendix Table 2</u> contains the list of risk factors and the estimated covariate coefficients for each measure, respectively.

# II. Technical Approach

# **Calculating Risk Adjusted Quality Measures**

The following steps are used to calculate each quality measure:

A. Calculate the agency observed score (steps 1 through 3)

#### **Step 1.** Calculate the denominator count:

Calculate the total number of quality episodes that do not meet the exclusion criteria following each measure's specifications. <sup>1</sup>

#### **Step 2.** Calculate the numerator count:

Calculate the total number of quality episodes in the denominator whose OASIS assessments indicates meeting numerator criteria for each month, following each measure's specifications.

#### **Step 3.** Calculate the agency's observed rate:

Divide the agency's numerator count by its denominator count to obtain the agency's observed rate; that is, divide the result of **step 2** by the result of **step 1**.

B. Calculate the predicted rate for each quality episode (steps 4 and 5)

**Step 4.** Determine presence or absence of the risk factors for each patient (technical specifications for risk factors are in **Section III**):

If dichotomous risk factors are used, assign values, either '0' for condition not present or '1' for condition present, for each quality episode for each of the covariates as reported at SOC/ROC, as described in the section above.

**Step 5.** Calculate the predicted rate for each quality episode with the following formula:

[1] Episode-level predicted QM rate =  $1/[1+e^{-x}]$ 

Where e is the base of natural logarithms and X is a linear combination of the constant and the logistic regression coefficients times the covariate scores (from Formula [2], below).

[2] Quality measure triggered (yes=1, no=0) =  $B_0 + B_1*COV_1 + B_2*COV_2 + ... B_N*COV_N$ 

Where  $B_0$  is the logistic regression constant,  $B_1$  is the logistic regression coefficient for the first covariate,  $COV_1$  is the episode-level rate for the first covariate,  $B_2$  is the logistic regression coefficient for the second covariate, and  $COV_2$  is the episode-level rate for the second

<sup>&</sup>lt;sup>1</sup> Measure specifications are available in the Downloads section of the Home Health Quality Measures website: https://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/HomeHealthQualityInits/Home-Health-Quality-Measures.html

covariate, etc. The regression constant and regression coefficients are provided in <u>Appendix</u> Table 2.

C. Calculate the agency predicted rate (step 6)

**Step 6.** Once a predicted QM rate has been calculated for all quality episodes, calculate the mean agency-level predicted QM rate by averaging all episode-level predicted values for that agency for each month.

D. Calculate national predicted rate (step 7)

**Step 7.** Calculate the national predicted rate:

Once a predicted QM value has been calculated for all episodes, calculate the mean national-level predicted QM rate by averaging all episode-level predicted values for each month. Note that the sample will include only those quality episodes with non-missing data for the component covariates.

E. Calculate the agency's risk-adjusted rate (step 8)

**Step 8.** Calculate the agency-level monthly risk-adjusted rate based on the agency-level monthly observed quality measure rate (**step 3**), agency-level monthly mean predicted quality measure rate (**step 6**), and national monthly mean predicted QM rate (**step 7**), using the following formula:

[3] agency risk adjusted rate = agency observed rate + national predicted rate – agency predicted rate

F. Calculate the agency's 12-month risk adjusted rate (step 9)

**Step 9.** Calculate the 12-month risk-adjusted rate by averaging the agency's monthly risk-adjusted rate (**step 8**) weighting by the HHA's number of episodes in each month over the 12-month period.

If the adjusted rate is greater than 100%, the adjusted rate is set to 100%. Similarly, if the result is a negative number, the adjusted rate is set to zero.

## **Identifying Risk Factors**

The risk adjustment model was developed using OASIS national repository data from assessments submitted between January 1, 2021 and December 31, 2021 (~6.2 million episodes of care). The population of 6.2 million episodes for calendar year 2021 was split in half such that 3.1 million episodes were used as a developmental sample and 3.1 million episodes were used as a validation sample. The following process was used to identify unique contributing risk factors to the prediction model:

1. Risk factors were identified based on OASIS items that will remain following the OASIS-E transition. The statistical properties of the items were examined to specify risk factors (e.g., item responses were grouped when there was low prevalence of certain responses). Team clinicians then reviewed all risk factors for clinical relevance and redefined or updated risk factors as necessary. These risk factors were divided into 31 risk factor groups (e.g., functional status, CMS-HCCs). Where possible, risk factors were defined such that they flagged mutually exclusive subgroups within each content focus group. When modelling these risk factors, the exclusion category was set to be either the risk factor flag for most independent or the most frequent within each content focus group.

- A logistic regression specification was used to estimate coefficients among the full set of candidate risk factors. Those risk factors that are statistically significant at probability <0.0001 are kept for further review.
- 3. Each risk factor flagged in Step 2 was reviewed to determine which one of the two groups its content focus group resided. Either its content focus group was explicitly tiered by increasing severity or it was not. This classification determined which risk factors covariates were kept and which were dropped from the final risk adjustment specification. For content focus groups that are explicitly tiered by increasing severity, either all risk factors are included within a content focus group or none of them. For example, if response option levels 1 and 2 for M1800 Grooming were statistically significant at probability <0.0001 for a particular outcome, then response option level 3 for M1800 Grooming was added to the list even if it was not statistically significant. If none of the risk factors within an explicitly tiered content focus group are statistically significant at <0.0001, the entire content focus group is removed from the model.
- 4. A logistic regression was computed on the list of risk factors that had achieved probability <0.0001 in Step 3 above.
- 5. Goodness of fit statistics (McFadden's R², C-statistic, and Intra-Class Correlation) were calculated to measure how well the predicted values generated by the prediction model were related to the actual outcomes. Separate bivariate correlations were constructed between the risk factors and the outcomes to confirm the sign and strength of the estimated coefficients in the logistic model.
- 6. The initial model was reviewed by a team of at least three experienced home health clinicians. Each risk factor was reviewed for its clinical plausibility. Clinicians were asked about the direction indicated by the coefficient in the risk adjustment model and how it compares to their perceived bivariate relationship given their experience treating patients in the home. Risk factors that were not clinically plausible were revised or eliminated if revisions were not possible.
- 7. The risk factors that were deemed not clinically plausible were revised or eliminated, and Steps 3, 4, and 5 in this process were repeated. The resulting logistic regression equation was designated as the risk adjustment model for the outcome.
- 8. The risk adjustment model was applied to the validation sample and goodness of fit statistics were computed. The statistics were similar to the goodness of fit statistics computed with the development sample. As additional testing, HHAs were stratified across several observable characteristics, and the distributions of the risk-adjusted outcomes were checked to confirm that values remained similar across strata.
- 9. Updated for January 1, 2023 as outlined in <u>Section I</u>: Risk adjustment models were re-run after revising two risk factors(i.e., replacing depression screening with patient mood screening and home care diagnoses with HCCs).

# III. Risk Factor Technical Specifications

OASIS data items are referred to in this documentation using field names specified in OASIS Data Submission Specifications published by CMS. "[1]" is appended to the field name if the value is taken from the beginning of episode assessment (Start or Resumption of Care), and "[2]" is appended if the value is to be taken from the assessment conducted at the end of the episode (Discharge, Transfer, or Death).

## 1. Age

```
IF M0100_ASSMT_REASON[1] = 01
THEN
       IF MONTH(M0030 START CARE DT[1]) > MONTH(M0066 PAT BIRTH DT[1]) OR
       (MONTH(M0030 START CARE DT[1]) = MONTH(M0066 PAT BIRTH DT[1])
      AND DAY(M0030 START CARE DT[1]) >= DAY(M0066 PAT BIRTH DT[1]))
      THEN
             AGE = YEAR(M0030 START CARE DT[1]) - YEAR(M0066 PAT BIRTH DT[1])
      ELSE
             AGE = YEAR(M0030_START_CARE_DT[1]) - YEAR(M0066_PAT_BIRTH_DT[1])-1
      END IF
ELSE
      IF MONTH(M0032 ROC DT[1]) > MONTH(M0066 PAT BIRTH DT[1])OR
      (MONTH(M0032 ROC DT[1]) = MONTH(M0066 PAT BIRTH DT[1]) AND
       DAY(M0032 ROC DT[1]) >= DAY(M0066 PAT BIRTH DT[1]))
      THEN
             AGE = YEAR(M0032_ROC_DT[1]) - YEAR(M0066_PAT_BIRTH_DT[1])
      ELSE
             AGE = YEAR(M0032\_ROC\_DT[1]) - YEAR(M0066\_PAT\_BIRTH\_DT[1])-1
      END IF
END IF
Age: 0-54
IF (AGE >= 18 AND AGE <= 54)
THEN
      AGE 0.54 = 1
ELSE
      AGE 0.54 = 0
END IF
Age: 55-59
IF (AGE >= 55 AND AGE <= 59)
THEN
      AGE_55_59 = 1
ELSE
      AGE_55_59 = 0
END IF
```

Age: 60-64

**IF** (AGE >= 60 **AND** AGE <= 64)

THEN

 $AGE_{60_{64}} = 1$ 

**ELSE** 

 $AGE_{60}_{64} = 0$ 

**END IF** 

Age: 65-69

**IF** (AGE >= 65 **AND** AGE <= 69)

**THEN** 

 $AGE_65_69 = 1$ 

**ELSE** 

 $AGE_65_69 = 0$ 

**END IF** 

Age: 70-74

**IF** (AGE >= 70 **AND** AGE <= 74)

**THEN** 

AGE\_70\_74 = 1

**ELSE** 

 $AGE_{70_{74} = 0$ 

**END IF** 

Age: 75-79

**IF** (AGE >= 75 **AND** AGE <= 79)

THEN

 $AGE_{75_{79}} = 1$ 

**ELSE** 

 $AGE_{75_{79}} = 0$ 

**END IF** 

Age: 80-84

**IF** (AGE >= 80 **AND** AGE <= 84)

**THEN** 

 $AGE_{80}_{84} = 1$ 

**ELSE** 

 $AGE_{80}_{84} = 0$ 

**END IF** 

Age: 85-89

**IF** (AGE >= 85 **AND** AGE = 89)

**THEN** 

 $AGE_85_89 = 1$ 

**ELSE** 

 $AGE_85_89 = 0$ 

```
Age: 90-94
IF (AGE >= 90 AND AGE <= 94)
THEN
       AGE_{90}_{94} = 1
ELSE
       AGE_{90}_{94} = 0
END IF
Age: 95+
IF (AGE >= 95)
THEN
       AGE_95PLUS = 1
ELSE
       AGE_95PLUS = 0
END IF
2. Gender
IF M0069 PAT GENDER[1] = 2
THEN
       GENDER = 1
ELSE
       GENDER = 0
END IF
Patient is Female
IF GENDER = 1
THEN
       GENDER_FEMALE = 1
ELSE
       GENDER_FEMALE = 0
END IF
Patient is Male
IF GENDER = 0
THEN
       GENDER_MALE = 1
ELSE
       GENDER_MALE = 0
```

# 3. Payment Source

**END IF** 

Payment Source: Medicare FFS only

IF M0150\_CPAY\_MCARE\_FFS[1] = 1 AND M0150\_CPAY\_NONE[1] = 0 AND M0150\_CPAY\_MCAID\_FFS[1] = 0 AND M0150\_CPAY\_MCAID\_FFS[1] = 0 AND M0150\_CPAY\_MCAID\_HMO[1] = 0 AND M0150\_CPAY\_WRKCOMP[1] = 0 AND

```
M0150_CPAY_TITLEPGMS[1] = 0 AND M0150_CPAY_OTH GOVT[1] = 0 AND
M0150 CPAY PRIV INS[1] = 0 AND M0150 CPAY PRIV HMO[1] = 0 AND
M0150 CPAY SELFPAY[1] = 0 AND M0150 CPAY OTHER[1] = 0 AND M0150 CPAY UK [1] = 0
THEN
      PAY MCARE FFS = 1
ELSE
      PAY_MCARE_FFS = 0
END IF
Payment Source: Medicare HMO only
IF M0150 CPAY MCARE HMO[1] = 1 AND M0150 CPAY NONE[1] = 0 AND
M0150 CPAY MCARE FFS[1] = 0 AND M0150 CPAY MCAID FFS[1] = 0 AND
M0150 CPAY MCAID HMO[1] = 0 AND M0150 CPAY WRKCOMP[1] = 0 AND
M0150 CPAY TITLEPGMS[1] = 0 AND M0150 CPAY OTH GOVT[1] = 0 AND
M0150 CPAY PRIV INS[1] = 0 AND M0150 CPAY PRIV HMO[1] = 0 AND
M0150_CPAY_SELFPAY[1] = 0 AND M0150_CPAY_OTHER[1] = 0 AND M0150_CPAY_UK[1] = 0
THEN
      PAY_MCARE_HMO = 1
ELSE
      PAY_MCARE_HMO = 0
END IF
Payment Source: Medicare and Medicaid
IF ((M0150 CPAY MCARE FFS[1] = 1 AND M0150 CPAY MCAID FFS[1] = 1) OR
(M0150_CPAY_MCARE_FFS[1] = 1 AND M0150_CPAY_MCAID_HM0[1] = 1) OR
(M0150 CPAY MCARE HMO[1] = 1 AND M0150 CPAY MCAID FFS[1] = 1) OR
(M0150 CPAY MCARE HMO[1] = 1 AND M0150 CPAY MCAID HMO[1] = 1) OR
(M0150 CPAY MCARE FFS[1] = 1 AND M0150 CPAY MCARE HM0[1] = 1 AND
M0150_CPAY_MCAID_FFS[1] = 1) OR (M0150_CPAY_MCARE_FFS[1] = 1 AND
M0150 CPAY MCARE HMO[1] = 1 AND M0150 CPAY MCAID HMO[1] = 1) OR
(M0150 CPAY MCARE FFS[1] = 1 AND M0150 CPAY MCAID FFS[1] = 1 AND
M0150_CPAY_MCAID_HMO[1] = 1) OR (M0150_CPAY_MCARE_HMO[1] = 1 AND
M0150 CPAY MCAID FFS[1] = 1 AND M0150 CPAY MCAID HM0[1] = 1)) AND
M0150 CPAY NONE[1] = 0 AND M0150 CPAY WRKCOMP[1] = 0
THEN
      PAY MCAREANDMCAID = 1
ELSE
      PAY MCAREANDMCAID = 0
END IF
Payment Source: Medicaid Only
IF (M0150 CPAY MCAID FFS[1] = 1 OR M0150 CPAY MCAID HM0[1] = 1) AND
M0150_CPAY_NONE[1] = 0 AND M0150_CPAY_MCARE_FFS[1] = 0 AND
M0150 CPAY MCARE HMO[1] = 0 AND M0150 CPAY WRKCOMP[1] = 0 AND
M0150 CPAY TITLEPGMS[1] = 0 AND M0150 CPAY OTH GOVT[1] = 0 AND
M0150 CPAY PRIV INS[1] = 0 AND M0150 CPAY PRIV HMO[1] = 0 AND
M0150 CPAY SELFPAY[1] = 0 AND M0150 CPAY OTHER[1] = 0 AND M0150 CPAY UK[1] = 0
THEN
```

```
PAY_MCAID_ONLY = 1
ELSE
       PAY MCAID ONLY = 0
END IF
Payment Source: Other Combinations
IF PAY_MCARE_FFS = 0 AND PAY_MCARE_HMO = 0 AND PAY_MCAREANDMCAID = 0 AND
PAY_MCAID_ONLY = 0
THEN
       PAY_OTHER_COMBO = 1
ELSE
       PAY_OTHER_COMBO = 0
END IF
4. SOC/ROC and Admission Source
Discharged from facility in past 14 days
IF (M1000_DC_IPPS_14_DA[1] = 01 OR M1000_DC_SNF_14_DA[1] = 01 OR M1000_DC_IRF_14_DA[1] = 01 OR
M1000_DC_LTC_14_DA[1] = 01 OR M1000_DC_LTCH_14_DA[1] = 01 OR M1000_DC_PSYCH_14_DA[1] = 01)
THEN
       INPT_FACILITY = 1
ELSE
       INPT_FACILITY = 0
END IF
Start of Care - Further visits planned: Discharged from facility in past 14 days
IF (M0100 ASSMT REASON[1] = 01 AND INPT FACILITY = 1)
THEN
       SOC_INPT = 1
ELSE
       SOC_INPT = 0
END IF
Start of Care - Further visits planned: Not Discharged from facility in past 14 days
IF (M0100 ASSMT REASON[1] = 01 AND INPT FACILITY = 0)
THEN
       SOC COMM = 1
ELSE
       SOC_COMM = 0
END IF
Resumption of care (after inpatient stay)
IF (M0100 ASSMT REASON[1] = 03)
THEN
       ROC = 1
ELSE
       ROC = 0
```

## 5. Post-Acute Facility Admission Source

```
Not discharged from post-acute facility past 14 days
IF M1000_DC_SNF_14_DA[1] = 1 OR M1000_DC_IRF_14_DA[1] = 1 OR M1000_DC_NF_14_DA[1] = 1 OR
M1000_DC_LTCH_14_DA[1] = 1 OR M1000_DC_PSYCH_14_DA[1] = 1
THEN
       INPT_NOPOSTACUTE = 0
ELSE
       INPT NOPOSTACUTE = 1
END IF
Discharged from post-acute facility past 14 days
IF M1000 DC SNF 14 DA[1] = 1 OR M1000 DC IRF 14 DA[1] = 1 OR M1000 DC NF 14 DA[1] = 1 OR
M1000_DC_LTCH_14_DA[1] = 1 OR M1000_DC_PSYCH_14_DA[1] = 1
THEN
    INPT POSTACUTE = 1
ELSE
    INPT_POSTACUTE = 0
END IF
6. Risk of Hospitalization
Risk of Hospitalization: History of falls in past 12 months
IF M1033_HOSP_RISK_HSTRY_FALLS[1] = 1
THEN
       RISK_HSTRY_FALLS = 1
ELSE
       RISK_HSTRY_FALLS = 0
END IF
Risk of Hospitalization: Unintentional weight loss in past 12 months
IF M1033_HOSP_RISK_WEIGHT_LOSS[1] = 1
THEN
       RISK_WEIGHTLOSS = 1
ELSE
       RISK_WEIGHTLOSS = 0
END IF
Risk of Hospitalization: Multiple hospitalizations in past 6 months
IF M1033_HOSP_RISK_MLTPL_HOSPZTN[1] = 1
THEN
       RISK_MLTPL_HOSPZTN = 1
ELSE
       RISK_MLTPL_HOSPZTN = 0
END IF
```

```
Risk of Hospitalization: Multiple ED visits in past 6 months
IF M1033_HOSP_RISK_MLTPL_ED_VISIT[1] = 1
THEN
       RISK ED = 1
ELSE
       RISK\_ED = 0
END IF
Risk of Hospitalization: Recent decline mental/emotional decline in past 3 months
IF M1033_HOSP_RISK_MNTL_BHV_DCLN[1] = 1
THEN
       RISK_RCNT_DCLN = 1
ELSE
       RISK_RCNT_DCLN = 0
END IF
Risk of Hospitalization: Difficulty complying with medical instruction in past 3 months
IF M1033_HOSP_RISK_COMPLIANCE[1] = 1
THEN
       RISK COMPLY = 1
ELSE
       RISK_COMPLY = 0
END IF
Risk of Hospitalization: Taking five or more medications
IF M1033_HOSP_RISK_5PLUS_MDCTN[1] = 1
THEN
       RISK_5PLUS_MDCTN = 1
ELSE
       RISK_5PLUS_MDCTN = 0
END IF
Risk of Hospitalization: Reports Exhaustion
IF M1033_HOSP_RISK_CRNT_EXHSTN[1] = 1
THEN
       RISK EXHAUST = 1
ELSE
       RISK_EXHAUST = 0
END IF
Risk of Hospitalization: Other unlisted risk factors
IF M1033_HOSP_RISK_OTHR_RISK[1] = 1
THEN
       RISK_OTHR = 1
ELSE
       RISK_OTHR = 0
```

```
Risk of Hospitalization: None of the Above
IF M1033_HOSP_RISK_NONE_ABOVE[1] = 1
THEN
      RISK NONE = 1
ELSE
      RISK_NONE = 0
END IF
7. Availability of Assistance
Availability of Assistance
Around the clock
IF M1100_PTNT_LVG_STUTN[1] = 01 OR M1100_PTNT_LVG_STUTN[1] = 06 OR
M1100_PTNT_LVG_STUTN[1] = 11
THEN
      ASSIST_ARND_CLOCK = 1
ELSE
      ASSIST ARND CLOCK = 0
END IF
Regular daytime
IF M1100_PTNT_LVG_STUTN[1] = 02 OR M1100_PTNT_LVG_STUTN[1] = 07 OR
M1100_PTNT_LVG_STUTN[1] = 12
THEN
      ASSIST_REGDAY = 1
ELSE
      ASSIST_REGDAY = 0
END IF
Regular nighttime
IF M1100 PTNT LVG STUTN[1] = 03 OR M1100 PTNT LVG STUTN[1] = 08 OR
M1100_PTNT_LVG_STUTN[1] = 13
THEN
      ASSIST_REGNITE = 1
ELSE
      ASSIST_REGNITE = 0
END IF
Occasional/None
IF M1100_PTNT_LVG_STUTN[1] = 04 OR M1100_PTNT_LVG_STUTN[1] = 05 OR
M1100 PTNT LVG STUTN[1] = 09 OR M1100 PTNT LVG STUTN[1] = 10 OR
M1100 PTNT LVG STUTN[1] = 14 OR M1100 PTNT LVG STUTN[1] = 15
THEN
      ASSIST_OCC_NONE = 1
ELSE
      ASSIST_OCC_NONE = 0
```

#### **Living Arrangement**

```
Lives alone
```

IF M1100\_PTNT\_LVG\_STUTN[1] = 01 OR M1100\_PTNT\_LVG\_STUTN[1] = 02 OR
M1100\_PTNT\_LVG\_STUTN[1] = 03 OR M1100\_PTNT\_LVG\_STUTN[1] = 04 OR
M1100\_PTNT\_LVG\_STUTN[1] = 05

**THEN** 

LIV\_ALONE = 1

**ELSE** 

LIV\_ALONE = 0

**END IF** 

#### Lives with Others

IF M1100\_PTNT\_LVG\_STUTN[1] = 06 OR M1100\_PTNT\_LVG\_STUTN[1] = 07 OR M1100\_PTNT\_LVG\_STUTN[1] = 08 OR M1100\_PTNT\_LVG\_STUTN[1] = 09 OR M1100\_PTNT\_LVG\_STUTN[1] = 10

THEN

LIV\_OTHERS = 1

**ELSE** 

LIV\_OTHERS = 0

**END IF** 

#### Lives in a Congregate Situation

IF M1100\_PTNT\_LVG\_STUTN[1] = 11 OR M1100\_PTNT\_LVG\_STUTN[1] = 12 OR M1100\_PTNT\_LVG\_STUTN[1] = 13 OR M1100\_PTNT\_LVG\_STUTN[1] = 14 OR M1100\_PTNT\_LVG\_STUTN[1] = 15

**THEN** 

LIV\_CONGREGATE = 1

**ELSE** 

LIV\_CONGREGATE = 0

**END IF** 

#### 8. Pressure Ulcers

#### None or Stage I Present

**IF** M1306\_UNHLD\_STG2\_PRSR\_ULCR[1] = 00 **AND**(M1322 NBR PRSULC STG1[1] = 00 **OR** M1322 NBR PRSULC STG1[1] = 01 **OR** 

M1322\_NBR\_PRSULC\_STG1[1] = 02 **OR** M1322\_NBR\_PRSULC\_STG1[1] = 03 **OR** M1322\_NBR\_PRSULC\_STG1[1] = 04)

**THEN** 

PU\_NONE\_STG1ONLY = 1

**ELSE** 

PU\_NONE\_STG1ONLY = 0

```
Stage II or Higher and Unstageable Present
IF M1311_NBR_PRSULC_STG2_A1[1] > 0 OR M1311_NBR_PRSULC_STG3_B1[1] > 0 OR
M1311 NBR PRSULC STG4 C1[1] > 0 OR M1311 NSTG DRSG D1[1] > 0 OR
M1311_NSTG_CVRG_E1[1] > 0 OR M1311_DEEP_TSUE _F1[1] > 0
THEN
       PU_STG2PLUS_UNSTG = 1
ELSE
       PU_STG2PLUS_UNSTG = 0
END IF
9. Stasis Ulcers
Stasis Ulcer: No observable stasis ulcers
IF M1330 STAS ULCR PRSNT[1] = 00 OR M1330 STAS ULCR PRSNT[1] = 03
THEN
       STAS_ULCR_NONE = 1
ELSE
       STAS_ULCR_NONE = 0
END IF
Stasis Ulcer: 1 observable stasis ulcer only
IF M1332 NBR STAS ULCR[1] = 1
THEN
      STAS ULCR OBS 1 = 1
ELSE
       STAS ULCR OBS 1 = 0
END IF
Stasis Ulcer: Multiple observable stasis ulcers
IF M1332_NBR_STAS_ULCR[1] = 2 OR M1332_NBR_STAS_ULCR[1] = 3 OR M1332_NBR_STAS_ULCR[1] = 4
THEN
       STAS_ULCR_OBS_2PLUS = 1
ELSE
       STAS_ULCR_OBS_2PLUS = 0
END IF
10. Surgical Wounds
Status of Surgical Wound: None
IF M1342 STUS PRBLM SRGCL WND[1] = MISSING
THEN
       SRG_WND_OBS_NONE = 1
ELSE
       SRG_WND_OBS_NONE = 0
END IF
```

```
Status of Surgical Wound: Newly Epithelialized
IF M1342_STUS_PRBLM_SRGCL_WND[1] = 00
THEN
       SRG_WND_OBS_EPI = 1
ELSE
       SRG_WND_OBS_EPI = 0
END IF
Status of Surgical Wound: Fully granulating or early/partial granulation
IF M1342_STUS_PRBLM_SRGCL_WND[1] = 01 OR M1342_STUS_PRBLM_SRGCL_WND[1] = 02
THEN
       SRG_WND_OBS_GRAN = 1
ELSE
       SRG WND OBS GRAN = 0
END IF
Status of Surgical Wound: Not healing
IF M1342_STUS_PRBLM_SRGCL_WND[1] = 03
THEN
       SRG_WND_OBS_NOHEAL = 1
ELSE
       SRG_WND_OBS_NOHEAL = 0
END IF
11. Dyspnea
<u>Dyspnea: Not short of breath</u>
IF (M1400_WHEN_DYSPNEIC[1] = 00)
THEN
       DYSP0 = 1
ELSE
       DYSP0 = 0
END IF
Dyspnea: Walking more than 20 feet, climbing stairs
IF (M1400 WHEN DYSPNEIC[1] = 01)
THEN
       DYSP1 = 1
ELSE
       DYSP1 = 0
END IF
Dyspnea: Moderate exertion
IF (M1400_WHEN_DYSPNEIC[1] = 02)
THEN
       DYSP2 = 1
ELSE
```

```
DYSP2 = 0
END IF
Dyspnea: Minimal to no exertion
IF (M1400 WHEN DYSPNEIC[1] = 03 OR M1400 WHEN DYSPNEIC[1] = 04)
THEN
       DYSP34 = 1
ELSE
       DYSP34 = 0
END IF
12. Urinary Status
Urinary incontinence/catheter: None
IF M1610_UR_INCONT[1] = 00
THEN
       URINCONT_NONE = 1
ELSE
       URINCONT_NONE = 0
END IF
Urinary incontinence/catheter: Incontinent
IF M1610_UR_INCONT[1] = 01
THEN
       URINCONT_INCONT = 1
ELSE
       URINCONT_INCONT = 0
END IF
Urinary incontinence/catheter: Catheter
IF M1610_UR_INCONT[1] = 02
THEN
       URINCONT_CATH = 1
ELSE
       URINCONT CATH = 0
END IF
13. Bowel Incontinence
Bowel incontinence Frequency: Never or Very Rare
IF M1620_BWL_INCONT[1] = 00 OR M1620_BWL_INCONT[1] = UK
THEN
       BWL_NONE_UK = 1
ELSE
       BWL_NONE_UK = 0
```

```
Bowel incontinence Frequency: Less than once a week
IF M1620_BWL_INCONT[1] = 01
THEN
       BWL_FR1 = 1
ELSE
       BWL FR1 = 0
END IF
Bowel incontinence Frequency: One to Three times a week
IF M1620_BWL_INCONT[1] = 02
THEN
       BWL_FR2 = 1
ELSE
       BWL FR2 = 0
END IF
Bowel incontinence Frequency: Four to six times a week or more
IF M1620_BWL_INCONT[1] = 03 OR M1620_BWL_INCONT[1] = 04 OR M1620_INCONT[1] = 05
THEN
       BWL_FR345 = 1
ELSE
       BWL_FR345 = 0
END IF
Bowel incontinence Frequency: Ostomy for bowel elimination
IF M1620 BWL INCONT[1] = NA
THEN
       BWL OSTOMY = 1
ELSE
       BWL_OSTOMY = 0
END IF
14. Cognitive function
Cognitive Functioning: Alert and focused
IF M1700 COG FUNCTION[1] = 00
THEN
       COGN0 = 1
ELSE
       COGN0 = 0
END IF
Cognitive Functioning: Requires prompting under stress
IF M1700_COG_FUNCTION[1] = 01
THEN
       COGN1 = 1
ELSE
```

```
COGN1 = 0
END IF
Cognitive Functioning: Requires assist in special circumstances
IF M1700 COG FUNCTION[1] = 02
THEN
       COGN2 = 1
ELSE
       COGN2 = 0
END IF
Cognitive Functioning: Requires considerable assist/totally dependent
IF M1700_COG_FUNCTION[1] = 03 OR M1700_COG_FUNCTION[1] = 04
THEN
       COGN34 = 1
ELSE
       COGN34 = 0
END IF
15. Confusion
Confused: Never
IF M1710_WHEN_CONFUSED[1] = 0 OR M1710_WHEN_CONFUSED[1] = NA
THEN
       CONF0 = 1
ELSE
       CONFO = 0
END IF
Confused: In new or complex situations
IF M1710_WHEN_CONFUSED[1] = 01
THEN
       CONF1 = 1
ELSE
       CONF1 = 0
END IF
Confused: Sometimes
IF M1710_WHEN_CONFUSED[1] = 02 OR M1710_WHEN_CONFUSED[1] = 03
THEN
       CONF23 = 1
ELSE
       CONF23 = 0
END IF
Confused: Constantly
IF M1710 WHEN CONFUSED[1] = 04
```

```
THEN
       CONF4 = 1
ELSE
       CONF4 = 0
END IF
16. Anxiety
Anxiety: None of the time
IF M1720_WHEN_ANXIOUS[1] = 00 OR M1720_WHEN_ANXIOUS[1] = NA
THEN
       ANX0 = 1
ELSE
       ANX0 = 0
END IF
Anxiety: Less often than daily
IF M1720_WHEN_ANXIOUS[1] = 01
THEN
       ANX1 = 1
ELSE
       ANX1 = 0
END IF
Anxiety: Daily, but not constantly
IF M1720_WHEN_ANXIOUS[1] = 02
THEN
       ANX2 = 1
ELSE
       ANX2 = 0
END IF
Anxiety: All of the time
IF M1720_WHEN_ANXIOUS[1] = 03
THEN
       ANX3 = 1
ELSE
       ANX3 = 0
END IF
```

# 17. Patient Mood Screening (PHQ-2to9)

Patient Mood Screening (PHQ-2to9): Patient meets criteria for further evaluation

```
IF D0150_A2[1] = 02 OR
 D0150 A2[1] = 03 OR
 D0150_B2[1] = 02 OR
 D0150_B2[1] = 03
```

```
THEN
       PHQ2_TO9_MEET = 1
ELSE
       PHQ2_TO9_MEET = 0
Patient Mood Screening (PHQ-2to9): Patient does not meet criteria for further evaluation
IF (D0150_A2[1] = 00 OR D0150_A2[1] = 01) AND (D0150_B2[1] = 00 OR D0150_B2[1] = 01)
THEN
       PHQ2_TO9_NOTMEET = 1
ELSE
       PHQ2_TO9_NOTMEET = 0
Patient Mood Screening (PHQ-2to9): Patient was not screened
IF ((D0150\_A2[1] = ^AND (D0150\_B2[1] = 00 OR D0150\_B2[1] = 01 OR D0150\_B2[1] = ^)) OR
 ((D0150 B2[1] = ^AND (D0150 A2[1] = 00 OR D0150 A2[1] = 01 OR D0150 A2[1] = ^))
THEN
       PHQ2 TO9 NA = 1
ELSE
       PHQ2_TO9_NA = 0
18. Behavioral Symptoms
Behavioral: None
IF M1740 BD NONE[1] = 01
THEN
       BEHAV NONE = 1
ELSE
       BEHAV_NONE = 0
END IF
Behavioral: Memory Deficit
IF M1740_BD_MEM_DEFICIT[1] = 01
THEN
       BEHAV_MEM_DEFICIT = 1
ELSE
       BEHAV_MEM_DEFICIT = 0
END IF
Behavioral: Impaired decision making
IF M1740_BD_IMP_DECISN[1] = 01
THEN
       BEHAV_IMPR_DECISN = 1
ELSE
       BEHAV IMPR DECISN = 0
END IF
```

```
Behavioral: Verbally disruptive, physical aggression, disruptive, or delusional
IF M1740_BD_VERBAL[1] = 01 OR M1740_BD_PHYSICAL[1] = 01 OR
M1740_BD_SOC_INAPPRO[1] = 01 OR M1740_BD_DELUSIONS[1] = 01
THEN
       BEHAV_OTHR = 1
ELSE
       BEHAV_OTHR = 0
END IF
19. Disruptive Behavior Frequency
Frequency of Disruptive Behavior: Never
IF M1745 BEH PROB FREQ[1] = 00
THEN
       BEHPFRO = 1
ELSE
       BEHPFRO = 0
END IF
Frequency of Disruptive Behavior: Once a month or less
IF M1745_BEH_PROB_FREQ[1] = 01 OR M1745_BEH_PROB_FREQ[1] = 02
THEN
       BEHPFR12 = 1
ELSE
       BEHPFR12 = 0
END IF
Frequency of Disruptive Behavior: Several times a month
IF M1745_BEH_PROB_FREQ[1] = 03
THEN
       BEHPFR3 = 1
ELSE
       BEHPFR3 = 0
END IF
Frequency of Disruptive Behavior: Several times a week
IF M1745 BEH PROB FREQ[1] = 4
THEN
       BEHPFR4 = 1
ELSE
       BEHPFR4 = 0
END IF
Frequency of Disruptive Behavior: At least once daily
IF M1745_BEH_PROB_FREQ[1] = 5
THEN
       BEHPFR5 = 1
```

```
ELSE
       BEHPFR5 = 0
END IF
20. Grooming
Grooming: Able to groom self unaided
IF M1800_CRNT_GROOMING[1] = 00
THEN
       GROOM0 = 1
ELSE
       GROOM0 = 0
END IF
Grooming: Grooming utensils must be placed within reach
IF M1800_CRNT_GROOMING[1] = 01
THEN
       GROOM1 = 1
ELSE
       GROOM1 = 0
END IF
Grooming: Assistance needed
IF M1800_CRNT_GROOMING[1] = 02
THEN
       GROOM2 = 1
ELSE
       GROOM2 = 0
END IF
Grooming: Entirely dependent upon someone else
IF M1800 CRNT GROOMING[1] = 03
THEN
       GROOM3 = 1
ELSE
       GROOM3 = 0
END IF
21. Upper Body Dressing
Ability to Dress Upper Body: No help needed
IF M1810_CRNT_DRESS_UPPER[1] = 00
THEN
       UPPERO = 1
ELSE
       UPPERO = 0
END IF
```

```
Ability to Dress Upper Body: Needs clothing laid out
IF M1810_CRNT_DRESS_UPPER[1] = 01
THEN
       UPPER1 = 1
ELSE
       UPPER1 = 0
END IF
Ability to Dress Upper Body: Needs assistance needed putting on clothing
IF M1810_CRNT_DRESS_UPPER[1] = 02
THEN
       UPPER2 = 1
ELSE
       UPPER2 = 0
END IF
Ability to Dress Upper Body: Entirely dependent upon someone else
IF M1810_CRNT_DRESS_UPPER[1] = 03
THEN
       UPPER3 = 1
ELSE
       UPPER3 = 0
END IF
22. Lower Body Dressing
Ability to Dress Lower Body: No help needed
IF M1820_CRNT_DRESS_LOWER[1] = 00
THEN
       LOWER0 = 1
ELSE
       LOWER0 = 0
END IF
Ability to Dress Lower Body: Needs clothing/shoes laid out
IF M1820_CRNT_DRESS_LOWER[1] = 01
THEN
       LOWER1 = 1
ELSE
       LOWER1 = 0
END IF
Ability to Dress Lower Body: Assist needed putting on clothing
IF M1820_CRNT_DRESS_LOWER[1] = 02
THEN
       LOWER2 = 1
ELSE
       LOWER2 = 0
```

```
END IF
```

```
Ability to Dress Lower Body: Entirely dependent upon someone else
IF M1820_CRNT_DRESS_LOWER[1] = 03
THEN
       LOWER3 = 1
ELSE
       LOWER3 = 0
END IF
23. Bathing
Bathing: Independently in shower/tub
IF M1830_CRNT_BATHING[1] = 00
THEN
       BATH0 = 1
ELSE
       BATHO = 0
END IF
Bathing: With the use of devices in shower/tub
IF M1830_CRNT_BATHING[1] = 01
THEN
       BATH1 = 1
ELSE
       BATH1 = 0
END IF
Bathing: With intermittent assistance in shower/tub
IF M1830_CRNT_BATHING[1] = 02
THEN
       BATH2 = 1
ELSE
       BATH2 = 0
END IF
Bathing: Participates with supervision in shower/tub
IF M1830_CRNT_BATHING[1] = 03
THEN
       BATH3 = 1
ELSE
       BATH3 = 0
END IF
Bathing: Independent at sink, in chair, or on commode
IF M1830_CRNT_BATHING[1] = 04
THEN
       BATH4 = 1
```

```
ELSE
        BATH4 = 0
END IF
Bathing: Participates with assist at sink, in char, or commode
IF M1830_CRNT_BATHING[1] = 05
THEN
        BATH5 = 1
ELSE
        BATH5 = 0
END IF
Bathing: Unable to participate; bathed totally by another
IF M1830_CRNT_BATHING[1] = 06
THEN
        BATH6 = 1
ELSE
        BATH6 = 0
END IF
24. Toilet Transferring
<u>Toilet Transferring: No assistance needed</u>
IF M1840 CRNT TOILTG[1] = 00
THEN
       TLTTRN0 = 1
ELSE
       TLTTRN0 = 0
END IF
<u>Toilet Transferring: To/from/on/off toilet with human assist</u>
IF M1840_CRNT_TOILTG[1] = 01
THEN
       TLTTRN1 = 1
ELSE
       TLTTRN1 = 0
END IF
<u>Toilet Transferring: Able to self-transfer to bedside commode</u>
IF M1840 CRNT TOILTG[1] = 02
THEN
       TLTTRN2 = 1
ELSE
        TLTTRN2 = 0
END IF
<u>Toilet Transferring</u>: <u>Unable to transfer to/from toilet or commode</u>
```

**IF** M1840\_CRNT\_TOILTG[1] = 03 **OR** M1840\_CRNT\_TOILTG[1] = 04

```
THEN
       TLTTRN34 = 1
ELSE
       TLTTRN34 = 0
END IF
25. Toilet Hygiene
Toilet Hygiene Assistance: None needed
IF M1845_CRNT_TOILTG_HYGN[1] = 00
THEN
       TLTHYG0 = 1
ELSE
       TLTHYG0 = 0
END IF
Toilet Hygiene Assistance: Needs supplies laid out
IF M1845_CRNT_TOILTG_HYGN[1] = 01
THEN
       TLTHYG1 = 1
ELSE
       TLTHYG1 = 0
END IF
Toilet Hygiene Assistance: Needs assistance
IF M1845 CRNT TOILTG HYGN[1] = 02
THEN
       TLTHYG2 = 1
ELSE
       TLTHYG2 = 0
END IF
<u>Toilet Hygiene Assistance:</u>
IF M1845_CRNT_TOILTG_HYGN[1] = 03
THEN
       TLTHYG3 = 1
ELSE
       TLTHYG3 = 0
END IF
26. Transferring
Transferring: No assistance needed
```

Transferring: No assistance needed

IF M1850\_CRNT\_TRNSFRNG[1] = 00

THEN

TRNFR0 = 1

ELSE

```
TRNFR0 = 0
END IF
Transferring: With minimal human assist or with device
IF M1850_CRNT_TRNSFRNG[1] = 01
THEN
      TRNFR1 = 1
ELSE
      TRNFR1 = 0
END IF
Transferring: Bears weight and pivots only
IF M1850_CRNT_TRNSFRNG[1] = 02
THEN
       TRNFR2 = 1
ELSE
      TRNFR2 = 0
END IF
Transferring: Unable or bedfast
IF M1850_CRNT_TRNSFRNG[1] = 03 OR M1850_CRNT_TRNSFRNG[1] = 04 OR
M1850_CRNT_TRNSFRNG[1] = 05
THEN
      TRNFR345 = 1
ELSE
      TRNFR345 = 0
END IF
27. Ambulation
Ambulation/Locomotion: Walk Independently
IF M1860_CUR_AMBLTN[1] = 00
THEN
       AMB0 = 1
ELSE
       AMB0 = 0
END IF
Ambulation/Locomotion: One-handed device on all surfaces
IF M1860_CUR_AMBLTN[1] = 01
THEN
       AMB1 = 1
ELSE
       AMB1 = 0
```

```
Ambulation/Locomotion: Two-handed device/human assist on steps
IF M1860_CUR_AMBLTN[1] = 02
THEN
       AMB2 = 1
ELSE
       AMB2 = 0
END IF
Ambulation/Locomotion: Walks only with supervision or assist
IF M1860_CUR_AMBLTN[1] = 03
THEN
       AMB3 = 1
ELSE
       AMB3 = 0
END IF
<u>Ambulation/Locomotion: Chairfast or bedfast</u>
IF M1860_CUR_AMBLTN[1] = 04 OR M1860_CUR_AMBLTN[1] = 05 OR M1860_CUR_AMBLTN[1] = 06
THEN
       AMB456 = 1
ELSE
       AMB456 = 0
END IF
28. Feeding or Eating
Eating: Independent
IF M1870_CRNT_FEEDING[1] = 0
THEN
       EAT0 = 1
ELSE
       EAT0 = 0
END IF
Eating: Requires set up, intermittent assist or modified consistency
IF M1870_CRNT_FEEDING[1] = 1
THEN
       EAT1 = 1
ELSE
       EAT1 = 0
END IF
Eating: Unable to feed self and must be assisted throughout meal
IF M1870 CRNT FEEDING[1] = 2
THEN
       EAT2 = 1
ELSE
```

```
EAT2 = 0
END IF
Eating: Requires tube feedings, or no nutrients orally or via tube
IF M1870 CRNT FEEDING[1] = 03 OR M1870 CRNT FEEDING[1] = 04 OR M1870 CRNT FEEDING[1] = 05
THEN
      EAT345 = 1
ELSE
      EAT345 = 0
END IF
29. Oral Medication Management
Management of Oral Meds: Independent
IF M2020 CRNT MGMT ORAL MDCTN[1] = 00
M2020 CRNT MGMT ORAL MDCTN[1] = NA OR
M2020 CRNT MGMT ORAL MDCTN[1] = ^ OR
M2020_CRNT_MGMT_ORAL_MDCTN[1] = MISSING
THEN
      ORMED0 = 1
ELSE
      ORMED0 = 0
END IF
Management of Oral Meds: Advance dose prep/chart needed
IF M2020 CRNT MGMT ORAL MDCTN[1] = 01
THEN
      ORMED1 = 1
ELSE
      ORMED1 = 0
END IF
Management of Oral Meds: Reminders needed
IF M2020_CRNT_MGMT_ORAL_MDCTN[1] = 02
THEN
      ORMED2 = 1
ELSE
      ORMED2 = 0
END IF
Management of Oral Meds: Unable
IF M2020_CRNT_MGMT_ORAL_MDCTN[1] = 03
THEN
      ORMED3 = 1
ELSE
      ORMED3 = 0
END IF
```

## 30. Supervision and Safety Assistance

```
None needed
IF M2102_CARE_TYPE_SRC_SPRVSN[1] = 00
THEN
      SPRVSN_ NONE_NEEDED = 1
ELSE
      SPRVSN_ NONE_NEEDED = 0
END IF
Caregiver currently provides
IF M2102 CARE TYPE SRC SPRVSN[1] = 01
THEN
      SPRVSN CG PROVIDES = 1
ELSE
      SPRVSN CG PROVIDES = 0
END IF
Caregiver training needed
IF M2102_CARE_TYPE_SRC_SPRVSN[1] = 02
THEN
      SPRVSN_NEED_TRAINING = 1
ELSE
      SPRVSN NEED TRAINING = 0
END IF
Uncertain/unlikely to be provided
IF M2102 CARE TYPE SRC SPRVSN[1] = 03 OR M2102 CARE TYPE SRC SPRVSN[1] = 04 OR
M2102_CARE_TYPE_SRC_SPRVSN[1] = MISSING
THEN
      SPRVSN_CG_UNCERTAIN_NONE = 1
ELSE
      SPRVSN CG UNCERTAIN NONE = 0
END IF
```

# 31. Hierarchical Condition Categories

Note: Several intermediate variables are calculated for use in the calculation of these risk factors.

The following modified version of the 2021 Midyear Final Model Version 24 Software is used to convert ICD-10-CM codes into CMS-Hierarchical Condition Categories (CMS-HCCs) for each quality episode that begins in 2023. <sup>2</sup> The HCC risk factors will always be created with software lagged by two years. For

<sup>&</sup>lt;sup>2</sup> Going forward, the risk adjustment models for OASIS-based quality measures will be updated annually. CMS updates its CMS-HCC model annually and provides software for replicating risk adjustment estimation. https://www.cms.gov/Medicare/Health-Plans/MedicareAdvtgSpecRateStats/Risk-Adjustors

example, quality episodes beginning in 2023 will use 2021 software. For the below pseudo-code, references to {YYYY-2} and {YY-2} translate into the quality episode {YYYY} year minus 2. If the quality episode year {YYYY} is 2023, then {YYYY-2} equals 2021 and {YY-2} equals 21.

#### Assign ICD-10-CM codes to Condition Categories

Import F24{YY-2}P1M.TXT as a crosswalk between ICD-10-CM codes (column 1) and the 86 Condition Categories (CCs) in the payment model (column 2). The crosswalk contains duplicates because a single ICD-10-CM code can be associated with up to three Condition Categories (e.g., E1052 matches to CCs 18, 106, and 108). The third column contains a duplicate flag equal to "D" if the record is a duplicate and blank otherwise.

Divide F24{YY-2}P1M.TXT into three datasets. Each dataset will have two columns: HC DIAG and CC.

- 1) Save all ICD-10-CMs that are unique and the first record for each duplicate ICD-10-CM in dataset XWALK1.
- 2) Save the second record for each duplicate ICD-10-CM in dataset XWALK2.
- 3) Save the third record, if one exists, for each duplicate ICD-10-CM in dataset XWALK3.

#### Create six diagnosis variables

Load quality episodes that begin on or after January {YYYY} in dataset *MAIN* and create six diagnosis variables (HC DIAG1-HC DIAG6):

```
Primary Diagnosis:
HC DIAG1 = UPCASE(TRIM(M1021 PRMRY DGNS10 CD 1)
IF HC DIAG1 = 00
       THEN
       HC DIAG1 = BLANK
IF SUBSTRING(HC_DIAG1, 1, .) = . OR SUBSTRING(HC_DIAG1, 1, .) = ^
THEN
       HC_DIAG1 = HC_DIAG1, REMOVE(. OR ^)
Secondary Diagnosis #1:
HC DIAG2 = UPCASE(TRIM(M1023_OTHR_DGNS10_1 _CD_1)
IF HC_DIAG2 = 00
THEN
       HC DIAG2 = BLANK
IF SUBSTRING(HC DIAG2, 1, .) = . OR SUBSTRING(HC DIAG2, 1, .) = ^
THEN
       HC DIAG2 = HC DIAG2, REMOVE(. OR ^)
```

#### Secondary Diagnosis #2:

```
HC_DIAG3 = UPCASE(TRIM(M1023_OTHR_DGNS10_2_CD_1)
IF HC_DIAG3 = 00
THEN
       HC DIAG3 = BLANK
IF SUBSTRING(HC_DIAG3, 1, .) = . OR SUBSTRING(HC_DIAG3, 1, .) = ^
THEN
       HC_DIAG3 = HC_DIAG3, REMOVE(. OR ^)
Secondary Diagnosis #3:
HC_DIAG4 = UPCASE(TRIM(M1023_OTHR_DGNS10_3_CD_1)
IF HC_DIAG4 = 00
THEN
       HC DIAG4 = BLANK
IF SUBSTRING(HC DIAG4, 1, .) = . OR SUBSTRING(HC DIAG4, 1, .) = ^
THEN
       HC_DIAG4 = HC_DIAG4, REMOVE(. OR ^)
Secondary Diagnosis #4:
HC_DIAG5 = UPCASE(TRIM(M1023_OTHR_DGNS10_4_CD_1)
IF HC DIAG5 = 00
THEN
       HC_DIAG5 = BLANK
IF SUBSTRING(HC DIAG5, 1, .) = . OR SUBSTRING(HC DIAG5, 1, .) = ^
THEN
       HC DIAG5 = HC DIAG5, REMOVE(. OR ^)
Secondary Diagnosis #5:
HC_DIAG6 = UPCASE(TRIM(M1023_OTHR_DGNS10_5_CD_1)
IF HC DIAG6 = 00
THEN
       HC DIAG6 = BLANK
IF SUBSTRING(HC DIAG6, 1, .) = . OR SUBSTRING(HC DIAG6, 1, .) = ^{\land}
THEN
       HC DIAG6 = HC DIAG6, REMOVE(. OR ^)
```

#### Create arrays for Condition Categories and Hierarchical Condition Categories

In the *MAIN* dataset, create two arrays: "C" for CCs, "HCC" for CMS-HCCs. Set all elements in C and HCC to zero. Then, flag each element in C if one of the CC#\_# columns contains a CC value. Set each HCC element equal to each element in C.

LET N CC = 204 /\* MACRO EQUALING MAXIMUM NUMBER OF HCCS. MACRO INITIALIZED AS %N CC.\*/

ARRAY C = CC1-CC%N\_CC
ARRAY HCC = HCC1- HCC%N\_CC

DO I = 1 TO %N CC

```
C(I) = 0

HCC(I) = 0

DO J = 1 TO 6

IF CC1_(J) = I THEN C(I) = 1

IF CC2_(J) = I THEN C(I) = 1

IF CC3_(J) = I THEN C(I) = 1

END

HCC(I) = C(I)
```

### Impose Hierarchies

Impose hierarchy using Table 3 in the Appendix. For certain HCC indicators (CC\_HCC in Table 3), if other lower hierarchy HCC indicators (CC\_ZERO1-CC\_ZERO5 in Table 3) are also flagged, then recode those lower hierarchy HCC indicators to zero. Below is an example for imposing hierarchies for neoplasm.

```
Neoplasm 1
```

```
IF HCC8 = 1 AND (HCC9 = 1 OR HCC10 =1 OR HCC11 = 1 OR HCC12 = 1)
THEN
       HCC9 = 0
       HCC10 = 0
       HCC11 = 0
       HCC12 = 0
END
Neoplasm 2
IF HCC9 = 1 AND (HCC10 = 1 OR HCC11 = 1 OR HCC12 = 1), THEN DO
       HCC10 = 0
       HCC11 = 0
       HCC12 = 0
END
Neoplasm 3
IF HCC10 = 1 AND (HCC11 = 1 OR HCC12 = 1), THEN DO
       HCC11 = 0
       HCC12 = 0
END
Neoplasm 4
IF HCC11 = 1 AND (HCC12 = 1), THEN
       HCC12 = 0
```

**Note**: Please refer to <u>Appendix Table 3</u> in the Appendix for the complete list of hierarchies that need to be recoded. HCCs not listed in Table 3 do not need to be recoded (e.g., HCC1 for HIV/AIDS). Execution of this step <u>must</u> be performed in linear order from the first record in Table 3 to the second record and so on. If done correctly, Neoplasm 2 through Neoplasm 4 cannot be flagged if Neoplasm 1 is flagged, and likewise for other disease categories.

The above steps create indicators for 204 HCC columns, but there are only 86 CMS-HCCs. Assign labels for these 86 HCCs and remove unlabeled HCC columns (e.g., keep HCC1 and HCC2 and remove HCC3, HCC4, and HCC5). Labels are listed in **Appendix Table 4** in the Appendix.

DO I=1 TO %N\_CC

IF HCC(I) HAS LABEL THEN ASSIGN LABEL

ELSE DELETE HCC(I)

END

The final output file contains unique quality episode identifiers and 86 CMS-HCC flags (1=yes, 0=no) ranging from HCC1 to HCC189.

# **Appendix Table 1: Description of Risk Factors** Effective January 1, 2023

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
1	Age	Age: 0-54	Calculated off birth date	M0066	Birth Date	AGE_0_54	
		Age: 55-59	Calculated off birth date	M0066	Birth Date	AGE_55_59	
		Age: 60-64	Calculated off birth date	M0066	Birth Date	AGE_60_64	
		Age: 65-69	Calculated off birth date	M0066	Birth Date	AGE_65_69	Excluded category
		Age: 70-74	Calculated off birth date	M0066	Birth Date	AGE_70_74	
		Age: 75-79	Calculated off birth date	M0066	Birth Date	AGE_75_79	
		Age: 80-84	Calculated off birth date	M0066	Birth Date	AGE_80_84	
		Age: 85-89	Calculated off birth date	M0066	Birth Date	AGE_85_89	
		Age: 90-94	Calculated off birth date	M0066	Birth Date	AGE_90_94	
		Age: 95+	Calculated off birth date	M0066	Birth Date	AGE_95PLUS	
2	Gender	Patient is female	response 2	M0069	Gender	GENDER_FEMALE	Excluded category
		Patient is male	response 1	M0069	Gender	GENDER_MALE	
3	Payment source	Payment source: Medicare FFS only	response 1 & NOT any other response	M0150	Current Payment Sources for Home Care	PAY_MCARE _FFS	Excluded category
		Payment source: Medicare HMO only	response 2 & NOT any other response	M0150	Current Payment Sources for Home Care	PAY_MCARE_HMO	
		Payment source: Medicare and Medicaid	response (1 or 2) & (3 or 4)	M0150	Current Payment Sources for Home Care	PAY_MCAREANDMCAID	
		Payment Source: Medicaid only	response (3 or 4) & NOT any other response	M0150	Current Payment Sources for Home Care	PAY_MCAID_ONLY	
		Payment Source: Other combinations	Not one of the above four categories	M0150	Current Payment Sources for Home Care	PAY_OTHER_COMBO	
4	SOC/ROC and Admission Source	Start of Care and inpatient admission	M0100 = 1 & M1000 = 1, 2, 3, 4, 5, or 6	M0100, M1000	(M0100) Reason for assessment; (M1000) Admission source	SOC_INPT	Excluded category

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		Start of Care and community admission	M0100 = 1 & M1000 NOT 1, 2, 3, 4, 5, or 6		(M0100) Reason for assessment; (M1000) Admission source	SOC_COMM	
		Resumption of care (after inpatient stay)	M0100=3	M0100	Reason for assessment	ROC	
5	facility admission		response 1, 2, 4, 5, or 6	M1000	Inpatient Facilities	INPT_POSTACUTE	
		Not discharged from post-acute facility	NOT response 1, 2, 4, 5, and 6	M1000	Inpatient Facilities	INPT_NOPOSTACUTE	Excluded category

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
6	Risk of Hospitalization	Risk for Hospitalization: History of falls in past 12 months	response 1	M1033	Risk for Hospitalization	RISK_HSTRY_FALLS	
		Risk for Hospitalization: Unintentional weight loss in past 12 months	response 2	M1033	Risk for Hospitalization	RISK_WEIGHTLOSS	
		Risk for Hospitalization: Multiple hospitalizations in past 6 months	response 3	M1033	Risk for Hospitalization	RISK_MLTPL_HOSPZTN	
		Risk for Hospitalization: Multiple ED visits in past 6 months	response 4	M1033	Risk for Hospitalization	RISK_ED	
		Risk for Hospitalization: Recent mental/emotional decline in past 3 months	response 5	M1033	Risk for Hospitalization	RISK_RCNT_DCLN	
		Risk for Hospitalization: Difficulty complying with medical instruction in past 3 months	response 6	M1033	Risk for Hospitalization	RISK_COMPLY	
		Risk for Hospitalization: Taking five or more medications	response 7	M1033	Risk for Hospitalization	RISK_5PLUS_MDCTN	
		Risk for Hospitalization: Reports exhaustion	response 8	M1033	Risk for Hospitalization	RISK_EXHAUST	
		Risk for Hospitalization: Other unlisted risk factors	response 9	M1033	Risk for Hospitalization	RISK_OTHR	
		None of the above	response 10	M1033	Risk for Hospitalization	RISK_NONE	
7	Availability of	Around the clock	response 1, 6, or 11	M1100	Patient Living Situation	ASSIST_ARND_CLOCK	
	Assistance	Regular daytime	response 2, 7, or 12	M1100	Patient Living Situation	ASSIST_REGDAY	
		Regular nighttime	response 3, 8, or 13	M1100	Patient Living Situation	ASSIST_REGNITE	
		Occasional/none	response 4, 5, 9, 10, 14, or 15	M1100	Patient Living Situation	ASSIST_OCC_NONE	Excluded category
	Living	Living Arrangement: Lives alone	response 1, 2, 3, 4, or 5	M1100	Patient Living Situation	LIV_ALONE	
	Arrangement	Living Arrangement: Lives with another person	response 6, 7, 8, 9, or 10	M1100	Patient Living Situation	LIV_OTHERS	Excluded category
		Living Arrangement: Lives in congregate situation	response 11, 12, 13, 14, or 15	M1100	Patient Living Situation	LIV_CONGREGATE	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
8	Pressure Ulcers	Pressure ulcer: None or Stage I only present	M1306 response 0 & M1322 response 0, 1, 2, 3, or 4	M1306 M1322	At least 1 Stage 2 or unstageable PU? Current number of Stage 1 PUs	PU_NONE_STG1ONLY	Excluded category
		Pressure ulcer: Stage II or higher or unstageable present	response A1 > 0 OR B1 > 0 OR C1 > 0 OR D1>0 OR E1>0 OR F1>0	M1311	Number of PUs at each stage	PU_STG2PLUS_UNSTG	
9	Stasis Ulcer	Stasis Ulcer: None	response 0 or 3	M1330	Does this patient have a Stasis Ulcer?	STAS_ULCR_NONE	Excluded category
		Stasis Ulcer: 1 observable stasis ulcer	response 1	M1332	Number of stasis ulcers	STAS_ULCR_OBS_1	
		Stasis Ulcer: Multiple observable stasis ulcers	response 2,3, or 4	M1332	Number of stasis ulcers	STAS_ULCR_OBS_2PLUS	
10	Surgical Wound	Status of Surgical Wound: None	Not response 0, 1, 2, 3	M1342	Status of Most Problematic Surgical Wound that is Observable	SRG_WND_OBS_NONE	Excluded category
		Status of Surgical Wound: Newly epithelialized	response 0	M1342	Status of Most Problematic Surgical Wound that is Observable	SRG_WND_OBS_EPI	
		Status of Surgical Wound: Fully granulating or early/partial granulation	response 1 or 2	M1342	Status of Most Problematic Surgical Wound that is Observable	SRG_WND_OBS_GRAN	
		Status of Surgical Wound: Not healing	response 3	M1342	Status of Most Problematic Surgical Wound that is Observable	SRG_WND_OBS_NOHEAL	
11	Dyspnea	Dyspnea: Not short of breath	response 0	M1400	When is the patient dyspneic or noticeably Short of Breath?	DYSP0	Excluded category
		Dyspnea: Walking more than 20 feet, climbing stairs	response 1	M1400	When is the patient dyspneic or noticeably Short of Breath?	DYSP1	
		Dyspnea: Moderate exertion	response 2	M1400	When is the patient dyspneic or noticeably Short of Breath?	DYSP2	
		Dyspnea: Minimal to no exertion	response 3 or 4	M1400	When is the patient dyspneic or noticeably Short of Breath?	DYSP34	
12	Urinary Status	Urinary incontinence/catheter: None	response 0	M1610	Urinary Incontinence or Urinary Catheter Presence	URINCONT_NONE	Excluded category
		Urinary incontinence/catheter: Incontinent	response 1	M1610	Urinary Incontinence or Urinary Catheter Presence	URINCONT_INCONT	
		Urinary incontinence/catheter: Catheter	response 2	M1610	Urinary Incontinence or Urinary Catheter Presence	URINCONT_CATH	
13	Bowel Incontinence	Bowel Incontinence Frequency: Never or very rare	response 0 and UK	M1620	Bowel Incontinence Frequency	BWL_NONE_UK	Excluded category

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		Bowel Incontinence Frequency: Less than once a week	response 1	M1620	Bowel Incontinence Frequency	BWL_FR1	
		Bowel Incontinence Frequency: One to three times a week	response 2	M1620	Bowel Incontinence Frequency	BWL_FR2	
		Bowel Incontinence Frequency: Four to six times a week or more	response 3, 4 or 5	M1620	Bowel Incontinence Frequency	BWL_FR345	
		Bowel Incontinence Frequency: Ostomy for bowel elimination	NA	M1620	Bowel Incontinence Frequency	BWL_OSTOMY	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
14	Cognitive	Cognitive Functioning: Alert and focused	response 0	M1700	Cognitive Functioning	COGN0	Excluded category
	function	Cognitive Functioning: Requires prompting under stress	response 1	M1700	Cognitive Functioning	COGN1	
		Cognitive Functioning: Requires assist in special circumstances	response 2	M1700	Cognitive Functioning	COGN2	
		Cognitive Function: Requires considerable assist/totally dependent	response 3 or 4	M1700	Cognitive Functioning	COGN34	
15	Confusion	Confused: never	response 0 or NA	M1710	When Confused (Reported or Observed Within the Last 14 Days)	CONF0	Excluded category
		Confused: In new or complex situations	response 1	M1710	When Confused (Reported or Observed Within the Last 14 Days)	CONF1	
		Confused: Sometimes	response 2 or 3	M1710	When Confused (Reported or Observed Within the Last 14 Days)	CONF23	
		Confused: Constantly	response 4	M1710	When Confused (Reported or Observed Within the Last 14 Days)	CONF4	
16	Anxiety	Anxiety: None of the time	response 0 or NA	M1720	When Anxious (Reported or Observed Within the Last 14 Days)	ANX0	Excluded category
		Anxiety: Less often than daily	response 1	M1720	When Anxious (Reported or Observed Within the Last 14 Days)	ANX1	
		Anxiety: Daily, but not constantly	response 2	M1720	When Anxious (Reported or Observed Within the Last 14 Days)	ANX2	
		Anxiety: All of the time	response 3	M1720	When Anxious (Reported or Observed Within the Last 14 Days)	ANX3	
17	Patient Mood Screening	PHQ-2 to 9: Does not meet criteria for further eval	(D0150A2 response 0 or 1) and (D0150B2 response 0 or 1)	D0150	Patient Mood Interview (PHQ-2 to 9)	PHQ2_TO9_NOTMEET	Excluded category
		PHQ-2 to 9: Meets criteria for further eval	(D0150A2 response 2 or 3) or (D0150B2 response 2 or 3)	D0150	Patient Mood Interview (PHQ-2 to 9)		
		PHQ-2 to 9: No Patient Mood Screening	(D0150A2 response "^") and ((D0150B2 response 0, 1, or "^")) or (D0150B2 response "^" and (D0150A2 response 0, 1, or "^"))	D0150	Patient Mood Interview (PHQ-2 to 9)	PHQ2_TO9_NA	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
18	Behavioral Symptoms	Behavioral: None	response 7	M1740	Cognitive, behavioral, and psychiatric symptoms that are demonstrated at least once a week (Reported or Observed)	BEHAV_NONE	
		Behavioral: Memory deficit	response 1	M1740	Cognitive, behavioral, and psychiatric symptoms that are demonstrated at least once a week (Reported or Observed)	BEHAV_MEM_DEFICIT	
		Behavioral: Impaired decision making	response 2	M1740	Cognitive, behavioral, and psychiatric symptoms that are demonstrated at least once a week (Reported or Observed)	BEHAV_IMPR_DECISN	
		Behavioral: Verbally disruptive, physical aggression, disruptive, or delusional	response 3, 4, 5 or 6	M1740	Cognitive, behavioral, and psychiatric symptoms that are demonstrated at least once a week (Reported or Observed)	BEHAV_OTHR	
19	Disruptive Behavior	Frequency of Disruptive Behavior: Never	response 0	M1745	Frequency of Disruptive Behavior Symptoms (Reported or Observed)	BEHPFRO	Excluded category
	Frequency	Frequency of Disruptive Behavior: Once a month or less	response 1 or 2	M1745	Frequency of Disruptive Behavior Symptoms (Reported or Observed)	BEHPFR12	
		Frequency of Disruptive Behavior: Several times a month	response 3	M1745	Frequency of Disruptive Behavior Symptoms (Reported or Observed)	BEHPFR3	
		Frequency of Disruptive Behavior: Several times a week	response 4	M1745	Frequency of Disruptive Behavior Symptoms (Reported or Observed)	BEHPFR4	
		Frequency of Disruptive Behavior: At least once daily	response 5	M1745	Frequency of Disruptive Behavior Symptoms (Reported or Observed)	BEHPFR5	
20	Grooming	Grooming: Able to groom self, unaided	response 0	M1800	Grooming	GROOM0	Excluded category
		Grooming: Grooming utensils must be placed within reach	response 1	M1800	Grooming	GROOM1	
		Grooming: Assistance needed	response 2	M1800	Grooming	GROOM2	
		Grooming: Entirely dependent upon someone else	response 3	M1800	Grooming	GROOM3	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
21	Upper Body	Ability to Dress Upper Body: No help needed	response 0	M1810	Ability to Dress Upper Body	UPPER0	Excluded category
	Dressing	Ability to Dress Upper Body: Needs clothing laid out	response 1	M1810	Ability to Dress Upper Body	UPPER1	
		Ability to Dress Upper Body: Needs assistance putting on clothing	response 2	M1810	Ability to Dress Upper Body	UPPER2	
		Ability to Dress Upper Body: Entirely dependent upon someone else	response 3	M1810	Ability to Dress Upper Body	UPPER3	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
22	Lower Body	Ability to Dress Lower Body: No help needed	response 0	M1820	Ability to Dress Lower Body	LOWER0	Excluded category
	Dressing	Ability to Dress Lower Body: Needs clothing/shoes laid out	response 1	M1820	Ability to Dress Lower Body	LOWER1	
		Ability to Dress Lower Body: Assist needed putting on clothing	response 2	M1820	Ability to Dress Lower Body	LOWER2	
		Ability to Dress Lower Body: Entirely dependent upon someone else	response 3	M1820	Ability to Dress Lower Body	LOWER3	
23	Bathing	Bathing: Independently in shower/tub	response 0	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	ватно	Excluded category
		Bathing: With the use of devices in shower/tub	response 1	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	BATH1	
		Bathing: With intermittent assistance in shower/tub	response 2	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	BATH2	
		Bathing: Participates with supervision in shower/tub	response 3	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	ватнз	
		Bathing: Independent at sink, in chair, or on commode	response 4	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	BATH4	
		Bathing: Participates with assist at sink, in chair, or commode	response 5	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	BATH5	
		Bathing: Unable to participate; bathed totally by another	response 6	M1830	Bathing: Excludes grooming (washing face, washing hands, and shampooing hair).	ватн6	
24	Toilet	Toilet Transferring: No assistance needed	response 0	M1840	Toilet Transferring	TLTTRNO	Excluded category
	Transferring	Toilet Transferring: To/from/on/off toilet with human assist	response 1	M1840	Toilet Transferring	TLTTRN1	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		Toilet Transferring: Able to self-transfer to bedside commode	response 2	M1840	Toilet Transferring	TLTTRN2	
		Toilet Transferring: Unable to transfer to/from toilet or commode	response 3 or 4	M1840	Toilet Transferring	TLTTRN34	
25	Toilet Hygiene	Toilet Hygiene Assistance: None needed	response 0	M1845	Toileting Hygiene	TLTHYG0	Excluded category
		Toilet Hygiene Assistance: Needs supplies laid out	response 1	M1845	Toileting Hygiene	TLTHYG1	
		Toilet Hygiene Assistance: Needs assistance	response 2	M1845	Toileting Hygiene	TLTHYG2	
		Toilet Hygiene Assistance: Entirely dependent	response 3	M1845	Toileting Hygiene	TLTHYG3	
26	Transferring	Transferring: No assistance needed	response 0	M1850	Transferring	TRNFR0	Excluded category
		Transferring: With minimal human assist or with device	response 1	M1850	Transferring	TRNFR1	
		Transferring: Bears weight and pivots only	response 2	M1850	Transferring	TRNFR2	
		Transferring: Unable or bedfast	response 3 or 4 or 5	M1850	Transferring	TRNFR345	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
27	Ambulation	Ambulation/Locomotion: Walk independently	response 0	M1860	Ambulation/Locomotion	AMB0	Excluded category
		Ambulation/Locomotion: One-handed device on all surfaces	response 1	M1860	Ambulation/Locomotion	AMB1	
		Ambulation/Locomotion: Two-handed device/human assist on steps	response 2	M1860	Ambulation/Locomotion	AMB2	
		Ambulation/Locomotion: Walks only with supervision or assist	response 3	M1860	Ambulation/Locomotion	AMB3	
		Ambulation/Locomotion: Chairfast or bedfast	response 4 or 5 or 6	M1860	Ambulation/Locomotion	AMB456	
28	Feeding or Eating	Eating: Independent	response 0	M1870	Feeding or Eating	EAT0	Excluded category
		Eating: Requires set up, intermittent assist or modified consistency	response 1	M1870	Feeding or Eating	EAT1	
		Eating: Unable to feed self and must be assisted throughout meal	response 2	M1870	Feeding or Eating	EAT2	
		Eating: Requires tube feedings, or no nutrients orally or via tube	response 3 or 4 or 5	M1870	Feeding or Eating	EAT345	
29	Oral Medication Management	Management of Oral Meds: Independent	response 0 or NA or missing	M2020	Management of Oral Medications: Excludes injectable and IV medications.	ORMED0	Excluded category
		Management of Oral Meds: Advance dose prep/chart needed	response 1	M2020	Management of Oral Medications: Excludes injectable and IV medications.	ORMED1	
		Management of Oral Meds: Reminders needed	response 2	M2020	Management of Oral Medications: Excludes injectable and IV medications.	ORMED2	
		Management of Oral Meds: Unable	response 3	M2020	Management of Oral Medications: Excludes injectable and IV medications.	ORMED3	
30	Supervision and	None needed	response 0	M2102	Types and Sources of Assistance	SPRVSN_NONE_NEEDED	Excluded category
	Safety Assistance	Caregiver currently provides	response 1	M2102	Types and Sources of Assistance	SPRVSN_CG_PROVIDES	
		Caregiver training needed	response 2	M2102	Types and Sources of Assistance	SPRVSN_NEED_TRAINING	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		Uncertain/unlikely to be provided	response 3 or 4 or missing	M2102	Types and Sources of Assistance	SPRVSN_CG_UNCERTAIN_NON E	
31	Hierarchical Condition	HCC: HIV/AIDS	2023 HCCs were generated based on 2021 Model Software/ICD-10		(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC1	
	Categories	HCC: Septicemia, sepsis, systemic inflammatory response syndrome/shock	Mappings. Future HCCs will be generated using software with a two-	M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC2	
		HCC: Opportunistic infections	year lag. For example, 2024 HCCs will be generated based on 2022	M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC6	
		HCC: Metastatic cancer and acute leukemia	software.	M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC8	
		HCC: Lung and other severe cancers	Source: https://www.cms.gov/medicarehealt	M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	НСС9	
		HCC: Lymphoma and other cancers	h- plansmedicareadvtgspecratestatsrisk		(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC10	
		HCC: Colorectal, bladder, and other cancers	-adjustors/2021-model-softwareicd- 10-mappings	M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC11	
		HCC: Breast, prostate, and other cancers and tumors			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC12	
		HCC: Diabetes with acute complications		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC17	
		HCC: Diabetes with chronic complications			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC18	
		HCC: Diabetes without complication		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC19	
		HCC: Protein-calorie malnutrition		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC21	
		HCC: Morbid obesity		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC22	
		HCC: Other significant endocrine and metabolic disorders		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC23	
		HCC: End-stage liver disease		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC27	
		HCC: Cirrhosis of liver		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC28	
		HCC: Chronic hepatitis			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC29	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		HCC: Intestinal obstruction/perforation			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC33	
		HCC: Chronic pancreatitis		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC34	
		HCC: Inflammatory bowel disease		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC35	
		HCC: Bone/joint/muscle infections/necrosis		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC39	
		HCC: Rheumatoid arthritis and inflammatory connective tissue disease		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC40	
		HCC: Severe hematological disorders		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC46	
		HCC: Disorders of immunity		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC47	
		HCC: Coagulation defects and other specified hematological disorders		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC48	
		HCC: Dementia with complications		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC51	
		HCC: Dementia without complication		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC52	
		HCC: Substance use with psychotic complications		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC54	
		HCC: Substance use disorder, moderate/severe, or substance use with complications		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC55	
		HCC: Substance use disorder, mild, except alcohol and cannabis			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC56	
		HCC: Schizophrenia		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC57	
		HCC: Reactive and unspecified psychosis		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC58	
		HCC: Major depressive, bipolar, and paranoid disorders		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC59	
		HCC: Personality disorders		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC60	
		HCC: Quadriplegia			(M1021) Primary Diagnosis &	HCC70	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
				M1023	(M1023) Other Diagnoses		
		HCC: Paraplegia			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC71	
		HCC: Spinal cord disorders/injuries			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC72	
		HCC: Amyotrophic lateral sclerosis and other motor neuron disease			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC73	
		HCC: Cerebral palsy			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC74	
		HCC: Myasthenia gravis/myoneural disorders and Guillain-Barre syndrome/inflammatory and toxic neuropathy		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC75	
		HCC: Muscular dystrophy			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC76	
		HCC: Multiple sclerosis			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC77	
		HCC: Parkinson's and Huntington's diseases			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC78	
		HCC: Seizure disorders and convulsions			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC79	
		HCC: Coma, brain compression/anoxic damage			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC80	
		HCC: Respirator dependence/tracheostomy status		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC82	
		HCC: Respiratory arrest			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC83	
		HCC: Cardio-respiratory failure and shock		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC84	
		HCC: Congestive heart failure		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC85	
		HCC: Acute myocardial infarction		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC86	
		HCC: Unstable angina and other acute ischemic heart disease		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC87	
		HCC: Angina pectoris		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC88	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		HCC: Specified heart arrhythmias			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC96	
		HCC: Intracranial hemorrhage		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	НСС99	
		HCC: Ischemic or unspecified stroke		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC100	
		HCC: Hemiplegia/hemiparesis		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC103	
		HCC: Monoplegia, other paralytic syndromes		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC104	
		HCC: Atherosclerosis of the extremities with ulceration or gangrene		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC106	
		HCC: Vascular disease with complications		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC107	
		HCC: Vascular disease		M1021,	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC108	
		HCC: Cystic fibrosis			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC110	
		HCC: Chronic obstructive pulmonary disease			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC111	
		HCC: Fibrosis of lung and other chronic lung disorders		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC112	
		HCC: Aspiration and specified bacterial pneumonias		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC114	
		HCC: Pneumococcal pneumonia, empyema, lung abscess		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC115	
		HCC: Proliferative diabetic retinopathy and vitreous hemorrhage		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC122	
		HCC: Exudative macular degeneration		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC124	
		HCC: Dialysis status		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC134	
		HCC: Acute renal failure		M1023	(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC135	
		HCC: Chronic kidney disease, stage 5			(M1021) Primary Diagnosis & (M1023) Other Diagnoses	HCC136	

Risk Factor Group #	Risk Factor Group	Risk Factor	Response Notes	OASIS Item	OASIS Item Description	Variable Name	Excluded Category Indicator
		HCC: Chronic kidney disease, severe (stage 4)			(M1021) Primary Diagnosis &	HCC137	
					(M1023) Other Diagnoses		
		HCC: Chronic kidney disease, moderate (stage			(M1021) Primary Diagnosis &	HCC138	
		3)			(M1023) Other Diagnoses		
		HCC: Pressure ulcer of skin with necrosis			(M1021) Primary Diagnosis &	HCC157	
		through to muscle, tendon, or bone			(M1023) Other Diagnoses		
		HCC: Pressure ulcer of skin with full thickness			(M1021) Primary Diagnosis &	HCC158	
		skin loss			(M1023) Other Diagnoses		
		HCC: Pressure ulcer of skin with partial			(M1021) Primary Diagnosis &	HCC159	
		thickness skin loss			(M1023) Other Diagnoses		
		HCC: Chronic ulcer of skin, except pressure			(M1021) Primary Diagnosis &	HCC161	
					(M1023) Other Diagnoses		
		HCC: Severe skin burn or condition			(M1021) Primary Diagnosis &	HCC162	
					(M1023) Other Diagnoses		
		HCC: Severe head injury			(M1021) Primary Diagnosis &	HCC166	
					(M1023) Other Diagnoses		
		HCC: Major head injury			(M1021) Primary Diagnosis &	HCC167	
					(M1023) Other Diagnoses		
		HCC: Vertebral fractures without spinal cord			(M1021) Primary Diagnosis &	HCC169	
		injury			(M1023) Other Diagnoses		
		HCC: Hip fracture/dislocation			(M1021) Primary Diagnosis &	HCC170	
				M1023	(M1023) Other Diagnoses		
		HCC: Traumatic amputations and			(M1021) Primary Diagnosis &	HCC173	
		complications			(M1023) Other Diagnoses		
		HCC: Complications of specified implanted			(M1021) Primary Diagnosis &	HCC176	
		device or graft			(M1023) Other Diagnoses		
		HCC: Major organ transplant or replacement			(M1021) Primary Diagnosis &	HCC186	
		status			(M1023) Other Diagnoses		
		HCC: Artificial openings for feeding or			(M1021) Primary Diagnosis &	HCC188	
		elimination			(M1023) Other Diagnoses		
		HCC: Amputation status, lower			(M1021) Primary Diagnosis &	HCC189	
		limb/amputation complications		M1023	(M1023) Other Diagnoses		

## Appendix Table 2: Estimated Coefficients

Effective January 1, 2023

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
		1	AGE_0_54		-0.1456	-0.1063	-0.0709	-0.1676	-0.0498	-0.0271	-0.1120	-0.1619	-0.1029	-0.1588	-0.1766
		2	AGE_55_59		-0.1524	-0.1198	-0.0997	-0.0590	-0.0536	-0.0980	-0.0965	-0.1325	-0.0838	-0.1196	-0.1320
		3	AGE_60_64		-0.1468	-0.1312	-0.1228	-0.1018	-0.0735	-0.1135	-0.1020	-0.1343	-0.1133	-0.1355	-0.1085
		4	AGE_65_69	Excluded category											
1	Ago	5	AGE_70_74		0.0027	-0.0032	0.0177	0.0165	-0.0422	0.0246	0.0158	-0.0009	-0.0388	0.0106	0.0189
1	Age	6	AGE_75_79		-0.0347	-0.0463	-0.0106	0.0015	-0.1483	-0.0045	-0.0128	-0.0451	-0.1388	-0.0347	0.0029
		7	AGE_80_84		-0.1197	-0.1330	-0.0889	0.0002	-0.2837	-0.0256	-0.0839	-0.1299	-0.2890	-0.1201	-0.0089
		8	AGE_85_89		-0.2078	-0.2358	-0.1781	-0.0360	-0.3881	-0.0494	-0.1698	-0.2312	-0.4187	-0.2039	-0.0240
		9	AGE_90_94		-0.3494	-0.3947	-0.3020	-0.0784	-0.5044	-0.1196	-0.3327	-0.3963	-0.5769	-0.3482	-0.0052
		10	AGE_95PLUS		-0.5530	-0.6350	-0.4972	-0.1539	-0.5947	-0.2309	-0.5782	-0.6447	-0.7774	-0.5495	-0.0256
2	Gender	11	GENDER_FEMALE	Excluded category											
2	Gender	12	GENDER_MALE		0.0541	0.0624	0.0425			-0.0342			-0.0575	0.0318	-0.0574
		13	PAY_MCARE _FFS	Excluded category											
		14	PAY_MCARE_HMO		-0.0943	-0.0975	-0.0537	0.0448		-0.0074	-0.0532	-0.0611	-0.0298	-0.0087	0.1145
3	Payment source	15	PAY_MCAREANDMCAID		-0.3388	-0.3844	-0.2674	-0.3061		-0.1760	-0.3215	-0.2464	-0.3633	-0.2395	0.0312
	Source	16	PAY_MCAID_ONLY		-0.1492	-0.2279	-0.0932	-0.0882		-0.1128	-0.1519	-0.1563	-0.1775	-0.0560	-0.0464
		17	PAY_OTHER_COMBO		-0.0129	-0.0099	0.1052	0.1803		0.1098	0.0447	0.1179	0.0730	0.2399	0.1480
	SOC/ROC and	18	SOC_INPT	Excluded category											
4	Admission	19	SOC_COMM		-0.4099	-0.3616	-0.4107	-0.3563	-0.3757	-0.2979	-0.3529	-0.3811	-0.3457	-0.4201	0.2229
	Source	20	ROC		-0.4025	-0.3934	-0.4373	-0.2730	-0.2332	-0.3715	-0.4017	-0.4340	-0.3801	-0.4310	-0.6546
	Post-acute	21	INPT_POSTACUTE		-0.2154	-0.1538	-0.1413		-0.1148	-0.0830	-0.0971	-0.0775	-0.1567	-0.1265	-0.0732
5	facility admission source	22	INPT_NOPOSTACUTE	Excluded category											
6	Risk of	23	RISK_HSTRY_FALLS		-0.0572			0.1140	-0.0636					0.0522	-0.0511
6	Hospitalization	24	RISK_WEIGHTLOSS					0.1032	0.0604		0.0518			0.0436	-0.1174

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
		25	RISK_MLTPL_HOSPZTN		-0.0639	-0.0564	-0.0495			-0.0992	-0.0364	-0.0660	-0.0362	-0.0739	-0.2763
		26	RISK_ED					0.0860							-0.1913
		27	RISK_RCNT_DCLN		0.0954	0.1065	0.1673			0.0897	0.0922	0.0712	0.0569	0.0897	-0.0425
		28	RISK_COMPLY		0.0932	0.1003					0.0881	0.0698	0.0938		
		29	RISK_5PLUS_MDCTN						0.0942	-0.0715				0.0871	-0.1623
		30	RISK_EXHAUST		0.0828	0.1071			0.1047		0.1089	0.0940	0.1073	0.0519	
		31	RISK_OTHR					-0.0889				0.0628			0.0407
		32	RISK_NONE		0.2274	0.2396	0.2185		0.2168	0.2068	0.1552	0.1364	0.2412	0.1847	
		33	ASSIST_OCC_NONE	Excluded category											
	Availability of	34	ASSIST_REGNITE		-0.0507	-0.1076	-0.0156	-0.0462	-0.0018	-0.0698	-0.0927	-0.0748	-0.1161	-0.0310	
	Assistance	35	ASSIST_REGDAY		-0.2123	-0.2527	-0.2661	-0.1774	-0.1389	-0.1049	-0.3428	-0.3012	-0.3156	-0.3333	
7		36	ASSIST_ARND_CLOCK		-0.2313	-0.2625	-0.2192	-0.1825	-0.1298	-0.1018	-0.3091	-0.2578	-0.3955	-0.2221	
	Living	37	LIV_ALONE		0.1168	0.1423	0.1471	0.0409	0.0726		0.2911	0.2192	0.2822	0.2229	
	Arrangement	38	LIV_OTHERS	Excluded category											
	7 11 12 11 13 11 11 11	39	LIV_CONGREGATE		-0.1484	-0.0877	-0.0291	-0.3717	-0.4344		-0.0348	-0.0303	-0.7483	-0.0308	
8	Pressure	40	PU_NONE_STG1ONLY	Excluded category											
	Ulcers	41	PU_STG2PLUS_UNSTG		-0.4092	-0.5331	-0.4421	-0.3324		-0.3615	-0.4425	-0.4360	-0.3643	-0.4168	-0.4662
		42	STAS_ULCR_OBS_NONE	Excluded category											
9	Stasis Ulcer	43	STAS_ULCR_OBS_1		-0.0652	-0.1280	-0.1751			-0.2211	-0.1885	-0.1280	-0.0461	-0.1475	-0.1596
		44	STAS_ULCR_OBS_2PLUS		-0.2631	-0.3382	-0.3025			-0.3887	-0.3981	-0.3165	-0.1678	-0.2582	-0.5197
		45	SRG_WND_OBS_NONE	Excluded category											
10	Surgical	46	SRG_WND_OBS_EPI		0.3275	0.3605	0.3280	0.2042	0.2166	0.2260	0.2872	0.3201	0.3663	0.3139	0.2113
10	Wound	47	SRG_WND_OBS_GRAN		0.3175	0.3258	0.2772	0.1544	0.2093	0.1467	0.2757	0.2711	0.3123	0.2682	0.1074
		48	SRG_WND_OBS_NOHEAL		0.4799	0.4503	0.4805	0.3982	0.4376	0.3374	0.4048	0.4607	0.5213	0.4873	0.0744
		49	DYSP0	Excluded category											
11	Dyspnea	50	DYSP1		0.2881	0.2862	0.2763	0.3145	0.1879		0.2889	0.2679	0.2828	0.2411	-0.0412
11	Dyspiled	51	DYSP2		0.2484	0.3144	0.1580	0.2601	0.1676	0.9754	0.2668	0.2451	0.3647	0.1092	-0.1950
		52	DYSP34		0.3735	0.3893	0.2829	0.4053	0.2794	1.6353	0.3374	0.3029	0.5681	0.2164	-0.3780

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
		53	URINCONT_NONE	Excluded category											
12	Urinary Status	54	URINCONT_INCONT		-0.2766	-0.2719	-0.3118	-0.2881	-0.2060	-0.2332	-0.2741	-0.2607	-0.2565	-0.2923	-0.1178
		55	URINCONT_CATH		-0.5689	-0.5877	-0.6072	-0.5210	-0.2041	-0.3626	-0.5621	-0.5682	-0.4438	-0.5979	-0.7638
		56	BWL_NONE	Excluded category											
		57	BWL_FR1		-0.1741	-0.1990	-0.1618		-0.0155	-0.1078	-0.1867	-0.1823	-0.1074	-0.1339	-0.0938
13	Bowel Incontinence	58	BWL_FR2		-0.3316	-0.3699	-0.3477	0.1124	-0.1346	-0.2217	-0.3625	-0.3630	-0.2457	-0.3062	-0.1806
	meontinence	59	BWL_FR345		-0.5065	-0.5877	-0.5966	0.1750	-0.2301	-0.2900	-0.5814	-0.5992	-0.4157	-0.6541	-0.2073
		60	BWL_OSTOMY		-0.1300	-0.2597	-0.1582	0.0000	-0.0504	-0.0676	-0.1799	-0.2102	-0.1078	-0.2564	-0.4139
		61	COGN0	Excluded category											
14	Cognitive	62	COGN1		-0.1181	-0.1476	-0.1871	-0.1270	-0.4186	-0.0873	-0.1484	-0.1587	-0.2778	-0.1823	-0.0366
14	function	63	COGN2		-0.1983	-0.2710	-0.2308	-0.2192	-0.6888	-0.0939	-0.2600	-0.2959	-0.4688	-0.2748	-0.0502
		64	COGN34		-0.3252	-0.4478	-0.3449	-0.3101	-0.9922	-0.0720	-0.4392	-0.5126	-0.6760	-0.3881	0.0138
		65	CONF0	Excluded category											
15	Confusion	66	CONF1		-0.1194	-0.1310	-0.1325	-0.0804		-0.0977	-0.1145	-0.1417	-0.2780	-0.1550	-0.0699
13	Comusion	67	CONF23		-0.2141	-0.2406	-0.2167	-0.1551	1.3096	-0.0870	-0.2280	-0.2598	-0.4988	-0.2616	-0.0725
		68	CONF4		-0.4083	-0.4566	-0.3521	-0.2194	1.8660	-0.0153	-0.4357	-0.5206	-0.8084	-0.4397	0.0002
		69	ANX0	Excluded category											
16	Anxiety	70	ANX1		0.0028	-0.0095	-0.0127	0.0013	-0.0270	-0.1187	-0.0111	-0.0023	0.0195	-0.0005	-0.0231
10	Anxiety	71	ANX2		0.0401	0.0213	0.0326	0.1536	0.0400	-0.1241	0.0431	0.0561	0.0653	0.0632	-0.0561
		72	ANX3		0.1534	0.1350	0.1702	0.3065	0.1569	-0.0400	0.1533	0.1778	0.1908	0.2157	-0.0320
	Dannasian	73	PHQ2_TO9_NOTMEET	Excluded category											
17	Depression Screening	74	PHQ2_TO9_MEET		-0.1260	-0.1624	-0.1280	-0.0477	-0.0306	-0.1882	-0.1553	-0.1537	-0.1207	-0.1030	-0.1488
	Sercering	75	PHQ2_TO9_NA		-0.2227	-0.2877	-0.2476	-0.1842	-0.2297	-0.1272	-0.3146	-0.3737	-0.2963	-0.3017	-0.0968
		76	BEHAV_NONE		0.2240	0.2687	0.1888		0.1589	0.1323	0.2273	0.2907	0.3637	0.1944	0.1078
18	Behavioral	77	BEHAV_MEM_DEFICIT						-0.2302				-0.0842	-0.0696	0.0652
10	Symptoms	78	BEHAV_IMPR_DECISN		-0.0430				-0.1705	-0.1139	-0.0381			-0.0516	
		79	BEHAV_OTHR		-0.2320	-0.2405	-0.2283	-0.2368	-0.2716	-0.1781	-0.2440	-0.2485	-0.2885	-0.2292	-0.1246
19	Disruptive	80	BEHPFRO	Excluded category											

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
	Behavior	81	BEHPFR12		0.2049	0.2519	0.1083	0.0169	0.1074	0.1608	0.2087	0.1830	0.2517	0.0460	0.0428
	Frequency	82	BEHPFR3		0.1810	0.1987	0.1323	0.0418	0.0963	0.1527	0.1853	0.1873	0.2214	0.1240	0.0106
		83	BEHPFR4		0.1836	0.1996	0.1933	0.0900	0.1197	0.2144	0.1984	0.2074	0.2205	0.1995	0.0435
		84	BEHPFR5		0.1866	0.2012	0.2380	0.1531	0.0606	0.2765	0.2149	0.2296	0.1845	0.2497	0.0950
		85	GROOM0	Excluded category											
20	Grooming	86	GROOM1								0.0187	-0.0533		0.0468	-0.0125
20	Grooming	87	GROOM2								-0.0348	-0.2266		-0.0807	-0.0738
		88	GROOM3								-0.2614	-0.6388		-0.2766	-0.1197
I		89	UPPER0	Excluded category											
21	Upper Body	90	UPPER1			0.0711					0.0609		0.0544	0.1143	0.0558
21	Dressing	91	UPPER2			-0.1187					-0.1674	1.3913	-0.1747	-0.1364	-0.0662
		92	UPPER3			-0.2419					-0.3022	2.7888	-0.4250	-0.2224	-0.0660
		93	LOWER0	Excluded category											
22	Lower Body	94	LOWER1		0.2481	0.1723							0.1643		
22	Dressing	95	LOWER2		0.1469	0.1050					0.9604		0.1861		
		96	LOWER3		0.1168	0.0941					2.7133		0.2389		
		97	ватно	Excluded category											
		98	BATH1		-0.3104				0.1143	0.0309	-0.0971				0.2316
		99	BATH2		-0.2311	1.0554			0.1429	0.0342	0.0280				0.2606
23	Bathing	100	ватнз		-0.3304	1.8635			0.1690	0.0785	-0.0458				0.2172
		101	BATH4		-0.2710	2.3000			0.1992	0.1120	0.0609				0.2291
		102	BATH5		-0.1907	2.8351			0.3400	0.3334	0.0161				0.2317
		103	ватн6		-0.5194	3.0200			-0.0183	0.0457	-0.4423				0.1418
		104	TLTTRN0	Excluded category											
24	Toilet	105	TLTTRN1		0.2398	0.2257	0.1285		0.1857	0.1942	0.1418		0.1972		0.1390
24	Transferring	106	TLTTRN2		0.1577	0.2051	0.0012		0.1608	0.1588	0.0996		0.2369	1.9087	0.0441
		107	TLTTRN34		0.2654	0.2315	-0.0994		0.1531	0.1998	0.0993		0.3116	2.3808	0.0007
25	Toilet Hygiene	108	TLTHYG0	Excluded category											

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
	1	109	TLTHYG1		0.0035	-0.0244	-0.0612	0.2388			-0.1023	-0.1508	0.0022	-0.3275	
	ſ	110	TLTHYG2		-0.2067	-0.1383	-0.3749	0.0863			-0.2809	-0.3630	-0.1159	-0.5370	
!	ı	111	TLTHYG3		-0.4120	-0.3989	-0.7458	-0.4307			-0.6439	-0.7183	-0.3828	-0.7859	
		112	TRNFRO	Excluded category											
36	Transforring	113	TRNFR1		-0.2762	-0.0967			0.0320	0.0192			0.0664	-0.0662	
26	Transferring	114	TRNFR2		-0.0846	0.1528	2.2364		0.2668	0.3004			0.3712	-0.0149	
'	1	115	TRNFR345		-0.1754	0.0153	2.8715		0.2433	0.2712			0.3171	-0.2834	
		116	AMB0	Excluded category											
'	ſ	117	AMB1			-0.2624	-0.5265			-0.1522	-0.2026	-0.0697	-0.0372	-0.2071	0.0739
27	Ambulation	118	AMB2		0.1031	-0.5863	-0.9988			-0.2658	-0.3367	-0.1681	-0.1952	-0.3394	0.0431
	ſ	119	AMB3		1.9729	-0.2801	-0.5887			-0.0021	0.0072	0.2182	0.1436	0.0940	0.1748
!	ı <u> </u>	120	AMB456		1.4478	-1.1906	-1.6254			-0.4108	-0.9394	-0.6353	-0.4173	-0.8768	-0.0884
		121	EAT0	Excluded category											
20	Feeding or	122	EAT1		-0.0361	-0.0428	-0.1678	-0.0519	-0.0403		-0.0932	-0.1902	-0.0439	-0.2625	-0.0705
28	Eating	123	EAT2		-0.1059	-0.1659	-0.3406	-0.2327	-0.0597		-0.2337	-0.4347	-0.2160	-0.4280	-0.0601
	<u>                                     </u>	124	EAT345		-0.2458	-0.5826	-0.5647	-0.4969	-0.2219		-0.5538	-0.8000	-0.5694	-0.7949	-0.3668
		125	ORMED0	Excluded category											
20	Oral Medication	126	ORMED1		0.1451		0.0481	0.0819		0.1133					-0.1244
29	Management	127	ORMED2		0.3199		0.0854	0.1890		0.2211			1.2634		-0.0570
		128	ORMED3		0.3822		0.3121	0.3746		0.3987			1.6797		-0.0273
		129	SPRVSN_NONE_NEEDED	Excluded category											
20	Supervision	130	SPRVSN_CG_PROVIDES		-0.1582	-0.1896	-0.1882		-0.2433	-0.0892	-0.1935	-0.2569	-0.3029	-0.2651	-0.0447
30	and Safety Assistance	131	SPRVSN_NEED_TRAINING		-0.0290	-0.0066	-0.1006		-0.2019	0.0020	-0.0473	-0.0892	-0.1098	-0.1849	0.0179
	1	132	SPRVSN_CG_UNCERTAIN_NONE		-0.1936	-0.1842	-0.2426		-0.2272	-0.2171	-0.1735	-0.2559	-0.1326	-0.2637	-0.1746
	1	133	hcc1												
21	Hierarchical Condition	134	hcc2												-0.1807
31	Categories	135	hcc6												-0.2973
		136	hcc8		-0.6926	-0.7576	-0.6812	-0.3018	-0.1757	-0.7416	-0.6532	-0.7693	-0.6601	-0.6263	-1.1436

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
		137	hcc9		-0.3042	-0.3821	-0.3100		-0.0648	-0.5132	-0.3072	-0.3867	-0.3503	-0.3034	-0.7722
		138	hcc10		-0.2249	-0.2263	-0.2188			-0.2383	-0.2074	-0.2668	-0.2504	-0.1565	-0.7135
		139	hcc11							-0.1548					-0.3956
		140	hcc12							-0.0896		-0.0782			-0.2168
		141	hcc17												-0.2581
		142	hcc18		-0.1341	-0.1027	-0.1468		-0.0393	-0.1533	-0.0957	-0.1071	-0.1249	-0.1583	-0.2594
		143	hcc19											-0.0812	-0.0777
		144	hcc21		-0.1527	-0.1790	-0.1237		-0.1073	-0.1561	-0.0994	-0.1469	-0.2112	-0.1490	-0.2795
		145	hcc22		-0.2609	-0.3291	-0.2272		0.1882	-0.3539	-0.3325	-0.1744		-0.1420	0.1331
		146	hcc23												-0.1997
		147	hcc27		-0.2840	-0.3354	-0.3111		-0.1664	-0.3603	-0.2974	-0.3370	-0.3962	-0.2845	-1.0453
		148	hcc28		-0.2068	-0.2181	-0.1808		-0.1041	-0.2448	-0.1906	-0.2282	-0.2921	-0.1723	-0.6478
		149	hcc29												-0.1561
		150	hcc33												-0.2952
		151	hcc34												-0.5025
		152	hcc35		0.2278	0.1976	0.2034				0.1885	0.1763		0.1557	-0.2977
		153	hcc39		-0.1146	-0.1078	-0.1104							-0.1230	-0.2634
		154	hcc40						0.0653			-0.0669			-0.0610
		155	hcc46		-0.1946					-0.1868		-0.2180		-0.1544	-0.6810
		156	hcc47												-0.2950
		157	hcc48												-0.1462
		158	hcc51		-0.3334	-0.3475	-0.2205	-0.2984	-0.7529		-0.3435	-0.4394	-0.5627	-0.3989	
		159	hcc52		-0.2140	-0.2425	-0.1292	-0.2367	-0.6768	0.0740	-0.2411	-0.3167	-0.4916	-0.3018	0.0435
		160	hcc54						-0.2555				-0.2793		
		161	hcc55		1										-0.1147
		162	hcc56												
		163	hcc57						-0.3022				-0.4456		-0.3660
		164	hcc58												

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
		165	hcc59				-0.0884		-0.1325	-0.0641			-0.1214	-0.0831	-0.0625
		166	hcc60										-0.5231		
		167	hcc70		-1.0791	-1.1827	-1.2492	-0.5298			-1.2108	-1.2295	-0.9412	-1.3641	
		168	hcc71		-1.2517	-0.4245	-0.7906	-0.4443			-0.5559	-0.3265	-0.1706	-0.7592	0.1283
		169	hcc72		-0.6073	-0.2782	-0.3501				-0.2844	-0.2592	-0.1680	-0.2947	
		170	hcc73		-1.6164	-1.5456	-1.5024			-1.1146	-1.6512	-1.7862	-1.4899	-1.4186	
		171	hcc74		-0.9118	-0.7945	-0.8181	-0.3391	-0.3593		-0.8240	-0.8623	-0.7450	-0.8214	0.3847
		172	hcc75		-0.1998	-0.1440	-0.1571			-0.1473	-0.1306	-0.1681		-0.1346	-0.0817
		173	hcc76		-1.1144	-0.8187	-1.1053			-0.3647	-0.8869	-0.8722	-0.6423	-0.9499	0.2880
		174	hcc77		-0.7089	-0.4841	-0.6226	-0.2535			-0.5543	-0.4953	-0.3269	-0.5191	
		175	hcc78		-0.4362	-0.3724	-0.3307		-0.1630	-0.0508	-0.4600	-0.4662	-0.3889	-0.3590	-0.0618
		176	hcc79		-0.1529	-0.1597	-0.1281		-0.1944		-0.1128	-0.1692	-0.2552	-0.1650	-0.0720
		177	hcc80		-0.2845	-0.3132			-0.2763				-0.4614	-0.2994	
		178	hcc82			-0.4302				-0.6123	-0.2877	-0.3329	-0.4519		-0.3005
		179	hcc83												
		180	hcc84			-0.0655			0.0913	-0.3892		-0.0761	-0.0782		-0.1428
		181	hcc85		-0.1761	-0.1912	-0.1806	-0.0482	-0.0373	-0.2845	-0.1780	-0.1840	-0.1683	-0.1814	-0.4286
		182	hcc86							-0.0727	0.1126				-0.1860
		183	hcc87												-0.1088
		184	hcc88							-0.1100					-0.0590
		185	hcc96					0.0966	0.0447						-0.1617
		186	hcc99												
		187	hcc100		-0.4052	-0.4270	-0.4065			-0.3411	-0.4253	-0.4905	-0.3891	-0.3904	-0.2084
		188	hcc103		-0.4304	-0.3310	-0.3320	-0.2143	-0.1436		-0.4121	-0.4708	-0.4054	-0.3428	0.0576
		189	hcc104		-0.1988						-0.1539		-0.1673		
		190	hcc106		-0.6090	-0.5298	-0.5617			-0.3773	-0.4312	-0.4800	-0.3128	-0.4886	-1.1894
		191	hcc107							-0.0778					
		192	hcc108		-0.1139						-0.0517	-0.0485		-0.0647	-0.1482

Risk Factor Group #	Risk Factor Group	Risk Factor #	Risk Factor Name	Excluded Category Indicator	Amb	Bathing	Bed Transfer	Bowel Incont	Conf	Dyspnea	Lower Dress	Upper Dress	Oral Meds	Toilet Transfer	DTC
	1	193	hcc110												
ļ	1	194	hcc111		-0.1551	-0.1580	-0.1317		-0.0442	-0.4785	-0.1031	-0.1401	-0.1289	-0.1412	-0.2620
	1	195	hcc112		-0.1177					-0.5441		-0.1286	-0.1223		-0.2207
ļ	1	196	hcc114		-0.1538	-0.1586	-0.1344				-0.1632	-0.1997	-0.2868	-0.1623	-0.0935
	,	197	hcc115												
	1	198	hcc122				<u> </u>								
ļ	1	199	hcc124											0.4038	
	1	200	hcc134		-0.7258	-0.6931	-0.7056	-0.5213	-0.3791	-0.5043	-0.6120	-0.6946	-0.6666	-0.7931	-0.7957
	,	201	hcc135							-0.0770					-0.3029
	,	202	hcc136		-0.5036	-0.4766	-0.4506	-0.2688	-0.1817	-0.2851	-0.3995	-0.4569	-0.4583	-0.4866	-0.6885
		203	hcc137		-0.0805	-0.1050	-0.1009			-0.1097	-0.1056	-0.1188	-0.0716	-0.0972	-0.4063
		204	hcc138			<u> </u>									-0.1359
	1	205	hcc157		-0.6076	-0.4686	-0.6929	-0.4443		-0.3237	-0.5724	-0.5944	-0.3355	-0.7182	-0.7135
	1	206	hcc158		-0.2622	-0.1793	-0.3303			'	-0.2590	-0.2658	-0.1070	-0.2962	-0.4394
		207	hcc159		-0.1399	<u> </u>	-0.1601				-0.1410	-0.1529		-0.1649	-0.0859
	1	208	hcc161		-0.3095	-0.3639	-0.3576			-0.3122	-0.3367	-0.3535	-0.1465	-0.3480	-0.7748
	1	209	hcc162			<u> </u>				'					
		210	hcc166			<u> </u>									
	1	211	hcc167			<u> </u>			-0.2085	'			-0.2139		
	1	212	hcc169			<u> </u>				'					
	1	213	hcc170		-0.2435	<u> </u>				'					
]	1	214	hcc173		-0.6830	<u> </u>				'					
]	1	215	hcc176			,			0.1824	'					-0.3216
	1	216	hcc186		0.3043		0.3267								-0.4329
]	1	217	hcc188												-0.3375
]	<u> </u>	218	hcc189		-1.0179	-0.3925	-0.4743		-0.1812	-0.1797	-0.2367	-0.2507	-0.2396	-0.3591	-0.3610
32	CONSTANT	219	_CONS		0.9281	0.9135	2.0258	1.3833	0.5920	1.2072	1.8981	2.1937	0.9086	2.6705	2.0724

## Appendix Table 3: CMS-HCC Mapping Effective January 1, 2023

HCC LABEL	CC_HCC	CC_ZERO1	CC_ZERO2	CC_ZERO3	CC_ZERO4	CC_ZERO5
Neoplasm 1	8	9	10	11	12	
Neoplasm 2	9	10	11	12		
Neoplasm 3	10	11	12			
Neoplasm 4	11	12				
Diabetes 1	17	18	19			
Diabetes 2	18	19				
Liver 1	27	28	29	80		
Liver 2	28	29				
Blood 1	46	48				
Cognitive 2	51	52				
SUD 1	54	55	56			
SUD 2	55	56				
Psychiatric 1	57	58	59	60		
Psychiatric 2	58	59	60			
Psychiatric 3	59	60				
Spinal 1	70	71	72	103	104	169
Spinal 2	71	72	104	169		
Spinal 3	72	169				
Arrest 1	82	83	84			
Arrest 2	83	84				
Heart 2	86	87	88			
Heart 3	87	88				
CVD 1	99	100				
CVD 5	103	104				
Vascular 1	106	107	108	161	189	
Vascular 2	107	108				
Lung 1	110	111	112			
Lung 2	111	112				
Lung 5	114	115				
Kidney 3	134	135	136	137	138	
Kidney 4	135	136	137	138		
Kidney 5	136	137	138			
Kidney 6	137	138				
Skin 1	157	158	159	161		
Skin 2	158	159	161			
Skin 3	159	161				
Injury 1	166	80	167			

## Appendix Table 4: CMS-HCC Labels

Effective January 1, 2023

НСС	Label
HCC1	HIV/AIDS
HCC2	Septicemia, Sepsis, Systemic Inflammatory Response Syndrome/Shock
HCC6	Opportunistic Infections
HCC8	Metastatic Cancer and Acute Leukemia
HCC9	Lung and Other Severe Cancers
HCC10	Lymphoma and Other Cancers
HCC11	Colorectal, Bladder, and Other Cancers
HCC12	Breast, Prostate, and Other Cancers and Tumors
HCC17	Diabetes with Acute Complications
HCC18	Diabetes with Chronic Complications
HCC19	Diabetes without Complication
HCC21	Protein-Calorie Malnutrition
HCC22	Morbid Obesity
HCC23	Other Significant Endocrine and Metabolic Disorders
HCC27	End-Stage Liver Disease
HCC28	Cirrhosis of Liver
HCC29	Chronic Hepatitis
HCC33	Intestinal Obstruction/Perforation
HCC34	Chronic Pancreatitis
HCC35	Inflammatory Bowel Disease
HCC39	Bone/Joint/Muscle Infections/Necrosis
HCC40	Rheumatoid Arthritis and Inflammatory Connective Tissue Disease
HCC46	Severe Hematological Disorders
HCC47	Disorders of Immunity
HCC48	Coagulation Defects and Other Specified Hematological Disorders
HCC51	Dementia With Complications
HCC52	Dementia Without Complication
HCC54	Substance Use with Psychotic Complications
HCC55	Substance Use Disorder, Moderate/Severe, or Substance Use with Complications
HCC56	Substance Use Disorder, Mild, Except Alcohol and Cannabis
HCC57	Schizophrenia
HCC58	Reactive and Unspecified Psychosis
HCC59	Major Depressive, Bipolar, and Paranoid Disorders
HCC60	Personality Disorders
HCC70	Quadriplegia
HCC71	Paraplegia (control of the control o
HCC72	Spinal Cord Disorders/Injuries
HCC73	Amyotrophic Lateral Sclerosis and Other Motor Neuron Disease
HCC74	Cerebral Palsy
HCC75 HCC76	Myasthenia Gravis/Myoneural Disorders and Guillain-Barre Syndrome/Inflammatory and Toxic Neuropathy
	Muscular Dystrophy  Multiple Sclerosis
HCC79	'
HCC78 HCC79	Parkinson's and Huntington's Diseases Seizure Disorders and Convulsions
HCC80	Coma, Brain Compression/Anoxic Damage
HCC80	Respirator Dependence/Tracheostomy Status
HCC82	Respirator Dependence/ fractieostomy Status  Respiratory Arrest
HCC84	Cardio-Respiratory Failure and Shock
HCC85	Congestive Heart Failure
HCC86	Acute Myocardial Infarction
HCC87	Unstable Angina and Other Acute Ischemic Heart Disease
HCC88	Angina Pectoris
HCC96	Specified Heart Arrhythmias
HCC99	Intracranial Hemorrhage
HCC100	Ischemic or Unspecified Stroke
HCC103	Hemiplegia/Hemiparesis
HCC104	Monoplegia, Other Paralytic Syndromes
HCC106	Atherosclerosis of the Extremities with Ulceration or Gangrene
HCC107	Vascular Disease with Complications

НСС	Label
HCC108	Vascular Disease
HCC110	Cystic Fibrosis
HCC111	Chronic Obstructive Pulmonary Disease
HCC112	Fibrosis of Lung and Other Chronic Lung Disorders
HCC114	Aspiration and Specified Bacterial Pneumonias
HCC115	Pneumococcal Pneumonia, Empyema, Lung Abscess
HCC122	Proliferative Diabetic Retinopathy and Vitreous Hemorrhage
HCC124	Exudative Macular Degeneration
HCC134	Dialysis Status
HCC135	Acute Renal Failure
HCC136	Chronic Kidney Disease, Stage 5
HCC137	Chronic Kidney Disease, Severe (Stage 4)
HCC138	Chronic Kidney Disease, Moderate (Stage 3)
HCC157	Pressure Ulcer of Skin with Necrosis Through to Muscle, Tendon, or Bone
HCC158	Pressure Ulcer of Skin with Full Thickness Skin Loss
HCC159	Pressure Ulcer of Skin with Partial Thickness Skin Loss
HCC161	Chronic Ulcer of Skin, Except Pressure
HCC162	Severe Skin Burn or Condition
HCC166	Severe Head Injury
HCC167	Major Head Injury
HCC169	Vertebral Fractures without Spinal Cord Injury
HCC170	Hip Fracture/Dislocation
HCC173	Traumatic Amputations and Complications
HCC176	Complications of Specified Implanted Device or Graft
HCC186	Major Organ Transplant or Replacement Status
HCC188	Artificial Openings for Feeding or Elimination
HCC189	Amputation Status, Lower Limb/Amputation Complications